Scaling limits of permutation classes with a finite specification

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Part 0 : Introduction















Classes of permutation and pattern-avoidance

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What does a large permutation in a class *look like*?



A large uniform separable permutation



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Permutons

A permuton is a probability measure on $[0, 1]^2$ with both marginals uniform.



 \implies compact metric space (with weak convergence). Permutations of all sizes are densely embedded in permutons.



The Brownian limit of separable permutations

 σ_n uniform of size *n* in $C = Av(2413, 3142) = \{\text{separables}\}$: **Theorem** (Bassino, Bouvel, Féray, Gerin, Pierrot 2016) σ_n converges in distribution to some random permuton μ , called the Brownian separable permuton.



Theorem (BBFGMP 2019)

Many other classes of permutation converge also to the Brownian permuton, or a 1-parameter deformation. Those behave nicely under the so-called "substitution-decomposition" (precise statement later)

Theorem When $\mathcal{C} =$

Av(31452, 41253, 41352, 531642, 25413, 35214, 25314, 246135), μ_{σ_n} also converges to the Brownian permuton.



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 $x \approx 0.818632668576995$ is the only real root of $19168x^5 - 86256x^+ 155880x^3 - 141412x^2 + 64394x - 1177$

Part 1 - the proof method

(illustrated on the case of separable permutations)

Characterization of separable permutations:



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Many "nice" models of random trees $(t_n)_n$ where *n* is the size, converge to (a multiple of) the Brownian CRT when distances are rescaled by \sqrt{n} . More precisely, if C_n is the contour function of t_n , for some constant c > 0, $cn^{-1/2}C_n$ converges in distribution to the normalized Brownian excursion.

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Leaf-counted Schröder trees are (critical, finite-variance) BGW trees conditioned on the number of leaves and fall in this category (Kortchemski '12, Pitman-Rizzolo '12)



The main point: signs at macroscopic branching points become independent as the tree gets larger. This tells us how the corresponding permutation looks like in the large scale.



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Then $\mu = (id, \phi)_*$ Leb is the Brownian separable permuton (M. 2017)



I - Permuton convergence and patterns

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Theorem (Hoppen *et. al.* '2013, BBFGMP '2017) The random permutons (μ_{σ_n}) converge in distribution to μ iff for every k, perm_k $(\sigma_n) \xrightarrow[n \to \infty]{d}$ perm_k (μ) .











II - Patterns and the tree encoding A subpermutation of σ_n can be read on a reduced tree of t_n Consider a uniform *k*-reduced tree of a Schröder tree of size *n*. Here k = 3.



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> Hence $\operatorname{perm}_k(\mu)$ has the distribution of $\operatorname{perm}(b_k)$ where b_k is a uniform signed binary tree with *k* leaves.

Summing up

Fix a signed binary tree τ with *k* leaves. We need only show that

 $\frac{\#\{\text{Schröder trees of size } n \text{ with } k \text{ marked leaves inducing } \tau\}}{\#\{\text{Schröder trees of size } n \text{ with } k \text{ marked leaves}\}}$

converges to

$$\mathbb{P}(b_k = \tau) = \frac{1}{2^{k-1} \operatorname{Cat}_{k-1}}.$$

IV - Analytic combinatorics

Let $(a_n)_n$ be a nonnegative sequence and $A(z) = \sum_n a_n z^n$ its generating function of radius ρ **Transfer Theorem (Flajolet & Odlyzko)** If

- *A* is defined on a Δ -domain at $\rho > 0$ (e.g. is algebraic)
- $A(z) \underset{z \to \rho}{=} g(z) + (C + o(1))(\rho z)^{\delta}$ with g analytic, $\delta \notin \mathbb{N}$,

then
$$a_n \stackrel{=}{\underset{n \to \infty}{=}} \left(\frac{C}{\Gamma(-\delta)} + o(1)\right) \rho^{-n} n^{-1-\delta}$$

Proposition (Singular differentiation) Under the same hypotheses, $A'(z) = g'(z) + \delta(C + o(1))(\rho - z)^{\delta - 1}$

Analytic combinatorics for leaf-counted trees

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square-root singularity (smooth implicit function schema).

This is the case for Schröder (*F* rational)



Uniform *k*-subtree in large unsigned trees

T has square-root singularity at ρ and *F* analytic at $T(\rho)$. Then, the *g*.*f* of trees with *k* marked leaves that induce the *k*-tree τ is

$$z^{k}T'(z) \prod_{v \text{ internal node of } \tau} T'(z)^{\deg(v)} \frac{1}{\deg(v)!} F^{(\deg(v))}(T(z))$$


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Replace instances of T' by T'_0 (even height) or T'_1 (odd height). $T'_0 + T'_1 = T'$ and $T'_1 = F'(T)T'_0$, so $T'_0 \sim T'_1 \sim \frac{1}{2}T'$.

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$$z^{k}(T'_{0}+T'_{1})T'^{b}_{0}T'^{a}_{1}T'^{k}\prod_{v \text{ internal node of }\tau}\frac{1}{\deg(v)!}F^{(\deg(v))}(T(z))$$

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Hence all signed binary trees have the same asymptotic probability, what whe needed for permuton convergence.

Part 2 - statement

For $\sigma \in \mathfrak{S}_k, \rho_1, \dots, \rho_k \in \mathfrak{S}$, define $\sigma[\rho_1, \dots, \rho_k]$ by replacing the *i*-th dot in σ by π_i . Example : 132[21, 312, 2413] = 219784635.

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Given σ , either :

- We can find a proper interval mapped to an interval, and then σ can be written as a substitution of smaller permutations
- Or σ can't be decomposed by a nontrivial substitution : σ is a **simple permutation**. Ex : 1,12,21,2413,3142,31524,... $\sim \frac{n!}{e^2}$.



(8, 10, 9, 2, 11, 1, 4, 7, 3, 6, 5)









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(8, 10, 9, 2, 11, 1, 4, 7, 3, 6, 5)













Theorem (Albert, Atkinson 2005): Any permutation can be decomposed into a substitution tree with nodes labeled by simple permutations, unique as long as no \oplus is the left child of a \oplus (same for \ominus)

Study classes using substitution

- $\mathcal{S} \subset \{ \text{simple permutations } \}.$
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Proposition: Let C = Av(B) be a class. Then $C \subset \widetilde{\mathcal{S}_C}$ where \mathcal{S}_C is the set of simple permutations in C.

When *B* has only simples, then $C = \widetilde{S_C}$. We say that *C* is substitution-closed.

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This is the case of the separable permutations $Av(2413, 3142) = \{ \oplus, \ominus \}.$

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$$\mathcal{T}^{\operatorname{not}\oplus} = \{\bullet\} \ \uplus \ \oplus [\mathcal{T}^{\operatorname{not}\oplus}, \mathcal{T}] \ \uplus \ \left(\ \uplus_{\pi \in \mathcal{S}_{\mathcal{T}}, |\pi| \ge 4} \ \pi[\mathcal{T}, \dots, \mathcal{T}] \right)$$
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 \rightarrow system of equations on the generating functions of the specified families, made of analytic functions with nonnegative coefficients.

 \rightarrow a Boltzmann sampler for the class.
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 \rightarrow trees coding specification-closed classes are 3-type Galton-Watson trees conditioned on their number of leaves. In BBFGMP 2017 we treat substitution-closed classes in wider generality

Specifications

Theorem (Bassino, Bouvel, Pivoteau, Pierrot, Rossin 2017) If $S_{\mathcal{T}}$ is finite, then there is a finite specification $\mathcal{T}_i = \varepsilon_i \{\bullet\} \uplus \uplus_{\pi \in S_{\mathcal{T}}} \uplus_{(k_1, \dots, k_{|\pi|}) \in K_{\pi}^i} \pi[\mathcal{T}_{k_1}, \dots, \mathcal{T}_{k_{|\pi|}}]$ where $\mathcal{T} = \mathcal{T}_0 \supset \mathcal{T}_1, \dots, \mathcal{T}_d$ and $\varepsilon_i \in \{0, 1\}$. Moreover, there is an algorithm (implemented!) to find it.

 \rightarrow system of equations on the generating functions of the specified families, made of analytic functions with nonnegative coefficients.

 \rightarrow a Boltzmann sampler for the class.

The case of Av(132)

$$\begin{split} \mathcal{T} &= \{\bullet\} \quad \biguplus \quad \oplus [\mathcal{T}^{\operatorname{not}\oplus}, \mathcal{T}_{\langle 21\rangle}] \quad \oiint \quad \oplus [\mathcal{T}^{\operatorname{not}\oplus}, \mathcal{T}] \\ \mathcal{T}^{\operatorname{not}\oplus} &= \{\bullet\} \quad \oiint \quad \oplus [\mathcal{T}^{\operatorname{not}\oplus}, \mathcal{T}] \\ \mathcal{T}^{\operatorname{not}\oplus} &= \{\bullet\} \quad \oiint \quad \oplus [\mathcal{T}^{\operatorname{not}\oplus}, \mathcal{T}_{\langle 21\rangle}] \\ \mathcal{T}_{\langle 21\rangle} &= \{\bullet\} \quad \oiint \quad \oplus [\mathcal{T}^{\operatorname{not}\oplus}_{\langle 21\rangle}, \mathcal{T}_{\langle 21\rangle}] \\ \mathcal{T}^{\operatorname{not}\oplus}_{\langle 21\rangle} &= \{\bullet\}. \end{split}$$

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We plot the dependency graph of the system. In gray, critical families, of maximal growth rate (minimal radius of convergence)



The main theorem

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The main theorem

Theorem (BBFGMP 2019) Consider the specification of a class C with a finite number of simples. Assume that there is only one strongly connected critical component.

If the specification is *linear* in the critical families, then σ_n converges to a X-permuton with explicit parameters.

Otherwise, σ_n converges to a biased Brownian permuton of explicit parameter.





The *V*-shape class from earlier:

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Critical series are T_0 , T_4 , T_{11} . The critical system is not strongly connected, but are permutation of T_0 is in T_{11} . Removing T_0 we can apply the theorem.



Av(2413, 1243, 2341, 41352, 531642)

Av(2413, 3142, 2143, 34512)





Av(2413, 1243, 2341, 41352, 531642)

Av(2413, 3142, 2143, 34512)







Av(132)p = 1

Av(2413, 31452, 41253, 531642, 41352) $p \approx 0.47$ is algebraic of degree 9.

Part 3 - proof of the main theorem

(in the nonlinear case)

Substitution decomposition and patterns



Our goal

Fix a signed binary tree τ with *k* leaves. We need only show that

 $\frac{\#\{\text{trees in } \mathcal{T} \text{ of size } n \text{ with } k \text{ marked leaves inducing } \tau\}}{\#\{\text{trees in } \mathcal{T} \text{ of size } n \text{ with } k \text{ marked leaves}\}}$

converges to

$$\mathbb{P}(b_k^p = \tau) = \frac{p^{\# \oplus} (1-p)^{\# \ominus}}{\operatorname{Cat}_{k-1}}.$$

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The denominator is $[z^{n-k}]T_0^{(k)}$.











DLW Theorem

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Theorem (Drmota 2009) Let $\mathbf{T} = \mathbf{\Phi}(z, \mathbf{T})$ be a system of equations, $\mathbf{\Phi} = \mathbf{\Phi}(z, \mathbf{t})$ with nonnegative coefficients and no constant term or t_i term. Assume that $\mathbf{\Phi}$ is analytic in z with radius > ρ , polynomial and nonlinear in \mathbf{T} . Assume the graph of dependence is strongly connected. Then

- 1. All T_i have a square root singularity at ρ $\mathbf{T}(z) = \mathbf{T}(\rho) - c(\mathbf{v} + o(1))\sqrt{z - \rho}.$
- 2. Defining $(\mathbf{M}_{i,j}(z))_{i,j} = \operatorname{Jac}_{\mathbf{T}} \Phi(z, \mathbf{T}(z))$, then $\mathbf{M}(\rho)$ has Perron eigenvalue 1 with left and right eigenvectors **u** and **v**. Moreover

$$(T'_i)_{i,j} = (\mathrm{Id} - \mathbf{M}(z))^{-1} \sim_{z \to \rho} C \mathbf{v} \mathbf{u}^T \frac{1}{\sqrt{z - \rho}}.$$















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of scaling-limit results for pattern-avoiding permutations ? On a continuous limiting object, we can compute things, then recover results on the discrete objects !

Some previous work

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- Extremal combinatorics: Presutti-Stromquist (2009) introduced permutons to provide a lower bound for the packing density of (2413) (conjectured tight)
- Joint convergence of all pattern densities is automatic.
- Asymptotics of the number of cycles of fixed length (Mukherjee '16), of the length of the longest increasing subsequence (Mueller, Starr,'13) and of the total displacement (Bevan, Winkler, '19) in Mallows permutations using the permuton limit + regularity of convergence.

Expectation of the permuton

As μ is a random measure, it is natural to compute its average $\mathbb{E}\mu$, which is the limit of the permuton obtained by stacking all separable permutations of a given size.

Theorem (M. 2017) The permuton $\mathbb{E}\mu$ has density function $\frac{1}{\pi}(\beta(x,y) + \beta(x,1-y)), 0 \le x \le \min(y,1-y)$

$$\beta(x,y) = \frac{3xy - 2x - 2y + 1}{(1-x)(1-y)} \sqrt{\frac{1-x-y}{xy}} + 3 \arctan \sqrt{\frac{xy}{1-x-y}}.$$



We recover the expected shape of doubly-alternating Baxter permutations. (Dokos-Pak)