



Fully Homomorphic Encryption

Part I

Mehdi Tibouchi

NTT Secure Platform Laboratories

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incl. some slides courtesy of J.-S. Coron

Outline

Introduction

Fully homomorphic encryption

FHE in practice?

Gentry's original framework for FHE

Gentry's scheme

The vDGHV scheme

Bootstrapping

Homomorphic encryption

- ▶ Computing on encrypted data.
- ▶ Multiplicatively homomorphic: “textbook RSA”.

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- ▶ Additively homomorphic: Paillier.

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 - ▶ Open problem until Gentry's breakthrough in 2009.

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Homomorphic encryption for e-voting

- ▶ A typical application of additively homomorphic encryption is secure voting schemes.
- ▶ In a yes-no election, each voter casts a ballot by encrypting 0 or 1 using the Paillier public key of the organizer of the election.
- ▶ The ballots are then shuffled and added together homomorphically by some independent third parties.
- ▶ Decrypting the resulting ciphertext reveals the tally, while individual votes remain secret.
- ▶ Add in zero-knowledge proofs to ensure that each step is correct, and use threshold encryption/secret sharing to avoid a single authority.
- ▶ Make sure voters have Ph.D.'s in cryptography to understand the whole process.

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Timeline of privacy homomorphisms

- ▶ [RSA77]: multiplication mod N ;
- ▶ [RAD78]: introduce the notion of privacy homomorphism
 - ▶ almost suggests FHE as an open problem...
- ▶ [GM84]: addition mod 2, CPA-security;
- ▶ [ElGamal84]: multiplication mod p ;
- ▶ [Paillier98], [OU98]: addition mod N (resp. mod p);
- ▶ [BGN06]: polynomials of degree 2 mod p ;
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Fully homomorphic encryption

- ▶ We restrict ourselves to encrypting a single bit:
 - ▶ $0 \rightarrow 203ef6124 \dots 23ab87_{16}$
 - ▶ $1 \rightarrow b327653c1 \dots db3265_{16}$
 - ▶ no loss of generality, by the hybrid argument.
- ▶ Fully homomorphic property
 - ▶ Given $E(b_0)$ and $E(b_1)$, one can compute $E(b_0 \oplus b_1)$ and $E(b_0 \cdot b_1)$ without knowing the private key.
- ▶ Computing over a ring:
 - ▶ Given a circuit with xors and ands, and encrypted input bits, one can compute the output in encrypted form, without knowing the private key.
 - ▶ Hence, compute any function on encrypted data that can be represented as a boolean circuit with polynomially many gates (and $\mathbf{BPP} \subseteq \mathbf{P/poly}$).

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Security notions

- ▶ One can consider both secret-key and public-key FHE schemes.
 - ▶ Both are interesting.
- ▶ Usual security notion: IND-CPA.
 - ▶ In the view of an adversary without the secret/private key, $E(0) \cong E(1)$.
- ▶ Can we have more?
 - ▶ CCA2 is incompatible with homomorphic properties.
 - ▶ CCA1 is possible, some conversions exist.

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Compactness

- ▶ Trivial construction of FHE from any encryption scheme:
 - ▶ Same key generation and encryption;
 - ▶ $\text{Eval}(\text{pk}, f, c) = (c, f)$;
 - ▶ $\text{Decrypt}(\text{sk}, (c, f)) = f(\text{Decrypt}(\text{sk}, c))$.
- ▶ We want to exclude such trivial constructions, where no computation is actually carried out on ciphertexts.
- ▶ Usual extra requirement: **compactness**.
 - ▶ Ciphertext size independent of successive homomorphic operations.
- ▶ One can ask for something stronger: **circuit privacy**.
 - ▶ For a given plaintext, ciphertext **distribution** independent of successive homomorphic operations.
 - ▶ Rather costly to achieve; usually relaxed somewhat in “practical” schemes.

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What can we do with FHE? (1)

- ▶ Recall the secure voting protocol from a few slides back:
 - ▶ To cast their ballots, voters encrypt $x_i = 0$ or 1 under an additive homomorphic encryption scheme, **together with a zero-knowledge proof of equality to 0 or 1**;
 - ▶ Third parties shuffle the ballots, add the ciphertexts homomorphically, checking all the proofs;
 - ▶ Organizers decrypt the tally.
- ▶ Using fully homomorphic encryption, do away with the voters' zero-knowledge proofs:
 - ▶ In addition to computing the homomorphic sum of the ballots, the third parties can compute a ciphertext for:

$$t = \prod x_i(x_i - 1).$$

- ▶ The organizers can decrypt this ciphertext and check that $t = 0$ to ensure all ballots were valid (equal to 0 or 1).
- ▶ Not really an improvement of existing voting protocols, but gives an idea of what you can do.

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What can we do with FHE? (2)

- ▶ Possible business model courtesy of J.-S. Coron:
- ▶ You have a software that given the revenue, past income, headcount, etc., of a company can predict its future stock price.
 - ▶ I want to know the future stock price of my company, but I don't want to disclose confidential information.
 - ▶ And you don't want to give me your software containing secret formulas.
- ▶ Using homomorphic encryption:
 - ▶ I encrypt all the inputs using fully homomorphic encryption and send them to you in encrypted form.
 - ▶ You process all my inputs, viewing your software as a circuit.
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What can we do with FHE? (3)

- ▶ Some say FHE is a very nice solution in search of a problem.
- ▶ Applications I do not believe in:
 - ▶ Fully homomorphic Google queries.
 - ▶ Or anything about **secure cloud computing**, really.
 - ▶ Because cloud computing is database search, and doing this with encrypted queries is intrinsically inefficient (linear instead of logarithmic in the size of the database).
 - ▶ Unless interactive protocols are fine, but then use PIR.
- ▶ Applications that may see the light of day:
 - ▶ Handling of data sensitive enough that parties are prepared to pay a heavy price for extra security;
 - ▶ involving relatively simple functions (shallow circuits).
- ▶ In the meantime, FHE is a powerful crypto primitive that lets you build many advanced protocols (NIZK, MPC, secure databases, etc.) provided you do not care too much about efficiency.

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Efficiency is improving

- ▶ 2009: breakthrough scheme by Gentry.
 - ▶ Concrete parameters unclear, probably prohibitively inefficient.
- ▶ 2010: vDGHV scheme over the integers.
 - ▶ Public key size $> 2^{60}$ bits at reasonable security levels!
- ▶ 2011: first implementations of these schemes with numerous optimizations [GH11], [CMNT11].
 - ▶ 15–30 min. per multiplication gate, public key ≈ 1 GB.
- ▶ 2011–2013: (R)LWE-based schemes.
 - ▶ Simpler, more efficient, more versatile.
 - ▶ Optimized implementations evaluate the full AES circuit in 10–30 min. amortized.
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Ingredients for FHE

- ▶ Consider an integer lattice $L \subset \mathbb{Z}^n$.
 - ▶ Secret/private key: a **good basis** for the lattice, that can “correct large errors”.
 - ▶ Public key (optional): a **bad basis**, or even a noisy bad basis: lets you sample a point close to the lattice, but not distinguish between a point close to the lattice and a random point.
- ▶ With this data, we can construct an encryption scheme:
 - ▶ $E_{pk}(0)$ is a point close to the lattice.
 - ▶ $E_{pk}(1)$ is a random point.
 - ▶ CPA secure by assumption!
 - ▶ Almost additively homomorphic.

Ingredients for FHE

- ▶ Consider an integer lattice $L \subset \mathbb{Z}^n$.
 - ▶ Secret/private key: a **good basis** for the lattice, that can “correct large errors”.
 - ▶ Public key (optional): a **bad basis**, or even a noisy bad basis: lets you sample a point close to the lattice, but not distinguish between a point close to the lattice and a random point.
- ▶ With this data, we can construct an encryption scheme:
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A more homomorphic construction

- ▶ Idea for addition: encode the message in the parity of the noise.
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 - ▶ Then, encrypt $m \in \{0, 1\}$ as:

$$E_{\text{pk}}(m) = \mathbf{x} + 2\mathbf{e} + (m, 0, \dots, 0)$$

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Gentry's SHE scheme

- ▶ Public parameters: n a power of 2, $R = \mathbb{Z}[x]/(x^n + 1)$.
- ▶ Key generation returns a lattice L which is an ideal of $\mathbb{Z}[x]/(x^n + 1)$.
 - ▶ Private key is a **good basis** B_{sk} for L , whose fundamental parallelepiped contains the ball of radius d .
 - ▶ Public key is a **bad basis** B_{pk} for L (usually the HNF); with it, decisional BDD up to distance d should be hard.
- ▶ $\text{Encrypt}(\text{pk}, m) = 2\mathbf{e} + m \bmod B_{\text{pk}}$, with \mathbf{e} random such that $\|\mathbf{e}\| < \delta$.
 - ▶ Thus a ciphertext is of the form $\mathbf{x} + 2\mathbf{e} + m$ for some $\mathbf{x} \in L$.
- ▶ $\text{Decrypt}(\text{sk}, \mathbf{c}) = (\mathbf{c} \bmod B_{\text{sk}}) \bmod 2$.
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Homomorphic properties of Gentry's scheme

- ▶ Addition:

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- ▶ In particular, $\|\mathbf{e}'\| \lesssim 2\sqrt{n}\|\mathbf{e}_1\| \cdot \|\mathbf{e}_2\|$.
- ▶ The scheme supports circuits with $\approx \log_2 \left(\frac{\log_2 d}{\log_2 \delta} \right)$ levels of Mult gates (somewhat homomorphic encryption).

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- Gentry's scheme
- The vDGHV scheme
- Bootstrapping

The DGHV Scheme (symmetric version)

- ▶ Ciphertext for $m \in \{0, 1\}$:

$$c = q \cdot p + 2r + m$$

where p is the secret key (lattice basis), q and r are randoms.

- ▶ Decryption:

$$(c \bmod p) \bmod 2 = m$$

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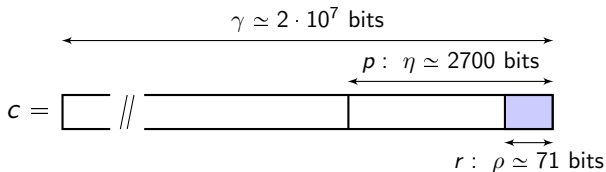
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- ▶ We need to provide a “noisy” description of the ideal $p\mathbb{Z}$
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$$x_i = q_i \cdot p + 2r_i$$

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- ▶ As described here, reduces to the General Approximate GCD (GACD) problem: given polynomially many close multiples of p , find p .
 - ▶ Idea of the reduction: using an adversary that distinguishes $E(0)$ and $E(1)$ with significant probability, construct an algorithm that predicts the LSB of q in $q \cdot p + r$ with high probability. Conclude using binary GCD.
- ▶ In practice, we change the algorithm slightly, by adding an exact multiple of p , $x_0 = q_0 \cdot p$, in the public key.
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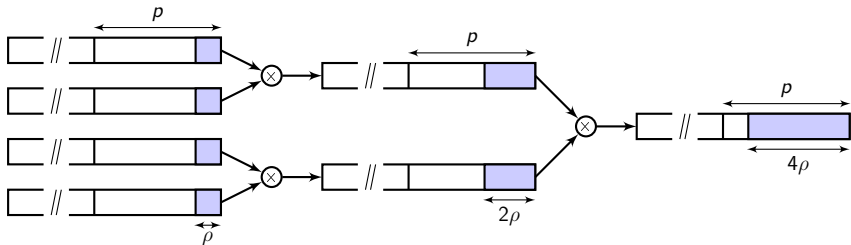
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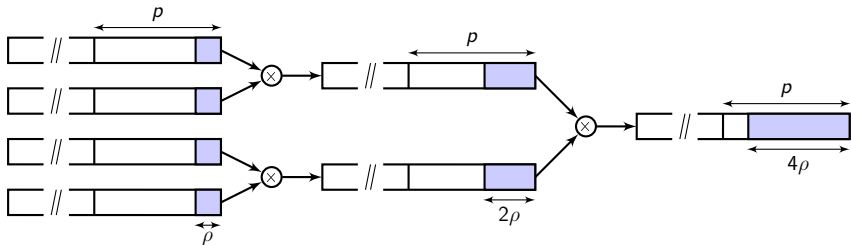
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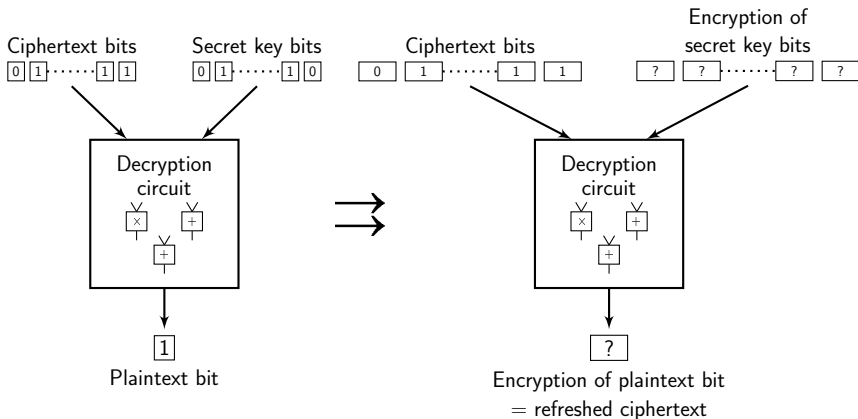
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Solution: Bootstrapping

- ▶ Gentry's breakthrough idea: refresh the ciphertext by evaluating the decryption circuit homomorphically: **bootstrapping**.



Ciphertext refresh

- ▶ Refreshed ciphertext:
 - ▶ If the degree of the decryption polynomial is small enough, the resulting noise in this new ciphertext can be smaller than in the original ciphertext
- ▶ Fully homomorphic encryption:
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Problems with bootstrapping

- ▶ Do we know that the encryption scheme remains secure even after publishing encryption of the secret key bits?
 - ▶ This is called **circular security**.
 - ▶ Only a couple of encryption schemes are proved circular secure, none of them fully homomorphic.
 - ▶ Add circular security as an ad hoc assumption.
- ▶ The noise of refreshed ciphertexts depends on the AND-depth d of the decryption circuit (it is roughly $d\rho$, where ρ is the noise of fresh ciphertexts).
 - ▶ But d can be **huge**! In vDGHV, it is the depth of the circuit computing $(c \bmod p) \bmod 2$ given c and the bits of p .
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The squashed vDGHV scheme (idea)

- Write decryption as:

$$m \leftarrow [c]_2 \oplus [[c \cdot (1/p)]]_2$$

This formula can be used for ciphertext refresh if $1/p$ can be put in a compact encrypted form in the public key.

- Idea (Gentry): use secret sharing. Represent $1/p$ as a sparse subset sum:

$$[2^\kappa/p] = \sum_{i=1}^{\Theta} s_i \cdot u_i$$

with random κ -bit integers u_i , and $s_i \in \{0, 1\}$. Publish the u_i 's and encryptions of the s_i 's.

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- ▶ In 2012, the best paper award of a minor Indian conference went to an “improvement” of the vDGHV scheme that goes basically like this:
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