

# Approx-SVP in Ideal lattices with Pre-Processing

Alice Pellet-Mary, Guillaume Hanrot and Damien Stehlé

LIP, ENS de Lyon

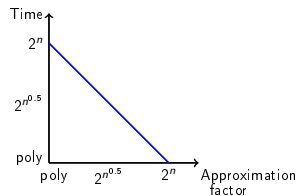
Journées C2 2018, October 8



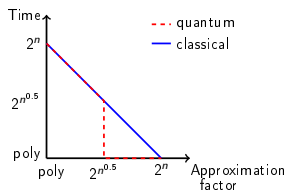
European Research Council  
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# What is this talk about

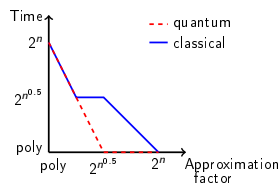
Time/Approximation factor trade-off for SVP in ideal lattices:



BKZ algorithm

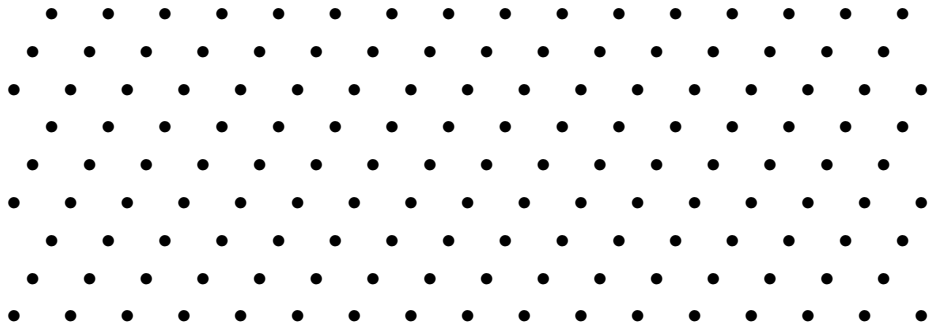


[CDPR16, CDW17]



This work  
(with  $2^{O(n)}$  pre-processing)

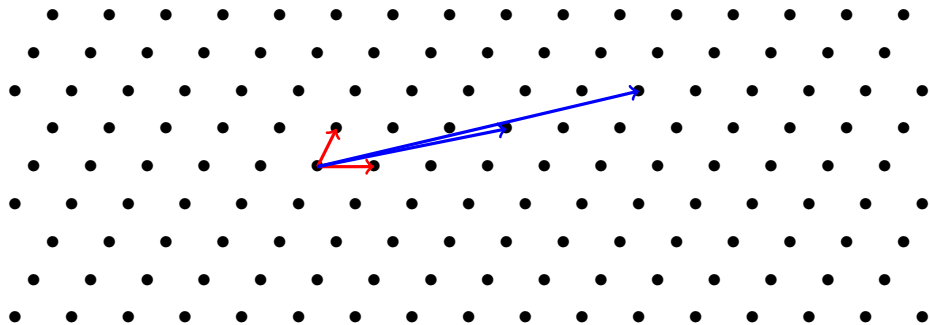
# Lattices



## Lattice

A lattice  $L$  is a discrete 'vector space' over  $\mathbb{Z}$ .

# Lattices



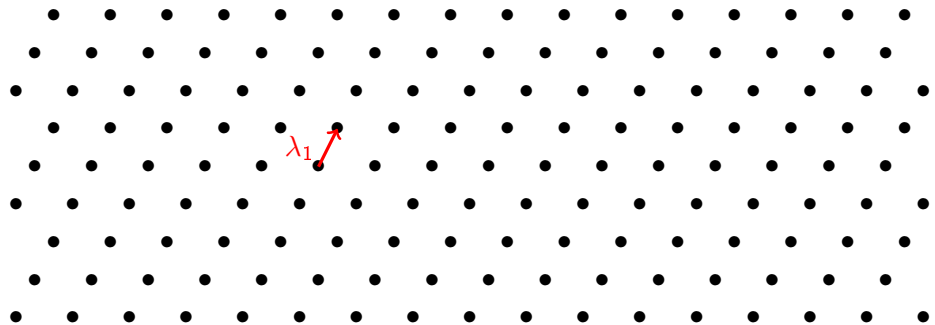
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A lattice  $L$  is a discrete 'vector space' over  $\mathbb{Z}$ .

A basis of  $L$  is an invertible matrix  $B$  such that  $L = \{Bx \mid x \in \mathbb{Z}^n\}$ .

$\begin{pmatrix} 3 & 1 \\ 0 & 2 \end{pmatrix}$  and  $\begin{pmatrix} 17 & 11 \\ 4 & 2 \end{pmatrix}$  are two bases of the above lattice.

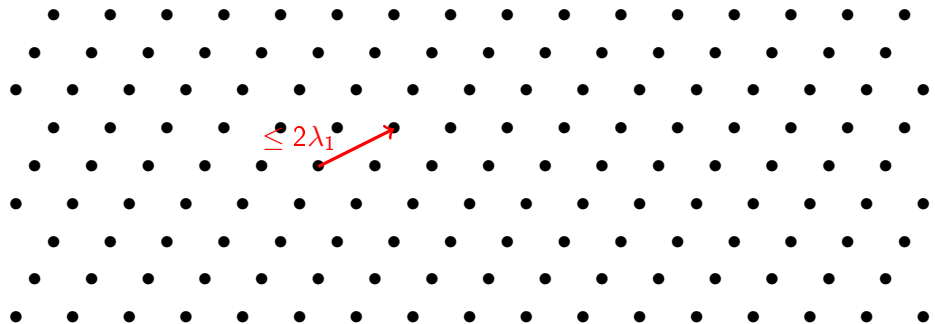
# Lattices



## Shortest Vector Problem (SVP)

Find a shortest (in Euclidean norm) non-zero vector.  
Its Euclidean norm is denoted  $\lambda_1$ .

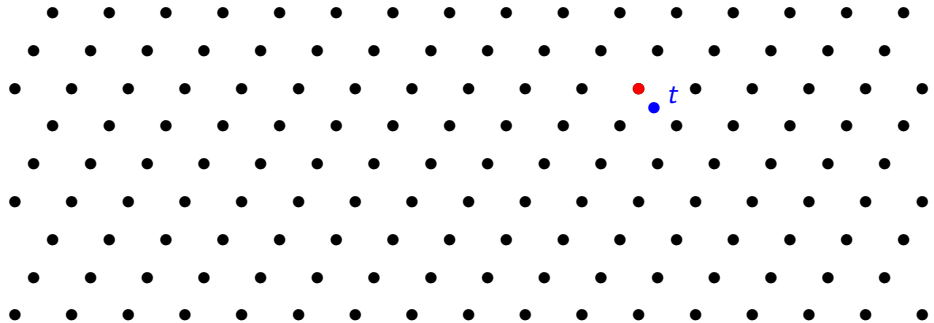
# Lattices



## Approximate Shortest Vector Problem (approx-SVP)

Find a short (in Euclidean norm) non-zero vector.  
(e.g. of norm  $\leq 2\lambda_1$ ).

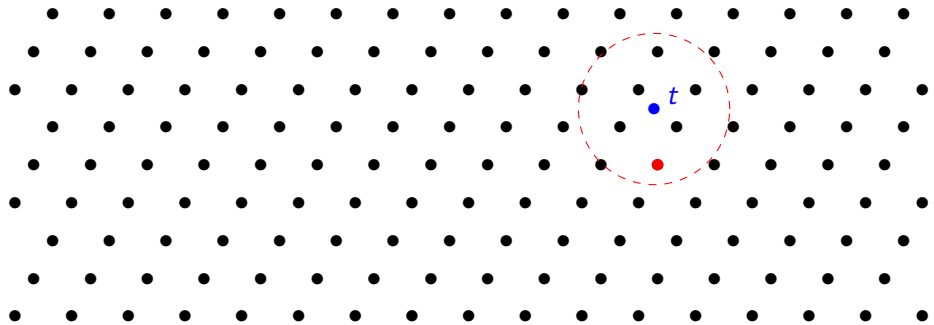
# Lattices



## Closest Vector Problem (CVP)

Given a target point  $t$ , find a point of the lattice closest to  $t$ .

# Lattices



## Approximate Closest Vector Problem (approx-CVP)

Given a target point  $t$ , find a point of the lattice close to  $t$ .



# Complexity of SVP/CVP

## Applications

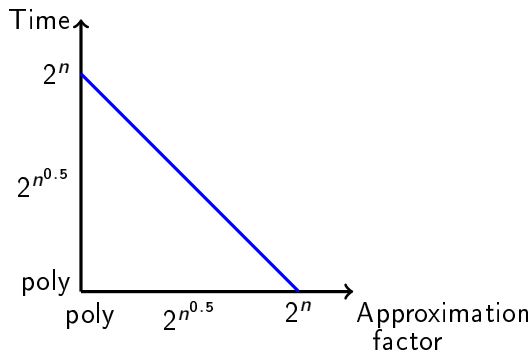
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Best Time/Approximation trade-off for general lattices: BKZ algorithm



# Structured lattices

Improve efficiency of lattice-based crypto using structured lattices.

⇒ E.g. ideal lattices

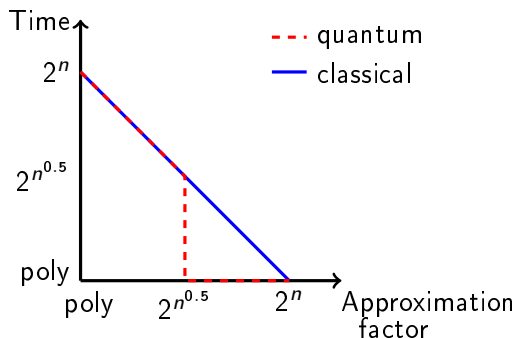
# Structured lattices

Improve efficiency of lattice-based crypto using structured lattices.  
⇒ E.g. ideal lattices

*Is approx-SVP still hard when restricted to ideal lattices?*

## SVP in ideal lattices

[CDPR16,CDW17]: Better than BKZ in the quantum setting



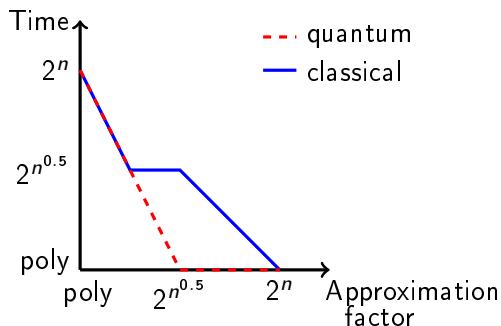
- Heuristic
- For prime power cyclotomic fields

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[CDPR16] R. Cramer, L. Ducas, C. Peikert and O. Regev. Recovering Short Generators of Principal Ideals in Cyclotomic Rings, Eurocrypt.

[CDW17] R. Cramer, L. Ducas, B. Wesolowski. Short Stickelberger Class Relations and Application to Ideal-SVP, Eurocrypt.

## This work



- Heuristic
- Pre-processing  $2^{O(n)}$ , independent of the choice of the ideal (non-uniform algorithm).

# Outline of the talk

1 Definitions and objective

2 The CDPR algorithm

3 This work

# First definitions

## Notation

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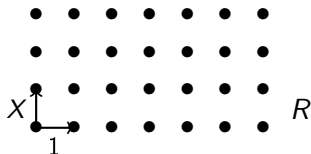
- Units:  $R^\times = \{a \in R \mid \exists b \in R, ab = 1\}$ 
  - ▶ e.g.  $\mathbb{Z}^\times = \{-1, 1\}$
- Principal ideals:  $\langle g \rangle = \{gr \mid r \in R\}$  (i.e. all multiples of  $g$ )
  - ▶ e.g.  $\langle 2 \rangle = \{\text{even numbers}\}$  in  $\mathbb{Z}$
  - ▶  $g$  is called a generator of  $\langle g \rangle$
  - ▶ The generators of  $\langle g \rangle$  are exactly the  $ug$  for  $u \in R^\times$

Why is  $\langle g \rangle$  a lattice?

$$R \simeq \mathbb{Z}^n$$

$$R = \mathbb{Z}[X]/(X^n + 1) \rightarrow \mathbb{Z}^n$$

$$r = r_0 + r_1X + \cdots + r_{n-1}X^{n-1} \mapsto (r_0, r_1, \dots, r_{n-1})$$



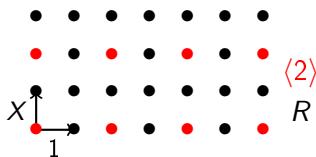
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$\langle g \rangle \subseteq R \simeq \mathbb{Z}^n$  + stable by '+' and '-'  $\Rightarrow$  lattice



# Objective of this talk

## Objective

Given a basis of a principal ideal  $\langle g \rangle$  and  $\alpha \in (0, 1]$ ,

Find  $r \in \langle g \rangle$  such that  $\|r\| \leq 2^{\tilde{O}(n^\alpha)} \cdot \lambda_1$ .

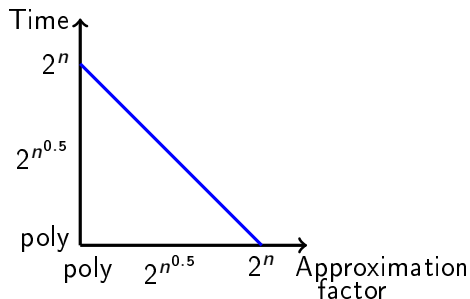
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BKZ algorithm can do it in time  $2^{O(n^{1-\alpha})}$ , can we do better?



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# Main idea of the CDPR algorithm (on an idea of [CGS14])

## Idea

Maybe  $g$  is a somehow small element of  $\langle g \rangle$

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[CGS14]: P. Campbell, M. Groves, and D. Shepherd. Soliloquy: A cautionary tale.

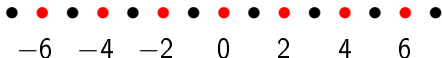


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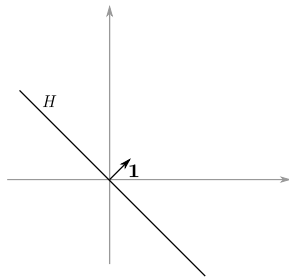


For larger  $n$ : one of the generators is somehow small

# The Log space

$\text{Log} : R \rightarrow \mathbb{R}^n$  (somehow generalising log to  $R$ )

Let  $\mathbf{1} = (1, \dots, 1)$  and  $H = \mathbf{1}^\perp$ .



# The Log space

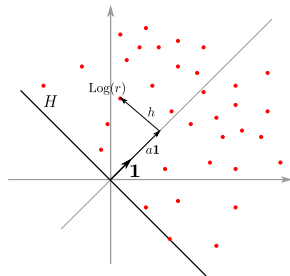
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$\text{Log } r = h + a\mathbf{1}$ , with  $h \in H$

- $a \geq 0$



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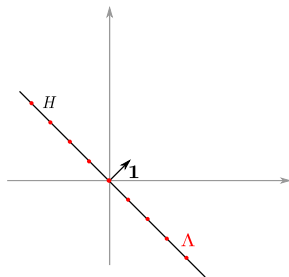
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- $\Lambda := \text{Log}(R^\times)$  is a lattice



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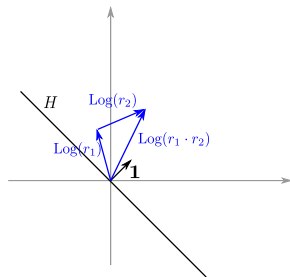
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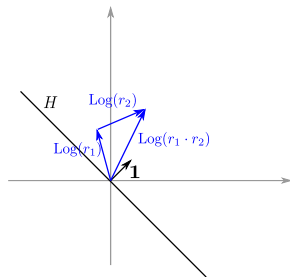
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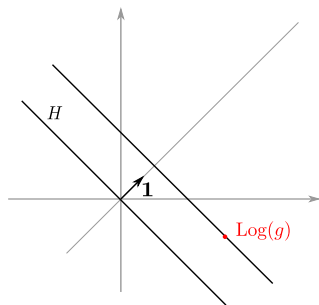
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- $\|r\| \simeq 2^{\|\text{Log } r\|_\infty}$



# The CDPR algorithm

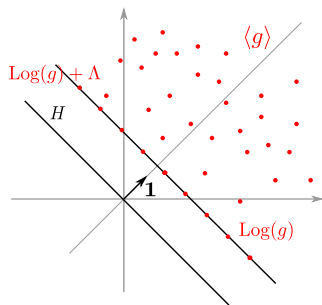
What does  $\text{Log}\langle g \rangle$  look like?





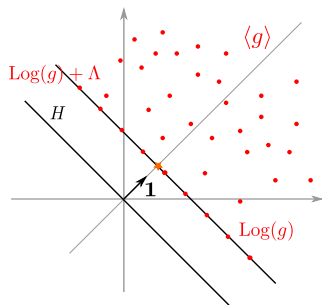
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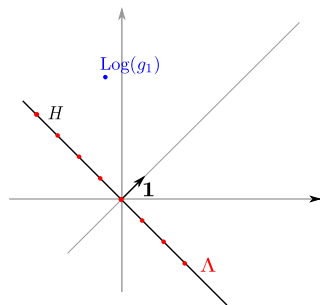
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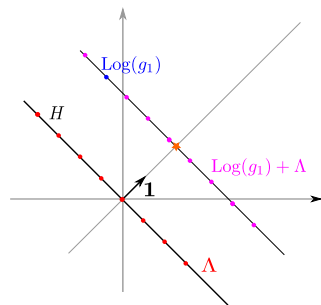
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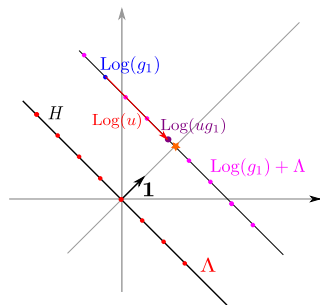
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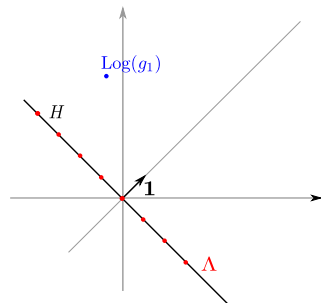
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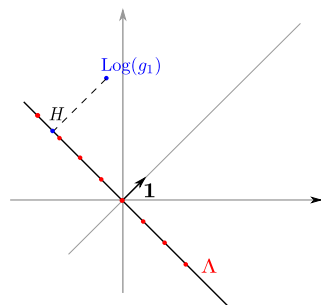
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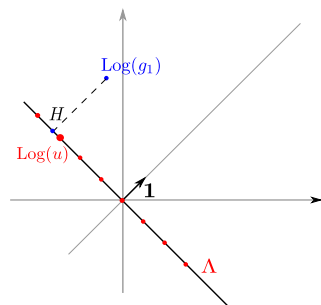
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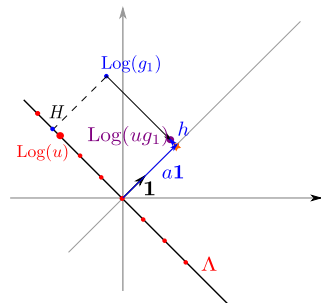
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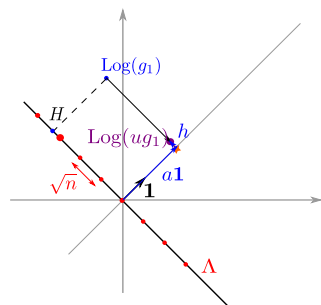
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    - $\Rightarrow \|h\| \leq \tilde{O}(\sqrt{n})$



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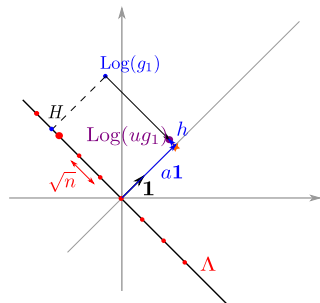
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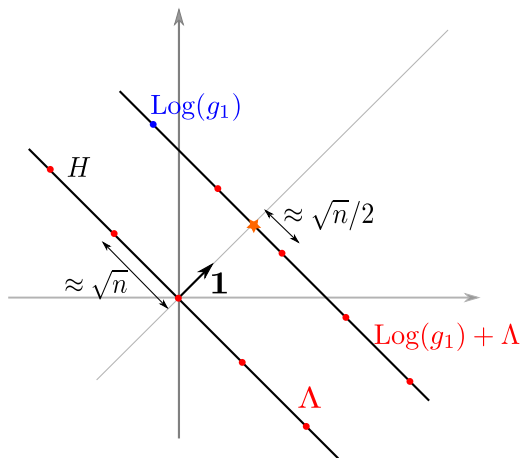
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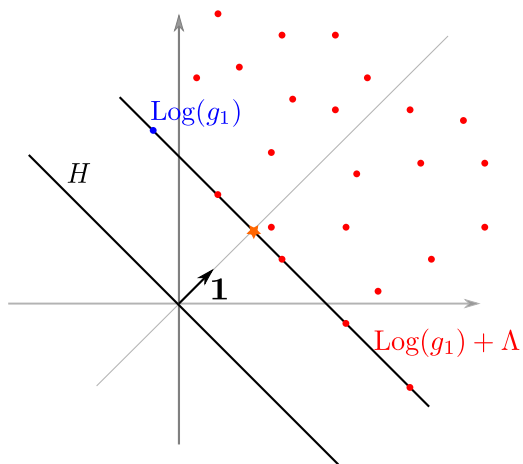
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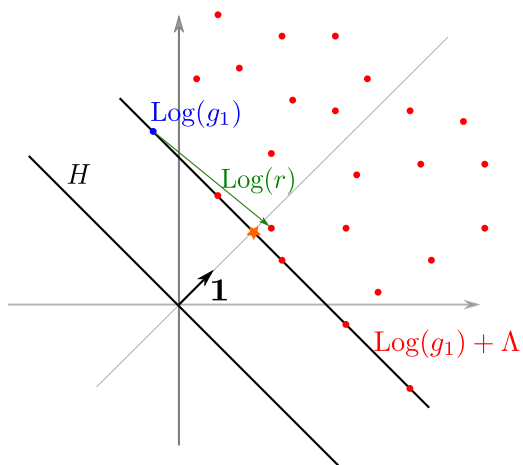
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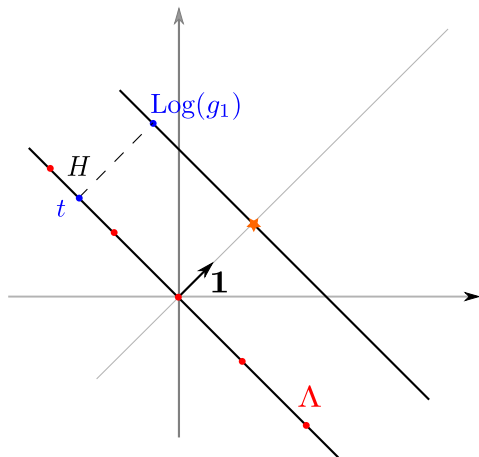
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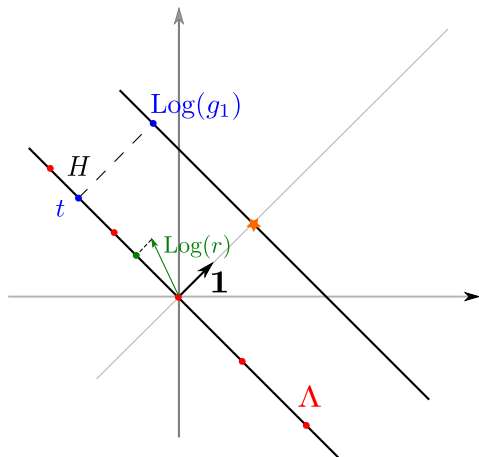


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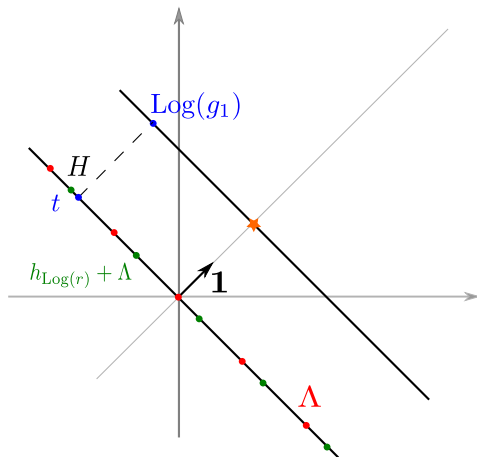




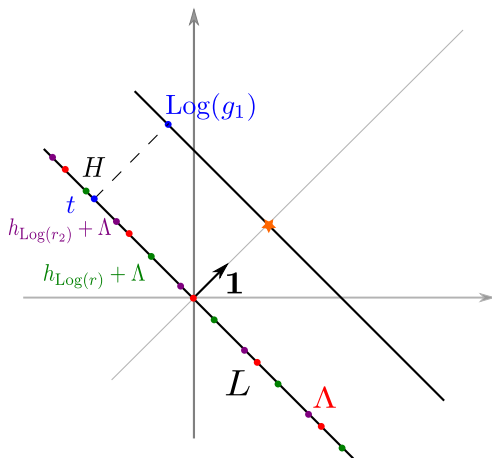
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## How to solve CVP in $L$ ?

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### Key observation

$L = \Lambda \cup \bigcup_i (h_{L \log r_i} + \Lambda)$  does not depend on  $\langle g \rangle$

## How to solve CVP in $L$ ?

CDPR	This work
Good basis of $\Lambda$	No good basis of $L$ known

### Key observation

$L = \Lambda \cup \bigcup_i (h_{L \log r_i} + \Lambda)$  does not depend on  $\langle g \rangle \Rightarrow$  Pre-processing on  $L$

## How to solve CVP in $L$ ?

CDPR	This work
Good basis of $\Lambda$	No good basis of $L$ known

### Key observation

$L = \Lambda \cup \bigcup_i (h_{L \log r_i} + \Lambda)$  does not depend on  $\langle g \rangle \Rightarrow$  Pre-processing on  $L$

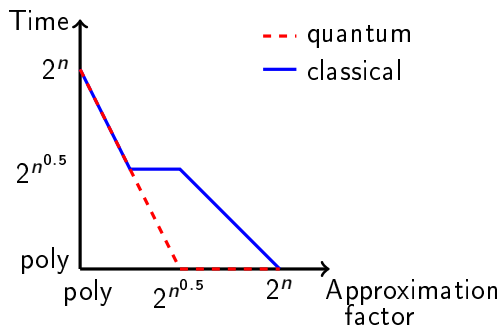
- [Laa16]:
- Find  $s \in L$  such that  $\|s - t\| = \tilde{O}(n^\alpha)$
  - Time:  $2^{\tilde{O}(n^{1-2\alpha})}$  (query)  
+  $2^{O(n)}$  (pre-processing)

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[Laa16] T. Laarhoven. Finding closest lattice vectors using approximate Voronoi cells. SAC.

## Conclusion

Approximation	Query time	Pre-processing
$2^{\tilde{O}(n^\alpha)}$	$2^{\tilde{O}(n^{1-2\alpha})} + (\text{poly}(n) \text{ or } 2^{\tilde{O}(\sqrt{n})})$	$2^{O(n)}$



$+2^{O(n)}$  Pre-processing / Non-uniform algorithm



# Extensions

- Non principal ideals ✓
- Generalization to other number fields ✓
- Removing the heuristics ?

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Questions?