

Analytic double fibration transforms

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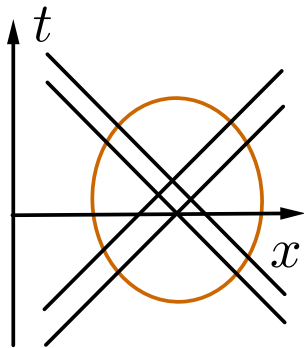
IP and nonlinearity, Banff, 20 July 2023



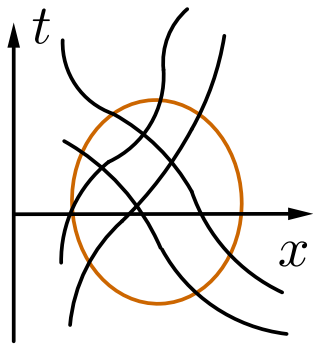
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Light ray transform



Minkowski light rays



Lorentntian light rays

Light ray transform

IP for waves/relativity lead to **Lorentzian light ray transform**

$$Rf(\gamma) = \int f(\gamma(t)) dt$$

where γ runs over the null geodesics for a Lorentzian metric g (**light rays**). It is invertible

- ▶ in Minkowski space \mathbb{R}^{n+1} [Stefanov 1989]
- ▶ for analytic g with a foliation condition [Stefanov 2017]
- ▶ for certain time-independent (stationary/static) g
[Feizmohammadi-Ilmavirta-Oksanen 2021]

Invertibility is open for small perturbations of Minkowski space.

Microlocal aspects: [Lassas-Oksanen-Stefanov-Uhlmann, Vasy, Wang, ...]

Bicharacteristic ray transform

[Oksanen-S-Stefanov-Uhlmann 2023]: for a **real principal type**¹ PDE, boundary measurements \rightsquigarrow **null bicharacteristic ray transform** of unknown coefficients. This transform is given by

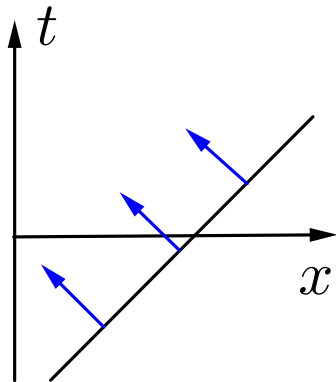
$$Rf(\gamma) = \int f(x(t)) dt$$

where $\gamma(t) = (x(t), \xi(t))$ runs over all null bicharacteristics.

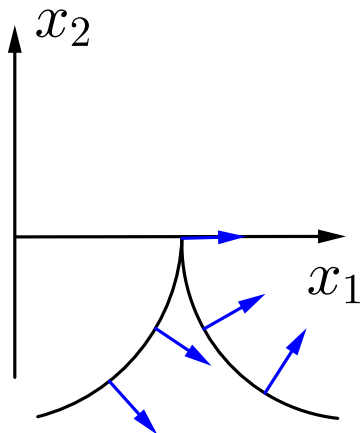
Examples. Geodesic/pseudo-Riemannian X-ray transform, light ray transform.

¹real principal symbol + no trapped null bicharacteristics

Null bicharacteristics



Wave operator $\partial_t^2 - \Delta$



Tricomi operator $x_2 \partial_{x_1}^2 + \partial_{x_2}^2$

Overview

Null bicharacteristic ray transforms fall under the **microlocal double fibration approach** to integral geometry [Guillemin 1975].

Under the **Bolker condition** (\longleftrightarrow no conjugate points), one can recover C^∞ singularities (wave front set) of f from Rf . If coefficients are real-analytic, **analytic microlocal analysis** may yield local/global invertibility of R .

We make a systematic study of **double fibration transforms** (also for k -dim. submanifolds) and of the Bolker condition. We prove a theorem on the **recovery of analytic singularities** for Fourier integral operators (FIOs), and use this to invert R .

Overview

As consequences, we obtain inversion results for

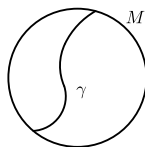
- ▶ geodesic X-ray transform
- ▶ null bicharacteristic ray transform
- ▶ generalized Radon transform over codim. k submanifolds

A nonvanishing analytic weight can be included in all results. Our method uses **analytic continuation** and is not stable (cf. [\[Koch-Rüland-S 2021\]](#)). Stable inversion might still be possible.

Geodesic X-ray transform

Let (M, g) be a compact Riemannian manifold with strictly convex boundary. M is **nontrapping** if any geodesic reaches ∂M in finite time. The **geodesic X-ray transform** of f is

$$If(\gamma) = \int_{\gamma} f(\gamma(t)) dt$$



for geodesics γ . I is invertible if

- ▶ M has no conjugate points [Mukhometov 1977]
- ▶ $\dim(M) \geq 3$ and M is foliated by strictly convex manifolds [Uhlmann-Vasy 2016]

Conjecture

I is invertible on compact, strictly convex, nontrapping manifolds.

Geodesic X-ray transform

Theorem

Conjecture is true if $\dim(M) = 2$ and (M, g) is real-analytic.

Uses a local uniqueness result for analytic double fibration transforms [Stefanov-Uhlmann 2008, Mazzucchelli-S-Tzou 2023] combined with a strictly convex foliation when $\dim(M) = 2$ [Betelu-Gulliver-Littman 2002].

Null bicharacteristic ray transform

Let $p(x, \xi) \in C^\infty(T^*M)$ be real and homogeneous in ξ . Let

$$Rf(\gamma) = \int_{\gamma} f(x(t)) dt$$

where $\gamma(t) = (x(t), \xi(t))$ runs over all null bicharacteristics.

Theorem

Let p be analytic, and suppose that

$$\nabla_{\xi} p \neq 0, \quad \det(\nabla_{\xi}^2 p) \neq 0 \quad \text{on } p^{-1}(0).$$

Suppose that $\text{supp}(f)$ is foliated by strictly pseudoconvex “timelike” hypersurfaces Γ_t . If $Rf = 0$, then $f = 0$.

Null bicharacteristic ray transform

Proved for light ray transforms in [Stefanov 2017].

Conditions for the family of null bicharacteristic curves:

- ▶ $\nabla_\xi p \neq 0 \iff$ curves have no cusps
- ▶ “timelike” means that Γ_t has many tangential curves
- ▶ strictly pseudoconvex means that Γ_t has many short almost tangential curves
- ▶ $\det(\nabla_\xi^2 p) \neq 0 \implies$ short curves have no conjugate points

Corollary

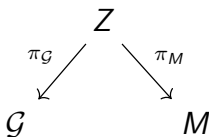
If P is analytic real principal type and above conditions hold, then the Cauchy data set of $P + V$ determines $V \in C^\infty(M)$.

Double fibrations: motivation

Let $M = \mathbb{R}^2$ and $\mathcal{G} = \{\text{lines in } \mathbb{R}^2\}$. The Radon transform R and its adjoint R^* satisfy

$$Rf(L) = \int_{x \in L} f(x), \quad R^*F(x) = \int_{L \ni x} F(L).$$

Let $Z = \{(L, x) : x \in L\} = \{(L, x) : L \ni x\} \subset \mathcal{G} \times M$ be the **incidence relation** (or **line-point relation**). Then



with $\pi_{\mathcal{G}}, \pi_M$ submersions (=derivative surjective).

Double fibrations

Definition (Gel'fand-Graev-Shapiro 1969)

Let \mathcal{G} and M be manifolds. A submanifold Z of $\mathcal{G} \times M$ is a **double fibration** if $\pi_{\mathcal{G}}$ and π_M are submersions.

For any $z \in \mathcal{G}$, one has a smooth manifold

$$G_z = \pi_M(\pi_{\mathcal{G}}^{-1}(z)).$$

Double fibration transform encodes integrals over the G_z :

$$R : C_c^\infty(M^{\text{int}}) \rightarrow C^\infty(\mathcal{G}), \quad Rf(z) = \int_{G_z} f \, d\omega_{G_z}$$

General curve families [Mazzucchelli-S-Tzou 2023]

For any double fibration **ray** transform R , there is

- ▶ a fiber bundle N over M , and
- ▶ a vector field Y on N

so that R integrates over projections of integral curves of Y .
The converse also holds under suitable conditions.

Examples:

- ▶ $N = SM$, $Y = X_g$ (geodesic X-ray transform)
- ▶ $N = p^{-1}(0)$, $Y = H_p$ (null bicharacteristic ray transform)

Other works for general curve families:

[Mukhometov 1977, Frigyik-Stefanov-Uhlmann 2008, Zhou 2016, ...]

Microlocal approach

[Guillemin '75]: R is an FIO. If **Bolker condition** holds (π_L is an injective immersion), R^*R is an elliptic Ψ DO \implies recovery of C^∞ singularities.

$$\begin{array}{ccc} & N^*Z \setminus 0 & \\ \pi_L \swarrow & & \searrow \pi_R \\ T^*\mathcal{G} \setminus 0 & & T^*M \setminus 0 \end{array}$$

[Boman-Quinto '87, '93]: suggested to consider **analytic** case \implies recovery of analytic singularities \implies inversion of R .

Issue: clean intersection FIO calculus missing in analytic case.
Alternative FBI transform method for specific transforms:

[Stefanov-Uhlmann '08, Frigyik-Stefanov-Uhlmann '08,
Krishnan-Stefanov '09, Stefanov '17, Homan-Zhou '17].

We prove recovery of analytic singularities for general double fibration transforms directly, via FBI transforms.

Main FIO result

Theorem

If R is an **analytic** double fibration transform and **Bolker condition** holds at $(z, \zeta, x, \eta) \in C := (N^*Z \setminus 0)'$, i.e.

- ▶ **(global part)** $\pi_L^{-1}(z, \zeta) = \{(z, \zeta, x, \eta)\}$
- ▶ **(local part)** $d\pi_L|_{(z, \zeta, x, \eta)}$ is injective

then

$$(z, \zeta) \notin WF_a(Rf) \implies (x, \eta) \notin WF_a(f).$$

We give geometric characterizations for the Bolker condition:

- ▶ **global part** \iff no conjugate points
- ▶ **local part** \iff enough variations of tangents/normals of G_z

Analytic wave front set

Let $\lambda > 0$, and consider Gaussian wave packet

$$\psi_\lambda(y; x, \xi) = e^{i\lambda(y-x) \cdot \xi} e^{-\lambda \frac{|y-x|^2}{2}}$$

The (Gaussian) FBI transform of $f \in L^2(\mathbb{R}^n)$ is

$$T_\lambda f(x, \xi) = (f, \psi_\lambda(\cdot; x, \xi))_{L^2(\mathbb{R}^n)}$$

FBI transform gives a “phase space portrait” of f .

Definition. f is **analytic** at (x_0, ξ_0) , or $(x_0, \xi_0) \notin WF_a(f)$,¹ if

$$T_\lambda f(x, \xi) = O(e^{-c\lambda}) \text{ near } (x_0, \xi_0) \text{ as } \lambda \rightarrow \infty.$$



¹Respectively, $(x_0, \xi_0) \notin WF(f)$ if $T_\lambda f = O(\lambda^{-\infty})$ near (x_0, ξ_0) .

Ideas of proof

1. **Localize in space** using **global part** of Bolker condition.
2. Locally $Z = \{x'' = \phi(z, x')\}$. Consider model FIO

$$Tf(z) = \iint e^{i(\phi(z, x') - x'') \cdot \eta} a(z, x) f(x) d\eta dx$$

Microlocalize in \mathcal{G} via FBI transform L_λ , i.e.

$$L_\lambda Tf(z, \zeta) = \int K_\lambda(z, \zeta, x) f(x) dx = O(e^{-c\lambda})$$

near $(\hat{z}, \hat{\zeta})$ since $(\hat{z}, \hat{\zeta}) \notin WF_a(Tf)$.

3. Simplify kernel K_λ by stationary phase, get

$$\int e^{i\lambda\psi(z, \zeta, x)} \hat{a}(z, \zeta, x; \lambda) f(x) dx = O(e^{-c\lambda}).$$

4. Show that LHS is a **generalized FBI transform** that detects $WF_a(f)$. Uses **local** Bolker condition.

Perspectives

1. Inversion without analyticity?
(Energy estimates/Uhlmann-Vasy approach)
2. Violation of Bolker condition?
[Monard-Stefanov-Uhlmann '15, Holman-Uhlmann '18,
Felea-Gaburro-Greenleaf-Nolan '22, ...]
3. Systems, tensors, ... [Paternain-S-Uhlmann book '23]
4. Analytic FIO composition calculus?