## **TUTORIAL VI**

# 1 Channel capacity

- 1. For a discrete channel  $W_{Y|X}$  with input alphabet  $\mathcal{X}$ , output alphabet  $\mathcal{Y}$ . Let C(W) denote the channel capacity of W. Show that
  - (a)  $C(W) \ge 0$ .
  - (b)  $C(W) \leq \log_2 |\mathcal{X}|.$
  - (c)  $C(W) \leq \log_2 |\mathcal{Y}|.$
  - (d) I(X;Y) is a continuous concave function of p(x).
- 2. Given a channel  $W_{Y|X}$  and channel capacity  $C(W) = \max_{p(x)} I(X;Y)$ , suppose you apply a preprocessing step to the output by forming  $\tilde{Y} = g(Y)$ .
  - (a) Does it strictly improve the channel capacity?
  - (b) Under what conditions does the capacity not strictly decrease?

### 2 Binary Erasure Channel

A binary erasure channel with input alphabet  $\{0, 1\}$  and output alphabet  $\{0, 1, E\}$  is defined by the following transition probabilities.

$$p_{Y|X}(0|0) = p_{Y|X}(1|1) = 1 - \alpha, \qquad p_{Y|X}(\mathbf{E}|0) = p_{Y|X}(\mathbf{E}|1) = \alpha$$

Essentially, a fraction  $\alpha$  of the input bits are erased (represented by the symbol E).

- 1. Determine the capacity of the channel.
- 2. If there is (noiseless) feedback on whether the input bit is received or erased, how do you achieve a rate equal to the capacity (you can send the same message several times) ?
- 3. Suppose that there is no feedback and we use the following coding scheme: encode 0 as 000 and 1 as 111. Decode 000, E00, 0E0, 00E, EE0, E0E, 0EE to 0 and similarly decode 111, E11, 1E1, 11E, EE1, E1E, 1EE to 1. In case EEE is received, then choose one of 0, 1 at random. What is the probability of error for the code?

### **3** Expurgation

Let C be a M-code with error probability  $P_{err}(C) = \delta$ .

1. Show that you can build a |M/2|-code with maximal error probability  $\leq 2\delta$ .

## 4 Fun with Fano

- 1. Consider the two following pairs of correlated random variables:
  - i. X is uniform on  $\{0, 1\}^n$ , Y equals the first n/2 bits of X.
  - ii. With probability  $\alpha \in [0; 1]$ , X is uniform on  $\{0; 1\}^n$  and Y = X; and with probability  $1 \alpha$ , X is uniform on  $\{0; 1\}^n$  and Y is the all 0s string.

Suppose we observe Y and estimate  $\hat{X} = g(Y)$ . What is the minimum possible value of  $\mathbf{P}(\hat{X} \neq X)$  in the above two examples ? What lower bound does Fano's inequality give in the two examples ?

2. For two vectors  $u, v \in \{0, 1\}^n$ , we denote by  $\Delta(u, v)$  the following set:  $\Delta(u, v) = \operatorname{Card}(\{j \in \{1, \ldots, n\} : u_j \neq v_j\})$ . Suppose X and Y are two correlated random variables taking values in  $\{0, 1\}^n$ . For  $i \in \{0, \ldots, n\}$ , we define  $\theta_i = \mathbf{P}(\Delta(X, Y) = i)$ . Prove that

$$H(X|Y) \le \sum_{i=0}^{n} \theta_i \log_2\left(\binom{n}{i} \frac{1}{\theta_i}\right)$$

(Hint: Define the random variable  $\Delta(X, Y)$  and mimic steps from the proof of Fano's inequality)