

# A positivity bootstrap technique for validating the generating function of loop-decorated maps

Linxiao Chen

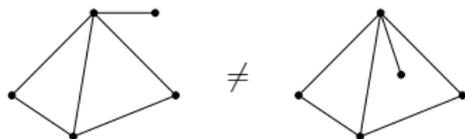
University of Helsinki

Workshop on random matrices, maps, and gauge theories  
ENS de Lyon, 25 June 2018

# What is ... a map?

A *planar map* is a *proper* embedding (i.e. no crossing edges) of a finite connected graph into the sphere  $\mathbb{S}^2$ , viewed up to the orientation-preserving homeomorphisms of  $\mathbb{S}^2$ .

⚠ planar map  
≠ planar graph

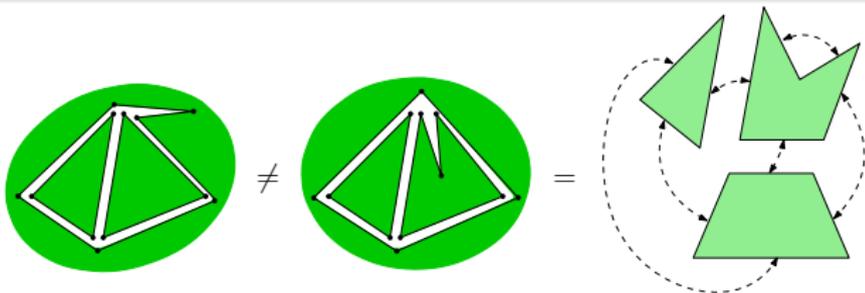


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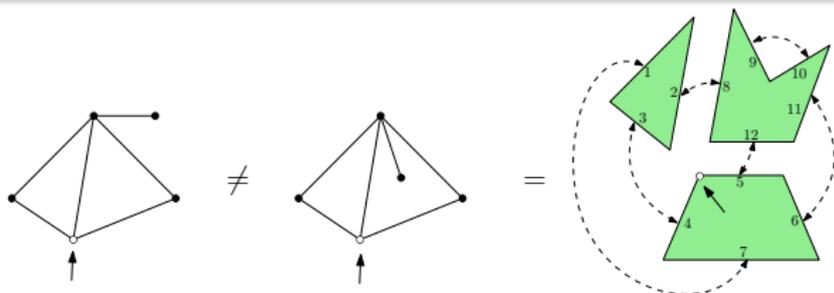


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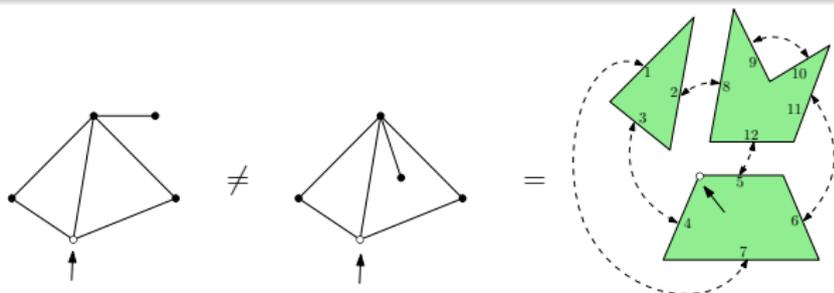
To avoid symmetry issues, we consider planar maps with a special *corner* (the *root*). Their edges/vertices/faces can be enumerated deterministically.  
*external face* = face containing the root, *perimeter* = degree of external face

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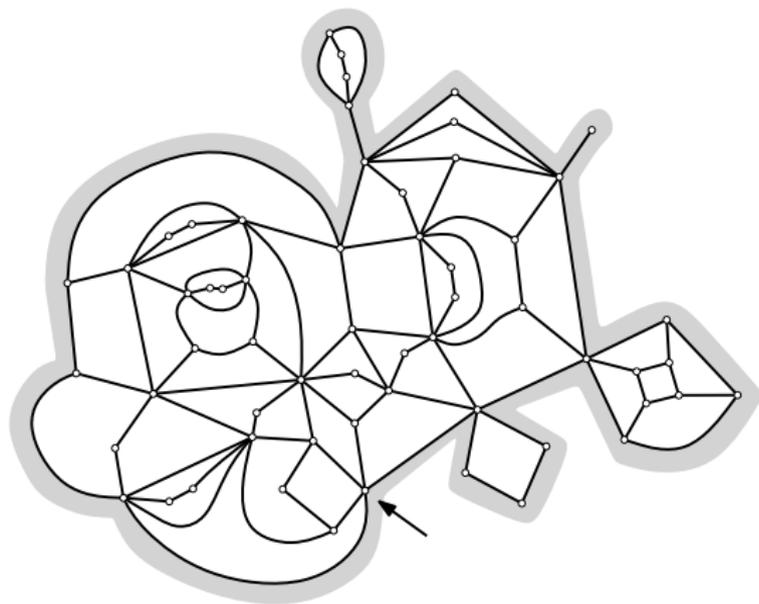


To avoid symmetry issues, we consider planar maps with a special *corner* (the *root*). Their edges/vertices/faces can be enumerated deterministically. *external face* = face containing the root, *perimeter* = degree of external face

In the following, we will consider *quadrangulations with boundary*, that is, (rooted planar) maps in which all internal faces have degree *four*.

# What is ... a map?

$\mathcal{Q}_p := \{\text{quadrangulations with boundary of perimeter } 2p\}$

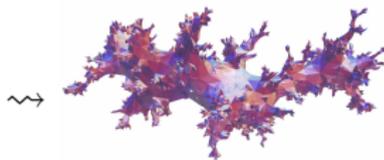
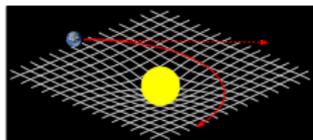


$\in \mathcal{Q}_{12}$

# Motivations

random maps

→ (discretized) random metric of 2D space-time (Liouville quantum gravity)



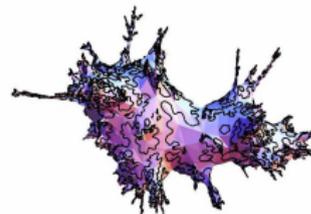
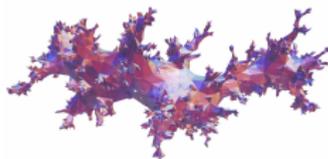
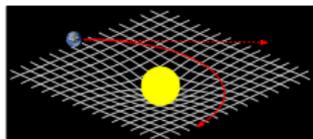
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random maps + a statistical physics model

→ random metric of space-time coupled to a matter field



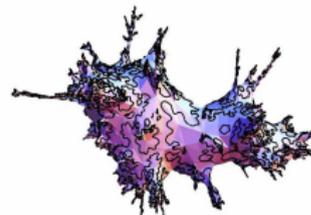
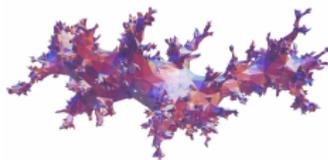
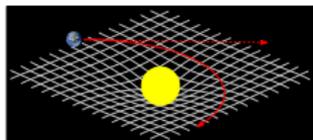
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Also:

- integrable models
- universality
- ...

and, nice pictures !

## Definition of model

# $O(n)$ model on quadrangulations

## Definition (rigid loop-decorated quadrangulation)

Let  $q$  be a quadrangulation with boundary. A *loop configuration* on  $q$  is a set of *disjoint simple closed paths* on the dual of  $q$  avoiding the external face. It is *rigid* if the loops always enter and exit from the *opposite sides* of a face.

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$$\mathcal{LQ}_p := \{(q, \ell) \mid q \in \mathcal{Q}_p, \ell \text{ is a rigid loop configuration on } q.\}$$

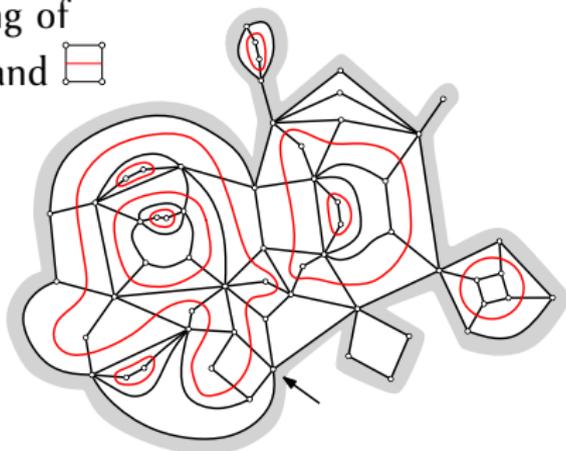
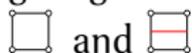
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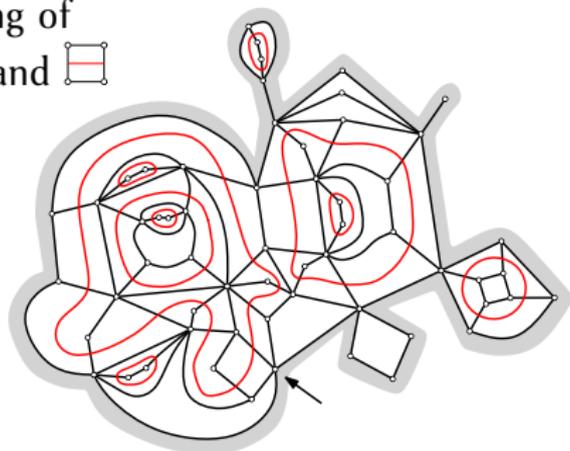
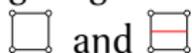
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$$\rightsquigarrow \mathbb{P}_{g,h,n}^{(12)}(\cdot) = \frac{g^8 h^{38} n^9}{F_{12}(g, h, n)}$$

# $O(n)$ model on quadrangulations

$$\mathbb{D} := [0, \infty) \times (0, \infty) \times (0, 2)$$

For  $(g, h, n) \in \mathbb{D}$ , let

$$F_p(g, h, n) := \sum_{(q, \ell) \in \mathcal{LQ}_p} g^{\# \square} h^{\# \square} n^{\# \text{red}}$$

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A triple  $(g, h, n)$  is *admissible* if  $F_p(g, h, n) < \infty$ . (This is independent of  $p$ ).

## Definition

Fix  $p \geq 1$ . For each admissible triple  $(g, h, n) \in \mathbb{D}$ , we define a probability distribution on  $\mathcal{LQ}_p$  by

$$\mathbb{P}_{g, h, n}^{(p)}(q, \ell) := \frac{g^{\#\square} h^{\#\square} n^{\#\text{red}} \text{red}}{F_p(g, h, n)}$$

# Results

## Theorem (Borot-Bouttier-Guitter '12)

Assume that  $(g, h, n) \in \mathbb{D}$  is admissible, then as  $p \rightarrow \infty$ ,

$$F_p(g, h, n) \sim C \cdot \gamma^{2p} p^{-a}$$

where  $C, \gamma > 0$  and  $a \in \{\frac{3}{2}, \frac{5}{2}, 2-b, 2+b\}$  with  $b = \frac{1}{\pi} \arccos(\frac{n}{2}) \in (0, \frac{1}{2})$ .

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$$\mathcal{D} := \{(g, h, n, \gamma) : (g, h, n) \in \mathbb{D}, 0 < \gamma \leq h^{-1/2}\}$$

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## Theorem (Borot-Bouttier-Guitter '12, C. '18)

There exist explicit functions  $\mathfrak{h}$ ,  $\mathfrak{f}$  and  $\mathfrak{g}$  defined respectively on  $\mathcal{D}$ ,  $\mathring{\mathcal{D}}$  and  $\mathbb{D}$  such that a triple  $(g, h, n) \in \mathbb{D}$  is admissible and ...

$a = 3/2$  if and only if  $\mathfrak{h}(g, h, n, \gamma) = 1$  and  $\mathfrak{f}(g, h, n, \gamma) > 0$  for some  $\gamma$ .

$a = 5/2$  if and only if  $\mathfrak{h}(g, h, n, \gamma) = 1$  and  $\mathfrak{f}(g, h, n, \gamma) = 0$  for some  $\gamma$ .

$a = 2 - b$  if and only if  $\mathfrak{h}(g, h, n, h^{-1/2}) = 1$  and  $\mathfrak{g}(g, h, n) > 0$ .

$a = 2 + b$  if and only if  $\mathfrak{h}(g, h, n, h^{-1/2}) = 1$  and  $\mathfrak{g}(g, h, n) = 0$ .

Moreover, the four cases are all non-empty.

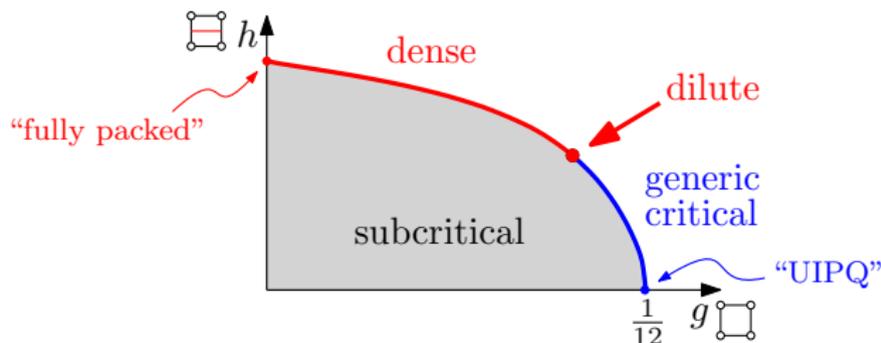
# Phase diagram

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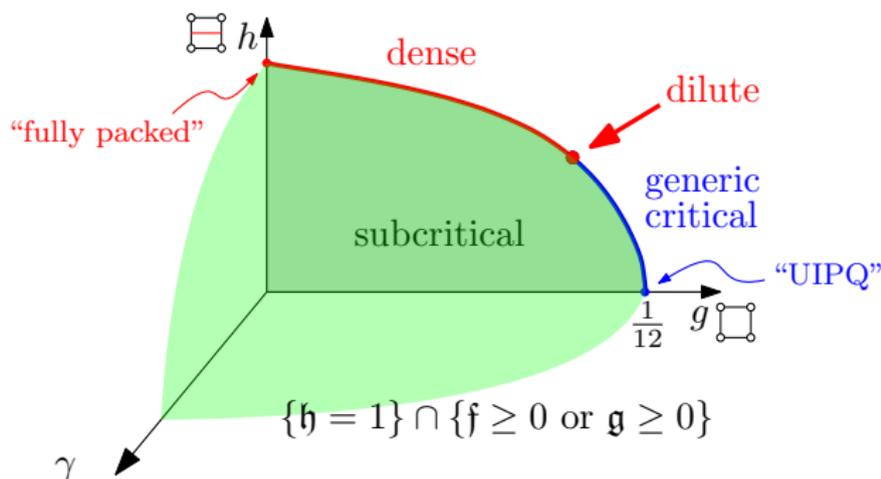
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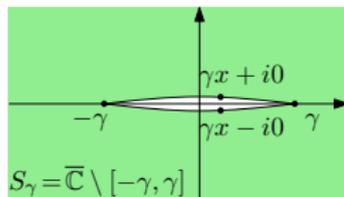
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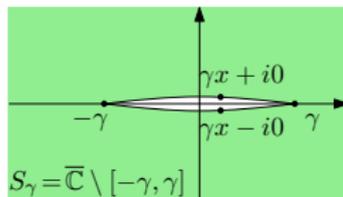
$$\mathcal{W}_{g,h,n}(x) := 1 + \sum_{k=1}^{\infty} F_k(g, h, n) x^{-2k} \quad (x \in \bar{S}_\gamma)$$

$$\rho_{\dots}(x) := \frac{\mathcal{W}_{\dots}(\gamma x - i0) - \mathcal{W}_{\dots}(\gamma x + i0)}{2\pi i x} \quad (x \in [-1, 1])$$



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### Proposition (Borot-Bouttier-Guitter '12) (Equation of resolvent)

- If  $(g, h, n) \in \mathbb{D}$  is admissible, then *there exists*  $\gamma \in (0, h^{-1/2}]$  such that the function  $\mathcal{W}(x) \equiv \mathcal{W}_{g,h,n}(x)$  satisfies

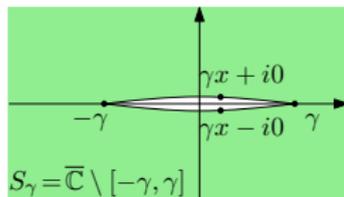
$$\begin{cases} \mathcal{W} \text{ is an even } \textit{holomorphic function on } \bar{S}_\gamma \text{ such that for all } x \in (-\gamma, \gamma), \\ \mathcal{W}(x - i0) + \mathcal{W}(x + i0) + n \mathcal{W}((hx)^{-1}) = n + x^2 - gx^4. \end{cases} (*)$$

Moreover,  $\rho_{g,h,n}$  is a *non-negative* continuous even function on  $[-1, 1]$ .

- *For any*  $(g, h, n) \in \mathbb{D}$  and  $\gamma \in (0, h^{-1/2}]$ ,  $(*)$  has a unique solution  $\mathcal{W}_{g,h,n}^{(\gamma)}$ .

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• *For any*  $(g, h, n) \in \mathbb{D}$  and  $\gamma \in (0, h^{-1/2}]$ ,  $(*)$  has a unique solution  $\mathcal{W}_{g,h,n}^{(\gamma)}$ .

Additional observations: •  $\mathcal{W}_{g,h,n}(\infty) = 1$ .

•  $\forall p, F_p(g, h, n) = \gamma^{2p} \int_{-1}^1 x^{2p} \rho_{g,h,n}(x) dx$ , so  $\rho_{g,h,n} \geq 0 \Rightarrow F_p(g, h, n) \geq 0$ .

Questions:

- How to show that a triple  $(g, h, n)$  is admissible ?
- How to characterize the  $\gamma$  in the combinatorial solution ?

$(g, h, n) \in \mathbb{D}, \gamma \in (0, h^{-1/2}]$ .

Proposition (analytic condition of admissibility.)

If  $\mathcal{W}_{g,h,n}^{(\gamma)}(\infty) = 1$  and  $\rho_{g,h,n}^{(\gamma)} \geq 0$ , then  $(g, h, n)$  is admissible and  $\mathcal{W}_{g,h,n}^{(\gamma)} = \mathcal{W}_{g,h,n}$

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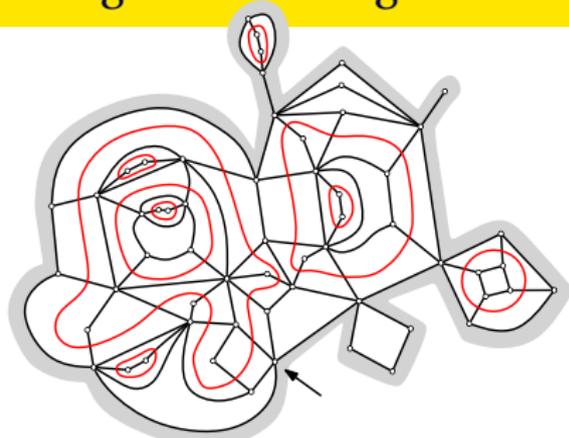
### Proposition (positivity bootstrap)

$\rho_{g,h,n}^{(\gamma)}(x) \geq 0$  for all  $x \in [-1, 1]$  if and only if  $\rho_{g,h,n}^{(\gamma)}(x) \geq 0$  for all  $x$  close to 1.  
More precisely,

- When  $\gamma < h^{-1/2}$ ,  $\rho_{g,h,n}^{(\gamma)}(x) = \mathbf{f}(g, h, n, \gamma) \cdot (1 - x^2)^{1/2} + O((1 - x)^{3/2})$ ,  
and  $\rho_{g,h,n}^{(\gamma)}(x) \geq 0$  for all  $x \in [-1, 1]$  if and only if  $\mathbf{f}(g, h, n, \gamma) \geq 0$ .
- When  $\gamma = h^{-1/2}$ ,  $\rho_{g,h,n}^{(\gamma)}(x) = \mathbf{g}(g, h, n) \cdot (1 - x^2)^{1-b} + O((1 - x)^{1+b})$ ,  
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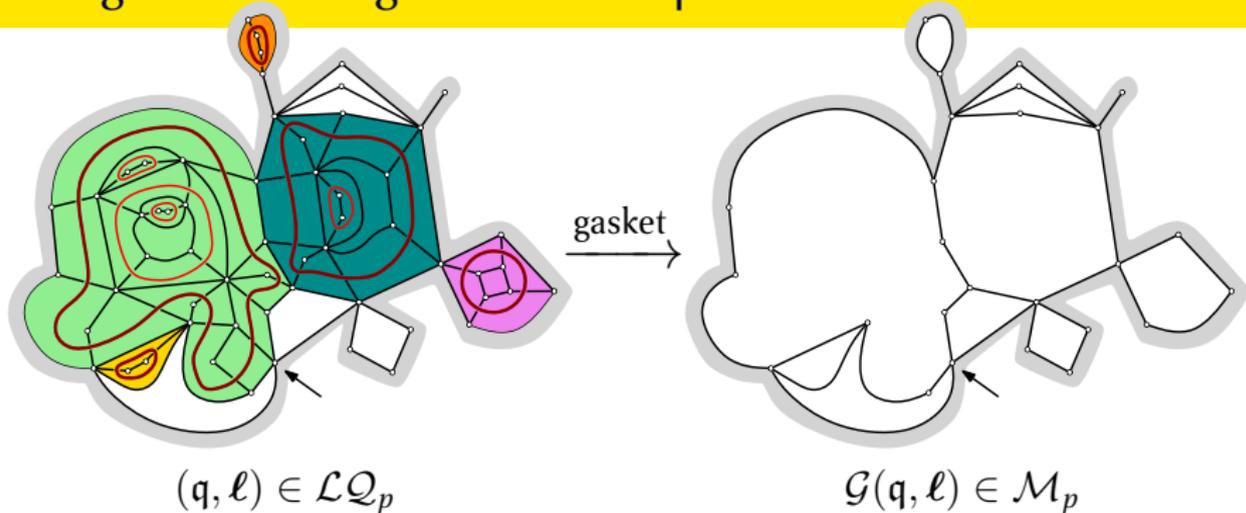
# Idea of Proof

# Background: the gasket decomposition

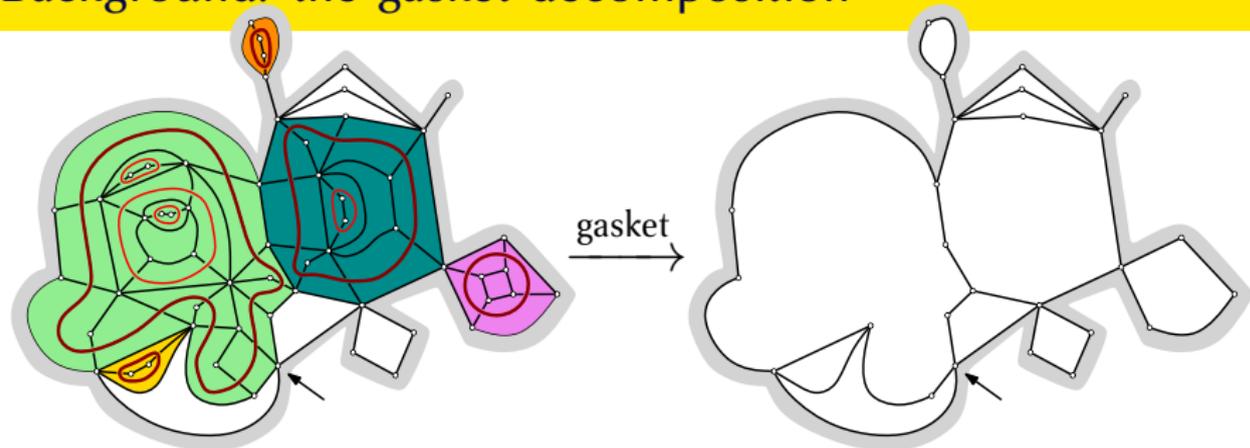


$$(q, \ell) \in \mathcal{LQ}_p$$

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$$(q, \ell) \in \mathcal{LQ}_p$$

$$\mathcal{G}(q, \ell) \in \mathcal{M}_p$$

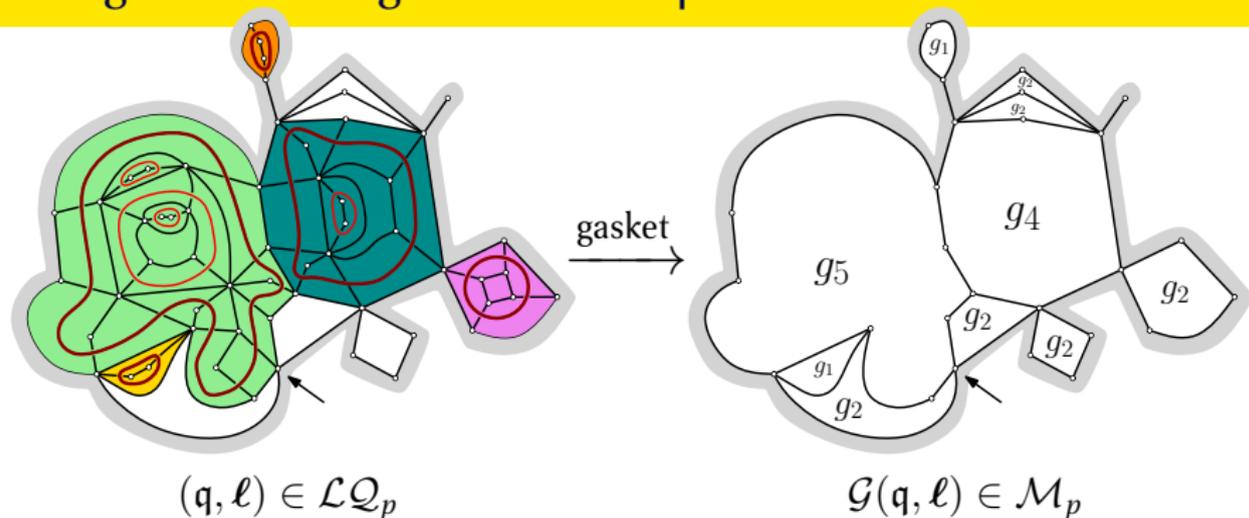
The gasket decomposition consists of:

- A mapping  $\mathcal{G} : \mathcal{LQ}_p \rightarrow \mathcal{M}_p := \{\text{bipartite maps of perimeter } 2p\}$ .
- For each  $\mathfrak{m} \in \mathcal{M}_p$ , a bijection

$$\mathcal{G}^{-1}(\mathfrak{m}) \leftrightarrow \mathcal{LQ}_{p_1} \times \mathcal{LQ}_{p_2} \times \cdots \times (\mathcal{LQ}_2 \cup \{\square\}) \times \cdots$$

where  $2p_1, 2p_2, \dots$  are the degrees of the faces of  $\mathfrak{m}$ .

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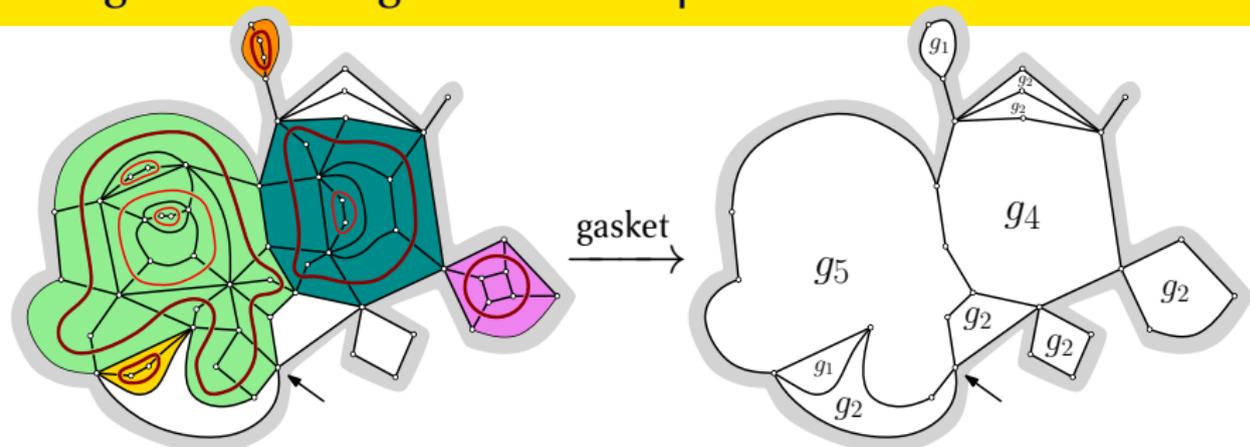
Consequences

- Fixed point equation

$$\begin{cases} F_p(g, h, n) = B_p(g_1, g_2, \dots) \\ g_k = g \delta_{k,2} + n h^{2k} F_k(g, h, n) \end{cases}$$

where  $B_p(g_1, g_2, \dots) = \sum_{m \in \mathcal{M}_p} \left( \prod_f g_{\frac{1}{2} \deg f} \right)$

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$$\mathcal{G}(q, \ell) \in \mathcal{M}_p$$

- Recursive sampling algorithm of an  $O(n)$ -quadrangulation
- $\rightsquigarrow$  Sample a bipartite map  $m$  with Boltzmann weights  $(g_1, g_2, \dots)$
- $\rightsquigarrow$  Fill each face with a “necklace” + an  $O(n)$ -quadrangulation
- $\rightsquigarrow$  Repeat

# Background: enumeration of bipartite maps

## Lemma (well-known results in disguise)

A sequence of weights  $(g_1, g_2, \dots)$  is admissible (i.e.  $B_k(g_1, g_2, \dots) < \infty, \forall k$ ) if and only if there exists  $\gamma > 0$  such that the (unique) solution of the system

$$\left\{ \begin{array}{l} \mathcal{W} \text{ is an even } \textit{holomorphic function on } \bar{S}_\gamma \text{ such that for all } x \in (-\gamma, \gamma), \\ \mathcal{W}(x - i0) + \mathcal{W}(x + i0) = x^2 - \sum_{k=1}^{\infty} g_k x^{2k}. \end{array} \right.$$

satisfies  $\mathcal{W}(\infty) = 1$  and  $\lim_{x \rightarrow 1^-} \frac{\rho(x)}{\sqrt{1-x^2}} \geq 0$ .

In this case,  $\mathcal{W}(x) = 1 + \sum_{k=1}^{\infty} B_k(g_1, g_2, \dots) x^{-2k}$ , and  $\rho$  is *non-negative* and continuous on  $[-1, 1]$ .

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*Assume that  $(g, h, n)$  is admissible*, then:

The fixed point equation  $g_k = g\delta_{k,2} + nh^{2k} B_p(g_1, g_2, \dots)$   
 $\Rightarrow \sum_{k=1}^{\infty} g_k x^{2k} = gx^4 + n(\mathcal{W}((hx)^{-1}) - 1)$  and  $\gamma \leq h^{-1/2}$ .

$\Rightarrow$  The equation of the resolvent for  $\mathcal{W}_{g,h,n}$ .

# Admissibility condition

Inversely, fix some  $(g, h, n)$  and assume that the equation of resolvent has a solution such that  $\mathcal{W}_{g,h,n}^{(\gamma)}(\infty) = 1$  and  $\rho_{g,h,n}^{(\gamma)}(x) \geq 0$  for all  $x \in [-1, 1]$ .  
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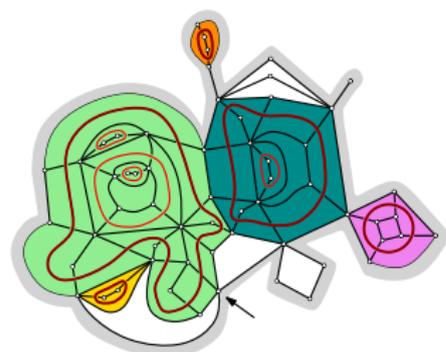
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$\rightsquigarrow$  Is  $(g, h, n)$  *admissible*? Yes: use the recursive algorithm from the gasket decomposition to sample an  $O(n)$ -quadrangulation of parameters  $(g, h, n)$ .



## Proposition (Budd '18+)

For any admissible weight sequence  $(g_k)_{k \geq 1}$  such that  $g_k = g\delta_{k,2} + nh^{2k}B_p(g_1, g_2, \dots)$  for some  $g, h, n \geq 0$ , the sampling algorithm almost surely stops.

(The *number of vertices* discovered by the sampling algorithm is bounded from above by some explicit super-martingale.)

# Positivity bootstrap

Lemma (Integral equation for the spectral density)

Let  $\tau = \gamma^2 h \in [0, 1]$ , then for all  $x \in [-1, 1]$ ,

$$-\frac{2\pi \rho_{g,h,n}^{(\gamma)}(x)}{\sqrt{1-x^2}} = -\gamma^2 + g\gamma^4(x^2 + 1/2) + n \int_{-1}^1 \frac{\tau^2 y^2}{1 - \tau^2 x^2 y^2} \frac{\rho_{g,h,n}^{(\gamma)}(y) dy}{\sqrt{1 - \tau^2 y^2}},$$

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Consequences:

- $x \mapsto \rho_{g,h,n}^{(\gamma)}/\sqrt{1-x^2}$  extends to an analytic function on  $[-\tau^{-2}, \tau^{-2}]$ .  
In particular, if  $\tau < 1$ , then  $f(g, h, n, \gamma) := \lim_{x \rightarrow 1^-} \rho_{g,h,n}^{(\gamma)}(x)/\sqrt{1-x^2}$  exists.
- $(x; g, h, n, \gamma) \mapsto \rho_{g,h,n}^{(\gamma)}/\sqrt{1-x^2}$  is continuous on this extended domain.
- $\rho_{g,h,n}^{(\gamma)} \geq 0$  on  $[-1, 1]$ , then  $\rho_{g,h,n}^{(\gamma)} > 0$  on  $(-1, 1)$ .

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Claim: For all  $h > 0$ ,  $n \in (0, 2)$ ,  $\gamma \in (0, h^{-1/2})$ , we have  $f(0, h, n, \gamma) > 0$ .

$\Rightarrow$  The set  $\{(g, h, n, \gamma) : f(g, h, n, \gamma) \geq 0\}$  is connected.

Thank you for your attention !