# Logical Foundations of Programming Languages 

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LIP - ENS de Lyon

Course 05

## The Language PCF

## The Syntax of PCF

## Motivation.

- A simple functional language with general recursion.


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The Language of PCF.

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\begin{aligned}
& \tau, \sigma::=\text { nat } \mid \quad \sigma \rightarrow \tau \\
& t, u::= x|\lambda x: \sigma . t| t u\left|Y^{\sigma}\right| t+1|t-1| n \\
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- We assume an infinite set of variables $x, y, z, \ldots$
- We have one numeral $\underline{n}$ for each $n \in \mathbb{N}$.


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- $Y$ is the fixpoint combinator.


## Typing Rules.

- Adaptation of System T with

$$
\begin{gathered}
\overline{\Gamma \vdash Y^{\sigma}:(\sigma \rightarrow \sigma) \rightarrow \sigma} \quad \overline{\Gamma \vdash \underline{n}: \text { nat }}(n \in \mathbb{N}) \\
\frac{\Gamma \vdash t: \text { nat } \quad \Gamma \vdash u: \text { nat } \quad \Gamma \vdash v: \text { nat }}{\Gamma \vdash \text { if } t \text { then } u \text { else } v: \text { nat }} \quad \frac{\Gamma \vdash t: \text { nat }}{\Gamma \vdash t-1: \text { nat }}
\end{gathered}
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## Operational Semantics

Weak Head Reduction.

- Usual (weak) call-by-name evaluation.


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## Basic Rules.

| $\overline{(\lambda x: \sigma . t) u \triangleright t[u / x]}$ | $\overline{n+1} \triangleright \underline{n+1}$ | $\overline{n+1-1} \triangleright \underline{n}$ | $\overline{0}-1 \triangleright \underline{0}$ |
| :--- | :--- | :--- | :--- | :--- |
| if $\underline{0}$ then $u$ else $v \triangleright u$ | $\overline{\text { if } n+1 \text { then } u \text { else } v \triangleright v}$ | $\overline{Y t} \triangleright t(Y t)$ |  |

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## Congruence Rules.

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\begin{aligned}
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& t \triangleright u \\
& t v \triangleright v
\end{aligned} \frac{t \triangleright u}{t+1} \triangleright u+1 \quad \frac{t \triangleright u}{t-1} \triangleright u-1 \\
& \begin{array}{cl}
t \quad u \\
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## Substitution.

- If $\Gamma, x: \sigma \vdash t: \tau$ and $\Gamma \vdash u: \sigma$ then $\Gamma \vdash t[u / x]: \tau$.


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Subject Reduction.

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t \triangleright u \\
t v \triangleright u v
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if $\underline{0}$ then $u$ else $v \triangleright u \quad$ if $\underline{n+1}$ then $u$ else $v \triangleright v \quad \overline{Y t \triangleright t(Y t)}$ Congruence Rules.

$$
\begin{gathered}
\frac{t}{t} \frac{t}{t v} \triangleright u v \\
t+1 \triangleright u+1
\end{gathered} \frac{t \triangleright u}{t-1 \triangleright u-1}
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Normal Forms of Type nat.

- If $\vdash t$ : nat with $t$ in normal form w.r.t. $\triangleright$, then $t=\underline{n}$ for some $n \in \mathbb{N}$.


## Examples

( $\triangleright^{*}$ is the reflexive transitive closure of $\triangleright$ and $\triangleright^{+}$is the transitive closure of $\triangleright$.)

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- For each type $\sigma$ we have

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\frac{\Gamma \vdash t: \text { nat } \quad \Gamma \vdash u: \sigma \quad \Gamma \vdash v: \sigma}{\Gamma \vdash(\text { if } t \text { then } u \text { else } v)_{\sigma}: \sigma}
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where

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## Divergence.

- For each type $\sigma$ we let

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\Omega^{\sigma}:=Y^{\sigma}(\lambda x: \sigma \cdot x)
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so that $\Omega \triangleright^{+} \Omega \triangleright^{+} \ldots$.

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## Addition.

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where $\quad$| add | $:=$ | $Y$ add_rec |
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| add_rec | $:=$ | $\lambda f . \lambda x . \lambda y$. if $x$ then $y$ else $(f(x-1) y)+1$ |

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- Note that

$$
\begin{array}{lll}
\operatorname{add} \underline{0} u & \triangleright^{*} & u \\
\operatorname{add} \underline{n}+1 u & \triangleright^{*} & (\text { add } \underline{n+1-1} u)+1
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## Toward a Denotational Semantics for PCF

## Sets with Divergence.

- Because of $\Omega^{\text {nat }}$, we let

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\llbracket \text { nat } \rrbracket:=\mathbb{N} \cup\{\perp\}
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## A Set-Theoretic Partial Interpretation.

- We can get for each type $\tau$ a set $\llbracket \tau \rrbracket$ with

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## Difficulty:

$$
\llbracket Y^{\sigma} \rrbracket:(\llbracket \sigma \rrbracket \rightarrow \llbracket \sigma \rrbracket) \longrightarrow \llbracket \sigma \rrbracket
$$

## Toward an Interpretation of the Fixpoint Combinator

- For each type $\sigma$, a natural candidate for $\llbracket \Omega^{\sigma} \rrbracket$ is $\perp_{\sigma}$, where

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- We obtain, for $k, m \in \mathbb{N}$ and $n>0$ :

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\left(\llbracket \text { add_rec } \rrbracket^{n} \perp\right) k m= \begin{cases}k+m & \text { if } k<n \\ \perp & \text { otherwise }\end{cases}
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## Idea:

- $a \in \llbracket \tau \rrbracket$ is "more defined" than $\perp_{\tau} \in \llbracket \tau \rrbracket$.


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&= \\
& \text { if else }(\text { if } a-1 \text { then } b \text { else } \Omega)+1 \rrbracket
\end{aligned}
$$

- We obtain, for $k, m \in \mathbb{N}$ and $n>0$ :

$$
\left(\llbracket \text { add_rec } \rrbracket^{n} \perp\right) k m= \begin{cases}k+m & \text { if } k<n \\ \perp & \text { otherwise }\end{cases}
$$

- This suggests

$$
\llbracket \operatorname{add} \rrbracket a b=\llbracket Y \text { add_rec } \rrbracket a b:=\quad \bigvee\left(\llbracket \text { add_rec } \rrbracket^{n} \perp\right) a b
$$

## Idea:

- $a \in \llbracket \tau \rrbracket$ is "more defined" than $\perp_{\tau} \in \llbracket \tau \rrbracket$.
- ( $\llbracket$ add_rec $\rrbracket^{n+1} \perp$ ) is "more defined" than $\left(\llbracket\right.$ add_rec $\left.\rrbracket^{n} \perp\right)$.


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Define $\sqsubseteq_{\tau}$ by induction on $\tau$ :

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## Consequences.

(a) It seems reasonable to ask for $\perp \sqsubseteq f(\perp) \sqsubseteq \ldots \sqsubseteq f^{n}(\perp) \sqsubseteq \ldots$ which follows from requiring functions to be monotone.
(b) We will moreover require a form of continuity.

