

# Unique solution techniques for processes and functions

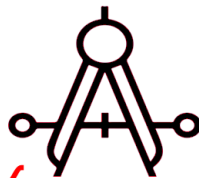
Adrien Durier

Cotutelle ENS de Lyon & Università di Bologna

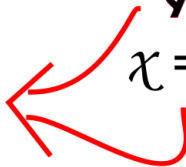
\*on the web\*

11th June, 2020

# Formal verification of software



$$x = f(x)$$

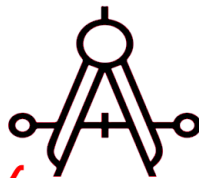


# Formal verification of software

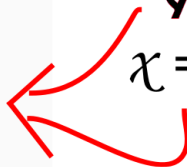
```

2  type expr =
3    | TInt of int                (* i *)
4    | TAdd of expr * expr       (* e1+e2 *)
5    | TMul of expr * expr       (* e1*e2 *)
6    | TDiv of expr * expr       (* e1/e2 *)
7
8
9  let t1 = TAdd(TInt 5, TMul(TInt 3,TInt 5))
10 let t2 = TDiv(t1,TDiv(t1, t1))
11 let t3 = TDiv(t1, TInt 0)
12
13 exception Div_by_Zero
14
15 let eval : expr -> int = fun e -> 0
16
17 (* tests *)
18 let _ = eval t1
19 let _ = eval t2
20 let _ = try eval t3 with Div_by_Zero -> 55
21
22 let eval_count : expr -> int = fun e -> 0
23
24 (* tests *)
25 let _ = eval_count t1
26 let _ = eval_count t2
27 let _ = try eval_count t3 with Div_by_Zero -> 55
28
29 type expr =
30   | TInt of int                (* i *)
31   | TAdd of expr * expr       (* e1+e2 *)
32   | TMul of expr * expr       (* e1*e2 *)
33   | TDiv of expr * expr       (* e1/e2 *)
34   | TLet of string * expr * expr (* let x = e1 in e2 *)
35   | TVar of string            (* x *)
36
37 (* Exemples d'expressions : *)
38 let t1 = TAdd(TInt 5, TMul(TInt 3,TInt 5))

```



$$x = f(x)$$



# Programs as Black Boxes



# Programs as Black Boxes

Input 3

```
internal static char ParseFormatSpecifier(String format, out Int32 digits)
{
    digits = -1;
    if (format == "default")
        return 'R';

    int i = 0;
    char ch = format[i];
    if (ch > 'A' && ch < 'Z' || ch > 'a' && ch < 'z')
    {
        i++;
        int n = -1;

        if (i < format.Length && format[i] > '0' && format[i] < '9')
        {
            n = format[i++] - '0';
            while (i < format.Length && format[i] > '0' && format[i] < '9')
            {
                n = n * 10 + (format[i++] - '0');
                if (n > 10)
                    break;
            }
        }

        if (i > format.Length || format[i] == '\0')
        {
            digits = n;
            return ch;
        }
    }

    return (char)0; // custom format
}
```

Output 42

# Programs as Black Boxes

Input 3

```
internal static char ParseFormatSpecifier(String format, out Int32 digits)
{
    digits = -1;
    if (format == "default")
        return 'R';

    int i = 0;
    char ch = format[i];
    if (ch > 'A' && ch < 'Z' || ch > 'a' && ch < 'z')
    {
        i++;
        int n = 0;
        if (i < format.Length && format[i] > '0' && format[i] < '9')
        {
            n = format[i++] - '0';
            while (i < format.Length && format[i] > '0' && format[i] < '9')
            {
                n = n * 10 + (format[i++] - '0');
                if (n > 10)
                    break;
            }
        }
        if (i > format.Length || format[i] == '\0')
        {
            digits = n;
            return ch;
        }
    }
    return (char)0; // custom format
}
```

Output 42

# Program equivalence

## Context: Formal study of programming Prove program equivalences

```

1 type emp =
2   | Tint of int
3   | Tbool of bool * emp
4   | Tintl of int * emp
5   | Tintof of int * emp
6   | Tstr of string * emp
7
8
9 let t1 = TABOOL 5, MulfEte 3,Tint 50
10 let t2 = Tintof 5,MulfEte 3,50
11 let t3 = Tintof 5, Tint 80
12
13 exception Bix_by_Zero
14
15 let eval : emp -> int = fun e -> 0
16
17 (** tests *)
18 let _ = eval t1
19 let _ = eval t2
20 let _ = try eval t3 with Bix_by_Zero -> 55
21
22 let eval_count : emp -> int = fun e -> 0
23
24 (** tests *)
25 let _ = eval_count t1
26 let _ = eval_count t2
27 let _ = try eval_count t3 with Bix_by_Zero -> 55
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30   | Tint of int
31   | Tbool of bool * emp
32   | Tintl of int * emp
33   | Tintof of int * emp
34   | Tstr of string * emp
35   | Tintof of int * emp
36
37 (** number of occurrences *)
38 let t1 = TABOOL 5, MulfEte 3,Tint 50
39 let t2 = Tintof 5, Tintof 3, Tintof 3, Tint 50
40 let t3 = Tintof 5, Tint 7, MulfEte 3, Tint 50
41 let t4 = Tintof 5, Tint 7, MulfEte 3, Tint 50
42
43
44 let eval : int -> emp -> int = let fun emp e -> 0
45
46 (** variable locale B over function *)

```

```

1 type emp =
2   | Tint of int
3   | Tbool of bool * emp
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```

- **Goal:** verify an optimization (for example)
- A mathematical proof that two programs can be substituted for each other

# Program equivalence

```

24 type expr =
25   | TVar of list
26   | TAdd of expr * expr
27   | TSub of expr * expr
28   | TMul of expr * expr
29   | TDiv of expr * expr
30
31
32 let t1 = TAdd(TVar 5, TVar 10)
33 let t2 = TVar(10), TVar 10
34 let t3 = TVar(1), TVar 0
35
36 exception Div_by_Zero
37
38 let eval : expr -> int * fun w -> 0
39
40 (* helper *)
41 let _ = eval t1
42 let _ = eval t2
43 let _ = try eval t3 with Div_by_Zero -> 35
44
45 let eval_count : expr -> int * fun w -> 0
46
47 (* helper *)
48 let _ = eval_count t1
49 let _ = eval_count t2
50 let _ = try eval_count t3 with Div_by_Zero -> 35
51
52 type expr =
53   | TVar of list
54   | TAdd of expr * expr
55   | TSub of expr * expr
56   | TMul of expr * expr
57   | TDiv of string * expr * expr
58   | TVar of string
59
60
61 (* semantics of expressions *)
62 let t1 = TAdd(TVar 5, TVar 10)
63 let t2 = TVar(10), TVar(10), TVar "w", TVar "w", TVar "w"
64 let t3 = TVar(1), TVar 7, TVar(10), TVar "w"
65 let t4 = TVar(1), TVar 7, TVar(10), TVar "w"
66
67 let eval : expr -> int * fun w -> 0
68
69 (* variable locale @ use Function *)

```

```

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25   | TVar of list
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66
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```

- **Equivalent** programs: produce the same result, etc. . .
- **Partial** programs : x might be used while undefined (defined in another part of the program)
- Indistinguishable **in any context**
  - ⇒ can modify the rest of the code in the same way
- **Context:** Program with a hole  $[ \cdot ]$ . The hole can be replaced by a partial program.



# Program equivalence

## Contexts

If context  $C$  is:

```
int x;  
[·]  
x = x ++;
```

If the partial program  $P$  is:

```
·  
x = 3;  
·
```

Then  $C[P]$  is the program:

```
int x;  
x = 3;  
x = x ++;
```

by a partial program.

# Contextual equivalence

**Contextual equivalence** defines which pairs of programs can be freely substituted.

If  $P$  and  $Q$  are **contextually equivalent** ( $P \simeq Q$ ), then they are interchangeable with each other.

$P \simeq Q \stackrel{\text{def}}{=} \text{for all contexts } C,$   
 $C[P] \text{ et } C[Q] \text{ produce the same values.}$   
(have the same **observables**)

- Universal quantifier "for all"
- **Proof techniques** are essential
  - Set of **sufficient** conditions on  $P$  and  $Q$  to ensure  $P \simeq Q$

# Contributions

- ❶ **Full Abstraction** for  
Milner's encoding of the **call-by-value  $\lambda$ -calculus** in the  **$\pi$ -calculus**
- ❷ A **fixpoint theorem** for **weak behavioural equivalences**

# The call-by-value $\lambda$ -calculus

## Open call-by-value

# Open *call-by-value*

**Call-by-value**  $\beta$ -reduction

$$(\lambda x.M)V \rightarrow_{\beta_v} M\{V/x\}$$

# Open *call-by-value*

**Call-by-value  $\beta$ -reduction**       $(\lambda x.M)V \rightarrow_{\beta_v} M\{V/x\}$

## Open terms

<b>Values</b>	$V := x \mid \lambda x.M$
<b>Stuck redexes</b>	$(\lambda z.z)(xV) \not\rightarrow_{\beta_v} xV$
<b>Normal forms</b>	$V \mid C_e[xV]$

# Open call-by-value

**Call-by-value  $\beta$ -reduction**       $(\lambda x.M)V \rightarrow_{\beta_v} M\{V/x\}$

## Open terms

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**Evaluation contexts**       $C_e := [\cdot] \mid C_e M \mid VC_e$   
 **$\beta_v$  reduction**       $C_e[(\lambda x.M)V] \rightarrow_{\beta_v} C_e[M\{V/x\}]$

# Eager Normal Form Bisimulation [Lassen05]

$\simeq_e$  stands for eager normal form bisimilarity

$M \simeq_e N$  whenever:

- ①  $M$  and  $N$  diverge
- ②  $M \Rightarrow x, N \Rightarrow x$
- ③  $M \Rightarrow \lambda x.M', N \Rightarrow \lambda x.N'$  and

$$M' \simeq_e N'$$

- ④  $M \Rightarrow C_e[xV], N \Rightarrow C'_e[xV']$  and

$$\left\{ \begin{array}{l} C_e[z] \simeq_e C'_e[z] \text{ for a fresh } z \\ V \simeq_e V' \end{array} \right.$$



# Tree structures for *call-by-value*

$\simeq_e$  stands for eager normal form bisimilarity

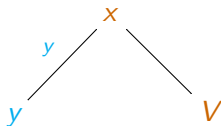
- $xV \simeq_e I(xV)$
- $(\lambda z.\Omega)(xV) \not\simeq_e \Omega$
- $(\lambda z.xV)(xV) \not\simeq_e xV$

# Tree structures for *call-by-value*

$\simeq_e$  stands for eager normal form bisimilarity

- $xV \simeq_e I(xV)$

$xV$   
(evaluation context:  $[\cdot]$ )



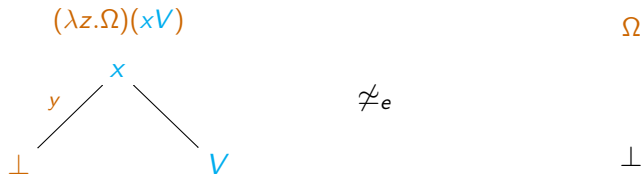
$I(xV)$   
 $Iy \rightarrow y$

- $(\lambda z.\Omega)(xV) \not\simeq_e \Omega$
- $(\lambda z.xV)(xV) \not\simeq_e xV$

# Tree structures for *call-by-value*

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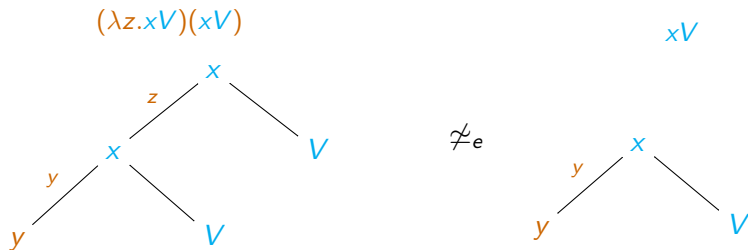


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# Functions as concurrent processes

The untyped  $\lambda$ -calculus  
(1930s)

$\dashv$   $\llbracket \cdot \rrbracket$   $\rightarrow$   
[Milner90]

The  $\pi$ -calculus  
(1989)

# Functions as concurrent processes

The untyped  $\lambda$ -calculus  
(1930s)

$\xrightarrow{\llbracket \cdot \rrbracket}$   
[Milner90]

The  $\pi$ -calculus  
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Program execution: **interaction** between term and context  
 $\rightarrow$  we consider **open  $\lambda$ -terms**

# Functions as concurrent processes

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The  $\pi$ -calculus  
(1989)

Program execution: **interaction** between term and context  
 $\rightarrow$  we consider **open  $\lambda$ -terms**

We study the problem of **Full Abstraction**:  
Characterizing the **equivalence  $\approx$  induced** by the encoding:

$$M \approx N \text{ iff } \llbracket M \rrbracket \simeq \llbracket N \rrbracket$$

# Synchronization

**The  $\pi$ -calculus:** exchange of messages along **channels**  
 $(x, y, z \dots p, q, r \dots)$

Set of **channels**:

- Receive a channel name  $x$  on a channel  $a$ :  $a(x)$
- Sending a channel name  $b$  on a channel  $a$ :  $\bar{a}(b)$
- Special **internal action**  $\tau$

$$a(x).P \mid \bar{a}(b).Q \xrightarrow{\tau} P\{b/x\} \mid Q$$

- **Receiving** and **sending** on  $a$ : possible **synchronization**
- A name is exchanged between the agents:  
an **internal action**  $\tau$  happens
- $\tau$  is **invisible** to other agents



## Interactive open programs

Programs as concurrent mobile interactive processes

- I **Full Abstraction** for  
Milner's encoding of the **call-by-value  $\lambda$ -calculus** in the  **$\pi$ -calculus**
- II A new **fixpoint theorem** for **weak behavioural equivalences**

# Milner's encoding

program execution is modelled as an **interaction** between

<b>the program</b> (being evaluated)	<b>the context</b> (in which it is evaluated)
---	--

Evaluated arguments are stored by the context

The program requests them as needed

# Milner's encoding

program execution is modelled as an **interaction** between

the program

$$C_e[xV]$$

the context

$$x := V'$$

# Milner's encoding

program execution is modelled as an **interaction** between

the program

the context



# Milner's encoding

program execution is modelled as an **interaction** between

the program

$$C_e[\cdot]$$

the context

$$x := V'$$

the evaluation of  $xV$  starts in a new location

$$V'V$$

# Milner's encoding

program execution is modelled as an **interaction** between

the program

$$C_e[y]$$

the context

$$x := V'$$

once evaluated, it is stored in a new variable  $y$

$$y := V''$$

# Two types of processes and locations

program execution is modelled as an **interaction** between

**the program**  
(being evaluated)

$$p : M$$

running term

**the context**  
(in which it is evaluated)

$$x := V$$

evaluated term

# Two types of processes and locations

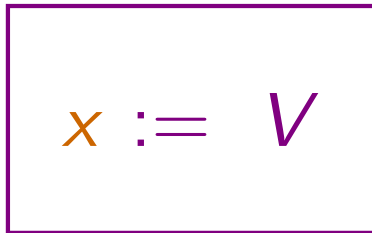
program execution is modelled as an **interaction** between

**the program**  
(being evaluated)



**running term at location  $p$**   
(or 'continuation'  $p$ )

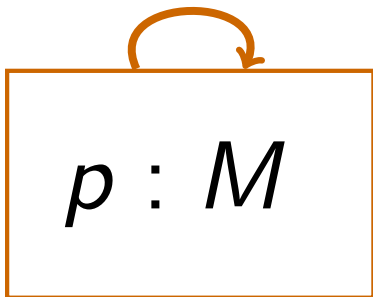
**the context**  
(in which it is evaluated)



**evaluated term at variable  $x$**   
(acts like an explicit substitution)



# Evaluation of a term

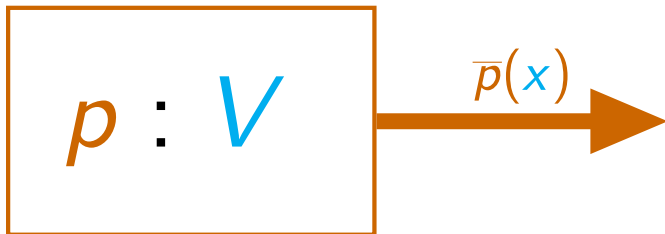


# Evaluation of a term

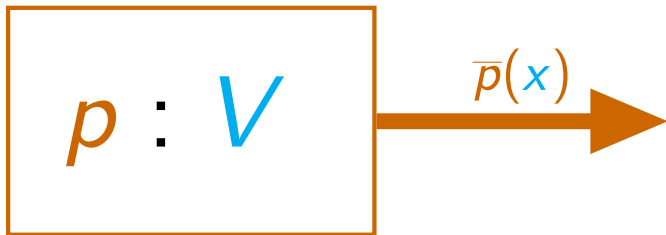


$p : v$

# Evaluation of a term



# Evaluation of a term



$\bar{p}$ : emits on  $p$

$x$ : new location (*fresh name*) for value  $V$

# Evaluation of a term



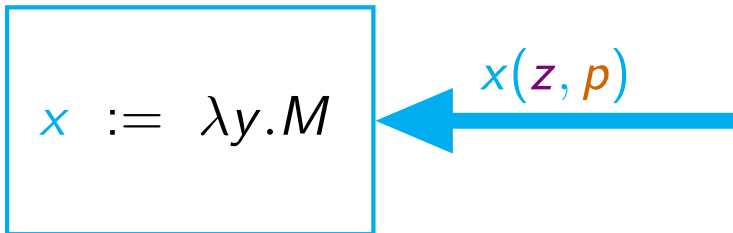
$x ::= V$

$x$ : new location (*fresh name*) for value  $V$

# Function call

$$x := \lambda y. M$$

# Function call



$x$ : Address of the value being called

$z$ : Address of argument

$p$ : Evaluate at  $p$

# Function call

$$p : M\{z/y\}$$

$x$ : Address of the value being called

$z$ : Address of argument

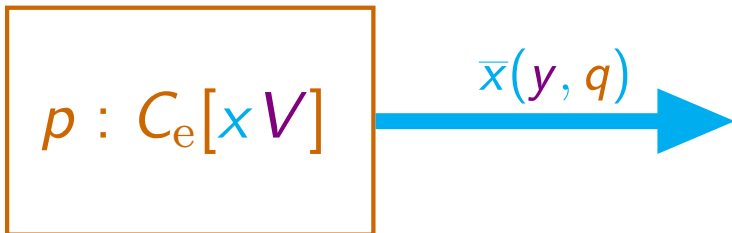
$p$ : Evaluate at  $p$



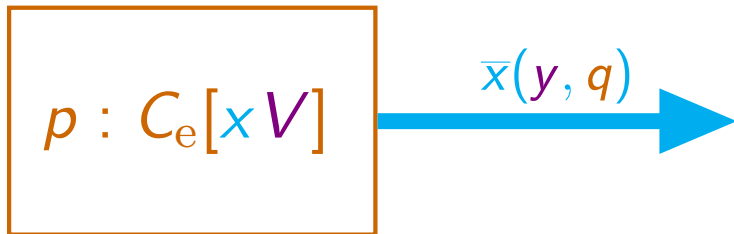
# Calling a function

$$p : C_e[xV]$$

# Calling a function



# Calling a function



$y$ : Address of argument  $V$

$q$ : Evaluate  $x$  at  $q$

# Calling a function

$$y := V$$

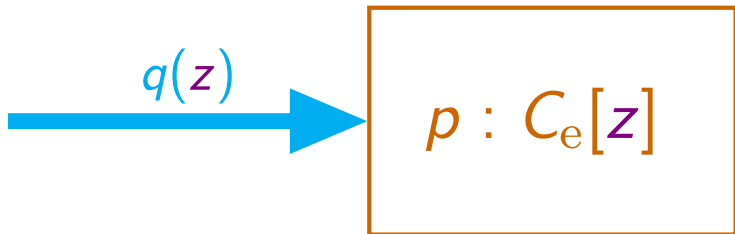
 $q \triangleright$ 

$$p : C_e[\cdot]$$

$y$ : Address of argument  $V$

$q$ : Evaluate  $x$  at  $q$

# Calling a function



$z$ : Address containing the evaluation of  $xV$

$q$ : Evaluate  $x$  at  $q$

## The Full Abstraction Problem

Full Abstraction for encoding call-by-value in the  $\pi$ -calculus

- I **Full Abstraction** for Milner's encoding of the call-by-value  $\lambda$ -calculus in the  $\pi$ -calculus
- II A new **fixpoint theorem** for weak behavioural equivalences

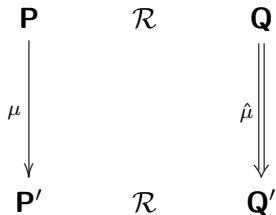
# Full abstraction

## Theorem

$$M \simeq_{e\eta} N \quad \text{iff} \quad \llbracket M \rrbracket \approx \llbracket N \rrbracket$$

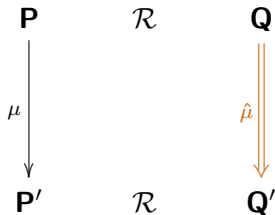
( $\approx$  is bisimilarity (or contextual equivalence) in  $\pi$ )

# Bisimulations (weak)





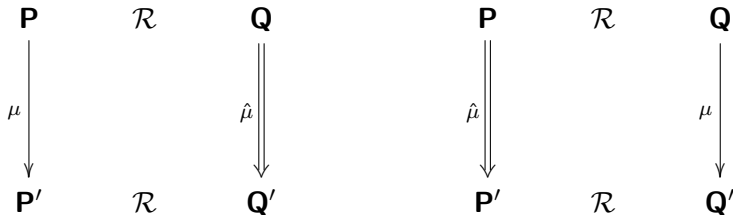
# Bisimulations (weak)



$$\hat{\mu} ::= \begin{cases} \tau^* \xrightarrow{\mu} \tau^* & \text{if } \mu \neq \tau \\ \tau^* & \text{if } \mu = \tau \end{cases}$$

weak transitions:  $\tau$  is **invisible**

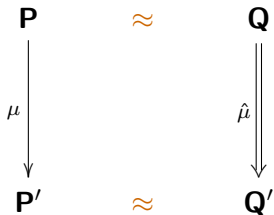
# Bisimulations (weak)



$$\hat{\mu} \Rightarrow ::= \left\{ \begin{array}{l} \tau^* \xrightarrow{\mu} \tau^* \text{ if } \mu \neq \tau \\ \tau^* \text{ if } \mu = \tau \end{array} \right\}$$

weak transitions:  $\tau$  is **invisible**

# Bisimulations (weak)

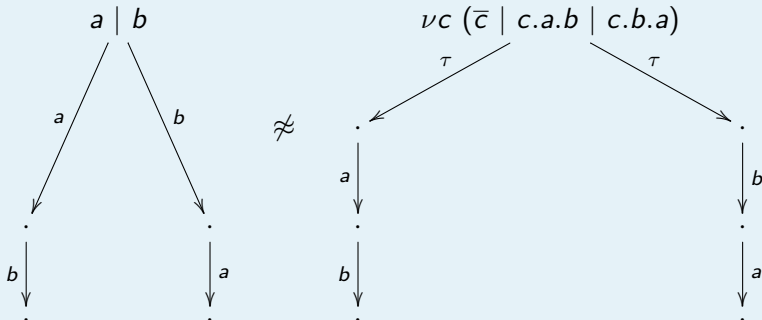


$$\approx = \cup \mathcal{R}$$

$\approx$ : (weak) bisimilarity

# Bisimulations (weak)

Bisimulations are matching trees



# Full Abstraction for *call-by-name* and *call-by-value*

The **evaluation strategy** determines the induced equivalence

Two encodings, for two evaluation strategies:

The *call-by-name*  $\lambda$ -calculus

- Solved [Sangiorgi92]
- Fully abstract for **Lévy-Longo trees**:  
(**standard equivalence** for cbn)
- Proof relies on **up-to techniques**

# Full Abstraction for *call-by-name* and *call-by-value*

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- Fully abstract for **Lévy-Longo trees**:  
(**standard equivalence** for cbn)
- Proof relies on **up-to techniques**  
→ **sensitive to efficiency**

The *call-by-value* λ-calculus

- This work
- Equivalences not as well established or understood
- Introduces **inefficiencies**  
→ **up-to techniques fail**

**efficiency**: number of **reductions**/**synchronisations**

## Full abstraction for *call-by-value*

- open terms → we need to **isolate** the part being evaluated
  - *call-by-name*: evaluation happens at top-level (main function)
  - *call-by-value*: evaluation can happen deep in a term
- a term has to be **extracted from its context**:

$$C_e[xV] \rightsquigarrow (\lambda z. C_e[z])(xV) \quad \text{or} \quad C[P] \rightsquigarrow C[\bar{z}] \mid !z.P$$

**$\bar{z}$  triggers** the replicated  **$!z.P$**

- This transformation **adds inefficiencies**
- Up-to techniques are **sensitive to efficiency**:
  - we need to be always **more efficient**
  - **hard-coded** in the techniques

# Full abstraction

## Theorem

$$M \simeq_{e\eta} N \quad \text{iff} \quad \llbracket M \rrbracket \simeq \llbracket N \rrbracket$$

( $\simeq$  is barbed congruence or contextual equivalence in  $\pi$ )

- **Completeness:**  $M \simeq_{e\eta} N \Rightarrow \llbracket M \rrbracket \simeq \llbracket N \rrbracket$

➔ introduces inefficiencies:

$$C_e[xV] \rightsquigarrow (\lambda z. C_e[z])(xV)$$

➔ up-to techniques fail



# The Proof Technique

## Unique solution of equations

- I **Full Abstraction** for  
Milner's encoding of the **call-by-value  $\lambda$ -calculus** in the  **$\pi$ -calculus**
- II A new **fixpoint theorem** for **weak behavioural equivalences**

# The unique solution technique

$$X = \mathbf{E}[X]$$

( $\mathbf{E}$  is a **context**, that is, a **term with a hole**)

$\mathbf{E}$  has a **unique solution** for equivalence = whenever:

$$\text{If } \mathbf{P} = \mathbf{E}[\mathbf{P}] \quad \text{and} \quad \mathbf{Q} = \mathbf{E}[\mathbf{Q}]$$

$$\text{Then } \mathbf{P} = \mathbf{Q}$$

# The completeness proof

## Lemma (Completeness)

$$M \simeq_{e\eta} N \text{ implies } \llbracket M \rrbracket \approx \llbracket N \rrbracket$$

- 1 Build a system of equations (from bisimulation  $\mathcal{R}$  s.t  $M\mathcal{R}N$ )
- 2 Prove  $\llbracket M \rrbracket$  and  $\llbracket N \rrbracket$  are solutions
- 3 Prove the system has a unique solution

# The equations

If  $M \simeq_{er} N$ , they have the same tree, given by a bisimulation  $\mathcal{R}$

- One equation per node (infinite system of equations)

$$X_{M,N} = \mathbf{E}[X_{M_1,N_1}, \dots, X_{M_i,N_i}]$$

→ The type of node determines the equation

# The Equations

- 1 If  $M, N$  diverge, the equation is

$$X_{M,N} = 0$$

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$$X_{M,N} = \llbracket \lambda x.X_{M',N'} \rrbracket$$

- 4 If  $M \Rightarrow C_e[xV]$  and  $N \Rightarrow C'_e[xV']$

$$X_{M,N} = \llbracket (\lambda z.X_{C_e[z],C'_e[z]})(xX_{V,V'}) \rrbracket \text{ (optimised)}$$



# The equations

If  $M \simeq_{er} N$ , they have **the same tree**, given by a **bisimulation**  $\mathcal{R}$

- **One equation per node** (**infinite system of equations**)

$$X_{M,N} = \mathbf{E}[X_{M_1,N_1}, \dots, X_{M_i,N_i}]$$

→ The type of node determines the equation

- The **structure of the proof** follows the **structure of the tree**
- Equations represent **normal forms** in the  $\pi$ -calculus

# The completeness proof

## Lemma (Completeness)

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- 1 Build a system of equations (from bisimulation  $\mathcal{R}$  s.t.  $M\mathcal{R}N$ )
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# Unique solution of equations

## From CSP to CCS

### I Full Abstraction

### II A new **fixpoint theorem** for **weak behavioural equivalences**

- Builds on existing theory of **unique solution of equations**  
Hoare[1978], Milner [1980], Roscoe [1982], Sangiorgi [2015]...
- Two important schools in concurrency: **CSP** and **CCS**
- Adapted from **Roscoe's unique solution Theorem in CSP [1988]**
- Operational, generalizable theorem

# Equations and unique solution

$$X = \mathbf{E}[X]$$

**E** is a **context**

$\pi$ -calculus, CCS, CSP,  $\lambda$ -calculus, ...

**E** has a **unique solution** for  $\approx$  whenever:

**If**  $\mathbf{P} \approx \mathbf{E}[\mathbf{P}]$       **and**       $\mathbf{Q} \approx \mathbf{E}[\mathbf{Q}]$

**Then**  $\mathbf{P} \approx \mathbf{Q}$

# Equations and unique solution

$$X = \mathbf{E}[X]$$

$\mathbf{E}$  is a context

## Example

$$X = a.\tau.X$$

All solutions are  $\approx a.a.a.a.a \dots$

# Equations always have solutions

$$X = \mathbf{E}[X]$$

## Has at least one a solution:

- Consider the constant  $\mathbf{K_E} := \mathbf{E}[\mathbf{K_E}]$
- $\mathbf{K_E} = \underbrace{\mathbf{E}[\mathbf{E}[\mathbf{E}[\mathbf{E}[\dots]]]]}_{\mathbf{E}^\infty}$  is always solution of  $X = \mathbf{E}[X]$   
 $\mathbf{E}^\infty$ : syntactic solution
- It has the **least possible behaviours of all solutions**
- It is the **minimal** solution  
 (least fixed point)

# Equations do not always have a unique solution

$X = X, X = \tau.X$  do not have a unique solution:

$$\forall \mathbf{P}, \mathbf{P} \approx \tau.\mathbf{P}$$

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- 1 **No prefix** to constrain behavior
- 2 **Weak prefix** ( $\tau$ ) also does not constrain behavior

$$X = a.\bar{b}.X$$

**Unique solution up to  $\approx$ :**  $a.\bar{b}.a.\bar{b}.a\dots$   
**Is this enough?**



# Failure of unique solution

$$X = b. (\bar{b} \mid X)$$

If  $b. \mathbf{P}$  is a solution, then  $b. (\mathbf{P} \mid \mathbf{Q})$  is a solution (for any  $\mathbf{Q}$ )

Hence, many  $\neq$  solutions

→ prefixes can be **erased**

**CSP**

$$a \setminus a \xrightarrow{\tau}$$

**CCS**

$$a \mid \bar{a} \xrightarrow{\tau}$$

# Unique Solution theorems

Unique solution Theorems in CSP:  
(Hoare [1978] & Roscoe [1982])

- Remove hiding operator  $\backslash$
- Restrict hiding operator  $\backslash$



Roscoe's Theorem [1988]:

- Allow  $\backslash$ , forbid divergences

Unique solution Theorems in CCS:  
(Milner [1980])

- Remove parallel composition  $|$
- Or consider strong bisimulation



Sangiorgi's contractions [2015]:

- Enforce efficiency of solutions

Unique Solution with no divergences for CCS

# Divergences and unique solution

$$X = \tau. X$$

- $E^\infty = \tau.T.T.T \dots$

# Divergences and unique solution

$$X = b. (\bar{b} \mid X)$$

- $\forall P, b. (P \mid \bar{b} \mid (b.\bar{b})^\omega)$  **is solution**
- $E^\infty = b. (\bar{b} \mid b. (\bar{b} \mid b. (\bar{b} \mid b \dots))) \xrightarrow{b} \xrightarrow{\tau} \xrightarrow{\tau} \xrightarrow{\tau} \xrightarrow{\tau} \dots$

# Divergences and unique solution

$$X = \mathbf{b}. (\bar{\mathbf{b}} \mid X)$$

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- **Divergence:** infinite sequence of  $\xrightarrow{\tau}$  transitions

# Divergences and unique solution

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- $E^\infty = b. (\bar{b} \mid b. (\bar{b} \mid b. (\bar{b} \mid b \dots ) ) ) \xrightarrow{b} \xrightarrow{\tau} \xrightarrow{\tau} \xrightarrow{\tau} \xrightarrow{\tau} \dots$
- **Divergence:** infinite sequence of  $\xrightarrow{\tau}$  transitions
- In **CSP**, the trace  $bbbbbb \dots \setminus b$  is a **divergence**  
 → Roscoe's Unique Solution Theorem [1988]

# Unique Solution for **divergence-free** equations

## Theorem (Unique Solution for divergence free equations)

If  $E^\infty$  has no divergences, then *guarded* equation  $X = E[X]$  has a *unique solution* for  $\approx$ .

- $P$  has a divergence:  $P \xrightarrow{\mu_1} \xrightarrow{\mu_2} \dots \xrightarrow{\mu_n} \xrightarrow{\tau} \xrightarrow{\tau} \dots \xrightarrow{\tau} \dots$
- **Syntactic solution**  $E^\infty := E[E[E[\dots]]]$

# Unique Solution for **divergence-free** equations

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- **Syntactic solution**  $E^\infty := E[E[E[\dots]]]$

- **Divergences**: Efficiency only becomes important **at the limit**
- this guarantee is **insensitive to local** inefficiency



# Ensuring unique solution

$$\begin{array}{ccc} \mathbf{P} & \approx & \mathbf{E}[\mathbf{P}] \\ \mu \downarrow & & \hat{\mu} \Downarrow \\ \mathbf{P}' & \approx & \mathbf{Q} \end{array}$$

# Ensuring unique solution

$$\begin{array}{ccc} \mathbf{P} & \approx & \mathbf{E}[\bullet] \\ \mu \downarrow & & \hat{\mu} \Downarrow \\ \mathbf{P}' & \approx & \mathbf{E}'[\bullet] \end{array}$$

# Ensuring unique solution

$$\begin{array}{ccccccc} \mathbf{P} & \approx & \mathbf{E}[\mathbf{P}] & \approx & \mathbf{E}^2[\mathbf{P}] & \approx & \dots \\ \mu \downarrow & & \hat{\mu} \Downarrow & & \hat{\mu} \Downarrow & & \\ \mathbf{P}' & \approx & & \approx & & \approx & \dots \end{array}$$

# Ensuring unique solution

$$\begin{array}{ccccccc}
 \mathbf{P} & \approx & \mathbf{E}[\mathbf{P}] & \approx & \mathbf{E}^2[\mathbf{P}] & \approx & \dots & \approx & \mathbf{E}^n[\mathbf{P}] \\
 \mu \downarrow & & \hat{\mu} \Downarrow & & \hat{\mu} \Downarrow & & & & \hat{\mu} \Downarrow \\
 \mathbf{P}' & \approx & & \approx & & \approx & \dots & \approx & \mathbf{E}'[\mathbf{P}]
 \end{array}$$

# Unique solution and context transitions

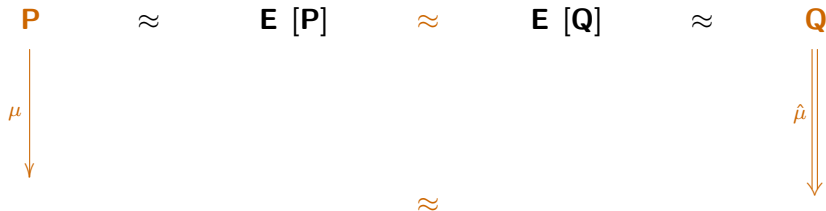
$$\mathbf{P} \approx \mathbf{E} [\mathbf{P}] \qquad \mathbf{E} [\mathbf{Q}] \approx \mathbf{Q}$$

$\mathbf{P}$  and  $\mathbf{Q}$  are solutions of  $X = \mathbf{E}[X]$ .

We show unique solution:

$$\mathbf{P} \approx \mathbf{Q}$$

# Unique solution and context transitions

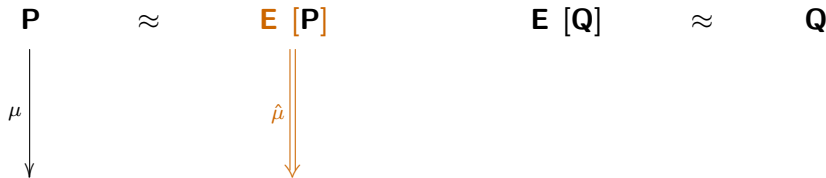


Challenges of **P**

# Unique solution and context transitions



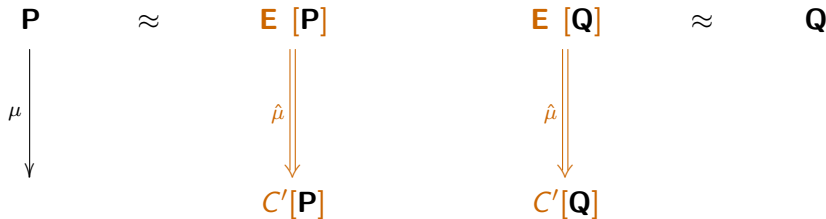
# Unique solution and context transitions



Assume **this transition** is a **context transition** of **E**

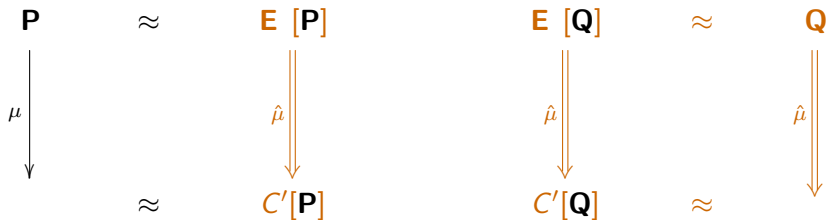


# Unique solution and context transitions



Assume **this transition** is a **context transition** of **E**  
**E[Q]** can match it;

# Unique solution and context transitions



Assume **this transition** is a **context transition** of **E**  
**E[Q]** can match it;  
**Q** too.

# Unique solution and context transitions

## Context transitions

- Transitions occurring **independently of the process inside:**

$$C \xrightarrow{\mu} C' \Leftrightarrow \forall P, C[P] \xrightarrow{\mu} C'[P]$$

- Generalizable concept
- Related to **weak guardedness**  
(guarded context  $\Rightarrow$  context transition)

$\tau.P \xrightarrow{\tau}$  is a **context** transition

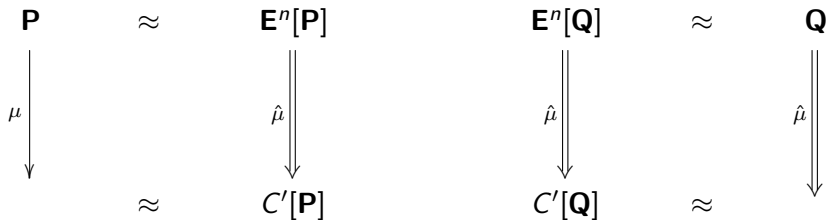
The proof needs to be written using a **strong** semantics.

# Unique solution and context transitions

$$\begin{array}{ccccccc}
 \mathbf{P} & \approx & \mathbf{E}^n[\mathbf{P}] & & \mathbf{E}^n[\mathbf{Q}] & \approx & \mathbf{Q} \\
 \downarrow \mu & & \Downarrow \hat{\mu} & & \Downarrow \hat{\mu} & & \Downarrow \hat{\mu} \\
 & \approx & \mathbf{C}'[\mathbf{P}] & & \mathbf{C}'[\mathbf{Q}] & \approx & 
 \end{array}$$

$\mathbf{P}$  is also solution of  $\mathbf{X} = \mathbf{E}^n[\mathbf{X}]$

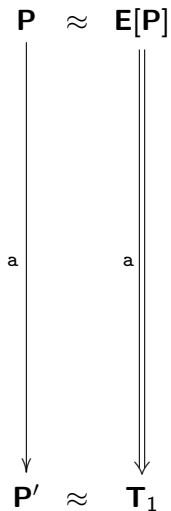
# Unique solution and context transitions



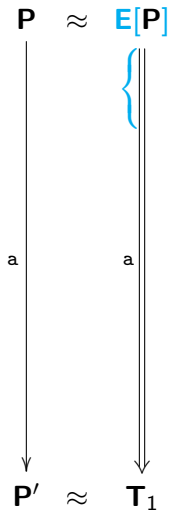
If any transition of  $\mathbf{P}$  can be matched by a transition of  $\mathbf{E}^n$

→ Unique solution

# Constructing context transitions

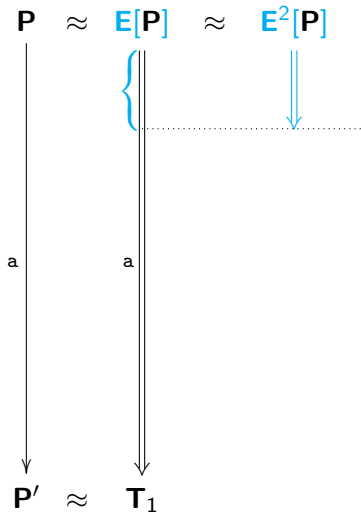


# Constructing context transitions



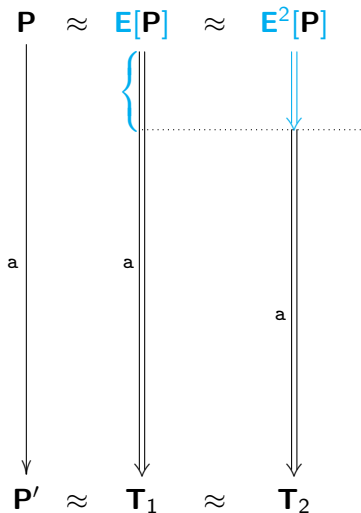
**E** is guarded

# Constructing context transitions



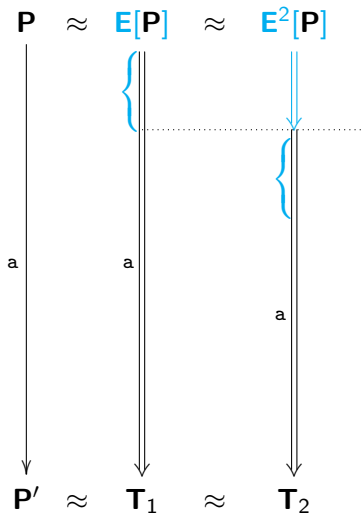


# Constructing context transitions



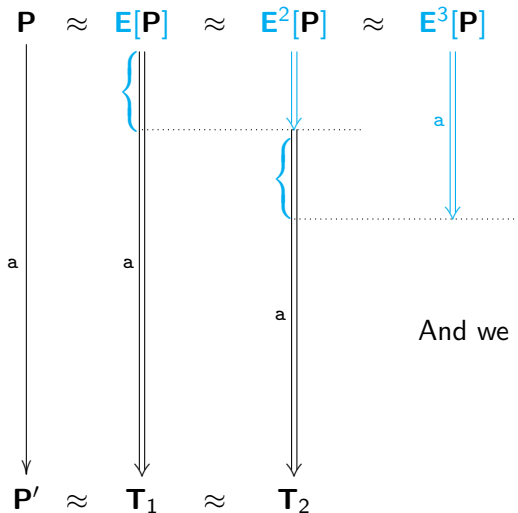
We complete by bisimilarity

# Constructing context transitions



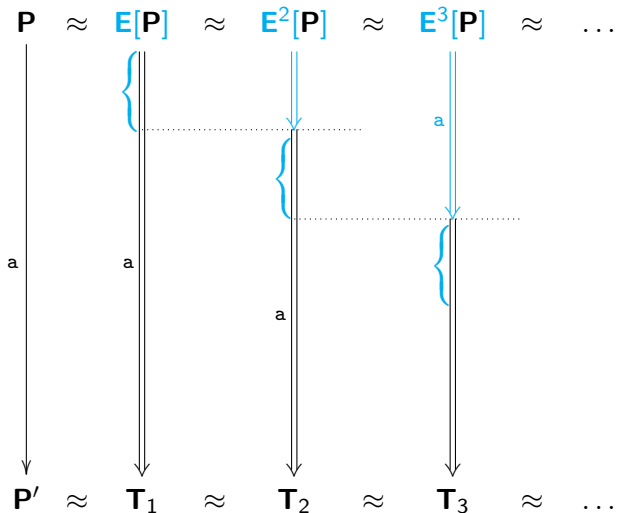
And we start again...

# Constructing context transitions

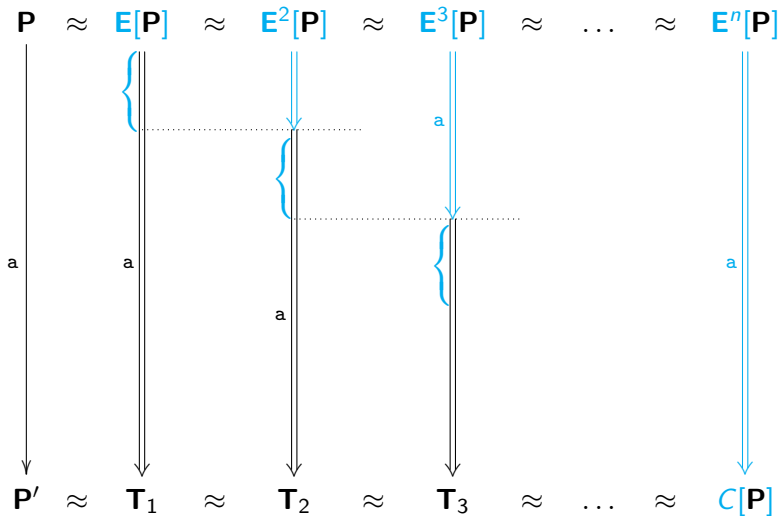


And we start again...

# Constructing context transitions



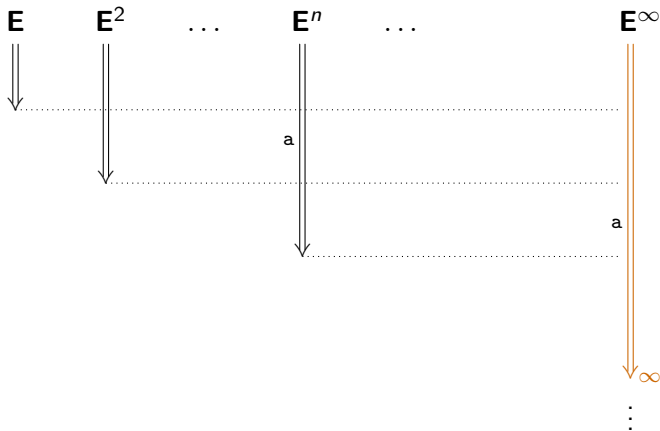
# Constructing context transitions



# Limit

If the construction never stops:

⇒ **divergence of  $E^\infty$**  ( $= E[E[E[\dots]]]$ )



Divergences built this way are **non-innocuous**

# Other contributions to the theory of Unique Solution

- **Fined-grained** analysis of **divergences**
  - **Innocuous divergences**, **completeness** results
- **Abstract formulation**, we only need a few **ingredients**:
  - **Context transitions**
  - **Guarded contexts** (mandatory **context transitions**)
  - Contexts are **congruences**
$$P \approx Q \Rightarrow C[P] \approx C[Q]$$
  - Contexts **compose**
- Unique Solution for **name-passing** and **Higher-Order**
  - $\pi$ -calculus
  - **Higher-Order  $\pi$ -calculus**
- traces, formats

# The completeness proof

## Lemma (Completeness)

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# Conclusion

Future works and questions

# Conclusion & Future Works

- **Guardedness** and **non-divergence** guarantee a **unique solution**
  - Bridge between **CCS** and **CSP**
  - Generalizable proof (**languages**, **equivalences**, **abstract formulation**)
  - Fined-grained analysis of **divergences**
- Comparison with **up-to techniques**:
  - widely studied **bisimulation enhancements**
  - Some proofs are not possible with **up-to techniques**
  - 'Up to context techniques and unique solution are (often) the same' [Sangiorgi '15]
  - Correspondence with Pous' [08] **up-to**  $\approx$  with **termination**?
  - Correspondence **fails for higher-order** ( $\pi$ )

## Conclusion & Future work: $\pi$ -calculus encodings

- **Full abstraction** between Milner's  $\pi$ -calculus encoding and Lassen's eager normal form bisimilarity
- Full abstraction for variants of the encoding
  - ➔ **Parallel call-by-value**: distinguishes diverging terms
  - ➔ Full abstraction for **contextual equivalence** ?

## Contextual equivalence vs. eager normal form bisimilarity

[Lassen07]: Eager normal form is fully abstract for  $\lambda\mu$ +references

Counter-examples:

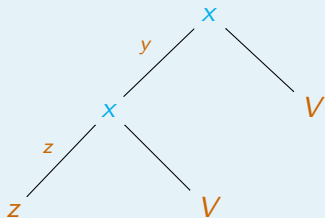
①  $(\lambda y.\Omega)(xV) \not\approx_{en} \Omega$

→ Distinguishable by raising an exception in  $x$

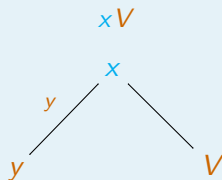
②  $(\lambda y.xV)(xV) \not\approx_{en} xV$

→ Distinguishable by using a reference in  $x$

$(\lambda y.xV)(xV)$



$\not\approx_e$



## Conclusion & Future work: $\pi$ -calculus encodings

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- Full abstraction for variants of the encoding
  - ➔ **Parallel call-by-value**: distinguishes diverging terms
  - ➔ Full abstraction for **contextual equivalence** ?
- Links with **game semantics**
  - ➔ Connections between games and  $\pi$ -calculus [Hyland, Ong 95] [Honda, Laurent 11]
  - ➔ Session types [Berger, Honda, Yoshida 01] [Toninho, Yoshida 18]

# Questions

Thank you for your attention!