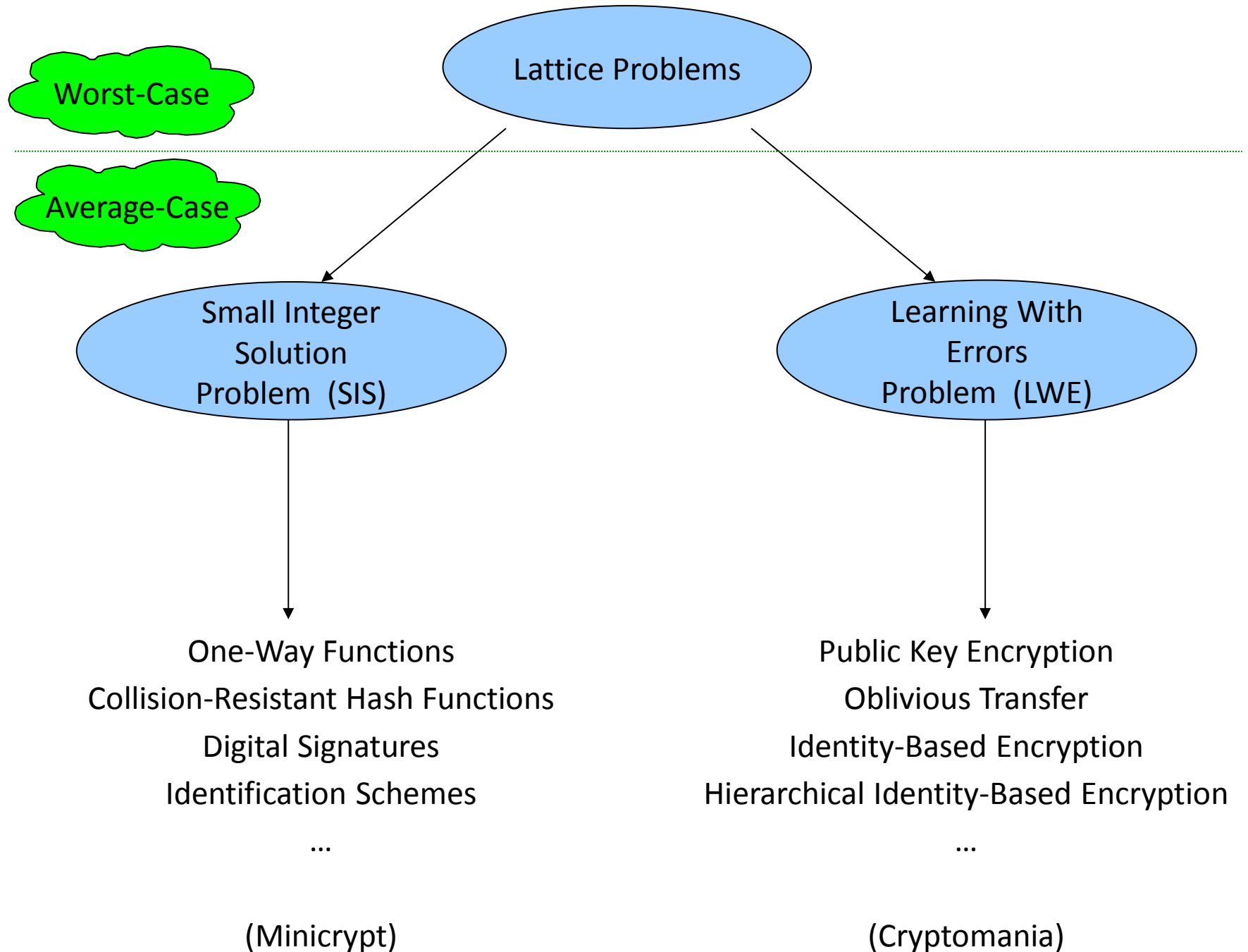
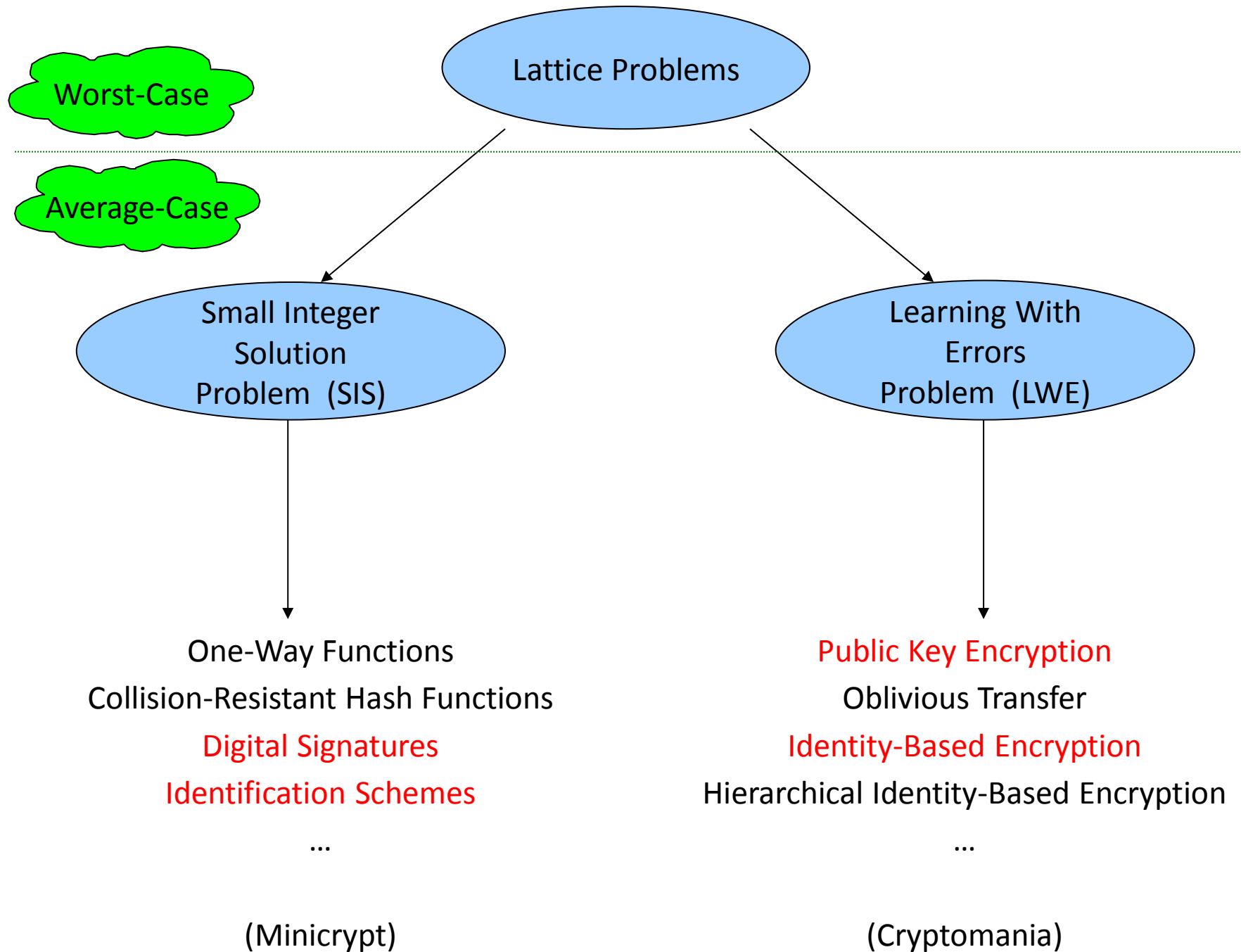


Lattice-Based Cryptography

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March 20, 2013





LEARNING WITH ERRORS PROBLEM

Learning With Errors (LWE) Problem

There is a secret vector s in \mathbb{Z}_p^n (we'll use \mathbb{Z}_{17}^4 as a running example)

An oracle (who knows s) generates a random vector a in \mathbb{Z}_p^n

and

“small” noise element e in \mathbb{Z}

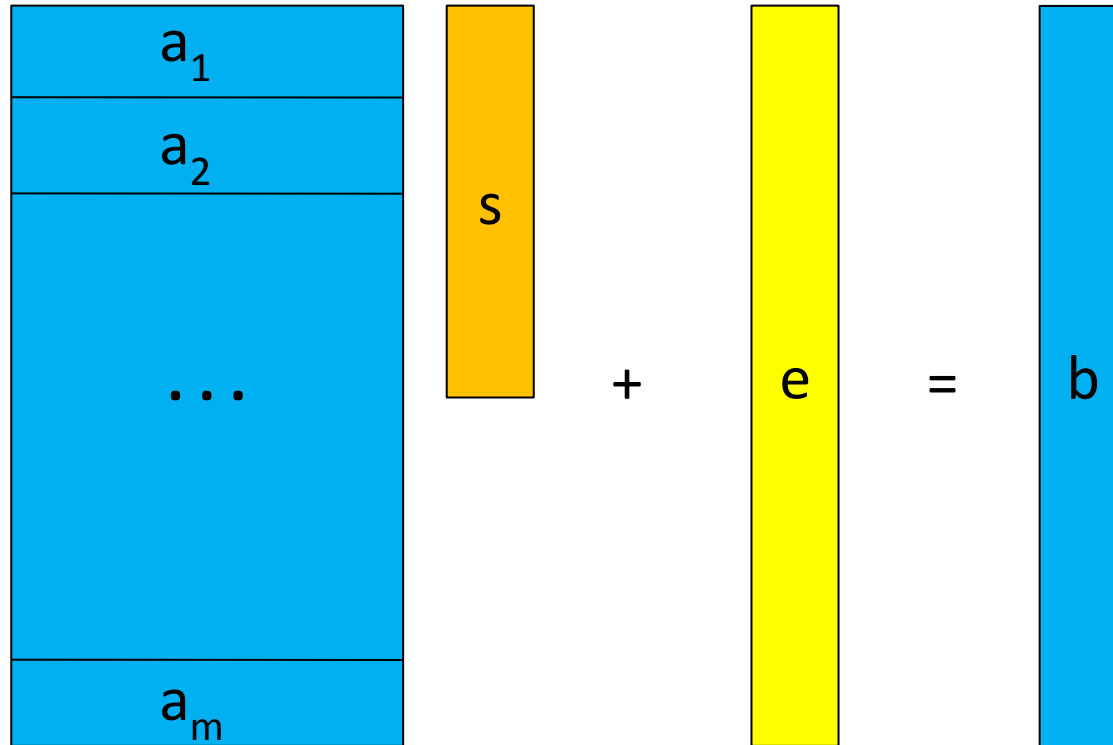
The oracle outputs $(a, b = \langle a, s \rangle + e \pmod{17})$

2	13	7	3	*	8	+	1	=	13
4	7	9	1		3		-1		12
6	14	5	11		12		2		3
					5				

This procedure is repeated with the same s and fresh a and e

Our task is to find s

Learning With Errors (LWE) Problem

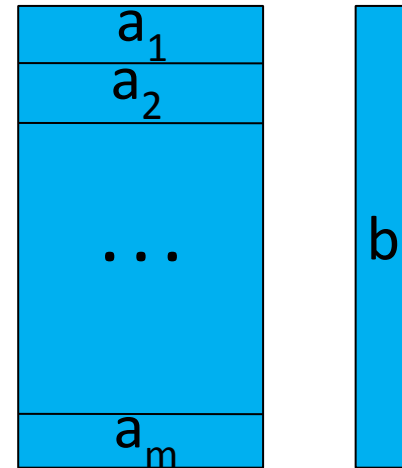
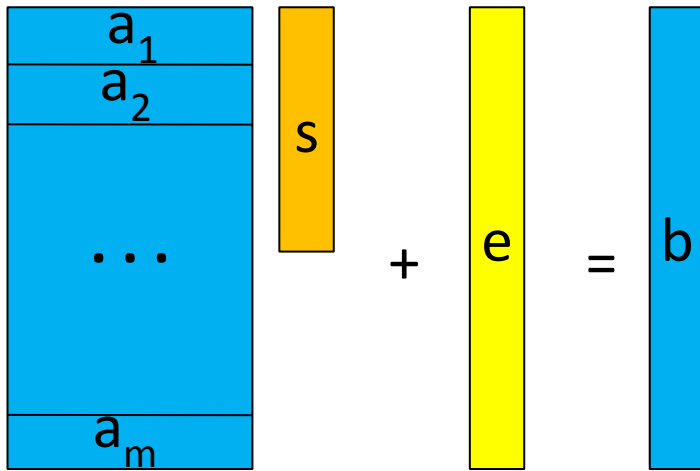


Once there are enough a_i , the s is uniquely determined

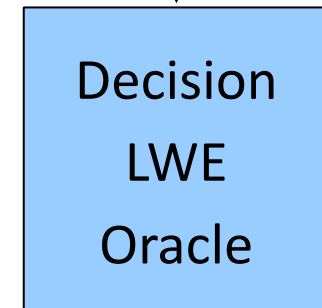
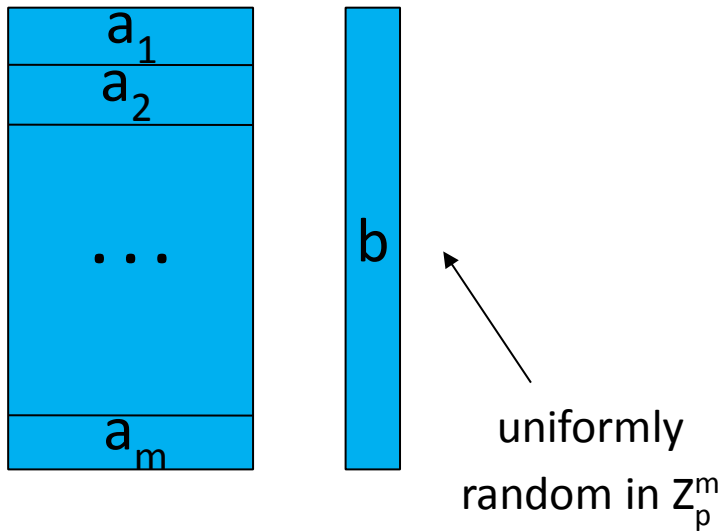
Theorem [Regev '05] : There is a polynomial-time quantum reduction from solving certain lattice problems in the worst-case to solving LWE.

Decision LWE Problem

World 1



World 2



I am in World 1 (or 2)

Search LWE < Decision LWE

Use the Decision oracle to figure out the coefficients of s one at a time

Let g be our guess for the first coefficient of s

Repeat the following:

Receive LWE pair (a, b)

$$\underbrace{\begin{bmatrix} 2 & 13 & 7 & 3 \end{bmatrix}}_a * \begin{bmatrix} 8 \\ 3 \\ 12 \\ 5 \end{bmatrix} + \begin{bmatrix} 1 \end{bmatrix} = \underbrace{\begin{bmatrix} 13 \end{bmatrix}}_b$$

Pick random r in \mathbb{Z}_{17}

Send sample below to the Decision Oracle

$$\begin{bmatrix} 2+r & 13 & 7 & 3 \end{bmatrix}$$

$$\begin{bmatrix} 13+rg \end{bmatrix}$$

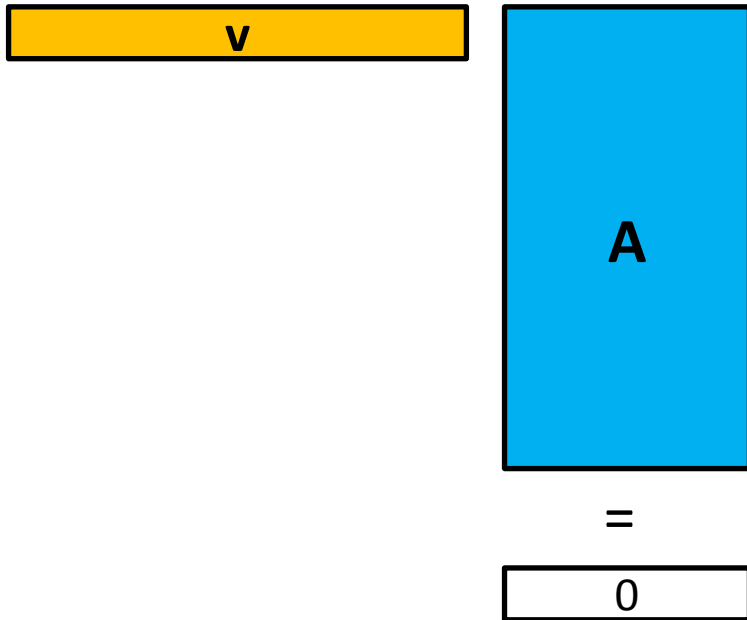
If g is right, then we are sending a distribution from World 1

If g is wrong, then we are sending a distribution from World 2

We will find the right g in $O(p)$ time

Use the same idea to recover all coefficients of s one at a time

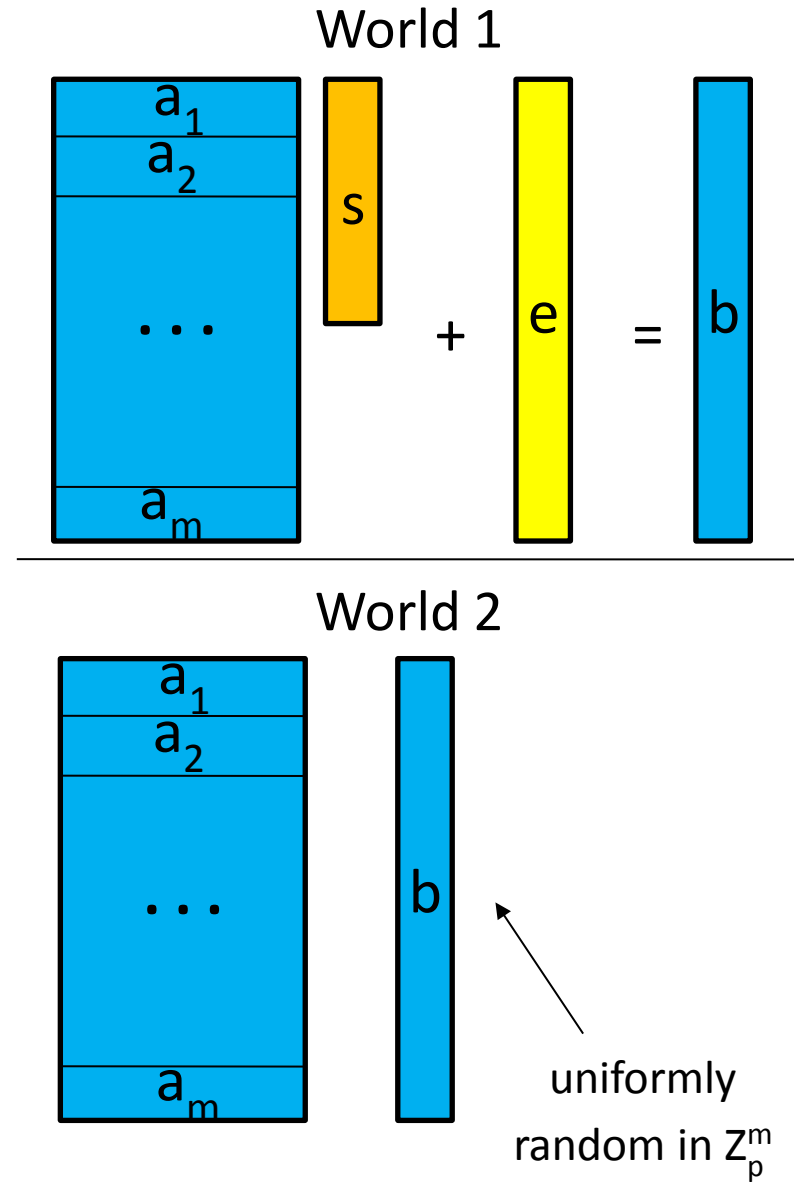
LWE and Lattices



$$\text{Lattice } L_p^\perp(\mathbf{A}) = \{ \mathbf{y} : \mathbf{yA} = \mathbf{0} \pmod{p} \}$$

Find a short vector \mathbf{v} in $L_p^\perp(\mathbf{A})$.

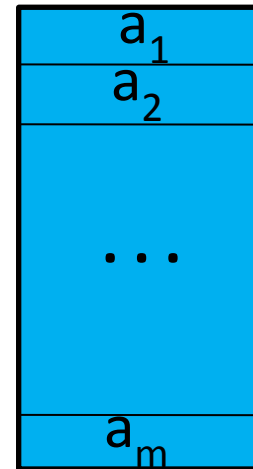
Called the **Small Independent Solution (SIS)** problem



Decision $\text{LWE} < \text{SIS}$



$= \langle v, e \rangle = \text{small}$



World 1



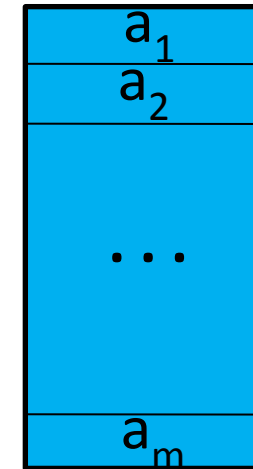
+



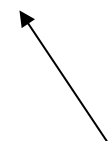
=



$= \text{uniform mod } p$



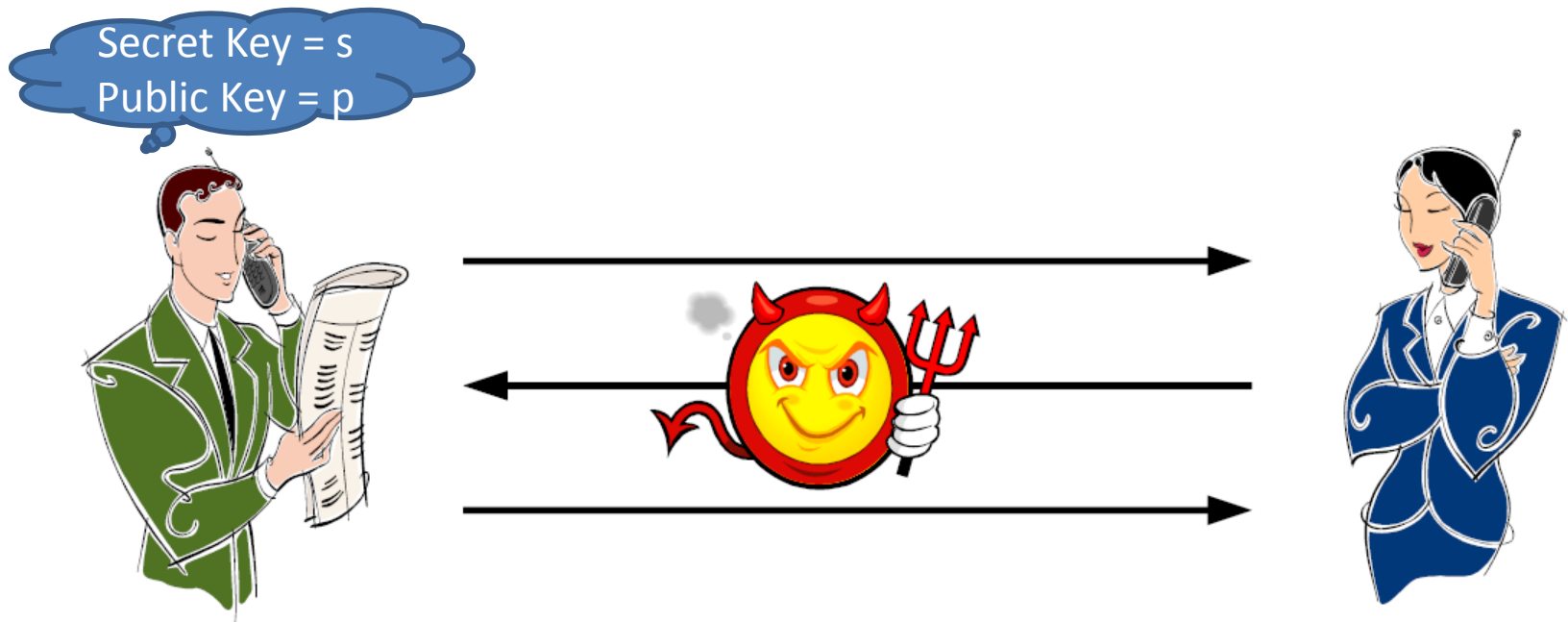
World 2



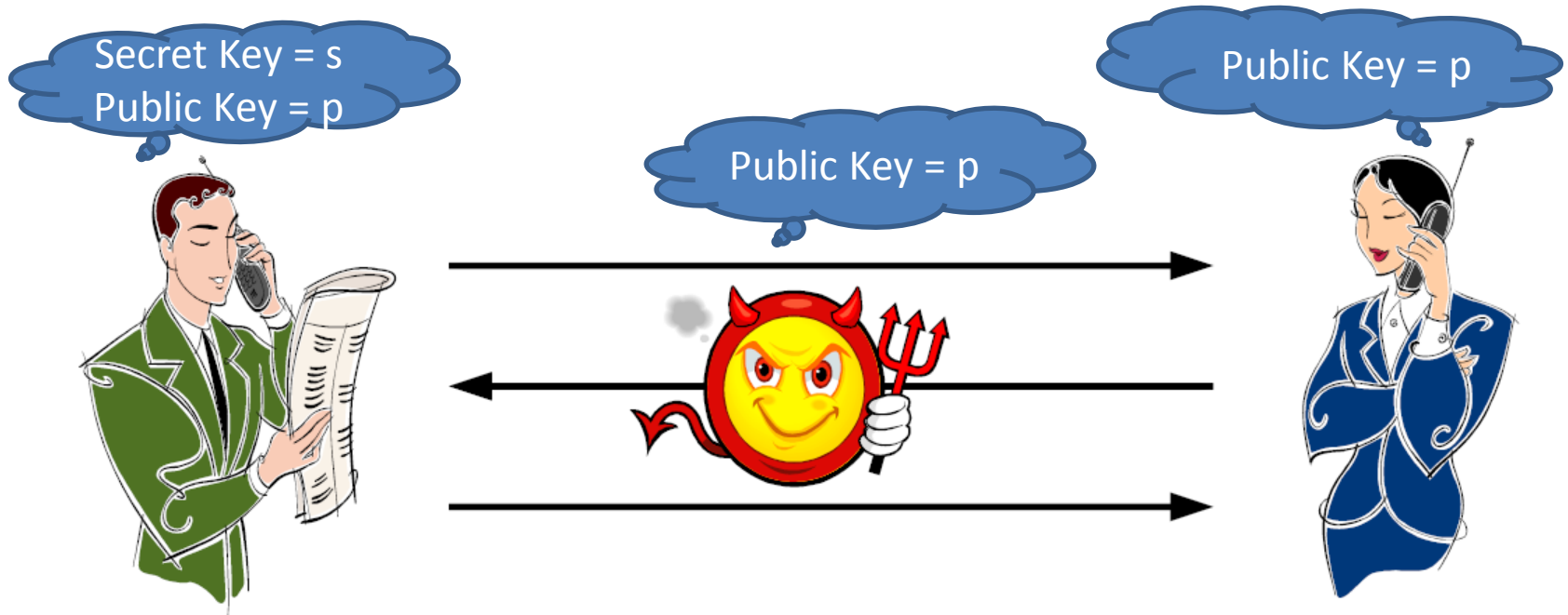
uniformly
random in \mathbb{Z}_p^m

PUBLIC KEY ENCRYPTION FROM LWE

Public-Key Cryptography



Public-Key Cryptography



Public Key Encryption

- $(sk, pk) \leftarrow \text{KeyGen}(1^n)$
- $c = \text{Enc}(pk, m)$
- $m = \text{Dec}(sk, c)$

- Correctness: $\text{Dec}(sk, \text{Enc}(pk, m)) = m$
- CPA-Security: $\text{Enc}(pk, m_i)$ are **computationally indistinguishable** from each other

“Computationally Indistinguishable”

DX

X_1

X_2

...

X_k

...

?
=

D?

Z_1

Z_2

...

Z_k

...

?
=

DY

Y_1

Y_2

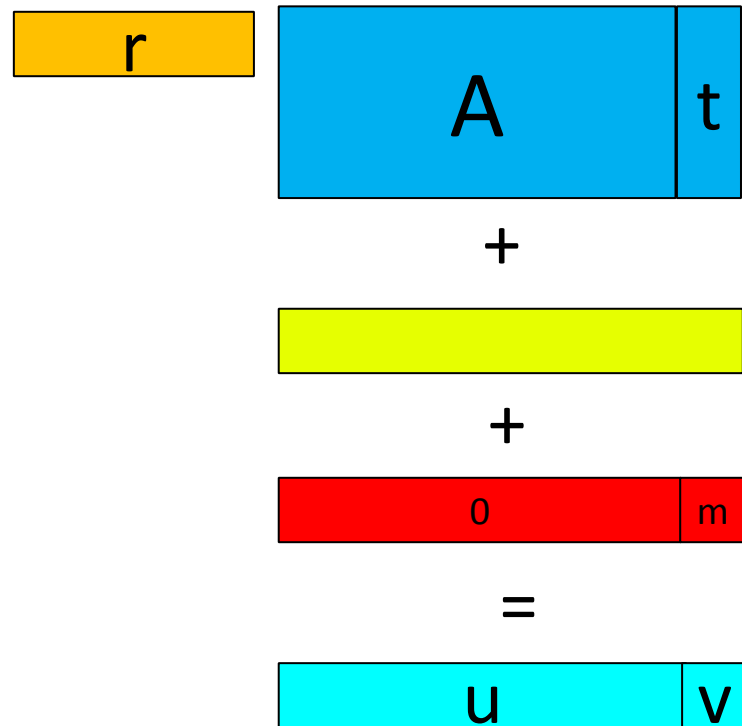
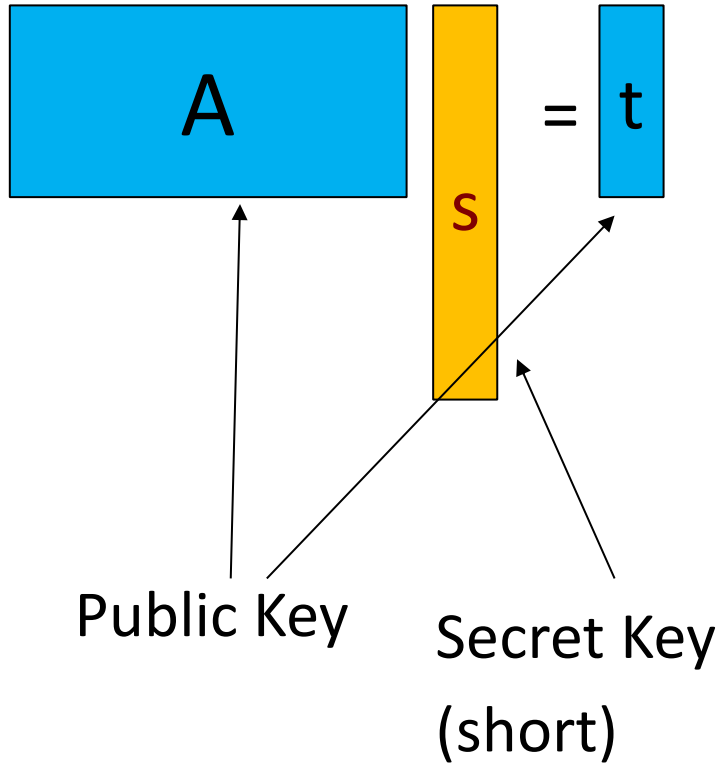
...

Y_k

...



“Dual” Cryptosystem



“Dual” Cryptosystem

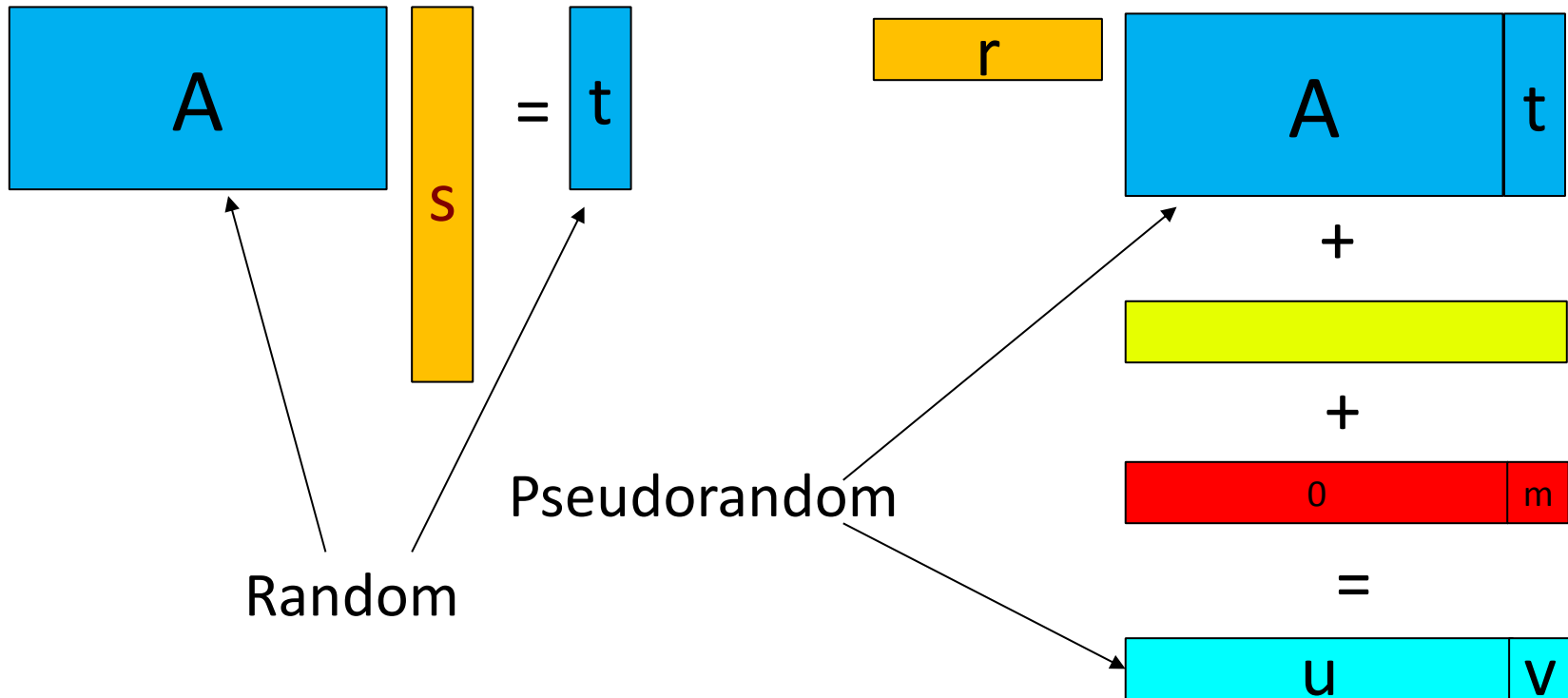
$$A \cdot s = t$$

$$r \cdot \begin{bmatrix} A & t \end{bmatrix} + \text{yellow bar} + \begin{bmatrix} 0 & m \end{bmatrix} = \begin{bmatrix} u & v \end{bmatrix}$$

$$v - u \cdot s = \text{yellow box} + m$$

represent 0 by $m=0$
 represent 1 by $m=(q-1)/2$

“Dual” Cryptosystem Security

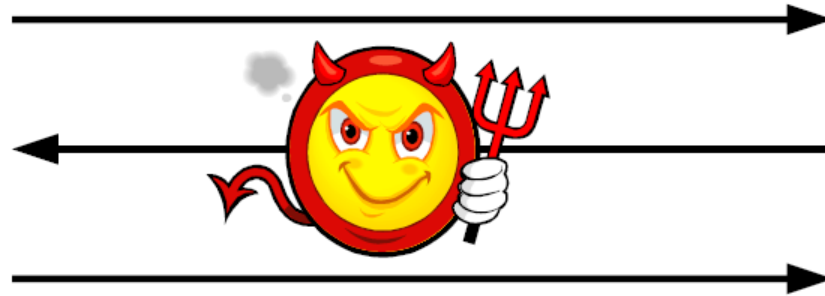


IDENTITY-BASED ENCRYPTION

Identity-Based Encryption

Key Authority
Master Public Key
Master Secret Key

Secret Key = s
Public Key = Bob



Identity-Based Encryption

Key Authority
Master Public Key
Master Secret Key



Secret Key = s_{Bob}
Public Key = Bob



Secret Key = s_{Chris}
Public Key = Chris



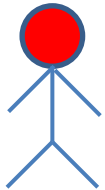
Secret Key = s_{Dave}
Public Key = Dave

Encrypt(Chris,msg)



Security for IBE

Key Authority
Master Public Key
Master Secret Key



Secret Key = s_{Bob}
Public Key = Bob

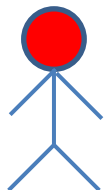


Secret Key = s_{Chris}
Public Key = Chris

Encrypt(Chris,msg)

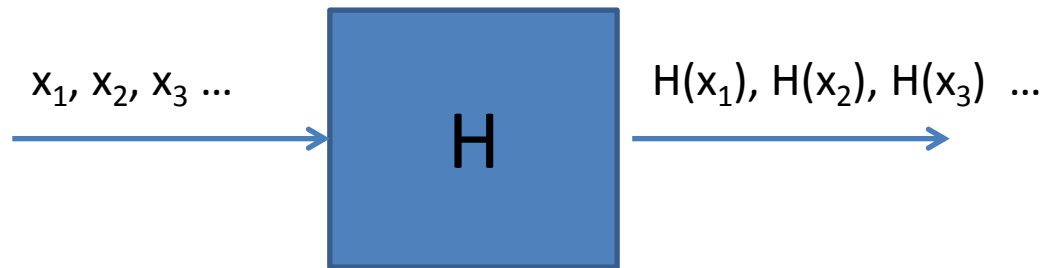


CPA-Security: For all m_i , $\text{Encrypt}(\text{Chris}, m_i)$ are **computationally indistinguishable** from each other



Secret Key = s_{Dave}
Public Key = Dave

IBE based on LWE (in the Random Oracle Model)



$(x_1, H(x_1)), (x_2, H(x_2)), (x_3, H(x_3)), \dots$
is computationally indistinguishable from $(x_1, u_1), (x_2, u_2), (x_3, u_3), \dots$

Security in the Random Oracle Model:

There is a “pseudorandom function” H

Prove security assuming that everyone only has “black box access” to H

In reality, H is replaced by a “cryptographic hash function” (e.g. SHA-256)

If the real scheme is insecure, then there is something wrong
with the hash function

Security Proofs Using a Random Oracle

Adversary cannot access H directly

He must ask us (i.e. the reduction) for $H(z)$

We pick a random y and output $y=H(z)$

What's the point?

Suppose f is a 1-way function and $f(x)$ is uniform for random x

For a random y , it's hard to find an x such that $f(x)=y$

But, for any z , we can *simulate* knowing an x such that $f(x)=H(z)$

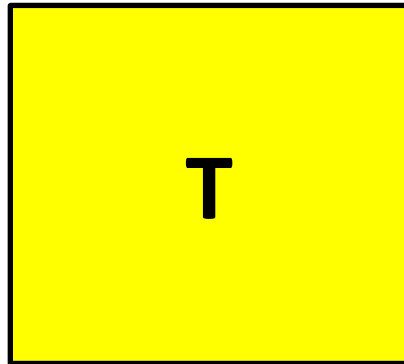
Given z , we pick a random x , compute $y=f(x)$, and *program* $y=H(z)$

So $f(x)=H(z)$ and all the distributions are as they should be

IBE Based on LWE

$$\mathbf{A} \mathbf{s} = \mathbf{b}$$

Lattice $L_p^\perp(\mathbf{A}) = \{ \mathbf{y} : \mathbf{A}\mathbf{y} = \mathbf{0} \pmod{p} \}$



\mathbf{T} is a basis for $L_p^\perp(\mathbf{A})$ and has “short” vectors

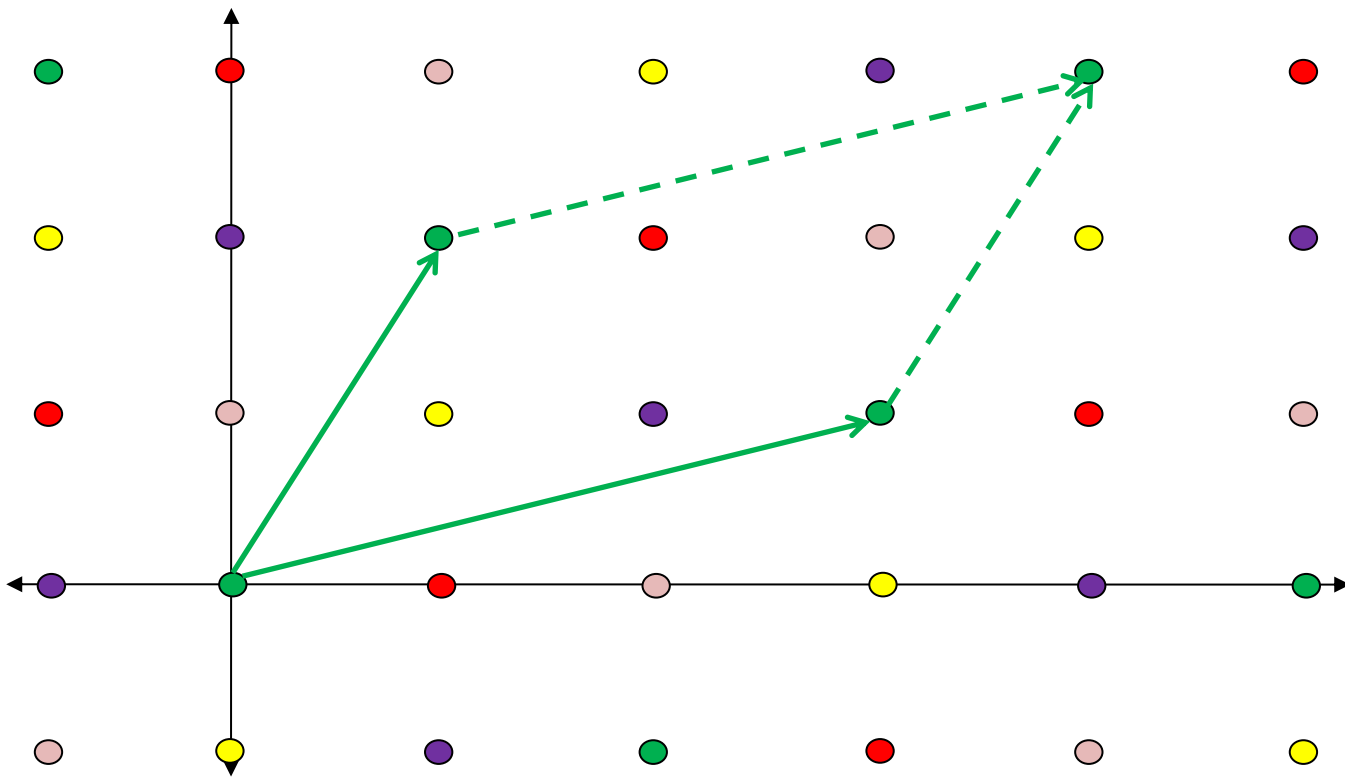
Master Public Key: \mathbf{A}
Master Secret Key: \mathbf{T}

Identity = “Bob”
 $\mathbf{b} = H(\text{Bob})$

Use the GPV algorithm to find a short \mathbf{s} such that $\mathbf{A}\mathbf{s} = \mathbf{b} \pmod{p}$

Use “Dual” LWE encryption to
Encrypt to Bob

Lattice $L_p^\perp(\mathbf{A}) = \{ \mathbf{y} : \mathbf{A}\mathbf{y} = \mathbf{0} \pmod p \}$

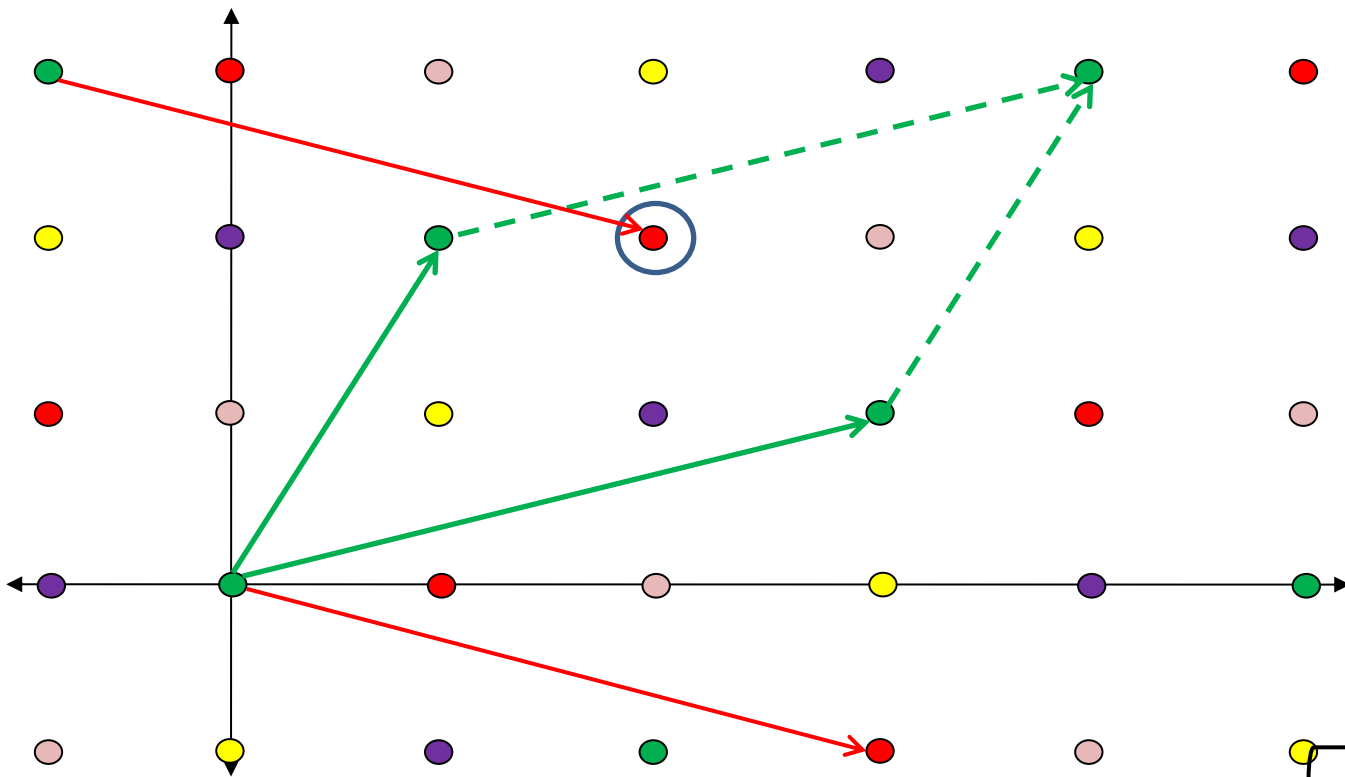


Cosets of $\mathbf{Z}^m / L_p^\perp(\mathbf{A})$

$$\begin{array}{c} \boxed{\mathbf{A}} \end{array} \begin{array}{c} \boxed{\mathbf{y}} \end{array} = \begin{array}{c} \boxed{0} \end{array} \pmod p$$

$$\begin{array}{c} \boxed{\mathbf{A}} \end{array} \begin{array}{c} \boxed{\mathbf{y}} \end{array} = \begin{array}{c} \boxed{\mathbf{b}} \end{array} \pmod p$$

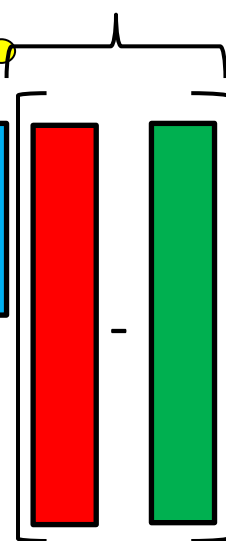
$$\text{Lattice } L_p^\perp(\mathbf{A}) = \{ \mathbf{y} : \mathbf{A}\mathbf{y} = \mathbf{0} \pmod p \}$$



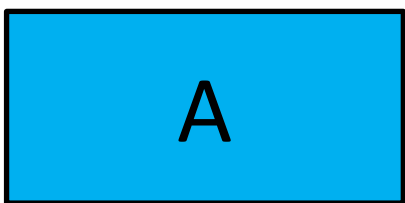
$H(\text{Bob}) =$



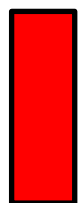
short



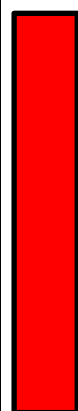
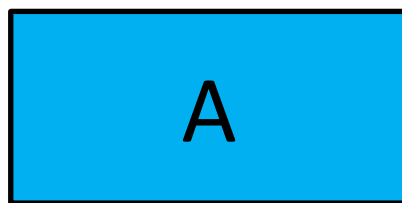
$\pmod p$



$=$



$\pmod p$

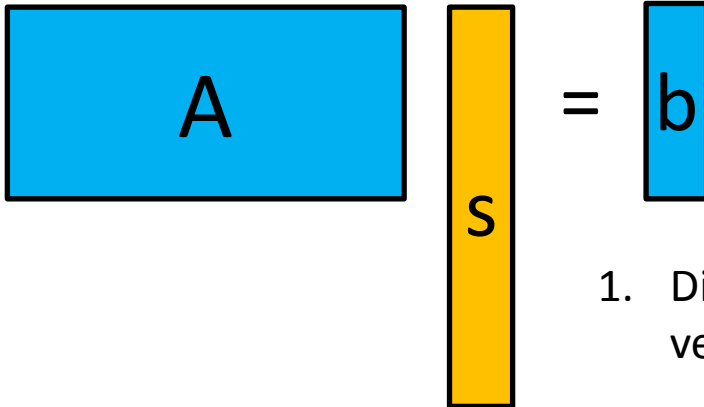


$-$



$=$

Properties Needed


$$A s = b$$

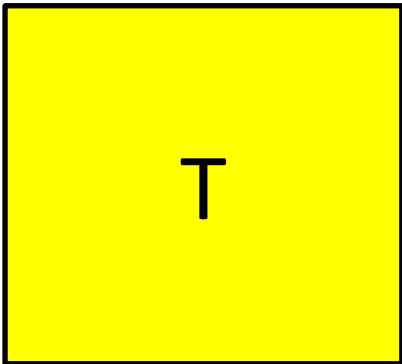
1. Distribution D of s only depends on the length of the vectors comprising T
2. The following produce the same distribution of (s, b)

(a) Choose $s \sim D$. Set $b = As$

(b) Choose random b . Use T to find an s such that $As = b$.

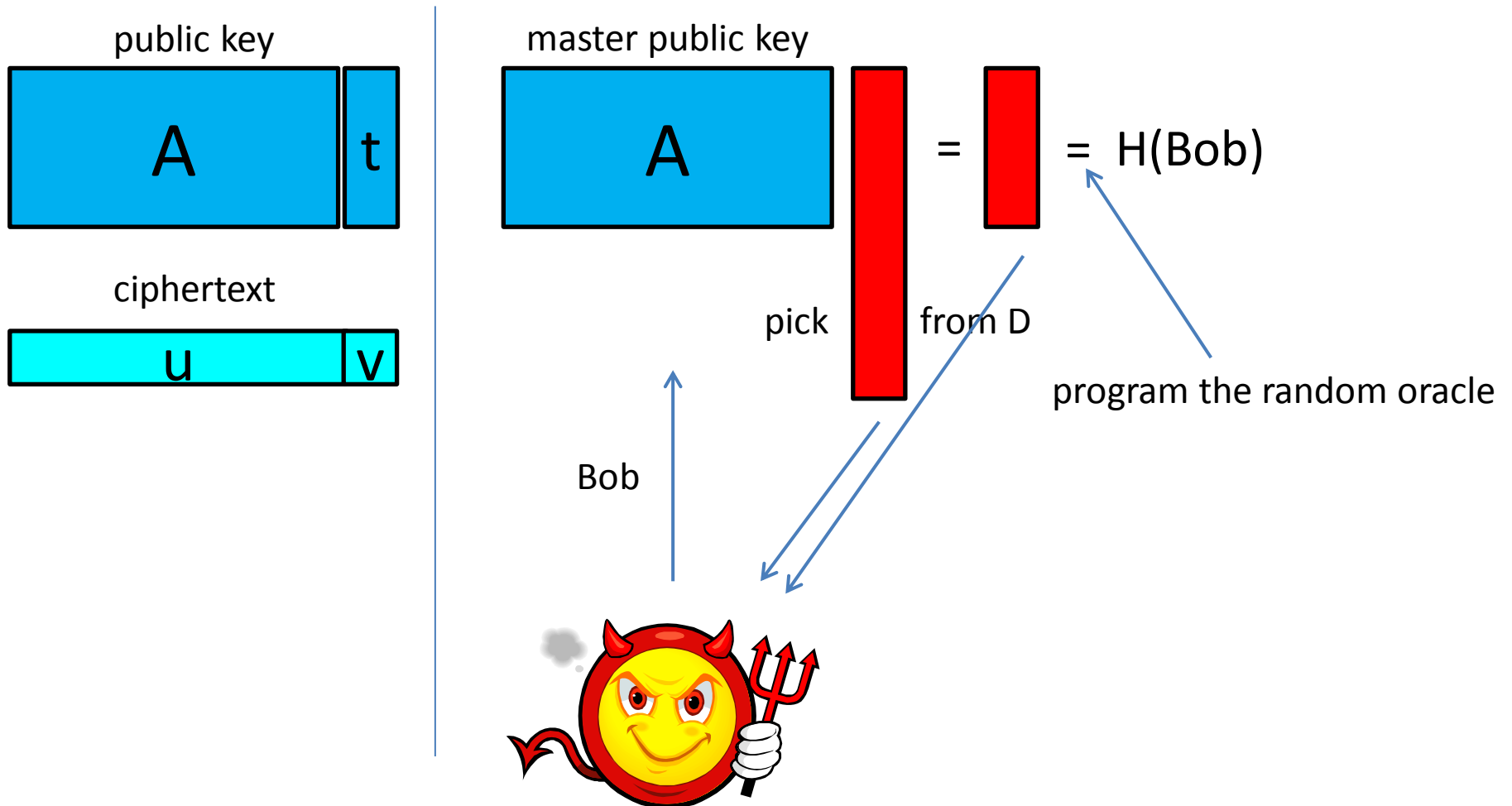
(1) is guaranteed by the GPV algorithm

(2) is true if s has enough entropy (to make $As = b$ uniform mod p)



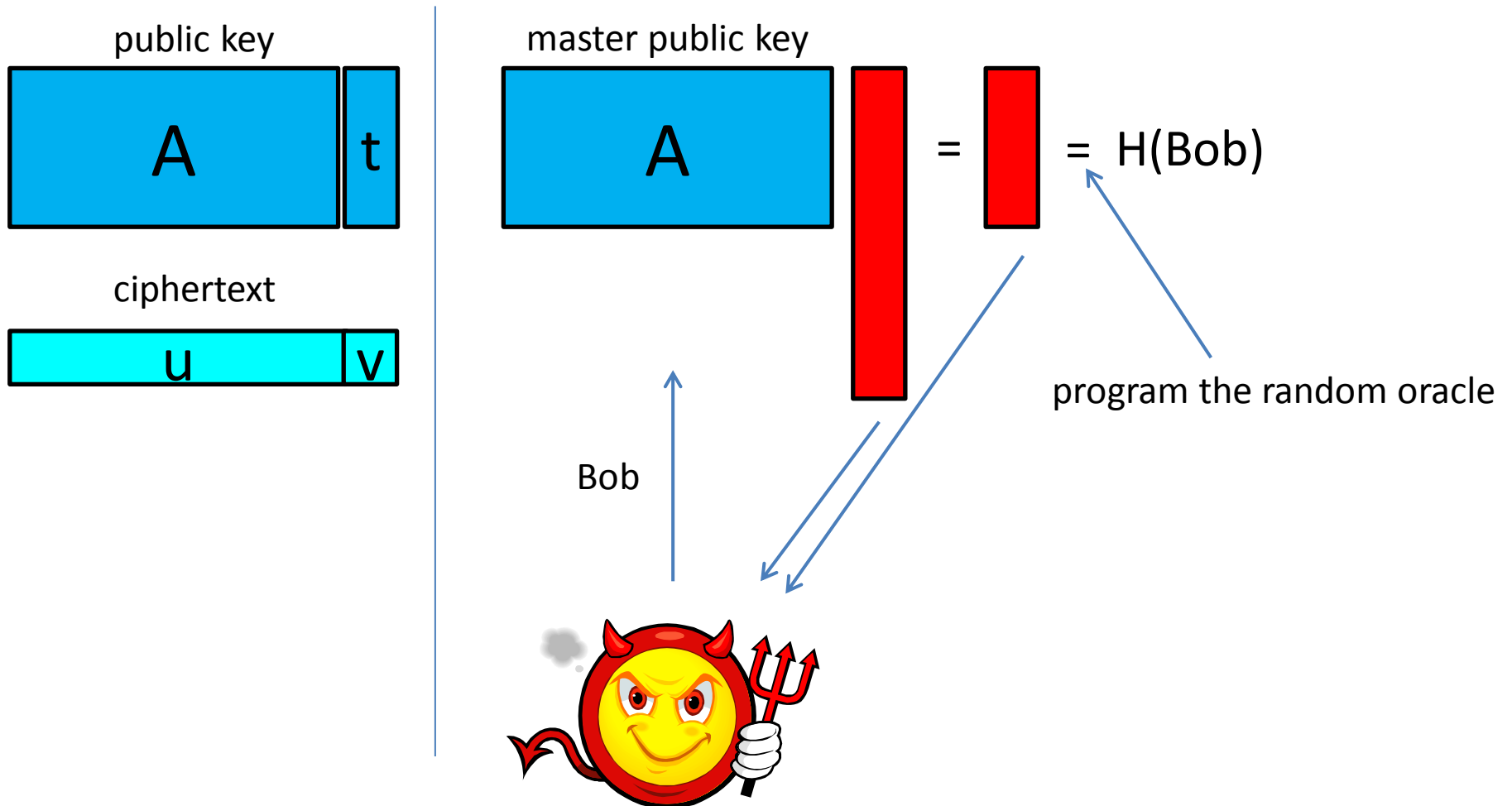
Security Proof Sketch

Show that breaking IBE implies breaking the “Dual” cryptosystem



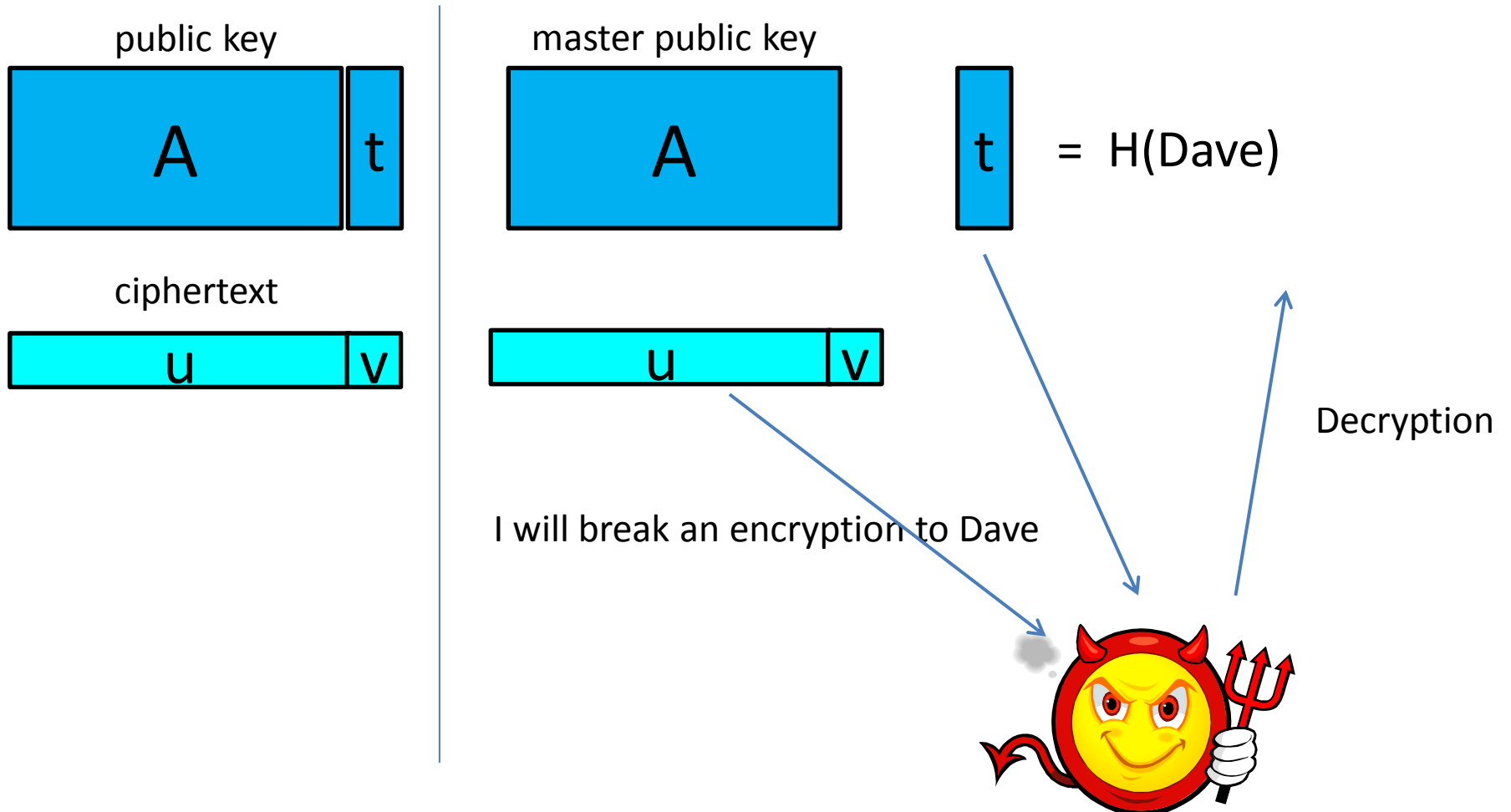
Security Proof Sketch

Show that breaking IBE implies breaking the “Dual” cryptosystem



Security Proof Sketch

Show that breaking IBE implies breaking the “Dual” cryptosystem



LWE Encryption

n-bit Encryption	Have	Want
Public Key Size	$\tilde{O}(n) / \tilde{O}(n^2)$	$O(n)$
Secret Key Size	$\tilde{O}(n) / \tilde{O}(n^2)$	$O(n)$
Ciphertext Expansion	$\tilde{O}(n) / \tilde{O}(1)$	$O(1)$
Encryption Time	$\tilde{O}(n^3) / \tilde{O}(n^2)$	$O(n)$
Decryption Time	$\tilde{O}(n^2)$	$O(n)$

Source of Inefficiency of LWE

$\begin{bmatrix} 2 & 8 & 7 & 3 \end{bmatrix} * \begin{bmatrix} 1 \\ 0 \\ 2 \\ 1 \end{bmatrix} + 2 = 1$

Getting just **one** extra random-looking number requires **n** random numbers and a small error element.

Wishful thinking: get **n** random numbers and produce **n** pseudo-random numbers in “one shot”

$\begin{bmatrix} 2 \\ 8 \\ 7 \\ 3 \end{bmatrix} * \begin{bmatrix} 1 \\ 0 \\ 2 \\ 1 \end{bmatrix} + \begin{bmatrix} \\ \\ \\ \end{bmatrix} = \begin{bmatrix} \\ \\ \\ \end{bmatrix}$

IDEAL LATTICES

Cyclic Lattices

A set L in \mathbf{Z}^n is a *cyclic lattice* if:

1.) For all v, w in L , $v+w$ is also in L

$$\begin{array}{|c|c|c|c|} \hline -1 & 2 & 3 & -4 \\ \hline \end{array} + \begin{array}{|c|c|c|c|} \hline -7 & -2 & 3 & 6 \\ \hline \end{array} = \begin{array}{|c|c|c|c|} \hline -8 & 0 & 6 & 2 \\ \hline \end{array}$$

2.) For all v in L , $-v$ is also in L

$$\begin{array}{|c|c|c|c|} \hline -1 & 2 & 3 & -4 \\ \hline \end{array} \quad \begin{array}{|c|c|c|c|} \hline 1 & -2 & -3 & 4 \\ \hline \end{array}$$

3.) For all v in L , a cyclic shift of v is also in L

-1	2	3	-4
-4	-1	2	3
3	-4	-1	2
2	3	-4	-1

Cyclic Lattices = Ideals in $\mathbf{Z}[x]/(x^n-1)$

A set L in \mathbf{Z}^n is a *cyclic lattice* if L is an *ideal* in $\mathbf{Z}[x]/(x^n-1)$

1.) For all v, w in L , $v+w$ is also in L

$$\begin{bmatrix} -1 & 2 & 3 & -4 \end{bmatrix} + \begin{bmatrix} -7 & -2 & 3 & 6 \end{bmatrix} = \begin{bmatrix} -8 & 0 & 6 & 2 \end{bmatrix}$$

$$(-1+2x+3x^2-4x^3) + (-7-2x+3x^2+6x^3) = (-8+0x+6x^2+2x^3)$$

2.) For all v in L , $-v$ is also in L

$$\begin{bmatrix} -1 & 2 & 3 & -4 \end{bmatrix} \quad \begin{bmatrix} 1 & -2 & -3 & 4 \end{bmatrix}$$

$$(-1+2x+3x^2-4x^3) \quad (1-2x-3x^2+4x^3)$$

3.) For all v in L , ~~a cyclic shift of v is also in L~~ vx is also in L

$\begin{bmatrix} -1 & 2 & 3 & -4 \end{bmatrix}$	$-1+2x+3x^2-4x^3$
$\begin{bmatrix} -4 & -1 & 2 & 3 \end{bmatrix}$	$(-1+2x+3x^2-4x^3)x = -4-x+2x^2+3x^3$
$\begin{bmatrix} 3 & -4 & -1 & 2 \end{bmatrix}$	$(-1+2x+3x^2-4x^3)x^2 = 3-4x-x^2+2x^3$
$\begin{bmatrix} 2 & 3 & -4 & -1 \end{bmatrix}$	$(-1+2x+3x^2-4x^3)x^3 = 2+3x-4x^2-x^3$

Why Cyclic Lattices?

- Succinct representations
 - Can represent an n -dimensional lattice with 1 vector
- Algebraic structure
 - Allows for fast arithmetic (using FFT)
 - Makes proofs possible
- One-way functions based on worst-case hardness of SVP in cyclic lattices [Mic02]

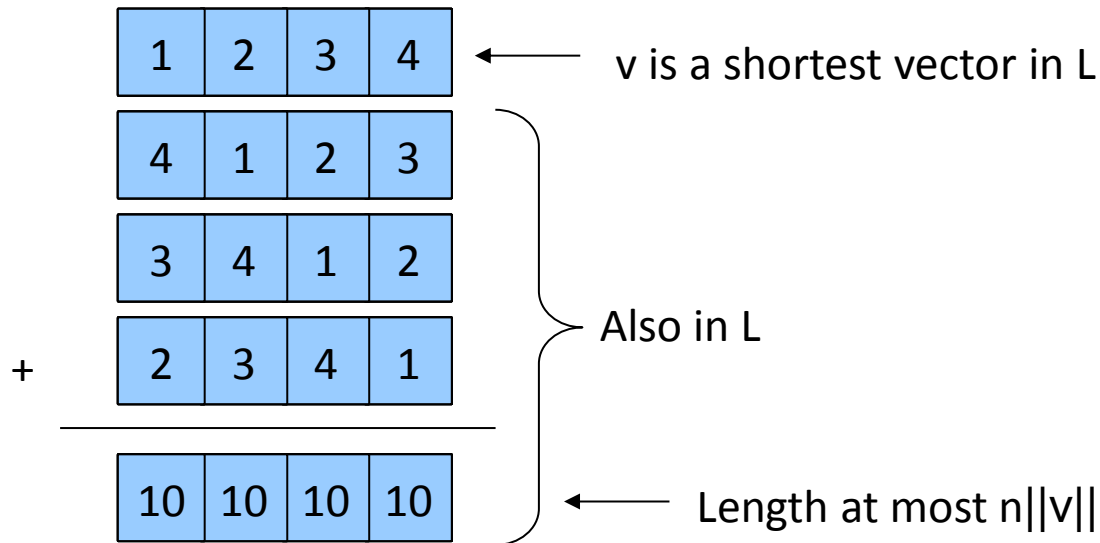
Shortest Vector Problem (SVP)

- SVP: Given a lattice L , find the (non-zero) vector with the smallest norm in L
- SVP_γ : Given a lattice L , find a non-zero vector whose length is within a factor γ of the shortest vector

Is $SVP_{\text{poly}(n)}$ Hard for Cyclic Lattices?

Short answer: we don't know but conjecture it is.

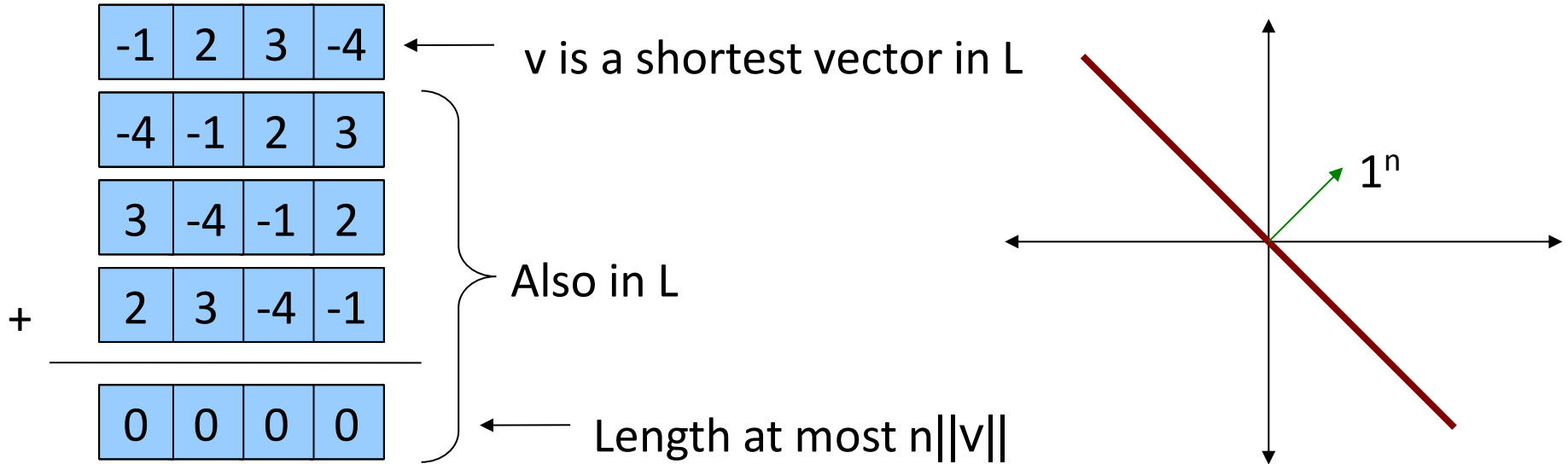
What's wrong with the following argument that SVP_n is easy?



Algorithm for solving $SVP_n(L)$ for a cyclic lattice L :

1. Construct 1-dimensional lattice $L' = L \cap \{1^n\}$
2. Find and output the shortest vector in L'

The Hard Cyclic Lattice Instances



The “hard” instances of cyclic lattices lie on plane P perpendicular to the 1^n vector

In algebra language:

If $R = \mathbf{Z}[x]/(x^n - 1)$, then

$$1^n = (x^{n-1} + x^{n-2} + \dots + 1) \approx \mathbf{Z}[x]/(x - 1)$$

$$P = (x - 1) \approx \mathbf{Z}[x]/(x^{n-1} + x^{n-2} + \dots + 1)$$

f-Ideal Lattices = Ideals in $\mathbf{Z}[x]/(f)$

Want f to have 3 properties:

1) Monic (i.e. coefficient of largest exponent is 1)

2) Irreducible over \mathbf{Z}

3) For all polynomials g, h $\|gh \bmod f\| < \text{poly}(n) \|g\| \cdot \|h\|$

Conjecture: For all f that satisfy the above 3 properties, solving $\text{SVP}_{\text{poly}(n)}$ for ideals in $\mathbf{Z}[x]/(f)$ takes time $2^{\Omega(n)}$.

Some “good” f to use:

$f = x^{n-1} + x^{n-2} + \dots + 1$ where n is prime

$f = x^n + 1$ where n is a power of 2

(x^n+1) -Ideal Lattices = Ideals in $\mathbf{Z}[x]/(x^n+1)$

A set L in \mathbf{Z}^n is a (x^n+1) -ideal lattice if L is an ideal in $\mathbf{Z}[x]/(x^n+1)$

1.) For all v, w in L , $v+w$ is also in L

$$\begin{bmatrix} -1 & 2 & 3 & -4 \end{bmatrix} + \begin{bmatrix} -7 & -2 & 3 & 6 \end{bmatrix} = \begin{bmatrix} -8 & 0 & 6 & 2 \end{bmatrix}$$

$$(-1+2x+3x^2-4x^3) + (-7-2x+3x^2+6x^3) = (-8+0x+6x^2+2x^3)$$

2.) For all v in L , $-v$ is also in L

$$\begin{bmatrix} -1 & 2 & 3 & -4 \end{bmatrix} \quad \begin{bmatrix} 1 & -2 & -3 & 4 \end{bmatrix}$$

$$(-1+2x+3x^2-4x^3) \quad (1-2x-3x^2+4x^3)$$

3.) For all v in L , vx is also in L

-1	2	3	-4	$-1+2x+3x^2-4x^3$
4	-1	2	3	$(-1+2x+3x^2-4x^3)x=4-x+2x^2+3x^3$
-3	4	-1	2	$(-1+2x+3x^2-4x^3)x^2=-3+4x-x^2+2x^3$
-2	-3	4	-1	$(-1+2x+3x^2-4x^3)x^3=-2-3x+4x^2-x^3$

RING-LWE

Ring-LWE

Ring $R = \mathbb{Z}_q[x]/(x^n+1)$

Given:

$$a_1, a_1s + e_1$$

$$a_2, a_2s + e_2$$

...

$$a_k, a_k s + e_k$$

Find: s

a_i are random in R

s and e_i have “small” coefficients (distribution symmetric around 0)

Decision Ring-LWE

Ring $R = \mathbb{Z}_q[x]/(x^n+1)$

Given:

a_1, b_1

a_2, b_2

...

a_k, b_k

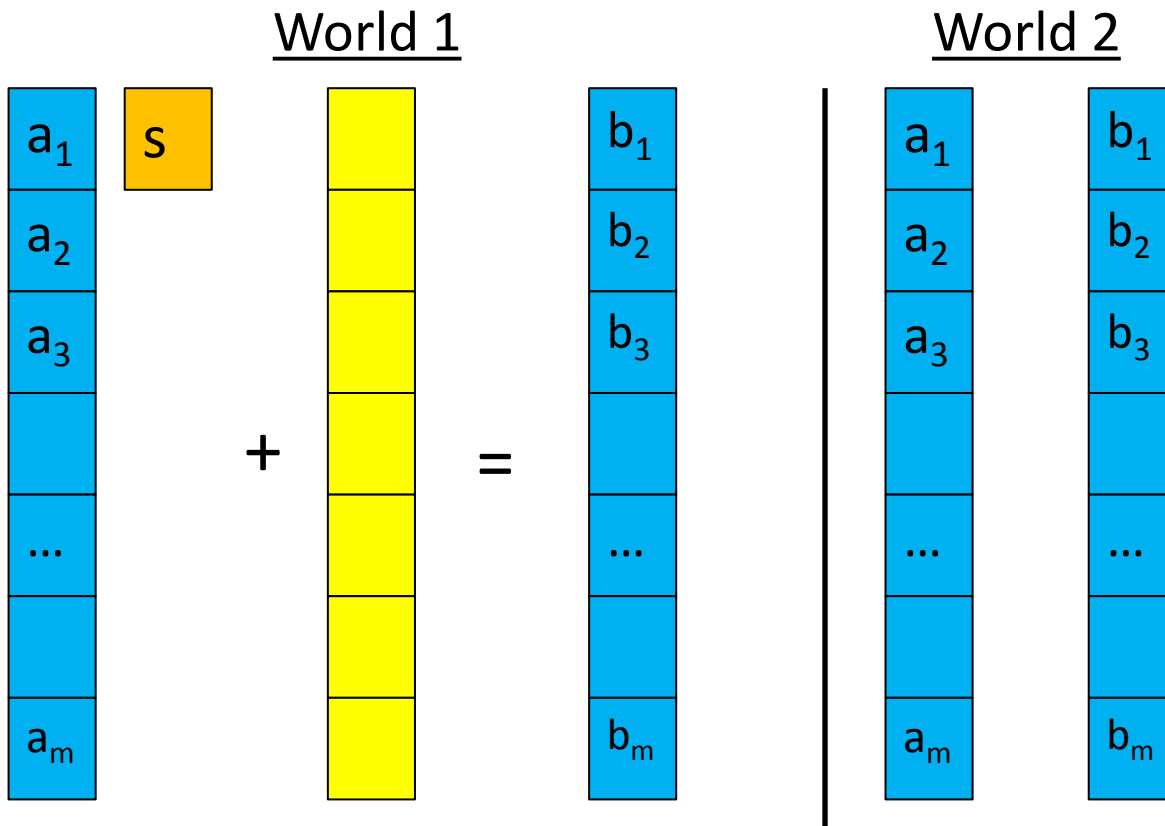
Question: Does there exist “small” s and

e_1, \dots, e_k such that $b_i = a_i s + e_i$

or are all b_i uniformly random in R ?

Decision

Learning With Errors over Rings



Theorem [LPR '10]: In *cyclotomic* rings, there is a quantum reduction from solving worst-case problems in ideal lattices to solving Decision-RLWE

Ring-LWE cryptosystem

Secret Key

$$[a] [s] + [] = [t]$$

Public Key

Encryption

$$[r] [a] + [] = [u]$$

$$[r] [t] + [] + [m] = [v]$$

Decryption

$$[r] [t] + [] + [m] - [[r] [a] + []] [s] = [v] - [u] [s]$$

$$[r] [[a] [s] + []] + [] + [m] - [[r] [a] + []] [s]$$

$$[r] [] + [] - [] [s] + [m] = [] + [m]$$

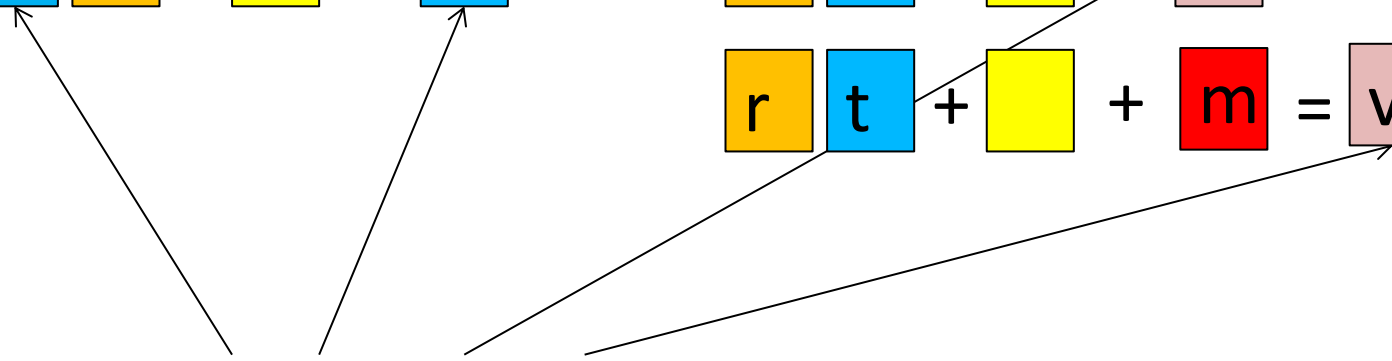
Security

$$\boxed{a} \boxed{s} + \boxed{} = \boxed{t}$$

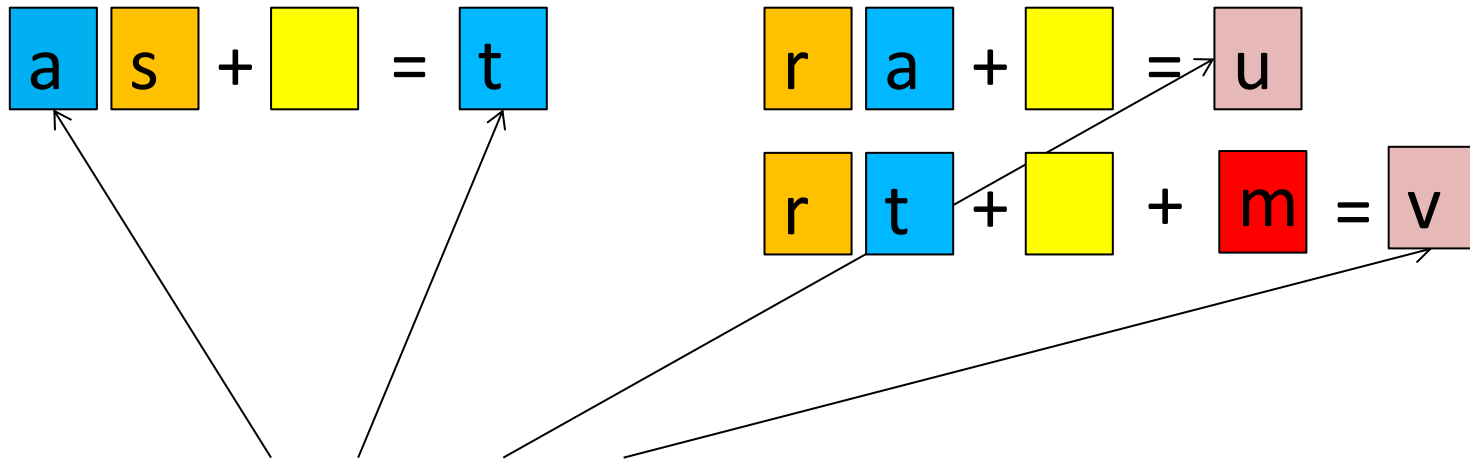
$$\boxed{r} \boxed{a} + \boxed{} = \boxed{u}$$

$$\boxed{r} \boxed{t} + \boxed{} + \boxed{m} = \boxed{v}$$

Pseudorandom??



Security



Pseudorandom based on
Decision Ring-LWE!!

Efficiency

$$\boxed{a} \boxed{s} + \boxed{} = \boxed{t}$$

$$\boxed{r} \boxed{a} + \boxed{} = \boxed{u}$$

$$\boxed{r} \boxed{t} + \boxed{} + \boxed{m} = \boxed{v}$$

n-bit Encryption	From LWE	From Ring-LWE
Public Key Size	$\tilde{O}(n) / \tilde{O}(n^2)$	$\tilde{O}(n)$
Secret Key Size	$\tilde{O}(n) / \tilde{O}(n^2)$	$\tilde{O}(n)$
Ciphertext Expansion	$\tilde{O}(n) / \tilde{O}(1)$	$\tilde{O}(1)$
Encryption Time	$\tilde{O}(n^3) / \tilde{O}(n^2)$	$\tilde{O}(n)$
Decryption Time	$\tilde{O}(n^2)$	$\tilde{O}(n)$

DIGITAL SIGNATURE SCHEMES

Digital Signatures

$(sk, pk) \leftarrow \text{KeyGen}$

$\text{Sign}(sk, m_i) = s_i$

$\text{Verify}(pk, m_i, s_i) = \text{YES} / \text{NO}$

Correctness: $\text{Verify}(pk, m_i, \text{Sign}(sk, m_i)) = \text{YES}$

Security: Unforgeability

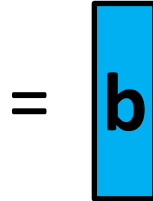
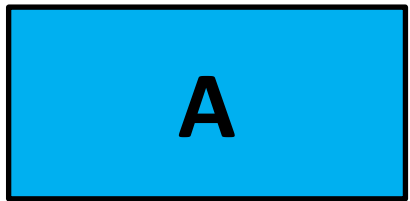
1. Adversary gets pk
2. Adversary asks for signatures of m_1, m_2, \dots
3. Adversary outputs (m, s) where $m \neq m_i$ and wins if $\text{Verify}(pk, m, s) = \text{YES}$

Signature Schemes

- Hash-and-Sign
 - Requires a trap-door function (like the GPV one)
- Fiat-Shamir transformation
 - Conversion from an identification scheme
 - No trap-door function needed

HASH-AND-SIGN SIGNATURE SCHEMES BASED ON SIS

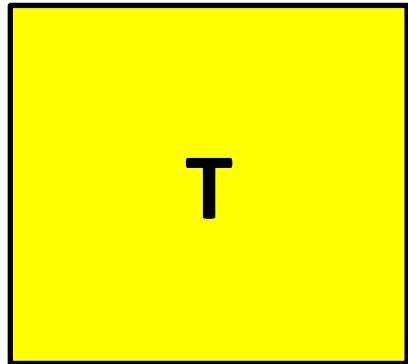
Hash-and-Sign Lattice Signature



Public Key: **A**

Secret Key: **T**

Lattice $L_p^\perp(\mathbf{A}) = \{ \mathbf{y} : \mathbf{A}\mathbf{y} = \mathbf{0} \text{ mod } p \}$



Sign(**T**,m)

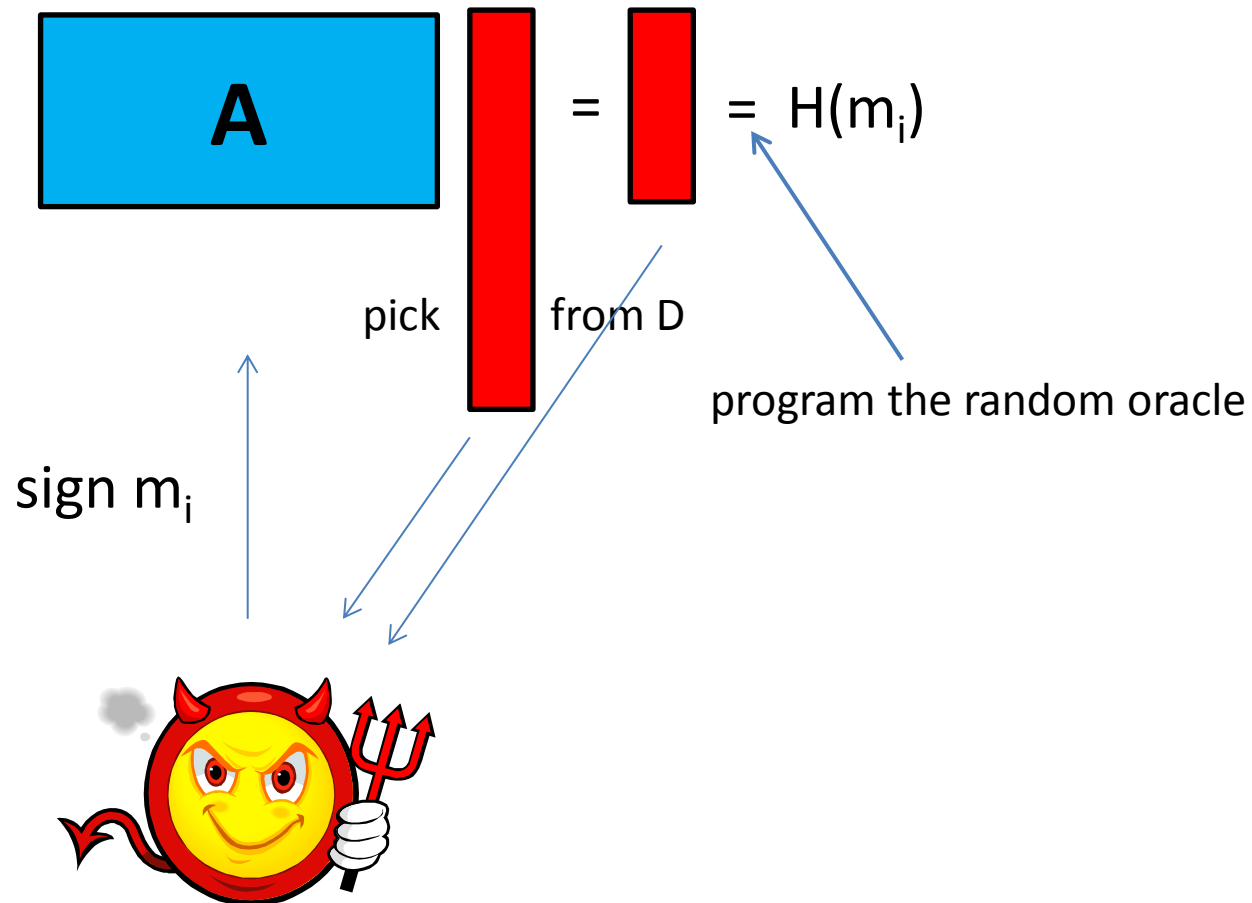
1. **b** = H(m)
2. Use the GPV algorithm to find a short **s** such that **As** = **b** mod p
3. **s** is the signature of m

Verify(**A**,m,**s**)

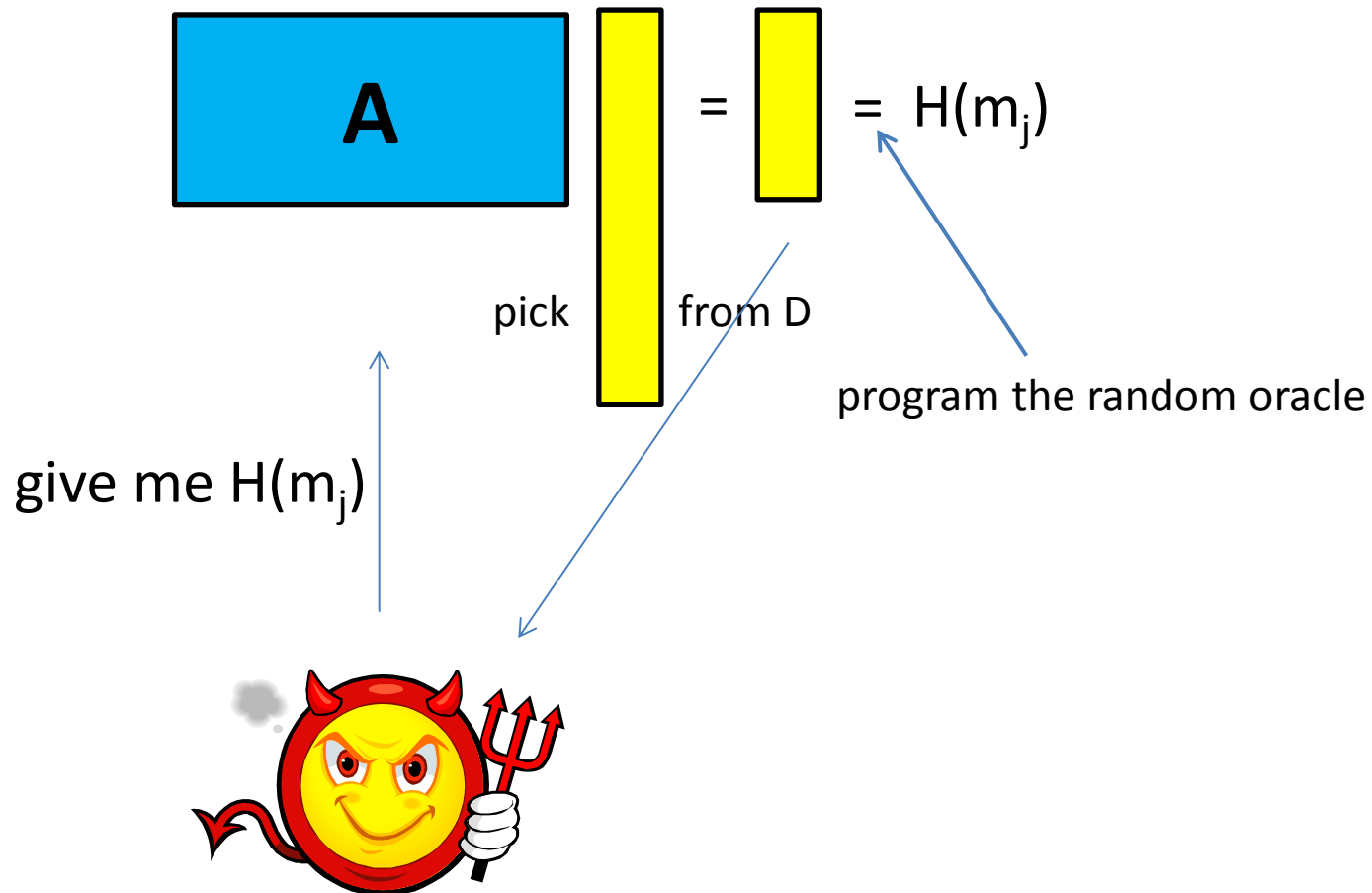
1. check that **s** is “short” and **As** = H(m) mod p

T is a basis for $L_p^\perp(\mathbf{A})$ and has “short” vectors

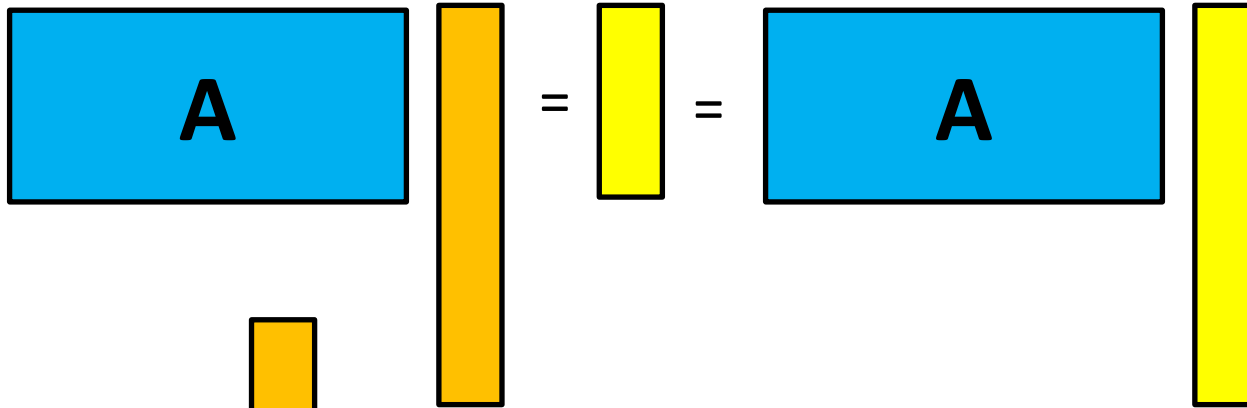
Security Proof Sketch



Security Proof Sketch



Security Proof Sketch



I will forge the signature of m

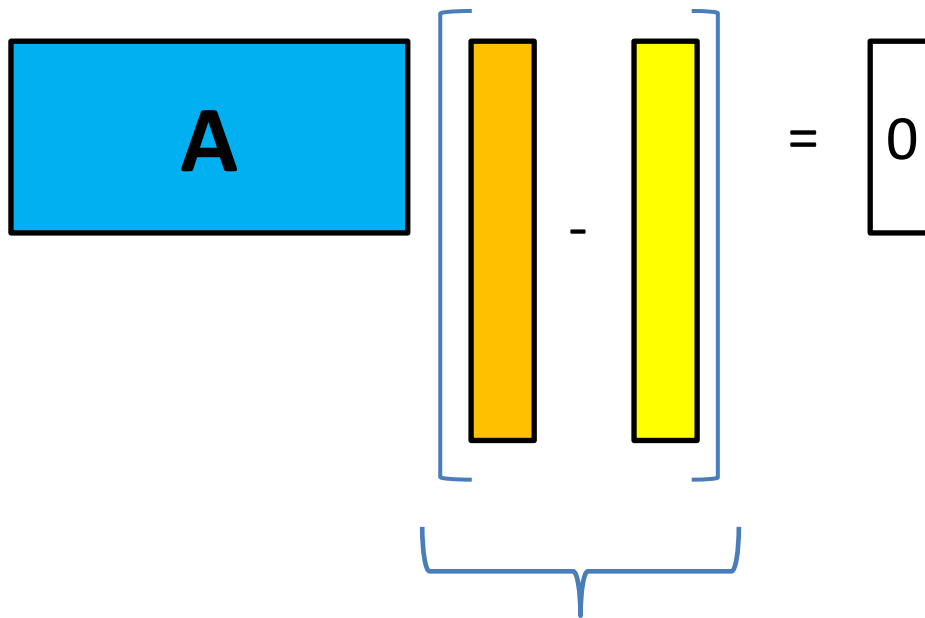


To forge on m , the Adversary needs $H(m)$

So m is one of the m_j he asked for $H(m_j)$

Thus we know an s_j such that $As_j = H(m_j)$

Security Proof Sketch

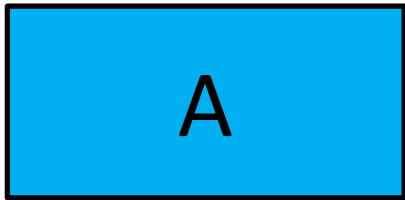


The diagram illustrates the equation $A \cdot (v - w) = 0$. On the left, a blue rectangle labeled 'A' represents a matrix. To its right, a blue bracket encloses two vertical bars: an orange one labeled 'v' and a yellow one labeled 'w', with a minus sign between them. This is followed by an equals sign and a white vertical bar containing the number '0'. A blue curly brace is positioned below the orange and yellow bars.

short and hopefully non-zero

if it's non-zero, then we have a solution to SIS

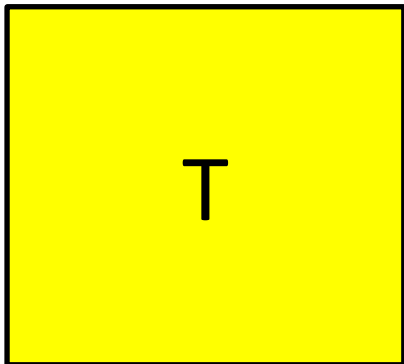
Properties Needed



=



1. Distribution D of \mathbf{s} only depends on the length of the vectors comprising \mathbf{T}
2. The following produce the same distribution of (\mathbf{s}, \mathbf{b})
 - (a) Choose $\mathbf{s} \sim D$. Set $\mathbf{b} = \mathbf{A}\mathbf{s}$
 - (b) Choose random \mathbf{b} . Use \mathbf{T} to find an \mathbf{s} such that $\mathbf{A}\mathbf{s} = \mathbf{b}$.
3. For a random \mathbf{b} , there is more than one likely possible output \mathbf{s} such that $\mathbf{b} = \mathbf{A}\mathbf{s}$.
 - (1) is guaranteed by the GPV algorithm
 - (2) is true if \mathbf{s} has enough entropy (to make $\mathbf{A}\mathbf{s} = \mathbf{b}$ uniform mod p)
 - (3) is true because the standard deviation of GPV is big



IDENTIFICATION AND “FIAT-SHAMIR” SIGNATURE SCHEMES BASED ON SIS

Canonical 3-move Identification Scheme

Prover (sk)

Verifier (pk)

commit →

← challenge

→ response

Verify(pk, commit,
challenge, response)=1?

Security of ID Schemes

Passive Adversary

1. Receive public key
2. Receive interaction transcripts
3. Try to impersonate the valid prover

Active Adversary

1. Receive public key
2. Interact with the valid prover
3. Try to impersonate the valid prover

Fiat-Shamir Transform

Passively-Secure 3-round scheme →

Signature scheme in the random oracle model

Sign(μ)

commit

challenge = $H(\mu, \text{commit})$

response

(commit, challenge, response)

VerifySig($\mu, pk, \text{commit}, \text{challenge}, \text{response}$)

challenge = $H(\mu, \text{commit})$?

VerifyID($pk, \text{commit}, \text{challenge}, \text{response}$) = 1?

Identification Scheme Based on SIS

Secret Key: **S**

Public Key: **A**, **T=AS** mod q

Pick a random **y**

$$\mathbf{w} = \mathbf{A}\mathbf{y} \text{ mod } q$$



pick a random **c**

c



$$\mathbf{z} = \mathbf{S}\mathbf{c} + \mathbf{y}$$



check that

1. $\|\mathbf{z}\|$ is small
2. $\mathbf{A}\mathbf{z} = \mathbf{T}\mathbf{c} + \mathbf{w} \text{ mod } q$

$$(\mathbf{A}\mathbf{z} = \mathbf{A}(\mathbf{S}\mathbf{c} + \mathbf{y}) = \mathbf{T}\mathbf{c} + \mathbf{w})$$

Active Security Reduction (Stage 1)

A



Secret Key: **S**

Adversary

Public Key: **A**, **T=AS** mod q

Pick a random **y**

$$w = Ay \text{ mod } q$$



c



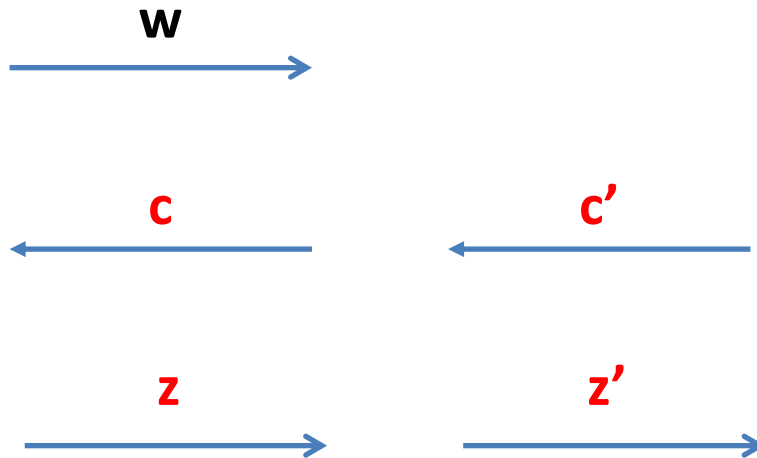
$$z = Sc + y$$



Active Security Reduction (Stage 2)

Adversary

Public Key: A , $T=AS \pmod q$



$$Az = Tc + w \pmod q$$
$$Az' = Tc' + w \pmod q$$

$$A(z - z') = T(c - c') \pmod q$$
$$A(z - z') = AS(c - c') \pmod q$$

Observation: If the adversary knows S , then he can always give us $z - z' = S(c - c')$

Solution: Make sure adversary does not learn S

Hope: $z - z' \neq S(c - c')$

Identification Scheme Based on SIS

Secret Key: S

Public Key: $A, T=AS \pmod q$

Pick a random y

$$w = Ay \pmod q$$

Make y uniform??
NO! Then z is too big
and SIS is not hard.

c

pick a random c

$$z = Sc + y$$

check that

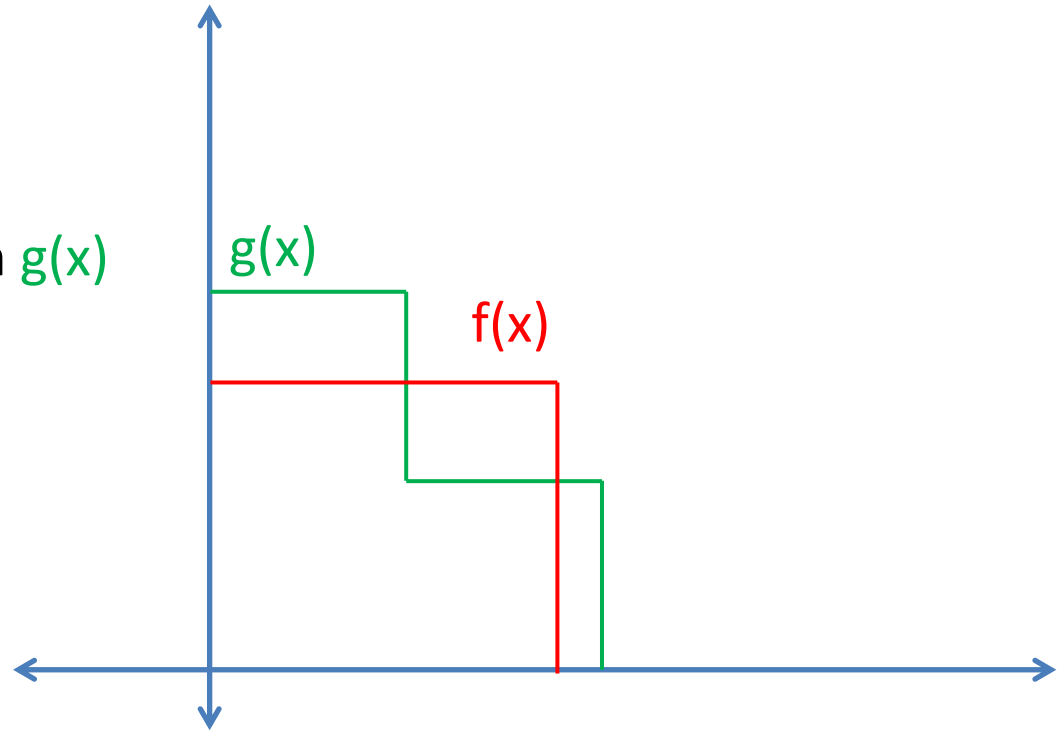
1. $\|z\|$ is small
2. $Az = Tc + w \pmod q$

$$(Az = A(Sc + y) = Tc + w)$$

Rejection Sampling

Have access to samples from $g(x)$

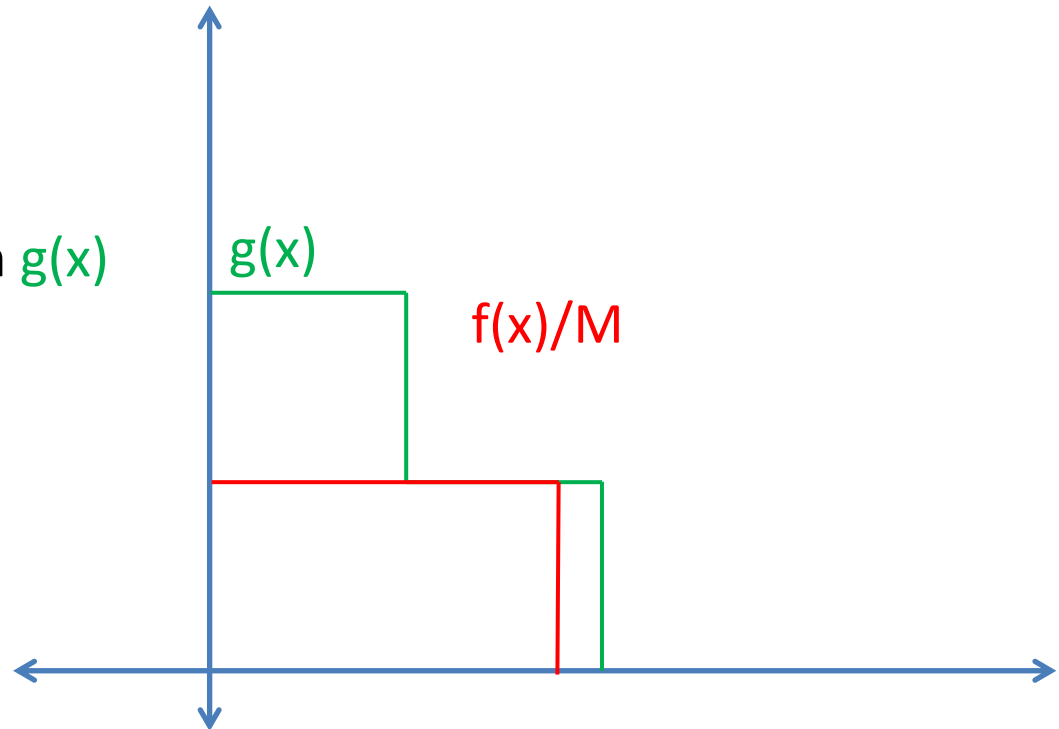
Want $f(x)$



Rejection Sampling

Have access to samples from $g(x)$

Want $f(x)$



Sample from $g(x)$, accept x with probability $f(x)/Mg(x) \leq 1$

$$\Pr[x] = g(x) \cdot (f(x)/Mg(x)) = f(x)/M$$

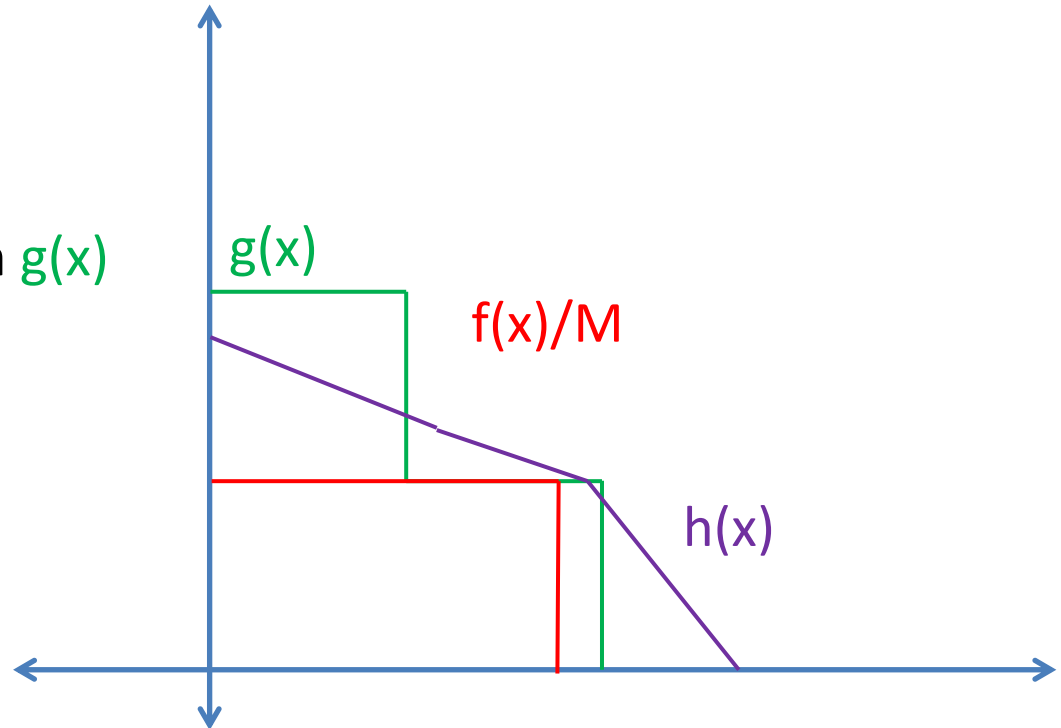
Something is output with probability $1/M$

Rejection Sampling

Impossible to tell whether $g(x)$ or $h(x)$ was the original distribution

Have access to samples from $g(x)$

Want $f(x)$



Sample from $g(x)$, accept x with probability $f(x)/Mg(x) \leq 1$

or ... Sample from $h(x)$, accept x with probability $f(x)/Mh(x) \leq 1$

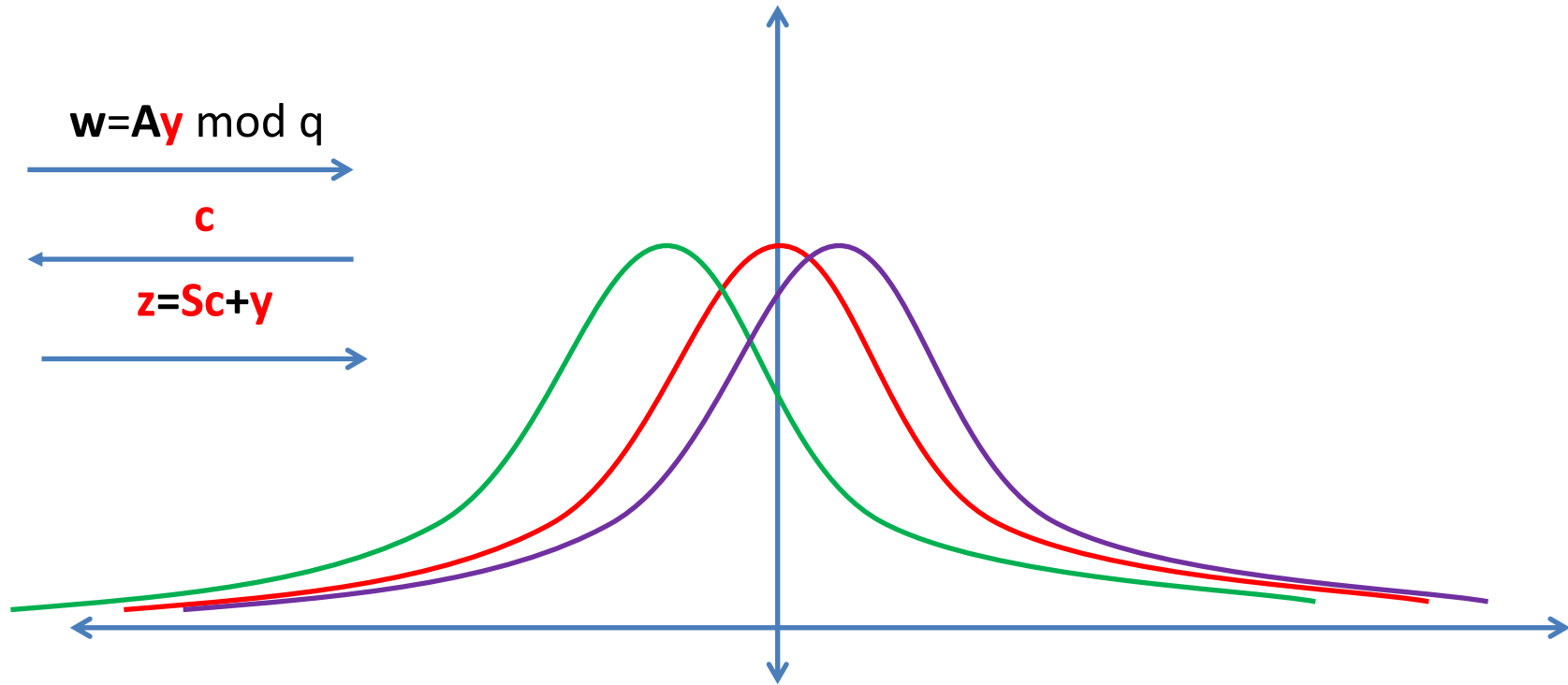
$$\Pr[x] = g(x) \cdot (f(x)/Mg(x)) = f(x)/M = h(x) \cdot (f(x)/Mh(x))$$

Something is output with probability $1/M$

Rejection Sampling

Secret Key: **S**

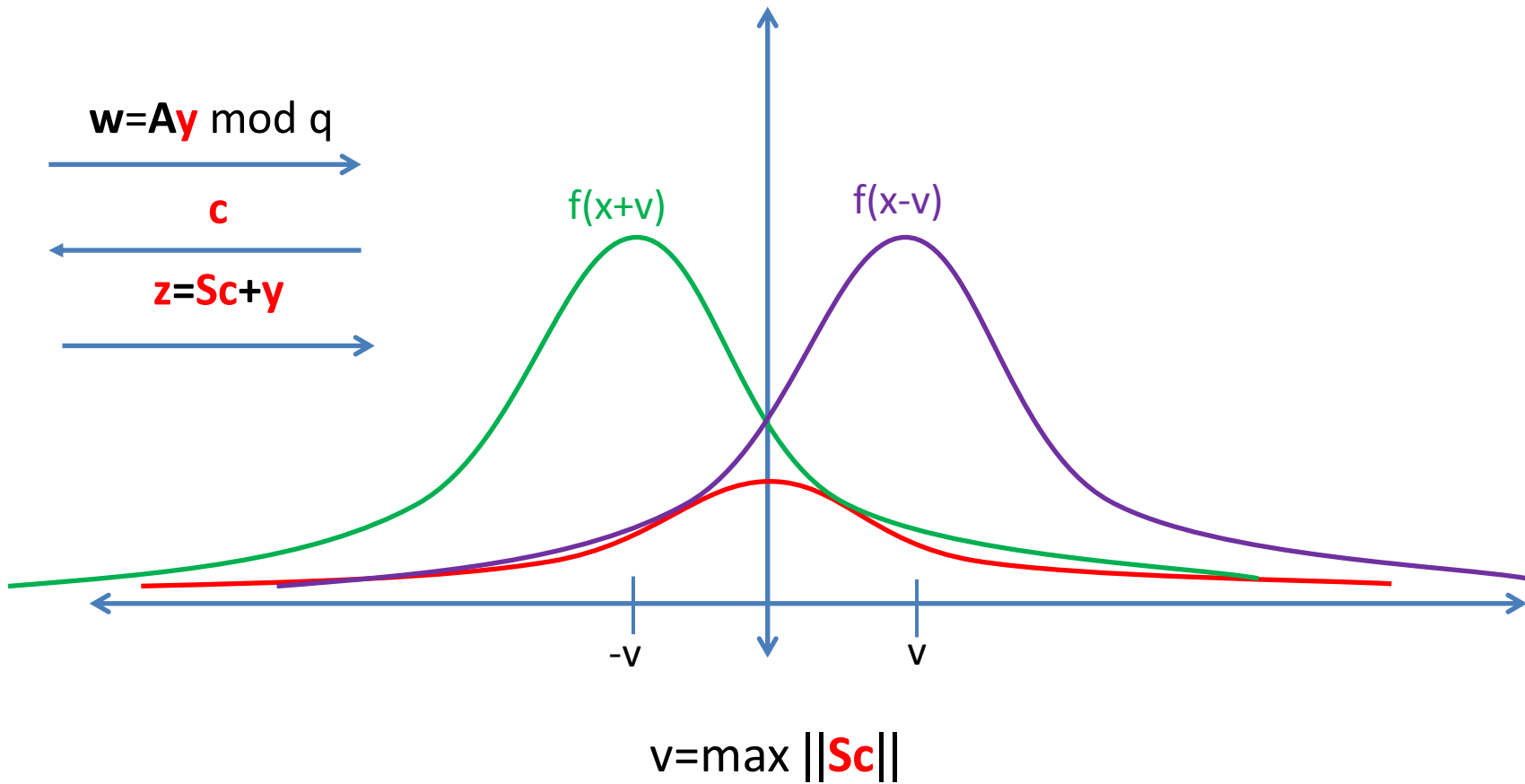
$y \sim f$



Rejection Sampling

Secret Key: **S**

$y \sim f$



What We Want

Choose a target distribution f for \mathbf{z}

Choose a distribution g for \mathbf{y}

Distribution of \mathbf{z} will be $g(\mathbf{y}-\mathbf{S}\mathbf{c})$

Rejection sample to make the distribution of \mathbf{z} be f

Need:

For all *likely* \mathbf{x} and $\mathbf{S}\mathbf{c}$, $f(\mathbf{x})/M \leq g(\mathbf{x}-\mathbf{S}\mathbf{c})$

Want:

1. M to be as small as possible ($1/M$ is acceptance rate)
2. $E[\|\mathbf{x}\| ; \mathbf{x} \sim f]$ to be as small as possible (determines size of signature and hardness of SIS problem)

Secret Key: \mathbf{S}

$$\mathbf{w} = \mathbf{A}\mathbf{y} \bmod q$$



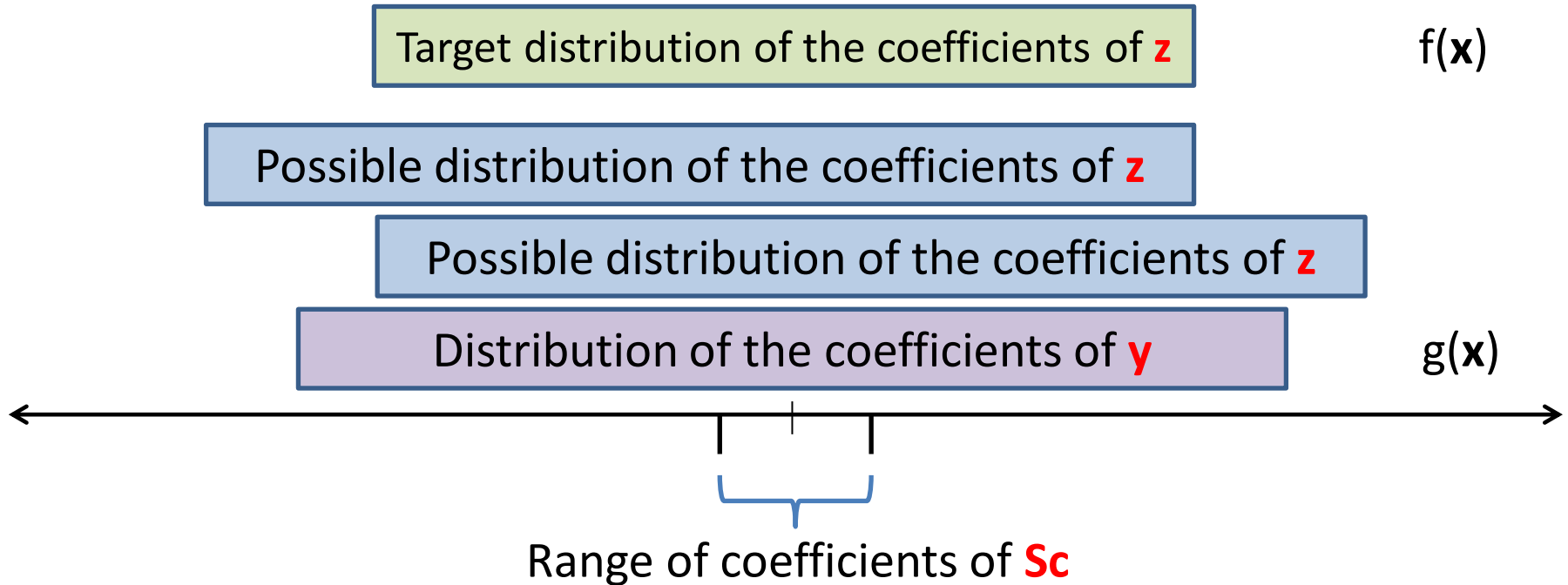
\mathbf{c}



$$\mathbf{z} = \mathbf{S}\mathbf{c} + \mathbf{y}$$



Rejection Sampling (L '09)



Probability each coefficient of **z** is in the target range = p

Want $p^m \approx \text{constant}$

So $p \approx 1-1/m$

So coefficients of **Sc** must be m times smaller than coefficients of **y**

Size of the **SIS** solution

Coefficients of **S** $\mathbf{c} = O(1)$

Coefficients of **y** = $O(m)$

$$\|\mathbf{z}\| \approx \|\mathbf{y}\| = O(m^{1.5})$$

Can we do better??

Use Normal distribution to get $\|\mathbf{z}\| = O(m)$

Normal Distribution

1-dimensional Normal distribution:

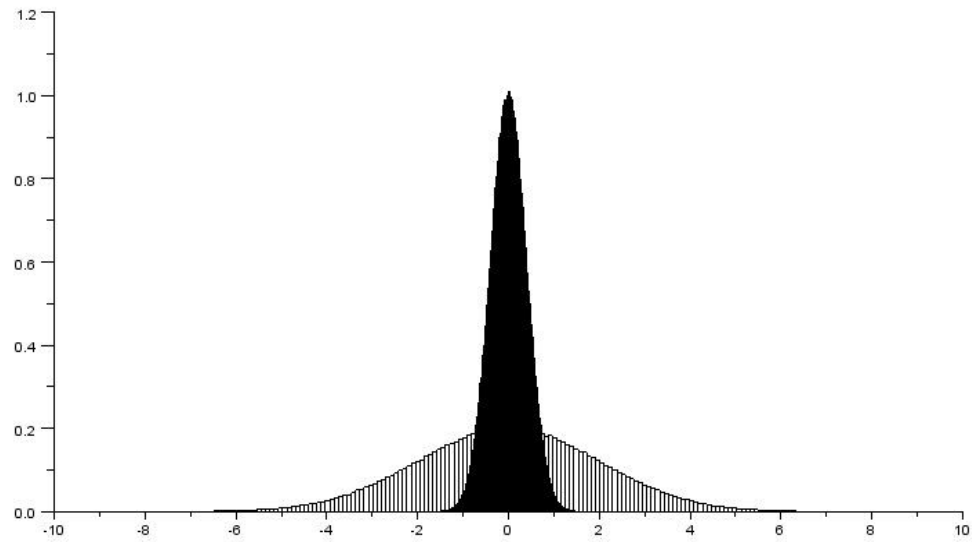
$$\rho_{\sigma}(x) = 1/(\sqrt{2\pi}\sigma)e^{-x^2/2\sigma^2}$$

It is:

Centered at 0

Standard deviation: σ

Examples



Shifted Normal Distribution

1-dimensional shifted Normal distribution:

$$\rho_{\sigma, v}(x) = 1/(\sqrt{2\pi}\sigma)e^{-(x-v)^2/2\sigma^2}$$

It is:

Centered at v

Standard deviation: σ

n-Dimensional Normal Distribution

n-dimensional shifted Normal distribution:

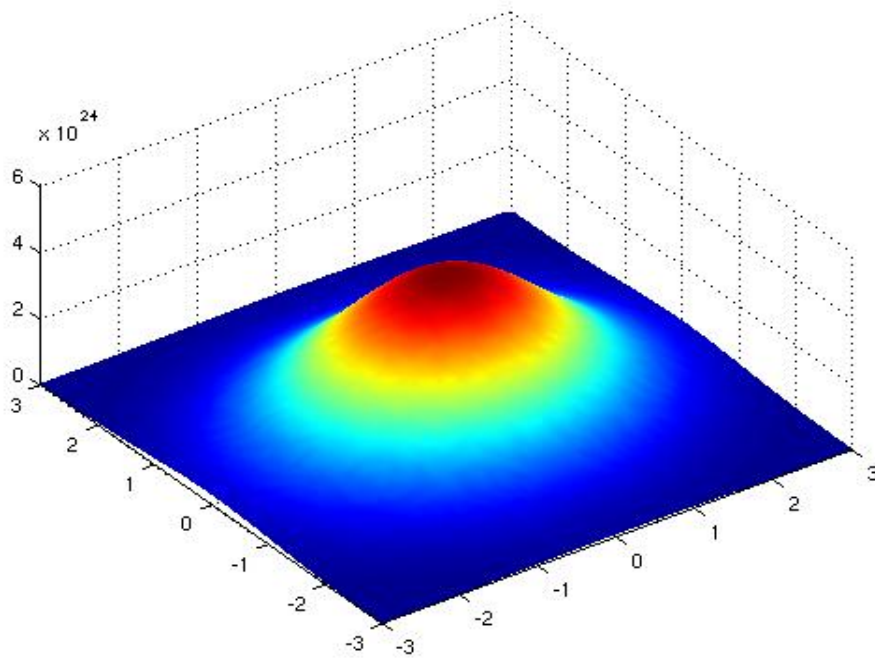
$$\rho_{\sigma, \mathbf{v}}(\mathbf{x}) = 1/(\sqrt{2\pi}\sigma)^n e^{-\|\mathbf{x}-\mathbf{v}\|^2/2\sigma^2}$$

It is:

Centered at \mathbf{v}

Standard deviation: σ

2-Dimensional Example



n-Dimensional Normal Distribution

n-dimensional shifted Normal distribution:

$$\rho_{\sigma, \mathbf{v}}(\mathbf{x}) = 1/(\sqrt{2\pi}\sigma)^n e^{-\|\mathbf{x}-\mathbf{v}\|^2/2\sigma^2}$$

It is:

Centered at \mathbf{v}

Standard deviation: σ

Discrete Normal: for \mathbf{x} in \mathbf{Z}^n ,

$$D_{\sigma, \mathbf{v}}(\mathbf{x}) = \rho_{\sigma, \mathbf{v}}(\mathbf{x}) / \rho_{\sigma, \mathbf{v}}(\mathbf{Z}^n)$$

New Rejection Sampling

$$g(\mathbf{x})=f(\mathbf{x}) = D_{\sigma,0}(\mathbf{x})$$

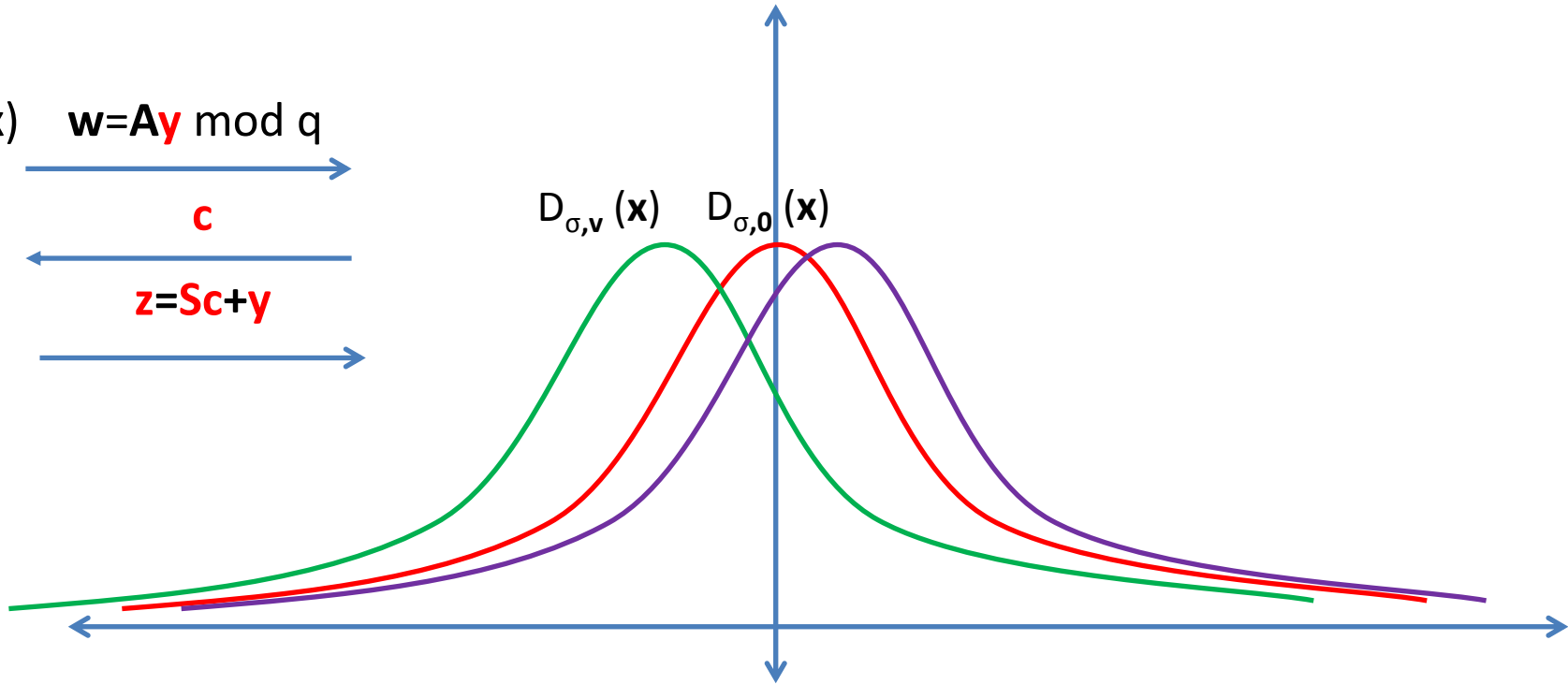
Lemma: If $\sigma = k\|\mathbf{v}\|$, then with very high probability, for all likely $\mathbf{x} \sim f$,

$$D_{\sigma,0}(\mathbf{x}) / D_{\sigma,\mathbf{v}}(\mathbf{x}) < e^{12/k}$$

Rejection Sampling

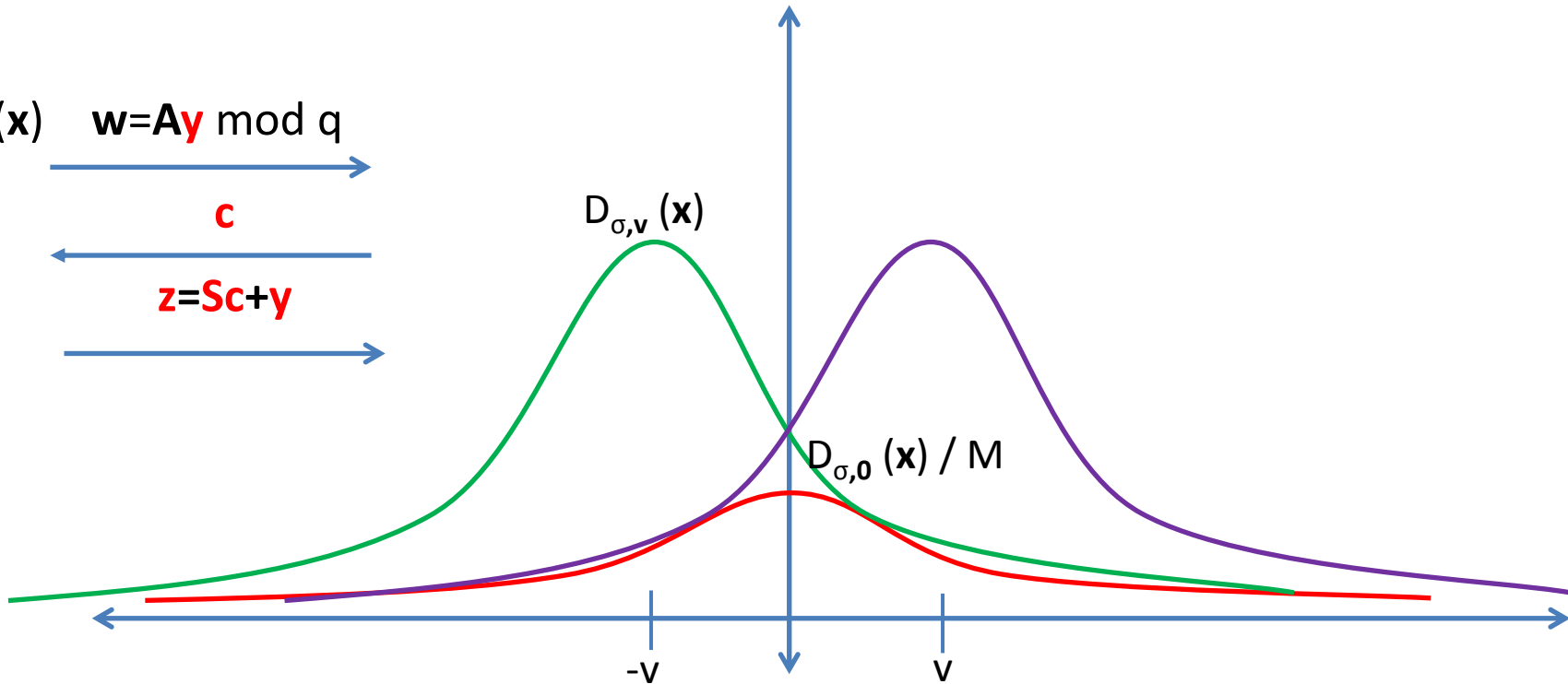
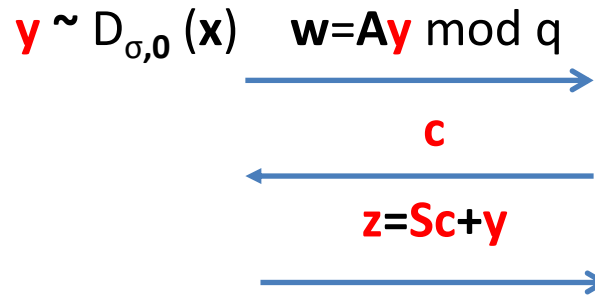
Secret Key: **S**

$y \sim D_{\sigma,0}(x)$ $w = Ay \bmod q$
 c
 $z = Sc + y$



Rejection Sampling

Secret Key: **S**



$$v = \max \|Sc\|$$
$$M < e^{12/k}$$

New Rejection Sampling

$$g(\mathbf{x})=f(\mathbf{x}) = D_{\sigma,0}(\mathbf{x})$$

Lemma: If $\sigma = k\|\mathbf{v}\|$, then with very high probability, for all likely $\mathbf{x} \sim f$,

$$D_{\sigma,0}(\mathbf{x}) / D_{\sigma,\mathbf{v}}(\mathbf{x}) < e^{12/k}$$

Set $k=12$ (asymptotically $\sqrt{\log m}$) $\rightarrow M < e$

New Rejection Sampling

$$g(\mathbf{x})=f(\mathbf{x}) = D_{\sigma,0}(\mathbf{x})$$

Lemma: If $\sigma = k\|\mathbf{v}\|$, then with very high probability, for all likely $\mathbf{x} \sim f$,

$$D_{\sigma,0}(\mathbf{x}) / D_{\sigma,\mathbf{v}}(\mathbf{x}) < e^{12/k}$$

Set $k=12$ (asymptotically $\sqrt{\log m}$) $\rightarrow M < e$

$$\|\mathbf{x}\| \approx \sqrt{m} \|\mathbf{v}\| \approx O(m)$$

Identification Scheme Based on SIS

Secret Key: \mathbf{S}

Public Key: \mathbf{A} , $\mathbf{T}=\mathbf{AS} \bmod q$

Pick $\mathbf{y} \sim D_{\sigma,0}$

$$\mathbf{w}=\mathbf{Ay} \bmod q$$

pick a random \mathbf{c}

\mathbf{c}

$$\mathbf{z}=\mathbf{Sc}+\mathbf{y}$$

$\mathbf{z} = \square$ with probability

\mathbf{z}

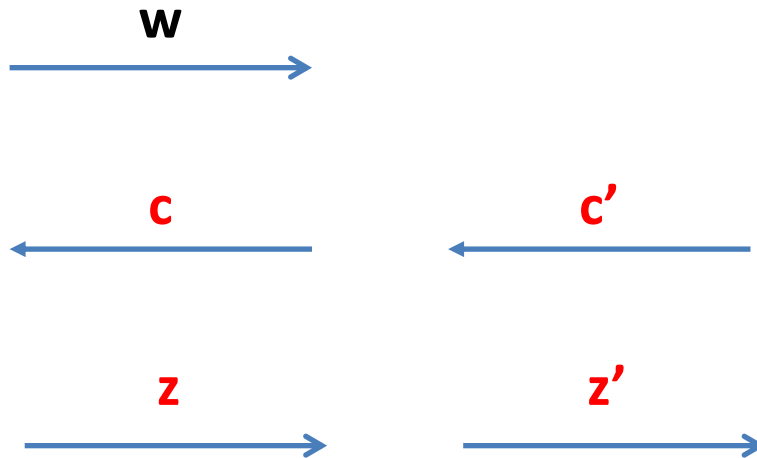
$$1 - D_{\sigma,0}(\mathbf{z}) / (\text{MD}_{\sigma,\mathbf{Sc}}(\mathbf{z}))$$

If $\mathbf{AS}=\mathbf{AS}' \bmod q$, then (\mathbf{c},\mathbf{z}) has the same distribution whether \mathbf{S} or \mathbf{S}' is used
 $(\mathbf{w},\mathbf{c},\mathbf{z})$ as well ...

Security Reduction (Stage 2)

Adversary

Public Key: A , $T=AS \pmod q$



$$Az = Tc + w \pmod q$$
$$Az' = Tc' + w \pmod q$$

$$A(z - z') = T(c - c') \pmod q$$
$$A(z - z') = AS(c - c') \pmod q$$

Observation: If the adversary knows S , then he can always give us $z - z' \neq S(c - c')$

Solution: Make sure adversary does not learn S
With probability at least $\frac{1}{2}$, we solve SIS.

Hope: $z - z' \neq S(c - c')$

Signature Scheme

Secret Key: **S**

Public Key: **A**, **T=AS** mod q

Sign(μ)

Pick **y** $\sim D_{\sigma,0}$

Compute **c**=H(**Ay** mod q, μ)

z=Sc+y

Output(**z,c**) with probability

$$D_{\sigma,0}(\mathbf{z}) / (MD_{\sigma,Sc}(\mathbf{z}))$$

(If nothing was output, repeat)

Verify(**z,c**, μ)

Check that **z** is “small”

and

$$\mathbf{c} = H(\mathbf{Az} - \mathbf{Tc} \text{ mod } q, \mu)$$

PRACTICAL CONSIDERATIONS

The Knapsack Problem

The diagram illustrates the knapsack problem equation. On the left, a light blue rectangle labeled 'A' represents the matrix. To its right is a tall, thin green vertical rectangle labeled 's' in red, representing the vector. An equals sign follows, then a light blue vertical rectangle labeled 't' in black, representing the target vector. To the right of 't' is the text 'mod q'.

$$\mathbf{A} \mathbf{s} = \mathbf{t} \pmod{q}$$

\mathbf{A} is random in $\mathbf{Z}_q^{n \times m}$

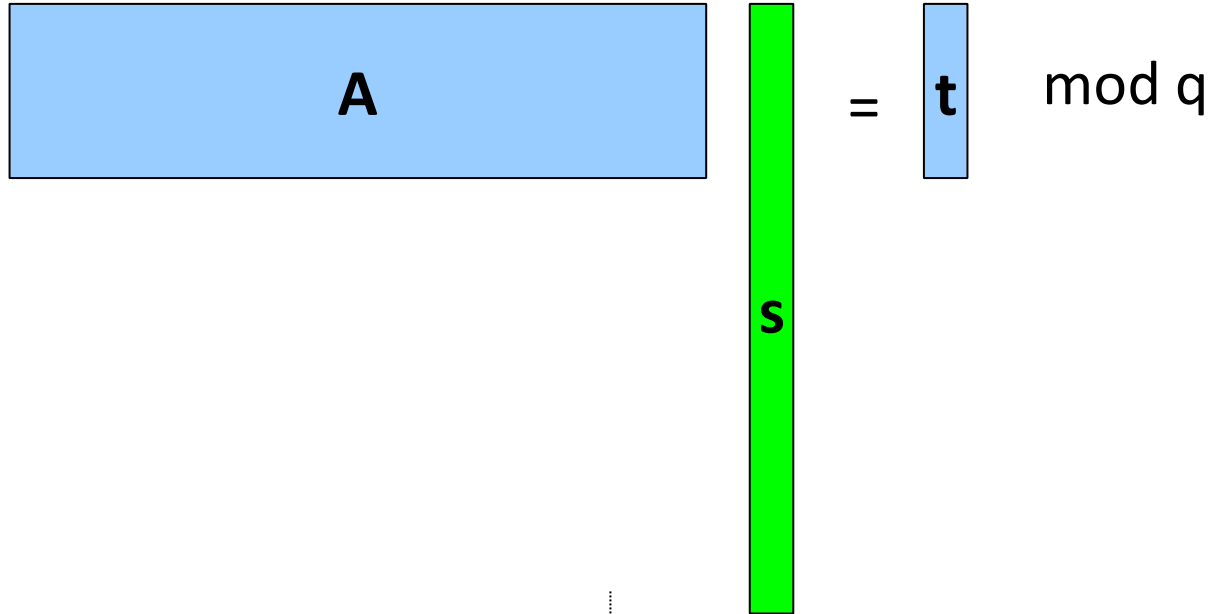
\mathbf{s} is a random “small” vector in \mathbf{Z}_q^m

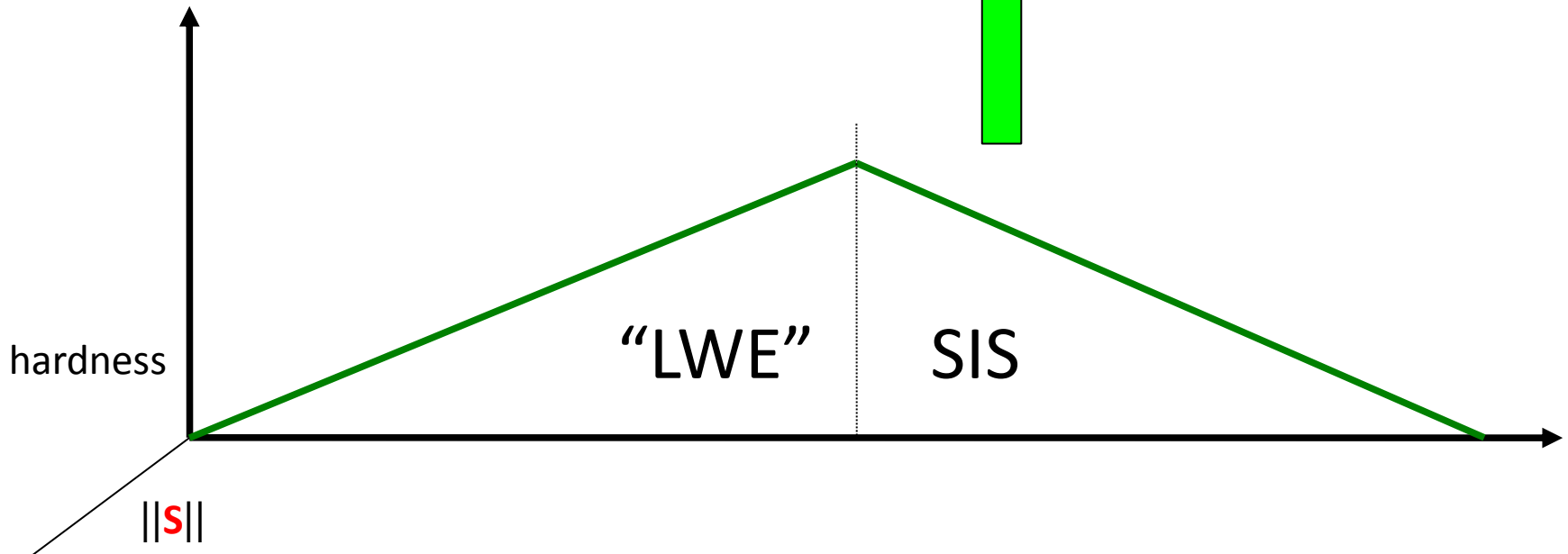
$\mathbf{t} = \mathbf{A}\mathbf{s} \pmod{q}$

Given (\mathbf{A}, \mathbf{t}) , find small \mathbf{s}' such that

$\mathbf{A}\mathbf{s}' = \mathbf{t} \pmod{q}$

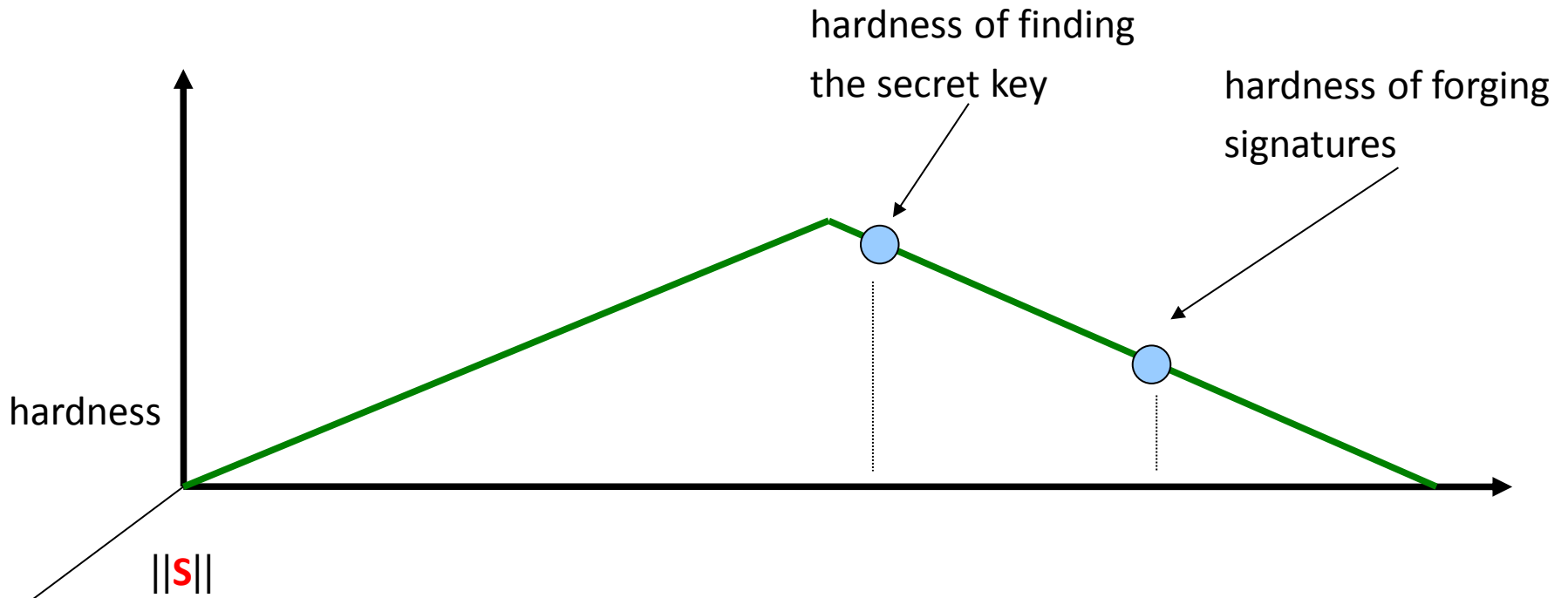
Hardness of the Knapsack Problem

$$A \cdot s = t \pmod{q}$$




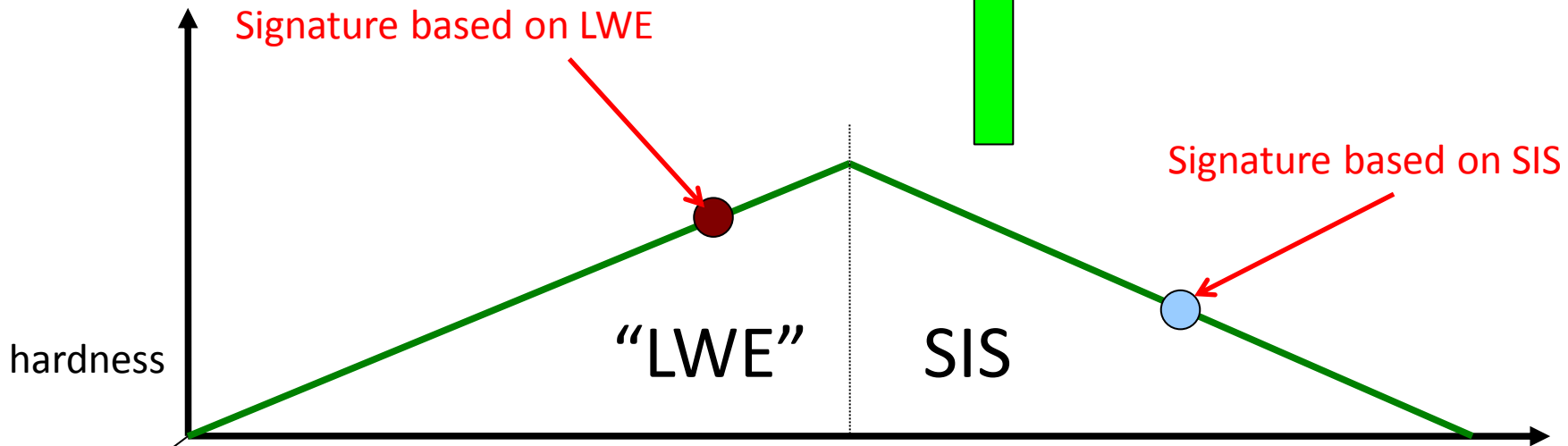
Signature Hardness

● Construction based on SIS



Lattice Signatures

$$A \begin{bmatrix} s \end{bmatrix} = \begin{bmatrix} t \end{bmatrix} \pmod{q}$$

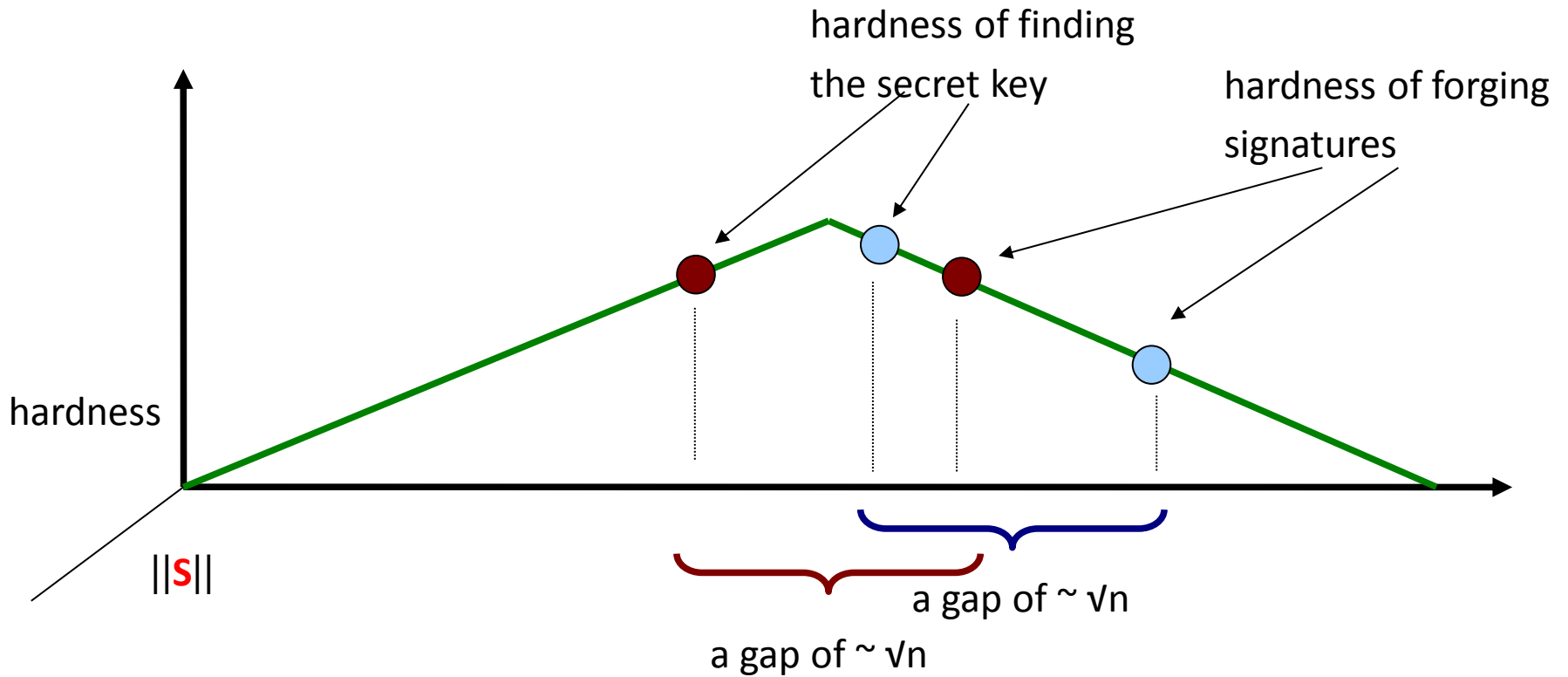


Everything extends to **Ring-SIS** and **Ring-LWE**

IDENTIFICATION AND “FIAT-SHAMIR” SIGNATURE SCHEMES BASED ON LWE

Signature Based on LWE

- Construction based on SIS
- Construction based on LWE



Identification Scheme Based on SIS

Adversary

Secret Key: \mathbf{S}

Public Key: \mathbf{A} , $\mathbf{T}=\mathbf{AS} \bmod q$

Pick $\mathbf{y} \sim D_{\sigma,0}$

$$\mathbf{w}=\mathbf{Ay} \bmod q$$

pick a random \mathbf{c}

\mathbf{c}

$$\mathbf{z}=\mathbf{Sc}+\mathbf{y}$$

$\mathbf{z} = \square$ with probability

\mathbf{z}

$$1 - D_{\sigma,0}(\mathbf{z}) / (\text{MD}_{\sigma,\mathbf{Sc}}(\mathbf{z}))$$

If $\mathbf{AS}=\mathbf{AS}' \bmod q$, then (\mathbf{c},\mathbf{z}) has the same distribution whether \mathbf{S} or \mathbf{S}' is used
 $(\mathbf{w},\mathbf{c},\mathbf{z})$ as well ...

There is only one \mathbf{S} , so reduction does not work in the second step!!

Passive Adversary

A, T (Decide whether T is LWE or Random)



Adversary

Public Key: **A, T**

$$\mathbf{w} = \mathbf{A}\mathbf{z} - \mathbf{T}\mathbf{c} \pmod{q}$$



pick a random **c**

c



$$\mathbf{z} \sim D_{\sigma,0}$$

z

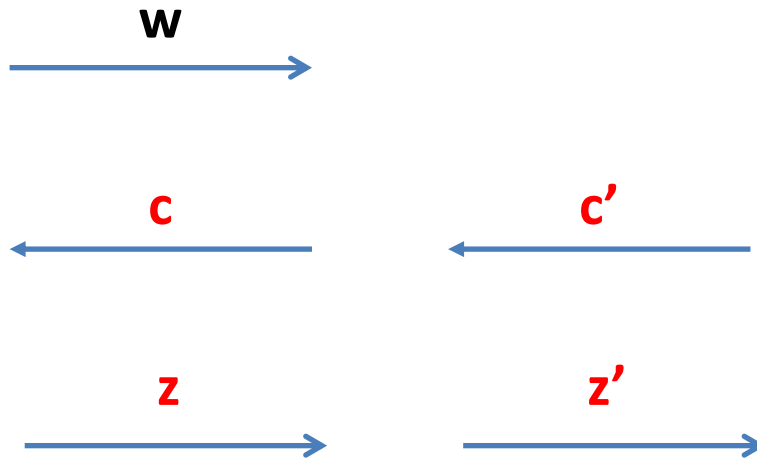


Important: cannot do simulation if $z = \square$, (how do you generate **w**??)
But this is not needed because $z = \square$ never appears in the signature scheme.

Security Reduction (Stage 2)

Adversary

Public Key: $\mathbf{A}, \mathbf{T} \bmod q$



$$\mathbf{A}\mathbf{z} = \mathbf{T}\mathbf{c} + \mathbf{w} \bmod q$$

$$\mathbf{A}\mathbf{z}' = \mathbf{T}\mathbf{c}' + \mathbf{w} \bmod q$$

$$\mathbf{A}(\mathbf{z} - \mathbf{z}') = \mathbf{T}(\mathbf{c} - \mathbf{c}') \bmod q$$

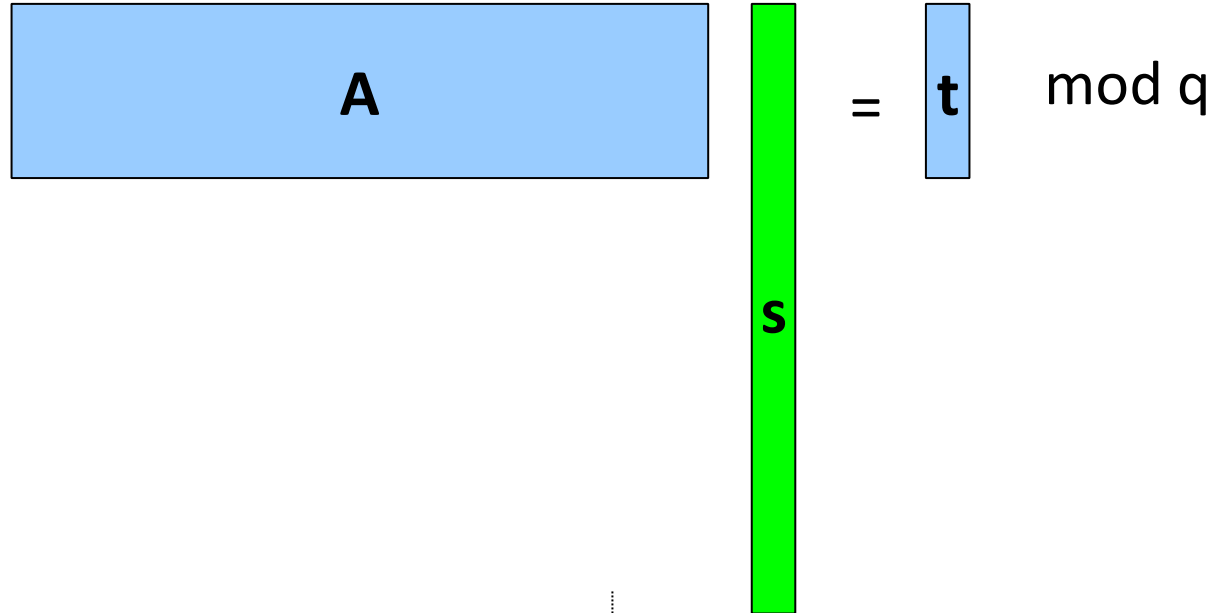
If there is an \mathbf{S} such that $\mathbf{A}\mathbf{S} = \mathbf{T}$, then the Adversary must succeed.

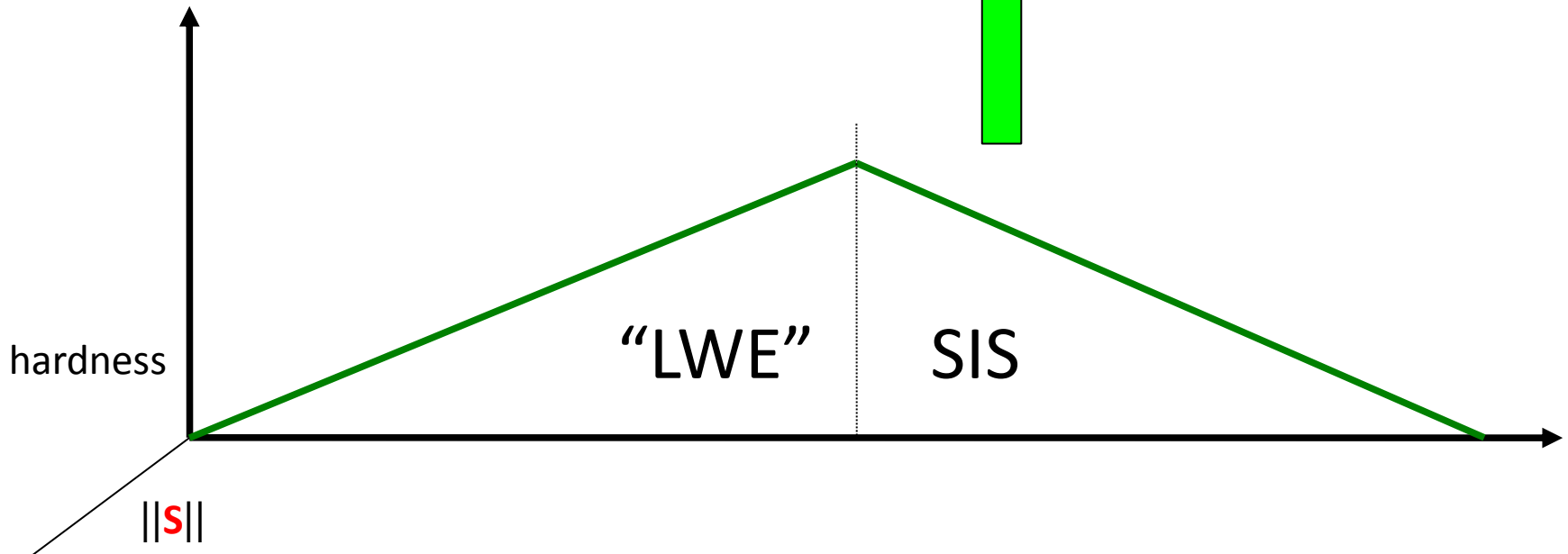
If \mathbf{T} is random:

If adversary does not succeed, then we can solve LWE

If adversary still succeeds, then we solve SIS for $(\mathbf{A} | \mathbf{T}) \rightarrow$ can solve LWE

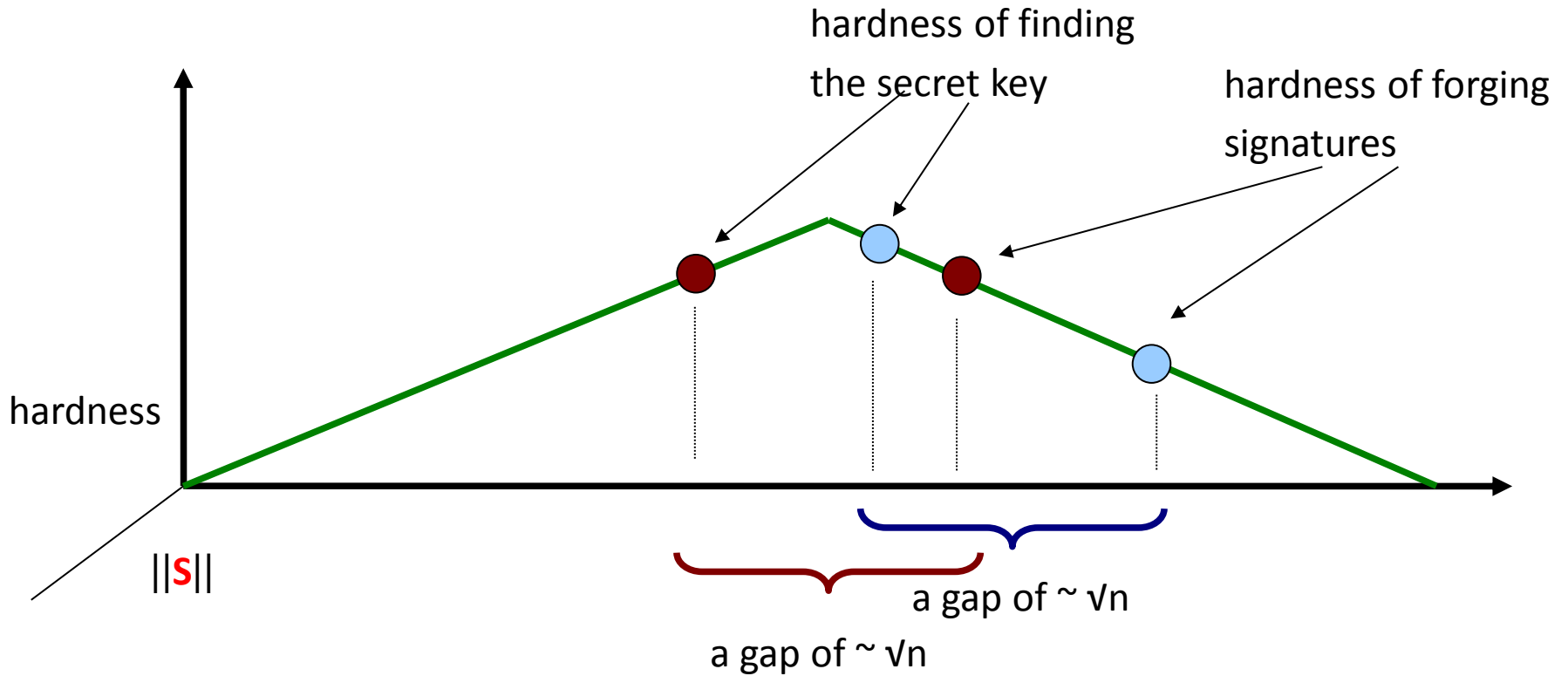
Hardness of the Knapsack Problem

$$A \cdot s = t \pmod{q}$$




Signature Hardness

- Construction based on SIS
- Construction based on LWE



Parameters (Using Rings)

	○	●	● [GLP '12]
sk size (bits)	12,000	2000	2000
pk size (bits)	12,000	12,000	12,000
sig size (bits)	140,000	17,000	9000

≈ 80-100 bit security level [GN '08, CN '11]

