

A new algorithm for Higher-order model checking

Jérémy Ledent Martin Hofmann

For first order programs (M. Hofmann & W. Chen)

Let Σ be a set of *events* and \mathcal{F} a set of procedure identifiers.

- ▶ Syntax of expressions:

$$e ::= \underline{a} \mid f \mid e_1; e_2 \mid e_1 + e_2 \quad \text{where } a \in \Sigma \text{ and } f \in \mathcal{F}$$

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Examples:

$$\begin{aligned} f &= \underline{a}; \underline{b}; g \\ g &= \underline{d} + (\underline{c}; f) \end{aligned}$$

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$$\begin{array}{ll} L_*(f) & = (ab\checkmark c\checkmark)^* ab\checkmark d \\ L_*(u) & = \emptyset \end{array} \qquad \begin{array}{ll} L_\omega(f) & = \{(ab\checkmark c\checkmark)^\omega\} \\ L_\omega(u) & = \{a(\checkmark)^\omega\} \end{array}$$

Policy Automaton

```
#define TIMEOUT 65536
while (true) {
    int i,s; i = s = 0;
    while (i++ < TIMEOUT && s == 0) {
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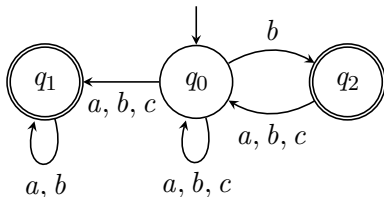
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“If c occurs infinitely often, then b occurs infinitely often.”

Büchi type system

Let $GFb = (a^*b)^\omega$ be a type asserting “ b occurs infinitely often”.

Consider the procedure:

$$f = \underline{a}; f$$

Assuming $f : GFb$, we can derive $(\underline{a}; f) : aGFb$, and since $aGFb = GFb$, that means we have a derivation

$$f : GFb \vdash (\underline{a}; f) : GFb$$

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Under “usual” typing rules, this would allow us to establish

$$\vdash f : GFb$$

which is clearly wrong.

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Idea:

$$\frac{f : X \vdash e_f : T(X)}{\vdash f : \text{gfp}(\lambda X. T(X))}$$

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Looks like a language equation $X = aX + b$

Smallest solution: $X = a^*b$

Greatest solution: $X = a^*b + a^\omega = L(f)$

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For first-order programs:

$$T(X) = U \cdot X + V$$

$$\text{gfp}(T) = U^*V + U^\omega$$

Büchi Abstraction

Let $\mathfrak{L}_* = \mathcal{P}(\Sigma^*)$ and $\mathfrak{L}_\omega = \mathcal{P}(\Sigma^\omega)$.

Given the policy automaton \mathcal{A} , we can construct complete lattices \mathfrak{M}_* and \mathfrak{M}_ω such that:

- ▶ They are finite.

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- ▶ They are finite.
- ▶ They are related to \mathfrak{L}_* , \mathfrak{L}_ω by a *galois insertion*. There are $\alpha_{*/\omega} : \mathfrak{L}_{*/\omega} \rightarrow \mathfrak{M}_{*/\omega}$ and $\gamma_{*/\omega} : \mathfrak{M}_{*/\omega} \rightarrow \mathfrak{L}_{*/\omega}$ such that

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- ▶ $L \subseteq L(\mathcal{A}) \iff \alpha(L) \sqsubseteq \alpha(L(\mathcal{A}))$
- ▶ The abstraction function α preserves unions, concatenation, least fixpoints and ω -iteration (but not greatest fixpoints !):

$$\begin{array}{ccc} \mathfrak{M}_* & \xrightarrow{(-)^\omega} & \mathfrak{M}_\omega \\ \alpha_* \uparrow & & \uparrow \alpha_\omega \\ \mathfrak{L}_* & \xrightarrow{(-)^\omega} & \mathfrak{L}_\omega \end{array}$$

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Define the equivalence relation $\sim_{\mathcal{A}}$ on Σ^+ as follows: $u \sim_{\mathcal{A}} v$ iff

$$\forall q, q'. (q \xrightarrow{u} q' \iff q \xrightarrow{v} q') \wedge (q \xrightarrow{u}_F q' \iff q \xrightarrow{v}_F q')$$

and extend it to Σ^* such that $[\varepsilon] = \{\varepsilon\}$.

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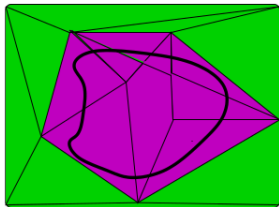
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- ▶ There's a finite number of classes.
- ▶ For every class C , either $C \cap L_*(\mathcal{A}) = \emptyset$ or $C \subseteq L_*(\mathcal{A})$.
- ▶ For every C, D , either $CD^\omega \cap L_\omega(\mathcal{A}) = \emptyset$ or $CD^\omega \subseteq L_\omega(\mathcal{A})$.
- ▶ For every $w \in \Sigma^\omega$, there are C, D such that $w \in CD^\omega$.

The sets CD^ω behave almost like classes, but they may overlap !

Büchi Abstraction

Define $\mathfrak{M}_* = \mathcal{P}(\Sigma^* / \sim_{\mathcal{A}})$

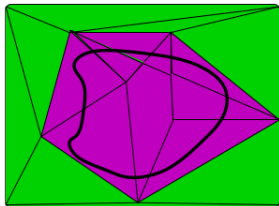


$$\gamma_*(\mathcal{V}) = \bigcup_{C \in \mathcal{V}} C$$

$$\alpha_*(L) = \{C \mid C \cap L \neq \emptyset\}$$

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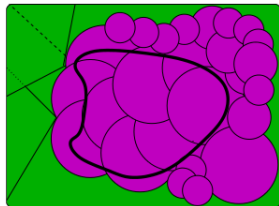
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and $\mathfrak{M}_\omega = \{\mathcal{V} \subseteq (\Sigma^* / \sim_{\mathcal{A}}) \times (\Sigma^* / \sim_{\mathcal{A}}) \mid \mathcal{V} \text{ is closed}\}$



$$\gamma_\omega(\mathcal{V}) = \bigcup_{(C,D) \in \mathcal{V}} CD^\omega$$

$$\alpha_\omega(L) = \text{cl} \{(C, D) \mid CD^\omega \cap L \neq \emptyset\}$$

Extending to Higher-order

Terms:

$$e ::= x \mid \underline{a} \mid e_1; e_2 \mid e_1 + e_2 \mid \text{fix } e \mid \lambda x. e \mid e_1 e_2$$

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$$\tau ::= o \mid \tau_1 \rightarrow \tau_2$$

Typing rules:

$$\frac{}{\Gamma \vdash x : \Gamma(x)} \quad \frac{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash e_1 e_2 : \tau_2} \quad \frac{\Gamma, x : \tau_1 \vdash e : \tau_2}{\Gamma \vdash \lambda x. e : \tau_1 \rightarrow \tau_2}$$
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Program: closed term of type o .

Examples

First order: only use $\text{fix} : (o \rightarrow o) \rightarrow o$.

- ▶ $\text{fix}(\lambda f. (\underline{a}; f) + \underline{b})$
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Non context-free examples:

- ▶ $e' = \text{fix}(\lambda f. \lambda x. (\underline{a}; f(\underline{b}; x; \underline{c}))) + x$

$$L_*(e' \underline{d}) = \{a^n b^n d c^n \mid n \geq 0\} \quad L_\omega(e' \underline{d}) = \{a^\omega\}$$

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- ▶ $e'' = \text{fix}(\lambda x. (e' \underline{d}); x)$

$$L_*(e'') = \emptyset \quad L_\omega(e'') = (L_*(e' \underline{d}))^\omega \cup \{a^\omega\}$$

Related Work

Higher-order model checking (Ong & Kobayashi, Walukiewicz & Salvati, Melliès & Grellois).

- ▶ λY , higher-order recursion schemes, higher-order pushdown automata with collapse.
- ▶ Model-checking of temporal logic, μ -calculus formulas.
- ▶ Relies heavily on tree properties, even if we are only interested in traces.

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Example: $\lambda\mathbf{Y}$.

Choose first-order constants

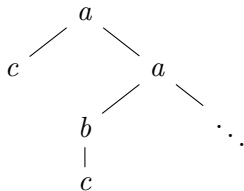
$a : o \rightarrow o \rightarrow o$

$b : o \rightarrow o$

$c : o$

$M = \mathbf{Y}(\lambda f. \lambda x. a \ x \ (f \ (b \ x)))$

Böhm-tree of $(M \ c)$:



GFP semantics

We define the category **GFP**

- ▶ Its objects A are pairs (A_*, A_ω) of complete lattices.
- ▶ A morphism $f : A \rightarrow B$ is a pair (f_*, f_ω) where
 - $f_* : A_* \rightarrow B_*$
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Composition $h = g \circ f$ is given by

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Proposition

GFP is cartesian-closed.

Cartesian products

- ▶ $(A \times B)_* = A_* \times B_*$
- ▶ $(A \times B)_\omega = A_\omega \times B_\omega$

Function spaces

- ▶ $(A \Rightarrow B)_* = B_*^{A_*}$
- ▶ $(A \Rightarrow B)_\omega = B_\omega^{A_* \times A_\omega}$

GFP semantics

GFP has the following fixpoint combinator for every A :

$$\text{fix}_A : (A \Rightarrow A) \rightarrow A$$

where

- ▶ $(\text{fix}_A)_*(f_*) = \text{lfp}(f_*)$
- ▶ $(\text{fix}_A)_\omega(f_*, f_\omega) = \text{gfp}(\lambda a_\omega. f_\omega(\text{lfp}(f_*), a_\omega))$

Proposition

*This is indeed a fixpoint: $f(\text{fix}_A(f)) = \text{fix}_A(f)$ holds in the internal language of **GFP***

$$\text{app} \circ \langle \text{id}_{A \Rightarrow A}, \text{fix}_A \rangle = \text{fix}_A$$

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Interpretation of types:

To every type τ , associate an object $\llbracket \tau \rrbracket$ of **GFP**

$$\llbracket o \rrbracket = (\mathcal{L}_*, \mathcal{L}_\omega) \quad \text{and} \quad \llbracket \sigma \rightarrow \tau \rrbracket = \llbracket \sigma \rrbracket \Rightarrow \llbracket \tau \rrbracket$$

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Reminder: a *program* is a closed term of type o .

Let e be a program, then $\llbracket e \rrbracket : 1 \rightarrow \llbracket o \rrbracket$ is (isomorphic to) an element of $\mathfrak{L}_* \times \mathfrak{L}_\omega$.

Theorem

Let e be a program, write $(L_*, L_\omega) = \llbracket e \rrbracket$ its interpretation in **GFP**. Then we have $L_*(e) = L_*$ and $L_\omega(e) = L_\omega$.

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If we choose $\llbracket o \rrbracket = (\mathfrak{M}_*, \mathfrak{M}_\omega)$ instead, everything is computable.

But α doesn't commute with greatest fixpoints :-)

Affine Functions

For first-order fixpoints:

The denotation of $f : o \rightarrow o$ has two components:

- ▶ $\llbracket f \rrbracket_* : \mathcal{L}_* \rightarrow \mathcal{L}_*$
- ▶ $\llbracket f \rrbracket_\omega : \mathcal{L}_* \times \mathcal{L}_\omega \rightarrow \mathcal{L}_\omega$

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$\llbracket \text{fix } f \rrbracket$ involves some gfp of $\llbracket f \rrbracket_\omega$.

But every function $F : \mathfrak{L}_* \times \mathfrak{L}_\omega \rightarrow \mathfrak{L}_\omega$ that actually occurs as the interpretation of a term is *affine*: there exists $A : \mathfrak{L}_* \rightarrow \mathfrak{L}_*$ and $B : \mathfrak{L}_* \rightarrow \mathfrak{L}_\omega$ such that

$$F(x, X) = A(x) \cdot X \cup B(x)$$

Then $\text{gfp}(F(x, -)) = A(x)^* B(x) \cup A(x)^\omega$ commutes with α .

Affine Functions

For higher-order fixpoints:

Consider $f : (\tau \rightarrow o) \rightarrow (\tau \rightarrow o)$, then

$$\llbracket f \rrbracket_\omega : \llbracket \tau \rightarrow o \rrbracket_* \times (\llbracket \tau \rrbracket_* \times \llbracket \tau \rrbracket_\omega \Rightarrow \mathfrak{L}_\omega) \rightarrow (\llbracket \tau \rrbracket_* \times \llbracket \tau \rrbracket_\omega \Rightarrow \mathfrak{L}_\omega)$$

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A function $F : S \times (T \Rightarrow \mathfrak{L}_\omega) \rightarrow (T \Rightarrow \mathfrak{L}_\omega)$ that occurs as the interpretation of a term will have the form:

$$F(s, X) = \lambda t. A(s, t) \cup \bigcup_{t' \in T} B(s, t, t') \cdot X(t')$$

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Then

$$\begin{aligned} \text{gfp}(F(s, -))(t) &= \bigcup_{\substack{(t_k) \in T^{\mathbb{N}} \\ t_0 = t}} \prod_{i=0}^{\infty} B(s, t_i, t_{i+1}) \\ &\cup \bigcup_{t_1, \dots, t_n \in T} B(s, t, t_1) \cdot B(s, t_1, t_2) \cdots B(s, t_{n-1}, t_n) \cdot A(s, t_n) \end{aligned}$$

ω -semigroups (Perrin, Pin)

An ω -semigroup is a pair of sets $\mathcal{S} = (\mathcal{S}_+, \mathcal{S}_\omega)$ equipped with:

- ▶ a mapping $\mathcal{S}_+ \times \mathcal{S}_+ \rightarrow \mathcal{S}_+$ called *binary product*
- ▶ a mapping $\mathcal{S}_+ \times \mathcal{S}_\omega \rightarrow \mathcal{S}_\omega$ called *mixed product*
- ▶ a mapping $\pi : \mathcal{S}_+^{\mathbb{N}} \rightarrow \mathcal{S}_\omega$ called *infinite product*

such that

- ▶ \mathcal{S}_+ with the binary product is a semigroup
- ▶ for each $s, t \in \mathcal{S}_+$ and $u \in \mathcal{S}_\omega$, $s(tu) = (st)u$
- ▶ for every increasing sequence $(k_n)_n \in \mathbb{N}^{\mathbb{N}}$ and $(s_n)_n \in \mathcal{S}_+^{\mathbb{N}}$, one has $\pi((s_n)_n) = \pi((t_n)_n)$ where $t_0 = s_0 s_1 \dots s_{k_0}$ and $t_{n+1} = s_{k_n+1} \dots s_{k_{n+1}}$
- ▶ $s \cdot \pi(s_0, s_1, s_2, \dots) = \pi(s, s_0, s_1, s_2, \dots)$

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Remark: An ω -semigroup is in particular a *Wilke algebra*.

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Examples of ω -semigroups:

- ▶ $(\Sigma^+, \Sigma^\omega)$ with the usual products

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Given $(s_n) \in \mathfrak{M}_+^{\mathbb{N}}$, define

$$\pi((s_n)_n) = \alpha_\omega\left(\prod_{n=0}^{\infty} \gamma_*(s_n)\right)$$

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Proposition

The abstraction function $\alpha : \mathfrak{L} \rightarrow \mathfrak{M}$ is a morphism of ω -semigroups. In particular, for $(L_n)_{n \in \mathbb{N}}$ a family of languages,

$$\alpha_\omega\left(\prod_{i=0}^{\infty} L_n\right) = \pi((\alpha_*(L_n))_n)$$

Back to affine functions

Idea:

Restrict to the sub-category of **GFP**

- ▶ whose objects are of the form $(X_*, \mathfrak{L}_\omega^{X_{\text{arg}}})$
- ▶ whose morphisms $f : X \rightarrow Y$ have an infinitary component $f_\omega : X_* \times \mathfrak{L}_\omega^{X_{\text{arg}}} \rightarrow \mathfrak{L}_\omega^{Y_{\text{arg}}}$ which is affine w.r.t. its second argument.

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→ a function of the form $f(x) = ax + b$.

→ a pair (a, b) .

The category $\mathbf{AFF}_{\mathcal{S}}$

Let $\mathcal{S} = (\mathcal{S}_+, \mathcal{S}_\omega)$ be an ω -semigroup.

- ▶ Objects are pairs (X_*, X_{arg})
- ▶ A morphism $f : X \rightarrow Y$ is given by
 - $f_* : X_* \rightarrow Y_*$
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Notation: we decompose f_{arg} in two components

$$f_c : X_* \times Y_{\text{arg}} \rightarrow \mathcal{S}_\omega \quad \text{and} \quad f_p : X_* \times Y_{\text{arg}} \times X_{\text{arg}}^{\text{op}} \rightarrow \mathcal{S}_*$$

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There is a functor $\text{Ext} : \mathbf{AFF}_{\mathcal{S}} \rightarrow \mathbf{GFP}$ defined as:

- ▶ $\text{Ext}(X_*, X_{\text{arg}}) = (X_*, \mathcal{S}_\omega^{X_{\text{arg}}})$
- ▶ $\text{Ext}(f_*, f_{\text{arg}}) = (f_*, f_\omega)$ where $f_\omega : X_* \times \mathcal{S}_\omega^{X_{\text{arg}}} \rightarrow \mathcal{S}_\omega^{Y_{\text{arg}}}$ is defined as

$$f_\omega(x, X, \eta) = f_c(x, \eta) \cup \bigcup_{\xi \in X_{\text{arg}}} f_p(x, \eta, \xi) \cdot X(\xi)$$

The category \mathbf{AFF}_S

Composition is defined so that $\text{Ext}(g \circ f) = \text{Ext}(g) \circ \text{Ext}(f)$.

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- ▶ $(X \Rightarrow Y)_* = X_* \Rightarrow (Y_* \times \mathcal{S}_*^{Y_{\text{arg}} \times X_{\text{arg}}^{\text{op}}})$
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Proposition

The category $\mathbf{AFF}_{\mathcal{S}}$ is cartesian-closed.

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Base type: $\llbracket o \rrbracket = (\mathcal{S}_*, \{\star\})$

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- ▶ $\llbracket + \rrbracket_*(s_1, s_2) = s_1 \cup s_2$
 $\llbracket + \rrbracket_{\text{arg}}(s_1, s_2, \star) = (\emptyset, \lambda\eta. \varepsilon)$
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- ▶ One needs an element $a \in \mathcal{S}_*$: pick $\{a\}$ for \mathcal{L}_* and $[a]$ for \mathcal{M}_* .
- ▶ The fixpoint operator can be defined accordingly.

Putting it all together

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Corollary

Let e be a program, and write $\llbracket e \rrbracket^{\mathfrak{M}} = (X_*, X_\omega)$.

Then $L_{*/\omega}(e) \subseteq L_{*/\omega}(\mathcal{A}) \iff X_{*/\omega} \sqsubseteq \alpha_{*/\omega}(L_{*/\omega}(\mathcal{A}))$.

Moreover, $\llbracket e \rrbracket^{\mathfrak{M}}$ is effectively computable.

Thanks !