

# RENORMALIZATION GROUP APPROACH TO COMPETING ORDERS AT CHARGE NEUTRALITY IN TBG

Lucile Savary



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# COLLABORATORS

- **Eric Brillaux**



- Andrei Fedorenko



CNRS, ENS de Lyon

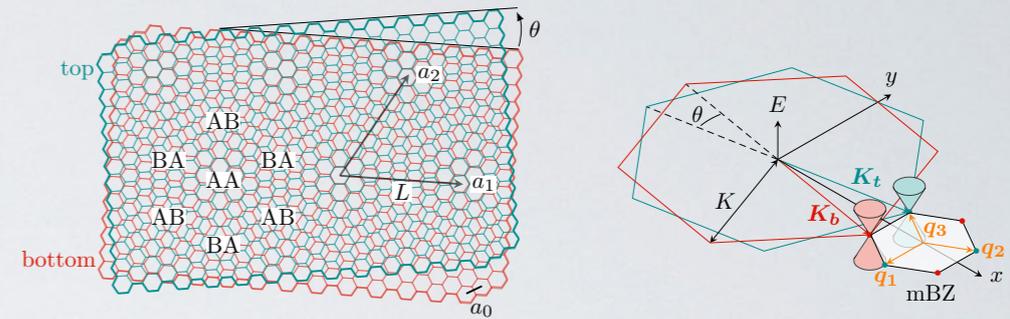
- David Carpentier



[arXiv:2008.05041](https://arxiv.org/abs/2008.05041)

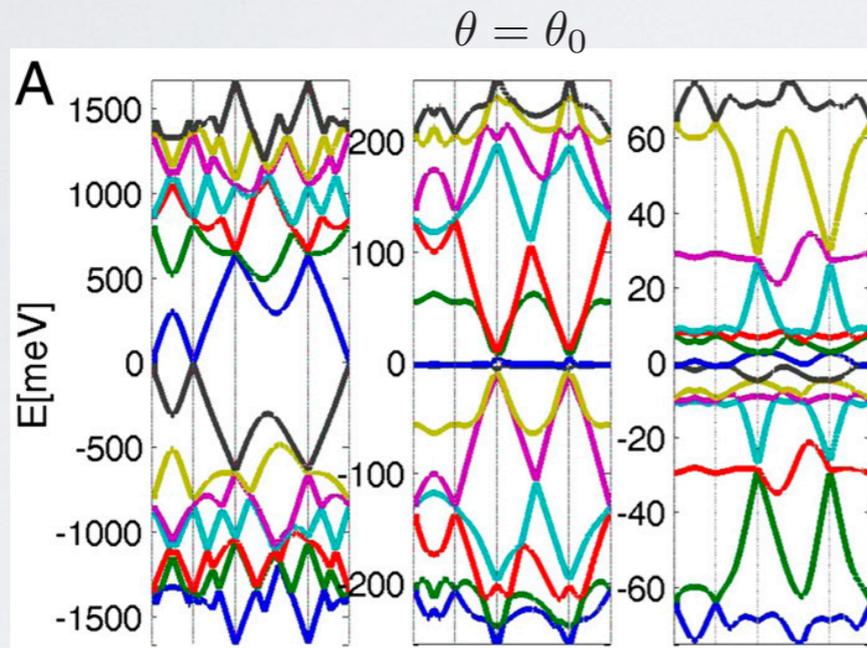
# FLAT BAND PREDICTION AT “MAGIC ANGLES”

- prediction that twisted bilayer graphene ought to have flat bands for some specific (“magic”) very small twist angles, where  $v_{\text{Dirac}}$  vanishes
- hopping parameter  $w$  and twist angle  $\theta$  in single parameter  $\alpha \sim 1/\theta$



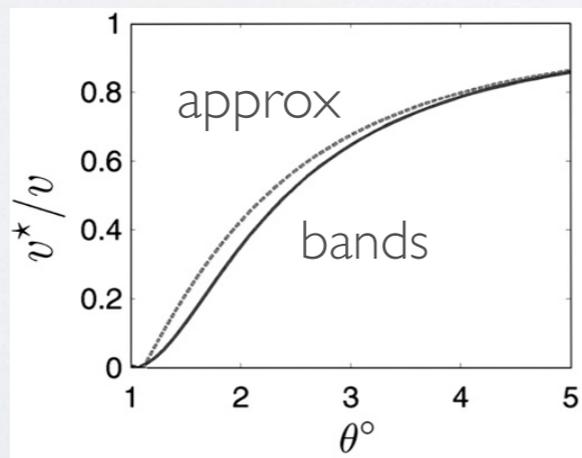
entirely numerical

100-1000 plane wave states

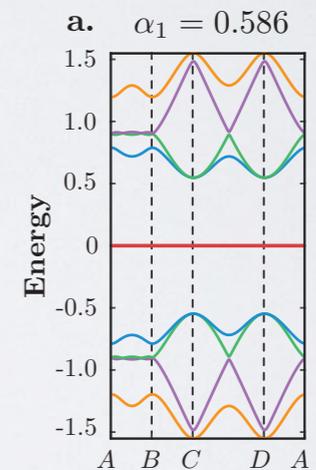


important note for later: Tarnopolsky et al find a simple limit where bands exactly flat

$$\frac{v^*}{v} = \frac{1 - 3\alpha^2}{1 + 6\alpha^2}$$



very accurate!  
simple



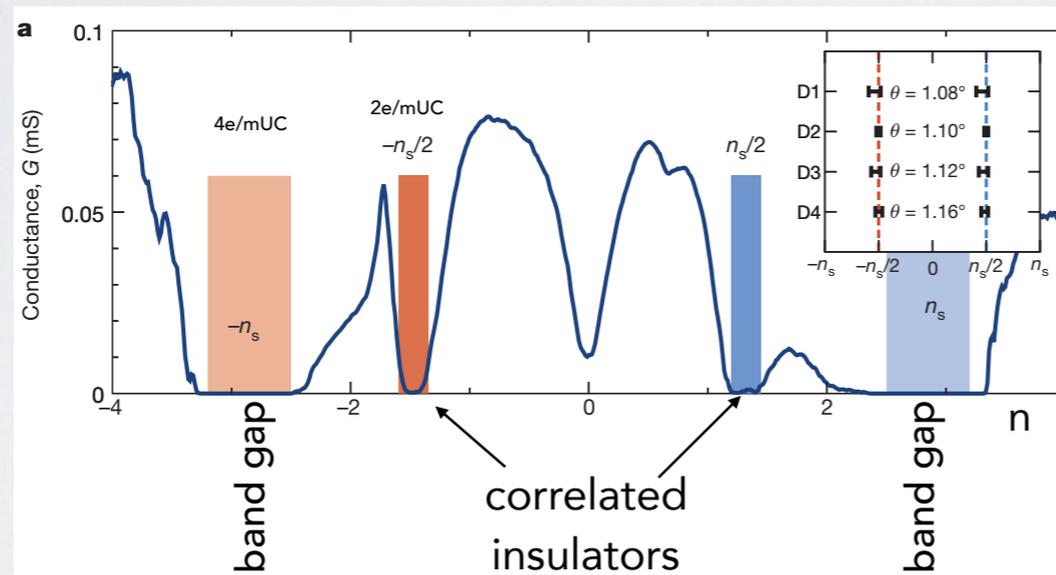
Tarnopolsky et al

Bistritzer and MacDonald

# 2018-NOW: EXPERIMENTAL CONFIRMATION

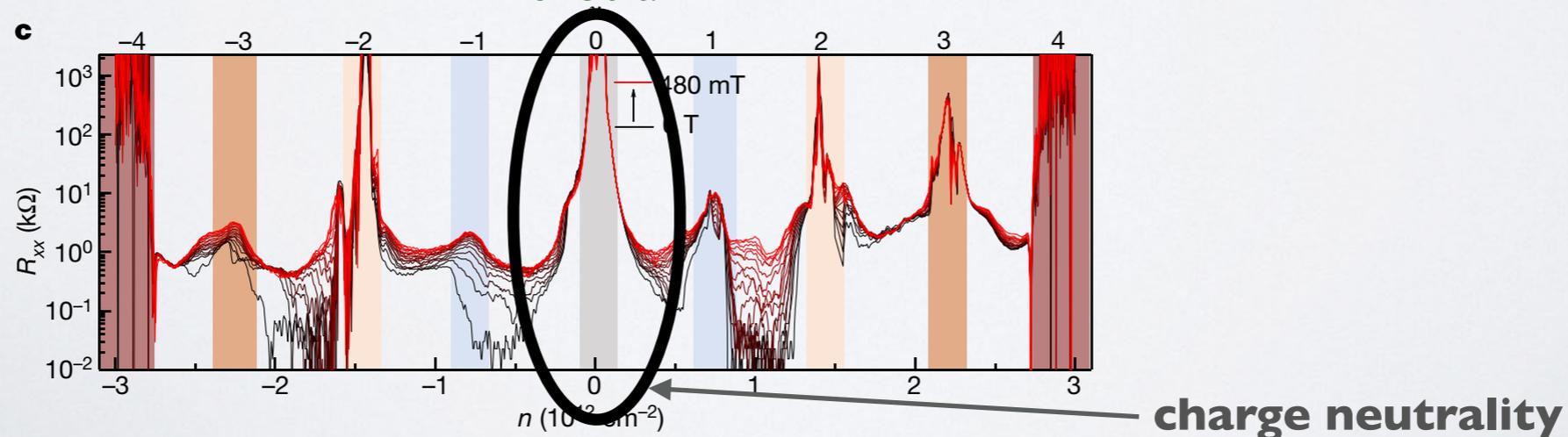
- appearance of insulating and superconducting behaviors at different fillings

Cao et al



MIT, UCSB, Columbia,  
Harvard, ICFO, Rutgers,  
Stanford, Berkeley,  
Princeton .....

Lu et al



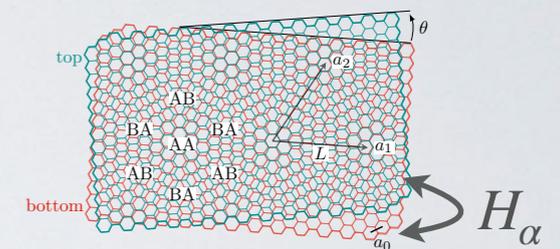
# OUR APPROACH AND RESULTS

- two decoupled twisted sheets of graphene
- coupled in perturbation theory
  - obtain velocity as a function of parameters
- classify contact interactions (find 12)
- weak-coupling RG approach
  - define how flow works
  - obtain flows

$$H_0$$

$$H'_0 = H_0 + H_\alpha$$

$$v_0 \rightarrow v(\alpha, \beta)$$



one other RG paper from 09/20 Kang-Vafeek which uses Coulomb

$$-\frac{\partial \log g_i}{\partial \log \mu} = -\epsilon + v^{-1} \sum_{l=1}^{12} f_{il}(\alpha, \beta) g_l$$

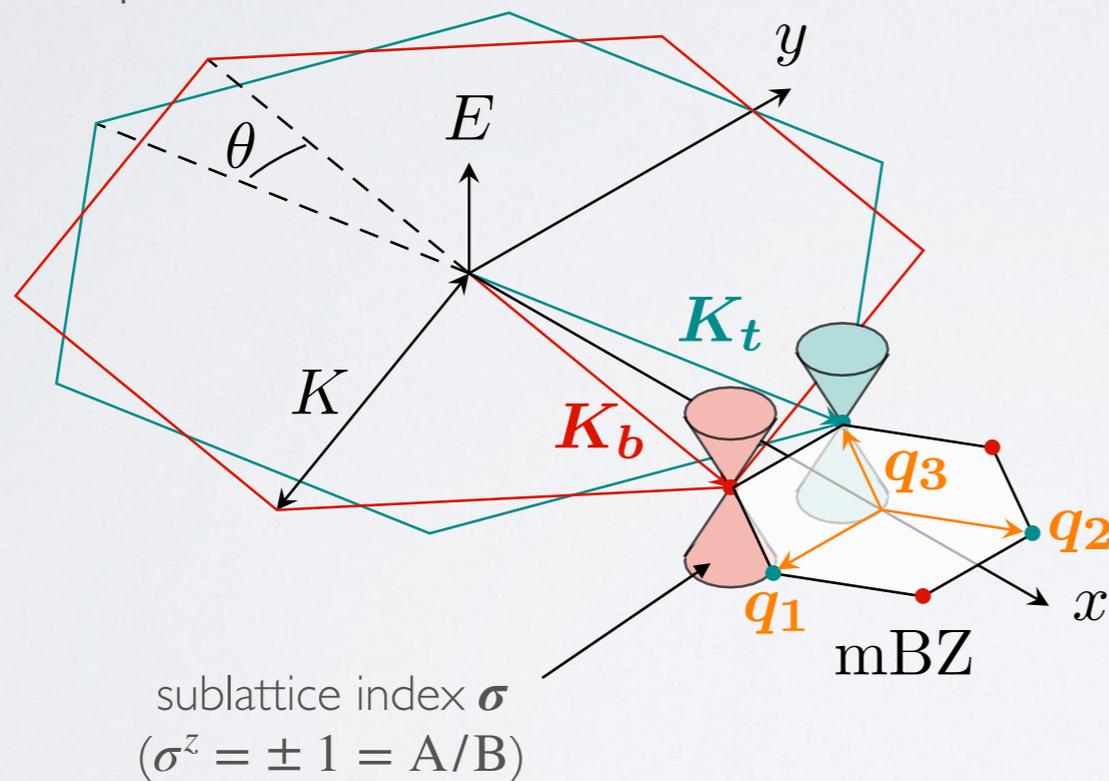
collapse of fixed points towards origin

nematic phase appears

# DECOUPLED TWISTED LAYERS

two noninteracting sheets of graphene

reciprocal space



layer valleys - layer index  $\tau$   
( $\tau^z = \pm 1 = \text{top/bottom}$ )

$$H_0 = i(\underbrace{\sigma \cdot \partial}_{\text{single-layer graphene}})\tau^0$$

single-layer graphene

identical for both layers (for appropriate bases)

in units of  
 $E_c = 2v_0K \sin(\theta/2)$

$v_0$ : bare single layer Dirac velocity

spin degeneracy (weak SOC) - spin index  $\mu$  : discard



four bands

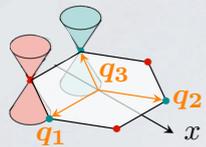
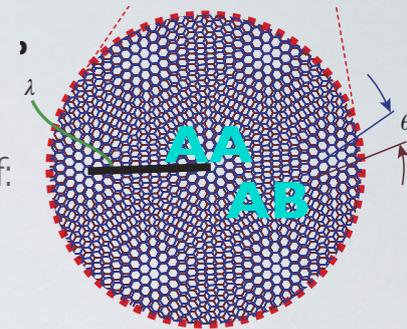
# INTERLAYER COUPLING

$$H_\alpha = \alpha \sum_{j=1}^3 e^{-i\mathbf{q}_j \cdot \mathbf{r}} T_j^+ + \text{h.c.}$$

$$T_j^+ = \underbrace{\left( \beta \sigma^0 + e^{\frac{2\pi i}{3}(j-1)} \sigma^+ + e^{-\frac{2\pi i}{3}} \sigma^- \right)}_{\text{sublattice}} \uparrow \tau^+_{\text{layer (top/bottom)}}$$

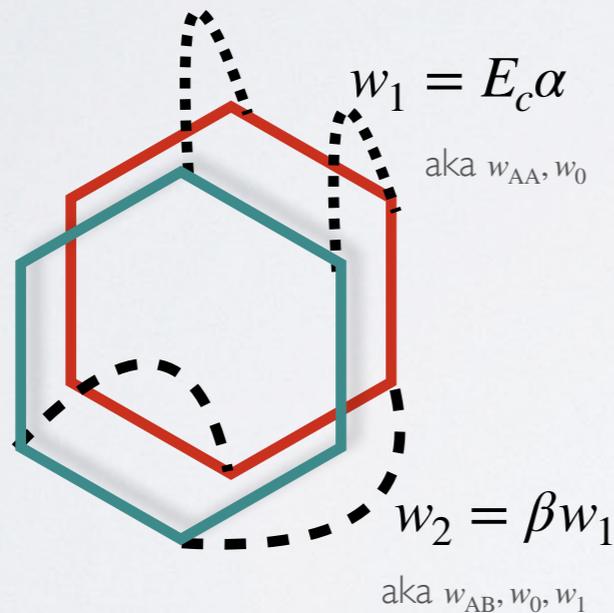
space dependent Hamiltonian ( $e^{-i\mathbf{q}_j \cdot \mathbf{r}}$ ), cf.

Cao et al 2018



in units of  $E_c = 2v_0 K \sin(\theta/2)$

real space



Nam-Koshino parameter  $\beta$ :

Bistritzer-MacDonald model:

$$w_1 = w_2 \quad \beta = 1$$

chiral model:

$$w_2 = 0 \quad \beta = 0 \quad \text{Tarnopolsky et al 2018}$$

“standard” approach: solve numerically a Schrödinger equation with thousands of bands

our approach: treat  $H_\alpha$  as a perturbation to  $H_0 \rightarrow$  obtain analytical results, generalizable to other moiré systems

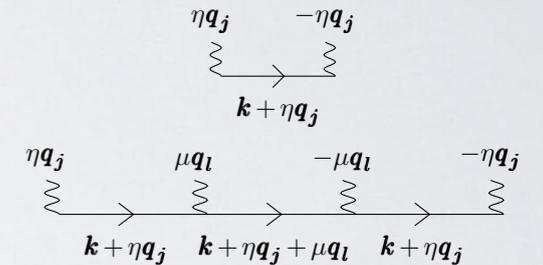
# PERTURBATION BY INTERLAYER COUPLING

$$H_0 = i(\boldsymbol{\sigma} \cdot \boldsymbol{\partial})\tau_0, \quad H_\alpha = \alpha \sum_{j=1}^3 e^{-i\mathbf{q}_j \cdot \mathbf{r}} T_j^+ + \text{h.c.}$$

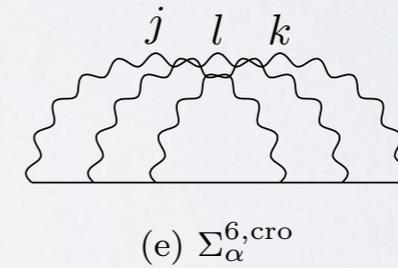
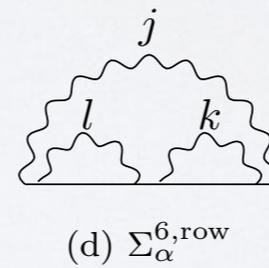
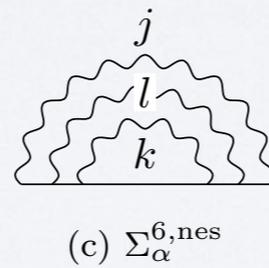
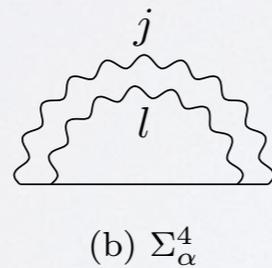
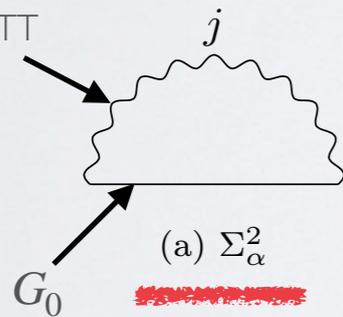
$$T_j^+ = \left( \beta \sigma_0 + e^{i(j-1)2\pi/3} \sigma_+ + e^{-i(j-1)2\pi/3} \sigma_- \right) \tau_+$$

$$H'_0 = H_0 + H_\alpha$$

sixth order in  $\alpha$ , exact in  $\beta$ :  $(G'_0)^{-1} = H_0 - \partial_\tau - \Sigma \approx N_\psi [v(\alpha, \beta) i(\boldsymbol{\sigma} \cdot \boldsymbol{\partial})\tau_0 - \partial_\tau]$



"momentum insertion" TT



$$\Sigma_\alpha^2(\mathbf{k}, \Omega) = \alpha^2 \sum_{\eta, j} T_j^\eta G_0(\mathbf{k} + \eta \mathbf{q}_j, \Omega) T_j^\eta$$

technical details:

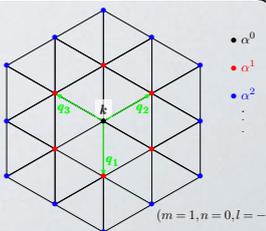
define  $G'_0(\bar{\mathbf{b}}, \mathbf{k}, \Omega)$  through  $\langle \mathcal{T} \psi_{\mathbf{k}, \Omega} \psi_{\mathbf{k}+\mathbf{q}, \Omega}^\dagger \rangle'_0 = \sum_{\mathbf{b} \in \mathcal{R}} G'_0(\mathbf{b}, \mathbf{k}, \Omega) \delta(\mathbf{b} - \mathbf{q})$

focus on

$$G'_0(\mathbf{k}, \Omega) = G'_0(\mathbf{0}, \mathbf{k}, \Omega)$$

give a non-zero contribution to  $G'_0(\mathbf{k}, \Omega)$  if the following conditions are met.

- (i) Total momentum is conserved, i.e.  $\sum_{r=1}^m \eta_r \mathbf{q}_{j_r} = \mathbf{0}$ .
- (ii) Consecutive hopping processes affect different layers, i.e.  $\eta_{2r} = -\eta_{2r-1}$  for all  $r = 1, \dots, n/2$ .



# DIRAC CONE VELOCITY AS A FUNCTION OF THE HOPPING PARAMETERS

$$N_\psi v(\alpha, \beta) = 1 - 3\alpha^2 + \alpha^4(1 - \beta^2)^2 - \frac{3}{49}\alpha^6(37 - 112\beta^2 + 119\beta^4 - 70\beta^6)$$

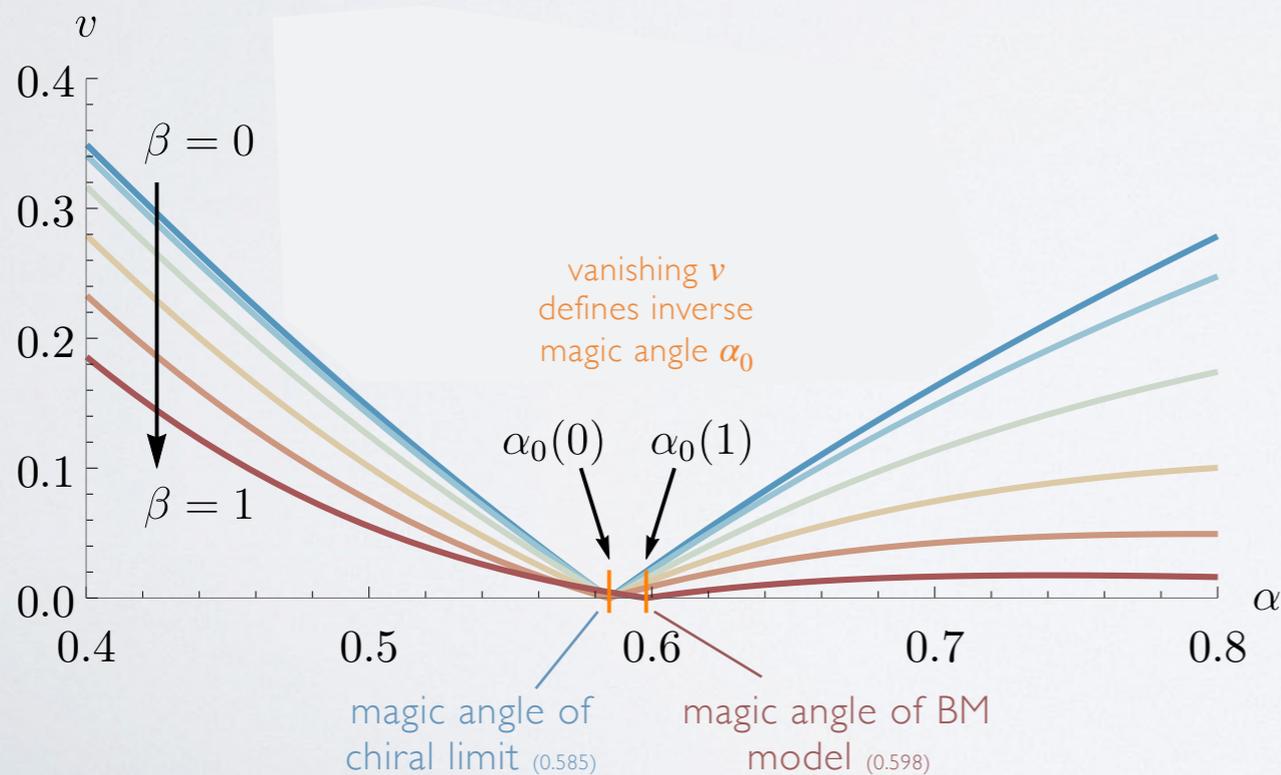
obtained by BM

New! Better! Superbly accurate! Cool numbers!

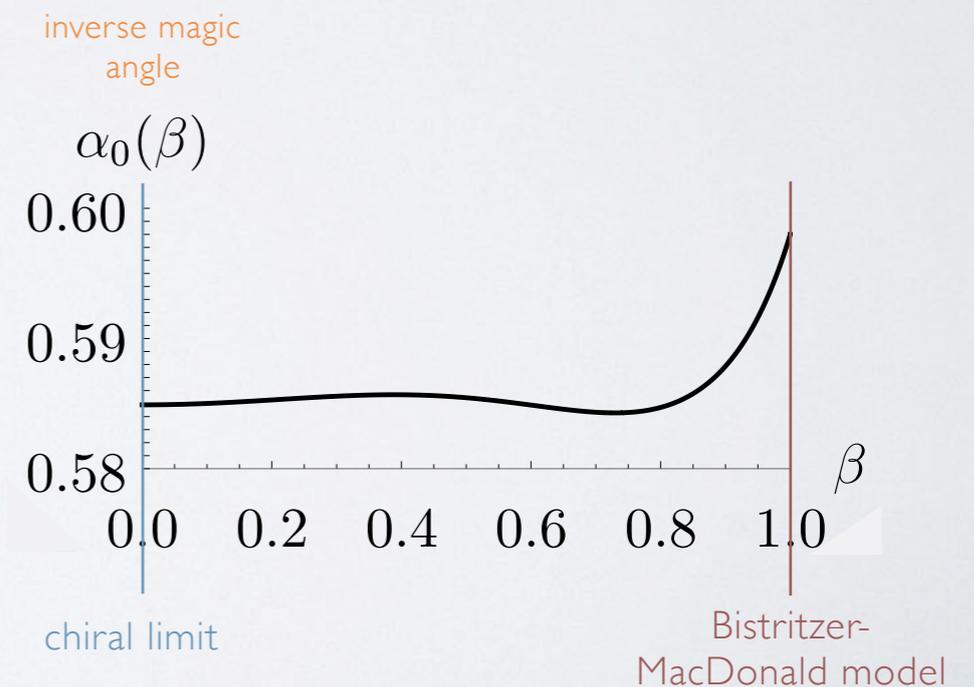
note: expression at  $\beta = 0$   
obtained by Tarnopolsky et al

note: N is wavefunction  
normalization factor

Dirac velocity as a function of the inverse twist angle for various values of  $\beta$ :



inverse magic angle as a function of  $\beta$ :



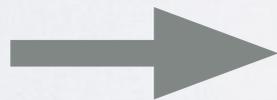
# SYMMETRIES

- $C_3$  rotation around  $z$   $C_{3z} = e^{\frac{2i\pi}{3}\sigma^z} \tau^0$
- $C_2$  rotation around  $x$   $M_y = C_{2x} = \sigma^x \tau^x$
- 2d-inversion  $\times$  time reversal  $C_{2z}T = IT = \sigma^x \tau^0 \mathcal{K}$
- (unitary) particle-hole antisymmetry, acts in real space as reflection  $x \rightarrow -x$ ,  $P = \sigma^x \tau^z$ ,  $\{P, H'_0\} = 0$

}  $D_3$

lost when:

- keep angular dependence in kinetic energy ( $\sigma_{\pm\theta/2} \cdot \mathbf{k}$ )
- $O(k^2)$  terms included
- intervalley scattering allowed ( $K_{tb}^{(g)} \leftrightarrow K_{tb}^{\prime(g)}$ )



dichromatic magnetic group

find its irreps and multiplication table

# GROUP THEORY ANALYSIS OF COUPLINGS

- call  $\Gamma$  the irrep under which the wavefunction transforms (4d irrep)
- find all copies of the trivial irreps in the product  $(\Gamma^\dagger \otimes \Gamma) \otimes (\Gamma^\dagger \otimes \Gamma)$
- those are the products  $\rho \otimes \rho$  of the irreps below:

cf.  $(\psi^\dagger M \psi)(\psi^\dagger M \psi)$

	symmetric in sublattices				antisymmetric in sublattices			
Corep.	$A_1^+$	$a_1^+$	$A_2^+$	$a_2^+$	$A_1^-$	$a_1^-$	$A_2^-$	$a_2^-$
$\hat{R}^{(i)}$	$\sigma_0 \tau_0$	$\sigma_0 \tau_x$	$\sigma_0 \tau_z$	$\sigma_0 \tau_y$	$\sigma_z \tau_y$	$\sigma_z \tau_z$	$\sigma_z \tau_x$	$\sigma_z \tau_0$
$IT$	✓	✓	✓	✓				
$C_2$	✓	✓			✓	✓		
$P$		✓		✓		✓		✓
Corep.	$E_2^+$		$E_4^+$		$E_2^-$		$E_4^-$	
$\sqrt{2} \hat{M}^{(j)}$	$\sigma \tau_0$		$\sigma \tau_x$		$\sigma \tau_y$		$\sigma \tau_z$	

off-diagonal in sublattices

eight one-dimensional coreps

$$\rho^{(i)} = \psi^\dagger \hat{R}^{(i)} \psi$$

four two-dimensional coreps

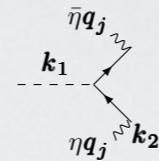
$$\mathbf{J}^{(j)} = \psi^\dagger \hat{M}^{(j)} \psi$$

$$S_{\text{int}} = - \sum_{i=1}^8 g_i \int d^2 r d\tau \rho^{(i)}(\mathbf{r}) \rho^{(i)}(\mathbf{r}) - \sum_{j=1}^4 \lambda_j \int d^2 r d\tau \mathbf{J}^{(j)}(\mathbf{r}) \cdot \mathbf{J}^{(j)}(\mathbf{r})$$

treat all these

# RENORMALIZATION GROUP APPROACH

Hubbard-Stratonovich decoupling:  $S_{\text{int}}[\psi^\dagger, \psi] \rightarrow S_{\text{Hub}}[\psi^\dagger, \psi, \phi]$

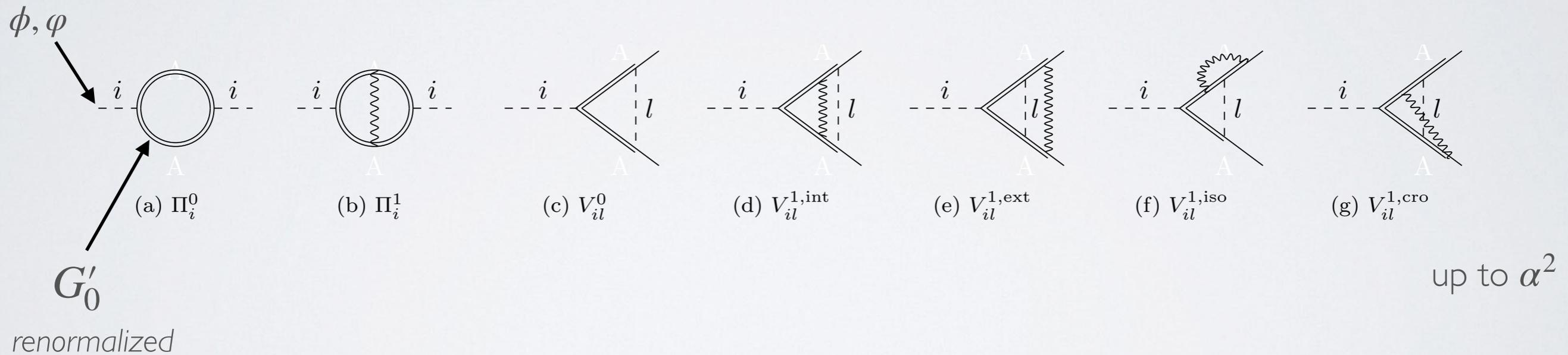


coupling strengths

$$S_{\text{Hub}}[\psi^\dagger, \psi, \phi] = \sum_{i=1}^8 \int d^2r d\tau (\phi_i^2 + 2\sqrt{g_i} \psi^\dagger \phi_i R_i \psi) + \sum_{j=9}^{12} \int d^2r d\tau (\varphi_j^2 + 2\sqrt{\lambda_j} \psi^\dagger \varphi_j \cdot \mathbf{M}_j \psi)$$

1d irreps

2d irreps



$$-\frac{\partial \log g_i}{\partial \log \mu} = -\epsilon + v^{-1} \sum_{l=1}^{12} f_{il}(\alpha, \beta) g_l$$

epsilon expansion,  $d + 1 = D = 2 + \epsilon$   
( $\epsilon = 1$ )

RG parameter (momentum scale)

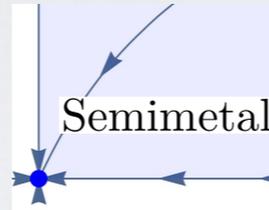
renormalized velocity

# RENORMALIZATION GROUP APPROACH

- 4 couplings with nonzero divergent corrections (discard others), all diagonal in layers, i.e.  $\tau^0, \tau^z$

$\sigma^0$  sublattice structure  $\rightarrow$  no pole in  $\epsilon$   
 $\tau^\pm$  structure  $\rightarrow$  correction vanishes at low energy

- non-interacting fixed point at origin



- flows controlled by critical points, dominant instabilities are those whose fixed point collapses the fastest towards the origin

Channel	Coupling	$\hat{M}_i$	FP $g_i^*(\alpha, \beta)$
$a_2^-$	$g_0$	$\sigma_z \tau_0$	$\pi v \epsilon / (4 [1 - 12\alpha^2(1 - \beta^2)])$
$a_1^-$	$g_z$	$\sigma_z \tau_z$	$\pi v \epsilon / 4$
$E_2^+$	$\lambda_0$	$\sigma \tau_0 / \sqrt{2}$	$\pi v \epsilon / (4 [1 - 3\alpha^2(1 - \beta^2)])$
$E_4^-$	$\lambda_z$	$\sigma \tau_z / \sqrt{2}$	$\pi v \epsilon / (4 [1 + 3\alpha^2(1 + \beta^2)])$

flow equations in two-dimensional parameter space:

$$a_1^- \quad -\mu \frac{\partial g_z}{\partial \mu} = -\epsilon g_z + \frac{4g_z^2}{\pi v} + \frac{4g_z \lambda_z}{\pi v} [1 - 6\alpha^2 (1 - \beta^2)] \quad \text{1d irrep}$$

$$E_4^- \quad -\mu \frac{\partial \lambda_z}{\partial \mu} = -\epsilon \lambda_z + \frac{4\lambda_z^2}{\pi v} [1 + 3\alpha^2 (1 + \beta^2)] + \frac{2\lambda_z g_z}{\pi v} [1 - 6\alpha^2 (1 - \beta^2)] \quad \text{2d irrep}$$

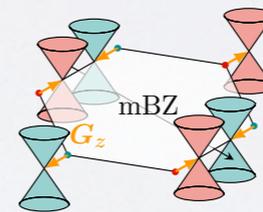
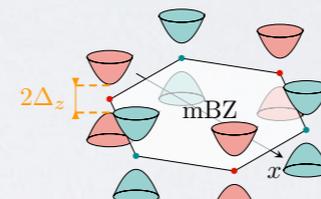
# ORDER PARAMETERS

- mean-field associated with four of the couplings (important in the RG)

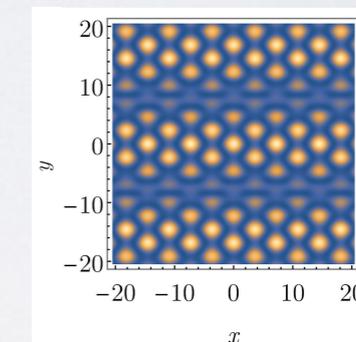
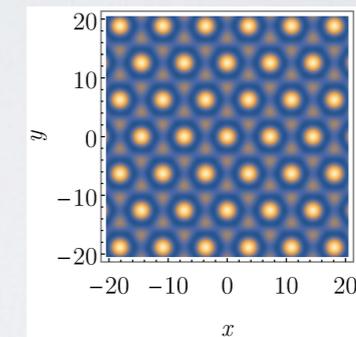
$$\Delta_{0/z} = -2g_{0/z} \int d\omega \int_{\Lambda} \frac{d^2q}{(2\pi)^3} \langle \psi_{q,\omega}^\dagger \sigma_z \tau_{0/z} \psi_{q,\omega} \rangle$$

$$\mathcal{G}_{0/z} = -2\lambda_{0/z} \int d\omega \int_{\Lambda} \frac{d^2q}{(2\pi)^3} \langle \psi_{q,\omega}^\dagger \boldsymbol{\sigma} \tau_{0/z} \psi_{q,\omega} \rangle.$$

sym/antisym in layers



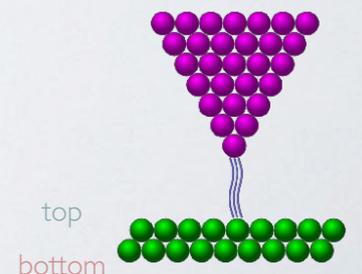
real space density



$$|\psi_t + \psi_b r|^2$$

asymmetry factor  
for STM between  
top and bottom  
layers

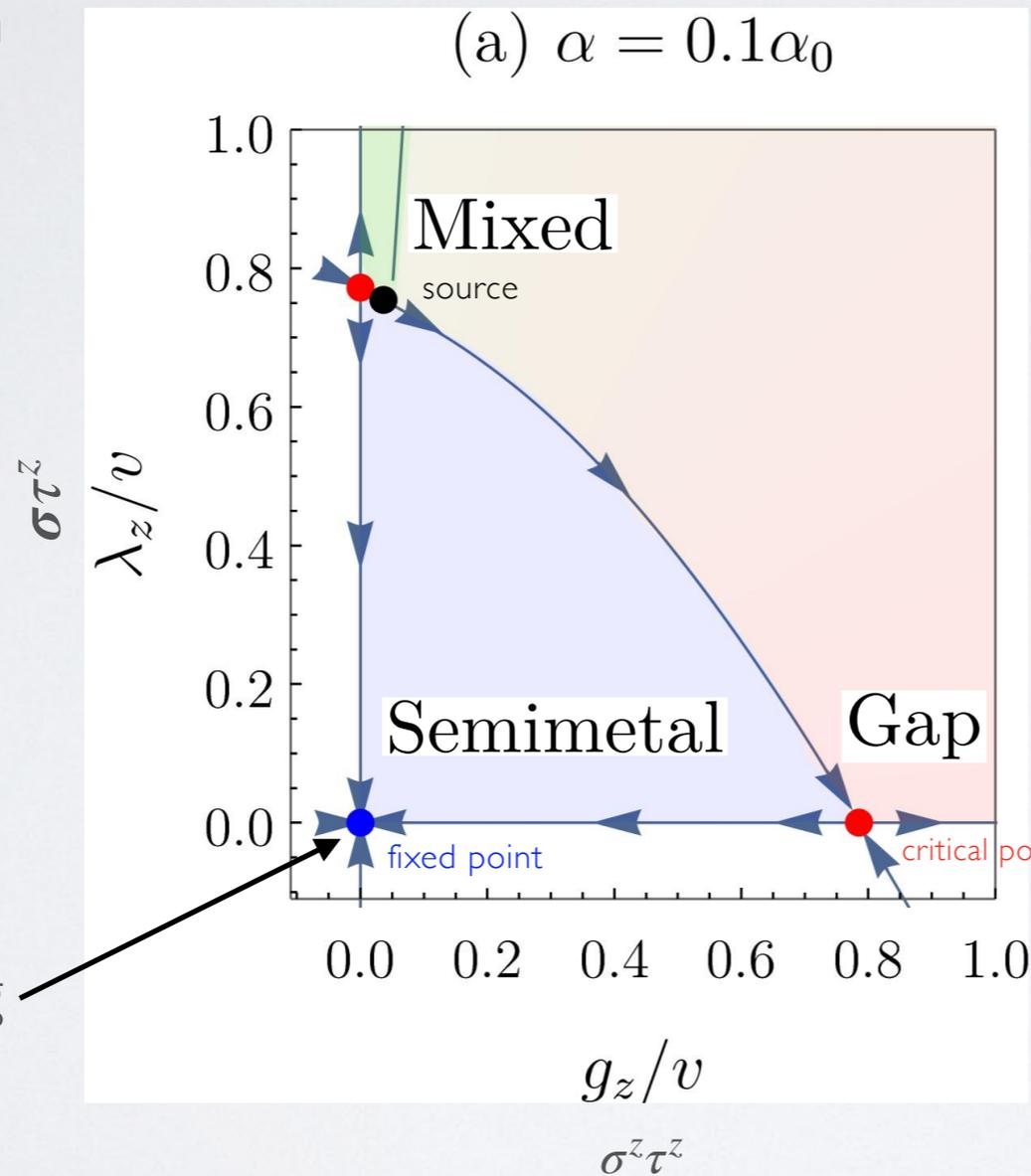
$$H'_{MF} = H'_0 + \boldsymbol{\sigma} \cdot (\mathcal{G}_0 \boldsymbol{\tau}^0 + \mathcal{G}_z \boldsymbol{\tau}^z) + \sigma^z (\Delta_0 \boldsymbol{\tau}^0 + \Delta_z \boldsymbol{\tau}^z)$$



# FLOW DIAGRAMS

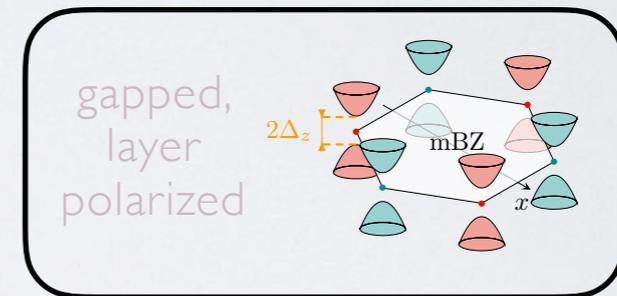
almost-decoupled layers ("far" from magic angle)

$\nu$  big



noninteracting phase

couplings rescaled by  $\nu$  (here  $\nu$  still big)



$\mathcal{IT}$ -breaking

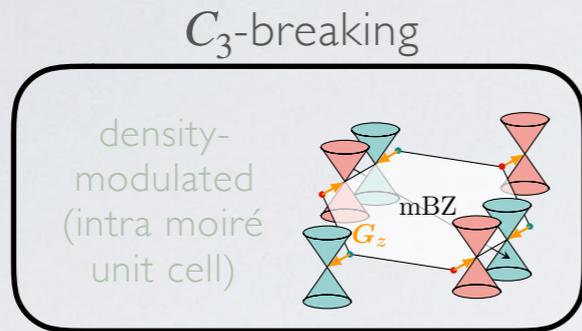
layer polarized

critical point ~ "chiral symmetry breaking" transition of Dirac fermions

# FLOW DIAGRAMS

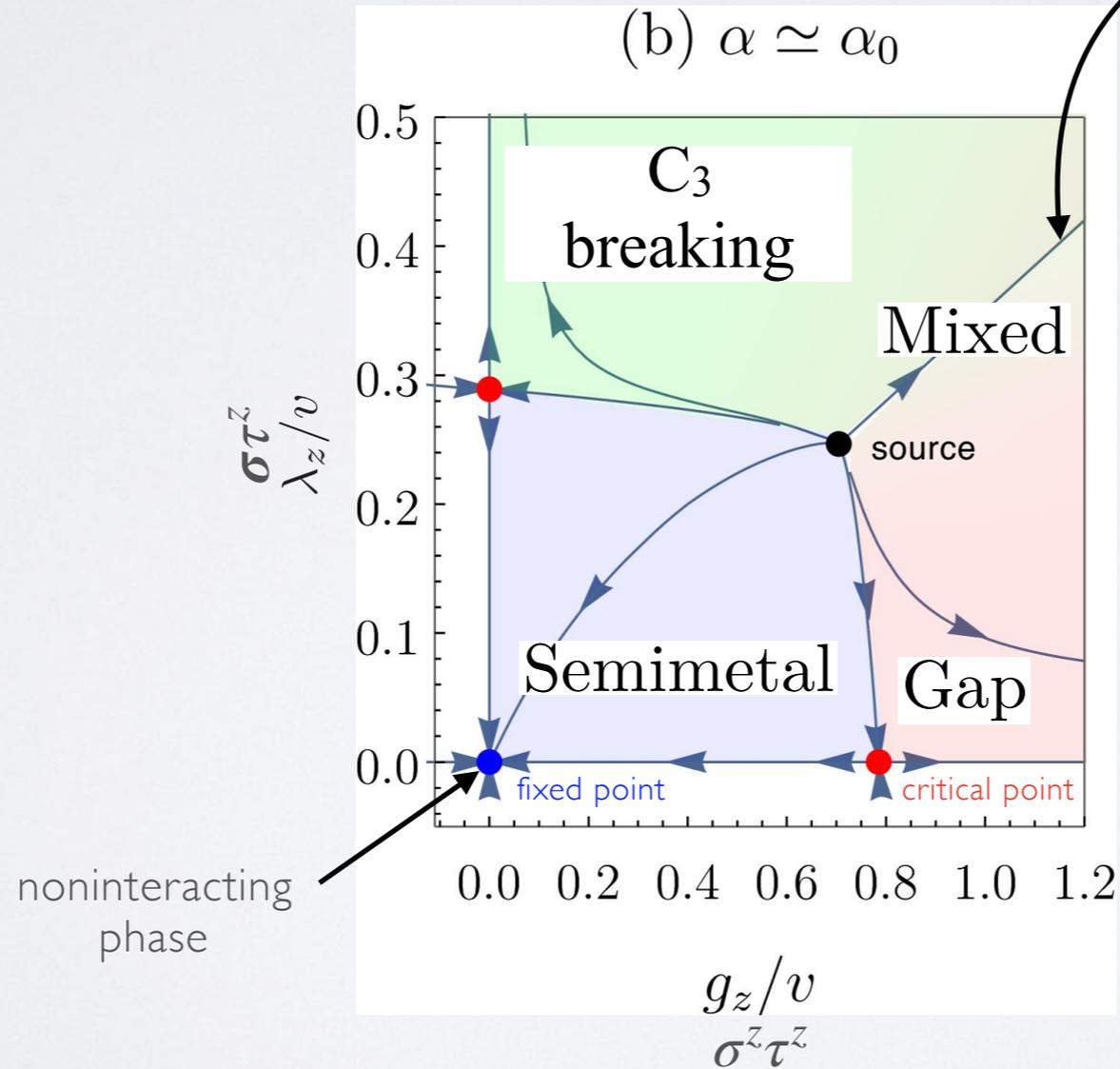
~AT the magic angle

“nematic”



see also: Andrei, Pasupathi, Fernandes, Venderbos, Chubukov, Zaletel, Vishwanath etc.

$$\lambda_z = g_z \frac{1 + 6\alpha^2(1 - \beta^2)}{6\alpha^2(3 - \beta^2)}$$



crossover region

coexistence of both order parameters, possibly first order transition, or critical point at strong coupling

layer polarized

couplings rescaled by  $\nu \rightarrow 0$

# CONCLUSIONS

- RG procedure which is **perturbative in interlayer coupling**, rather than using band basis.
  - advantage: can **analytically obtain everything**, including magic angle
  - find very **weak dependence on  $w_{AB}$  ( $\beta$ )** parameter, esp. below  $\beta \sim 0.8$ , so chiral model may contain all needed ingredients to recover the physics
- Main result: **dominant new instability at magic angle is the  $C_3$ -symmetry-breaking**, i.e. “nematic”
- **Technique development:**
  - diagrammatic approach to velocity renormalization, alternate to band basis
  - new RG approach to dominant instability when all are important (vanishing kinetic energy)

