

# Segmentation and Classification using Deep Learning Technologies

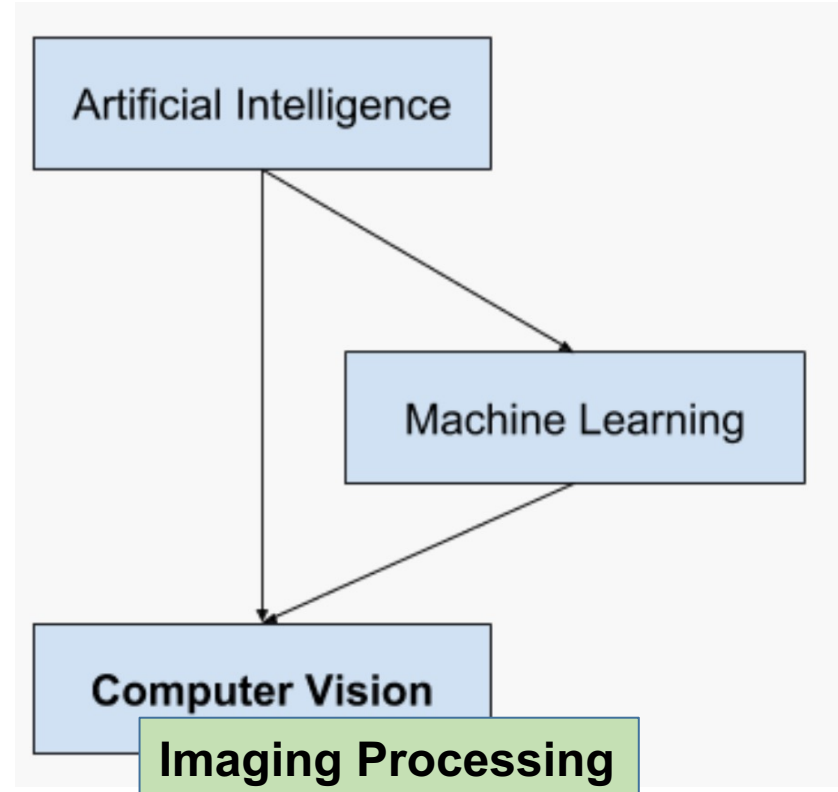
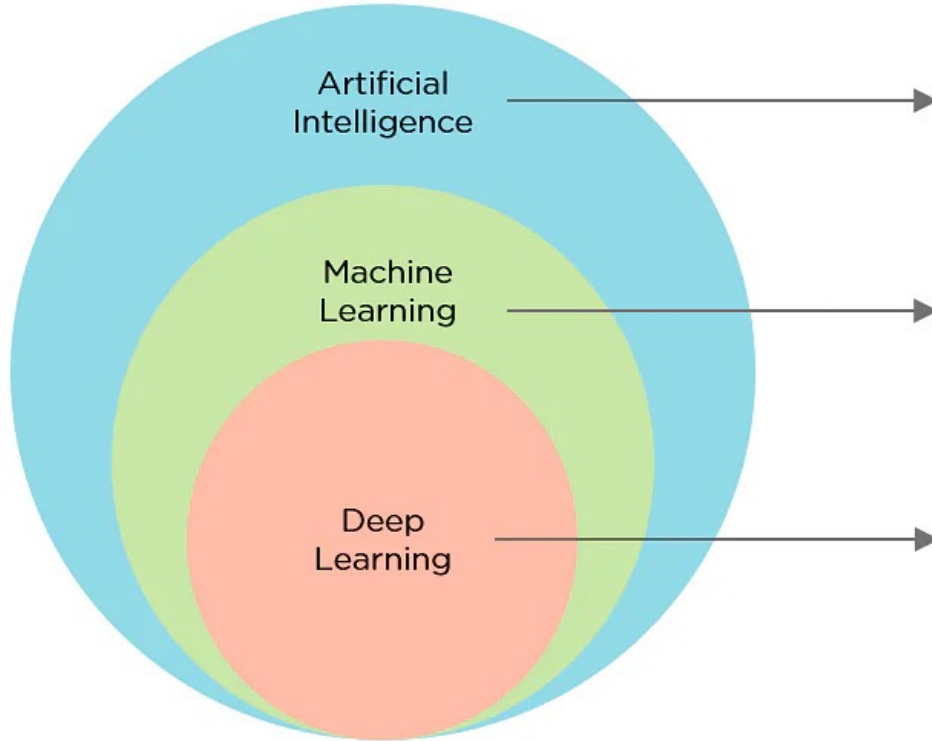
Workshop DIPOpt, Lyon, 2023



**Xiaohao Cai**

VLC / ECS / UoS

# AI - ML - CV - IP - MI



**Xiaohao Cai**

VLC / ECS / UoS

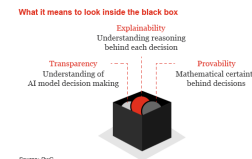
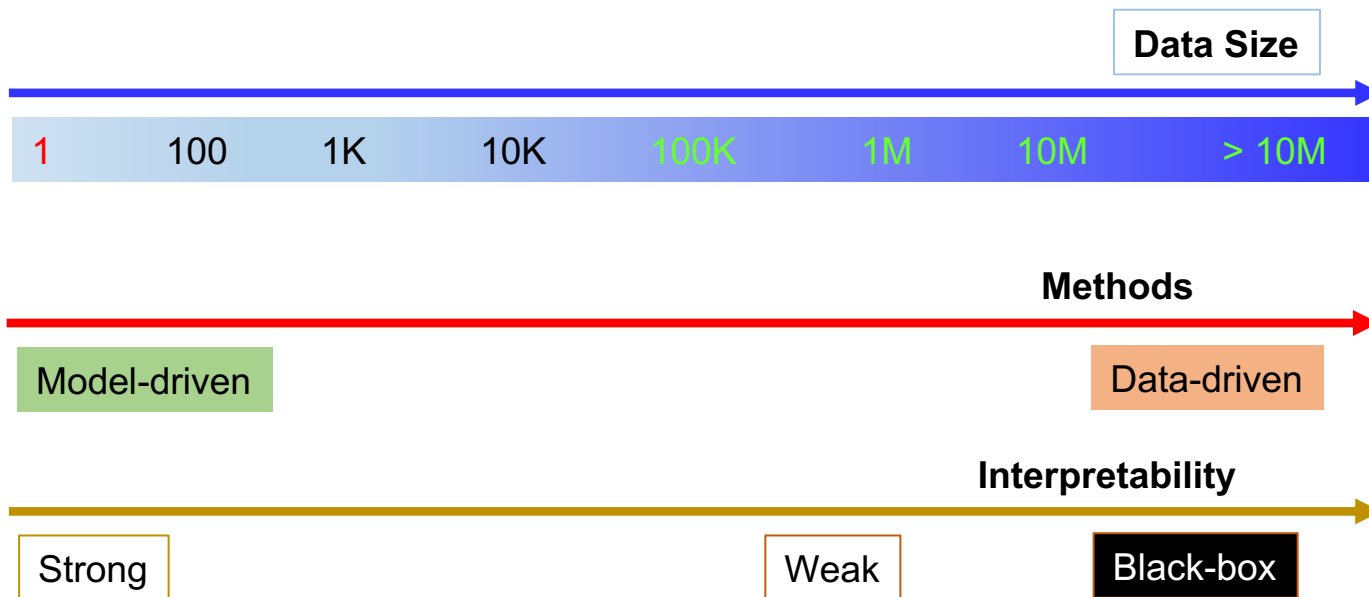
- Computer vision
- Image processing
- Machine/deep learning
- Optimisation

Interdisciplinary

- ✓ Computer science
- ✓ Mathematics/statistics
- ✓ Physics, life science, etc.

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<https://paperswithcode.com/sota>

State-of-the-art  
Computer Vision



## Research

Use of artificial  
programmes:

BMJ 2021 ;374 d  
Cite this as: BMJ 20

Article

Rela

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Aileen Clarke, profess

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Accepted 21 July 2

Abstract

## Conclusions

Current evidence on the use of AI systems in breast cancer screening is a long way from having the quality and quantity required for its implementation into clinical practice. Well designed comparative test accuracy studies, randomised controlled trials, and cohort studies in large screening populations are needed which evaluate commercially available AI systems in combination with radiologists. Such studies will enable an

flow<sup>1</sup>,



ARTIFICIAL INTELLIGENCE

# Hundreds of covid. None

Some have been used in hospitals during the pandemic could help make more sense of the data.

By Will Douglas Heaven

It never happened—but not for lack of effort. Research teams around the world stepped up to help. The AI community, in particular, rushed to develop software that many believed would allow hospitals to diagnose or triage patients faster, bringing much-needed support to the front lines—in theory.

In the end, many hundreds of predictive tools were developed. None of them made a real difference, and some were potentially harmful.

That's the damning conclusion of multiple studies published in the last few months. In June, the Turing Institute, the UK's national center for data science and

# POST

[UK Parliament](#) > [POST](#) > [Interpretable machine learning](#)

Research Briefing

## Interpretable machine learning

Published Tuesday, 06 October, 2020

POSTnote

Crime and justice

Digital tech

Health and social care


Transport and infrastructure

Research

 [Lorna Christie](#)

Machine learning (ML, a type of artificial intelligence) is increasingly being used to support decision making in a variety of applications including recruitment and clinical diagnoses. While ML has many advantages, there are concerns that in some cases it may not be possible to explain

This POSTnote gives an overview of ML and its role in decision-making, and provides a brief overview of how a complex ML system has reached its output. It also gives a brief overview of how making ML easier to interpret. It also gives a brief overview of how making ML easier to interpret. It also gives a brief overview of how making ML easier to interpret. It also gives a brief overview of how making ML easier to interpret. It also gives a brief overview of how making ML easier to interpret.



In 2018, the Lords Committee on AI called for the development of AI systems that are “intelligible to developers, users and regulators”. It recommended that an AI system that could have a substantial impact on an individual’s life should not be used unless it can produce an explanation of its decisions.<sup>4</sup> In a

## What it means to look inside the black box

### Explainability

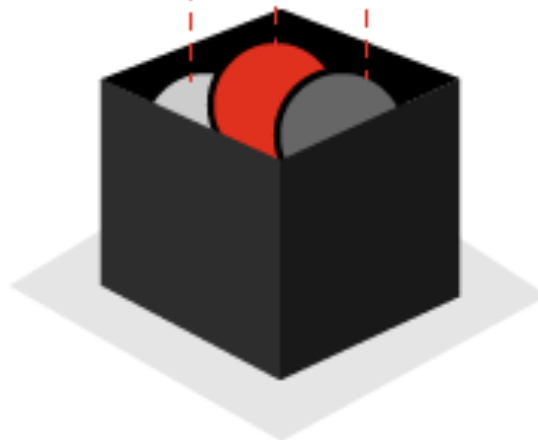
Understanding reasoning  
behind each decision

### Transparency

Understanding of  
AI model decision making

### Provability

Mathematical certainty  
behind decisions





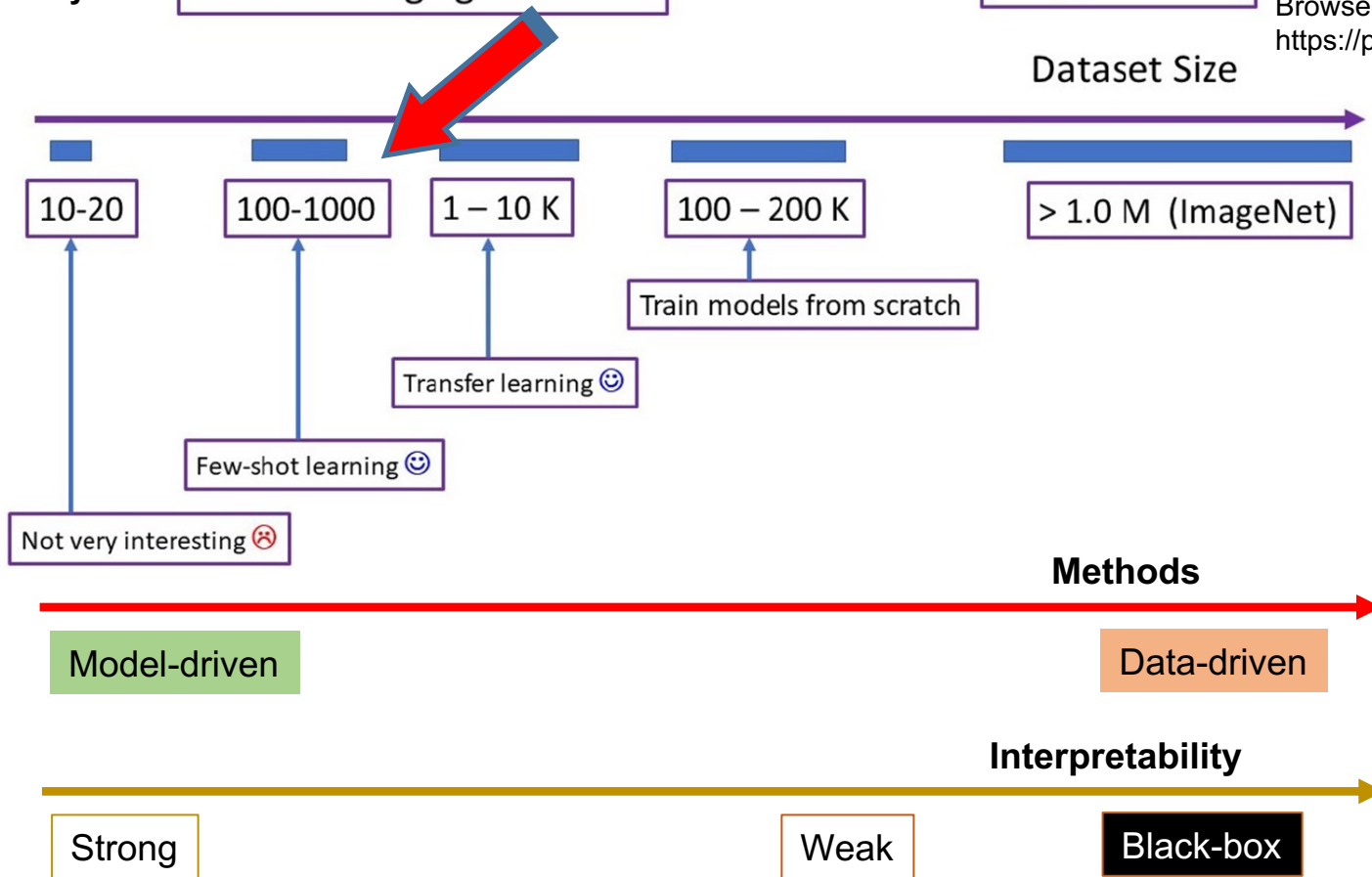
**“DATA IS THE NEW GOLD”**

**Data  
Methods  
Interpretability**

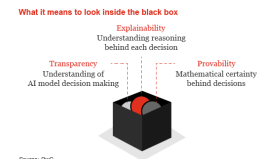
**Medical Imaging Problems**

**State of the art  
Computer Vision**

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<https://paperswithcode.com/sota>

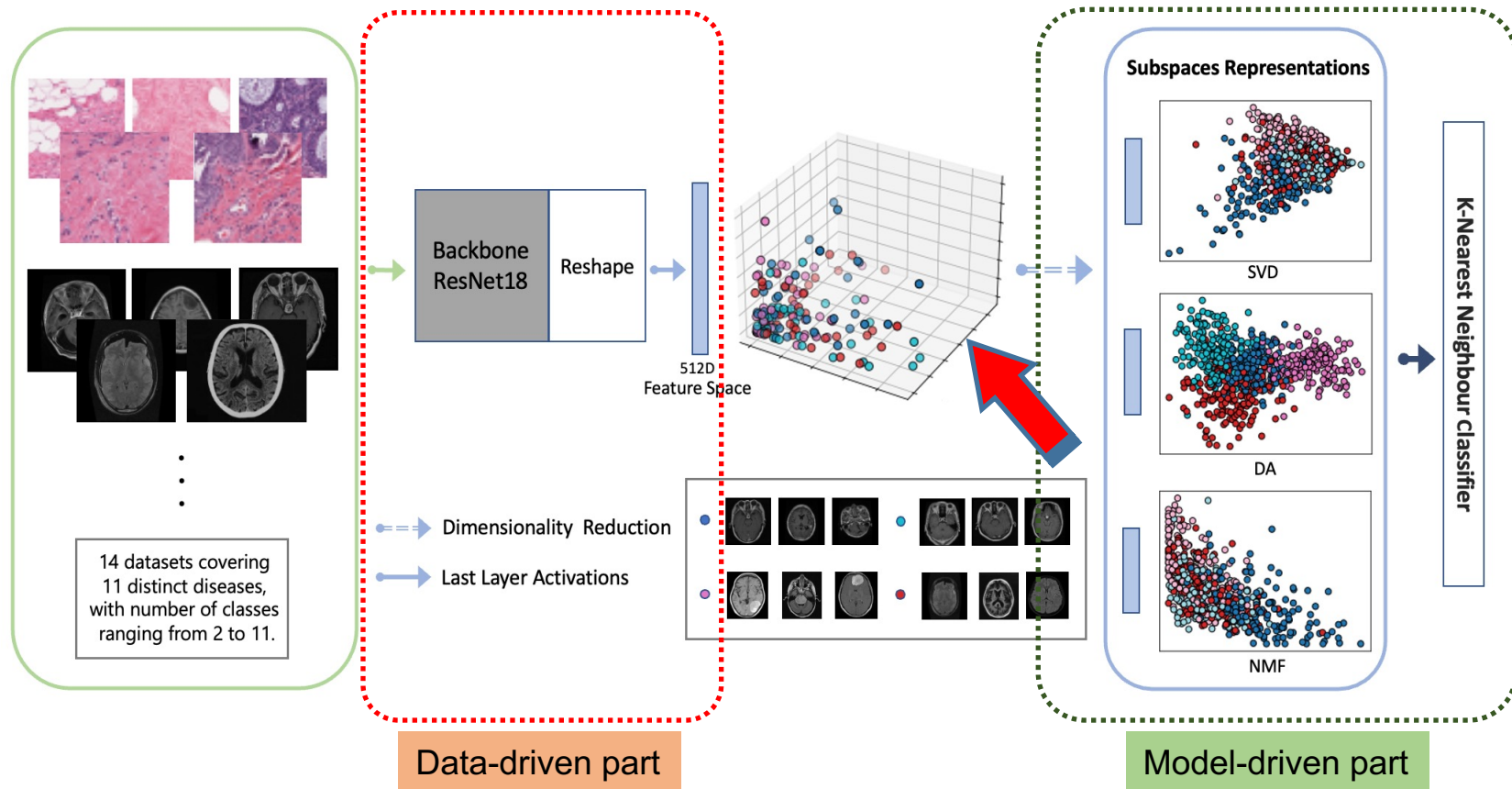


[Credit Niranjn]

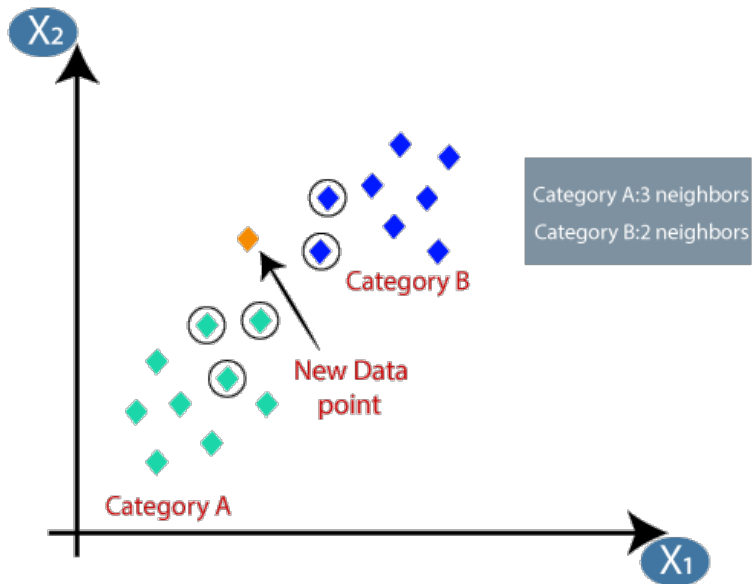


# Few-Shot Learning Framework and Subspaces

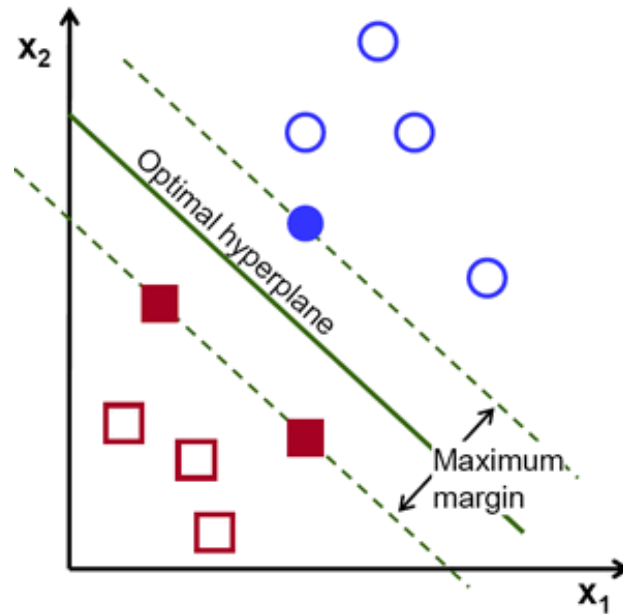
[J. Liu, K. Fan, X. Cai, and M. Niranjan, under review]



# Classification



K-Nearest Neighbor (KNN)



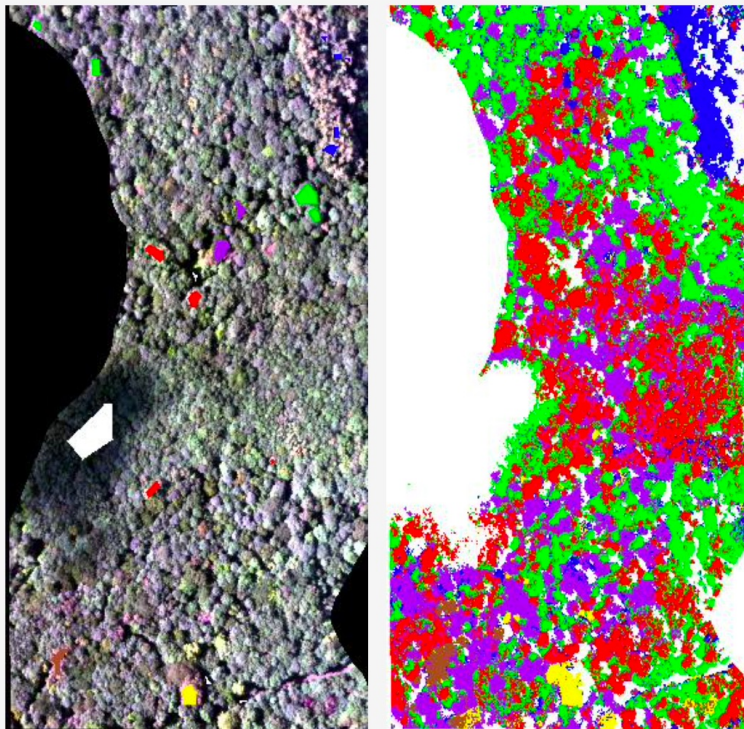
Support Vector Machine (SVM)



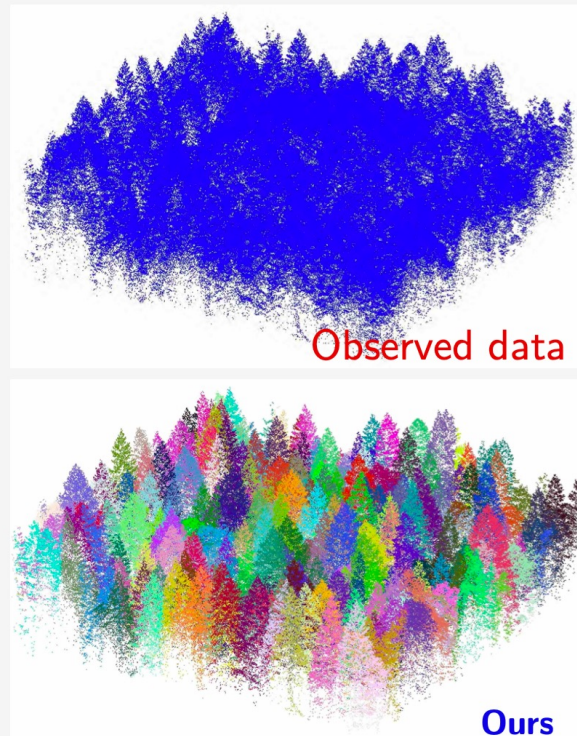
# Classification

*IEEE Tr., '15, '16, '19*  
*J. Lee, X. Cai, D. Coomes*  
*C.-B. Schönlieb, et al.*

Hyperspectral

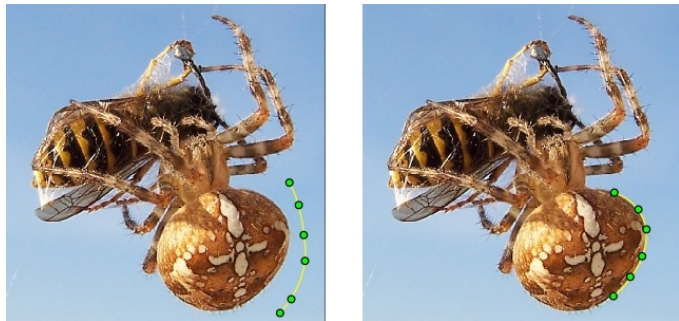


LiDAR





# Classification



International Journal of Computer Vision  
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## Snakes: Active Contour Models

MICHAEL KASS, ANDREW WITKIN, and DEMETRI TERZOPOULOS  
Schlumberger Palo Alto Research, 3340 Hillview Ave., Palo Alto, CA 94304

## Active Contours Without Edges

Tony F. Chan, *Member, IEEE*, and Luminita A. Vese

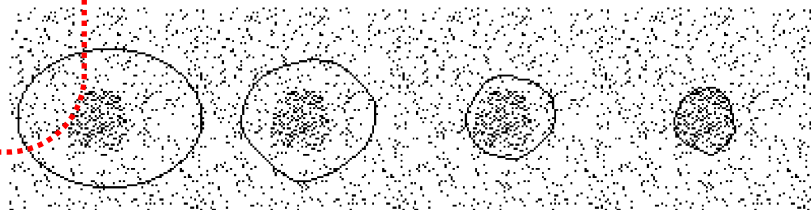
**Abstract**—In this paper, we propose a new model for active contours to detect objects in a given image, based on techniques of curve evolution, Mumford–Shah functional for segmentation and level sets. Our model can detect objects whose boundaries are not necessarily defined by gradient. We minimize an energy which can be seen as a particular case of the minimal partition problem. In the level set formulation, the problem becomes a “mean-curvature flow”-like evolving the active contour, which will stop on the desired boundary. However, the stopping term does not depend on the gradient of the image, as in the classical active contour models, but is instead related to a particular segmentation of the image. We will give a numerical algorithm using finite differences. Finally, we will present various experimental results and in particular some

the image (the external energy). Observe that, by minimizing the energy (1), we are trying to locate the curve at the points of maxima  $|\nabla u_0|$ , acting as an edge-detector, while keeping a smoothness in the curve (object boundary).

A general edge-detector can be defined by a positive and decreasing function  $g$ , depending on the gradient of the image  $u_0$ , such that

$$\lim_{z \rightarrow \infty} g(z) = 0.$$

For instance



# T-ROF (*Thresholded-ROF*)

## Image Restoration

ROF model  
(1992, citation > 15,700)

thresholding

$$\min_{u \in BV(\Omega)} \left\{ TV(u) + \frac{\mu}{2} \int_{\Omega} (f - u)^2 dx \right\},$$

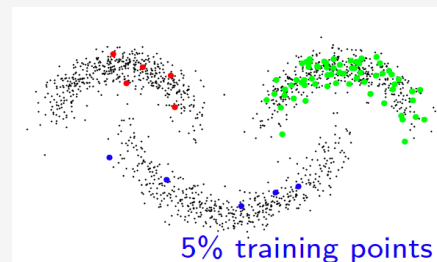
$TV(u)$ : total variation of  $u$

### Theorem

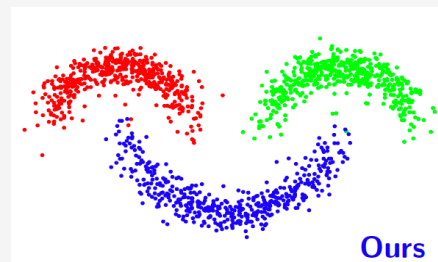
(Relation between ROF and Chan-Vese model) Let  $f$  be a grayscale image and  $\tilde{\Sigma}$  be a Chan-Vese model. For given  $0 < m_0 < m_1 \leq 1$ , let  $\tilde{\Sigma} := \{x \in \Omega \mid 0 < |\tilde{\Sigma}| < |\Omega|\}$ . Then  $\tilde{\Sigma}$  is a minimizer of the Chan-Vese model and fixed  $m_0, m_1$ . In particular,  $(\tilde{\Sigma}, m_0, m_1)$  is a partial Chan-Vese model if  $m_0 = \text{mean}_f(\Omega \setminus \tilde{\Sigma})$  and  $m_1 = \text{mean}_f(\tilde{\Sigma})$ .

Per

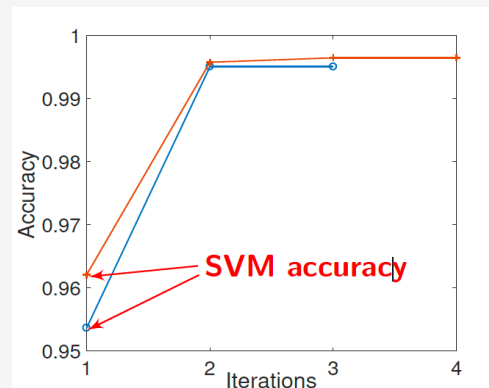
## Point clouds



5% training points



Ours



Method	Acc.
MBO ('14)	99.1
GC-1 ('14)	98.4
GC-2 ('17)	98.7
GC-3 ('18)	98.6
GC-4 ('18)	98.4
<b>Ours</b>	<b>99.4</b>

Parallelism  
computation

# Models

Models proposed in our work, e.g.:

$$\blacktriangleright \min_x \left\{ \frac{\lambda}{2} \|y - \mathcal{A}x\|_2^2 + \|\mathcal{W}x\|_1 \right\}$$

$$\blacktriangleright \min_g \left\{ \frac{\lambda}{2} \|f - \mathcal{A}g\|_2^2 + \frac{\mu}{2} \|\nabla g\|_2^2 + \|\nabla g\|_1 \right\}$$

$$\blacktriangleright \mu\Phi(f, \mathcal{A}g) + \lambda\Psi(g, u_i, c_i) + \sum_{i=1}^K \int_{\Omega} |\nabla u_i|$$

s.t.  $\sum_{i=1}^K u_i(x) = 1, u_i(x) \in \{0, 1\}$

$$\blacktriangleright \min_{u \in S} \left\{ \frac{1}{2} \|f - \mathcal{B}u\|_2^2 + \lambda \|\nabla u\|_0 \right\}$$

$$\blacktriangleright \min_{\psi} \left\{ D[T(\psi), R] + \alpha \|\Delta\psi\|_2^2 \right\}$$

Convex optimisation  
 algorithms

- $\blacktriangleright$  ADMM
- $\blacktriangleright$  Primal-dual
- $\blacktriangleright$  Split-Bregman
- $\blacktriangleright$  Augmented Lagrangian

Sparse regularizations

- $\blacktriangleright$   $\|\cdot\|_0, \|\cdot\|_1, \|\cdot\|_2$
- $\blacktriangleright$  with  $\nabla, \Delta, \mathcal{W}$
- $\blacktriangleright$   $\mathcal{W}$ : Wavelet transform

Statistics and Computing (2022) 32:87  
<https://doi.org/10.1007/s11222-022-10152-9>

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## Proximal nested sampling for high-dimensional Bayesian model selection



Xiaohao Cai<sup>1,2</sup>  · Jason D. McEwen<sup>1,3</sup> · Marcelo Pereyra<sup>4</sup>

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*Article*

## Proximal nested sampling with data-driven priors for physical scientists

Jason D. McEwen<sup>1,2\*</sup>, Tobías I. Liaudat<sup>1,3</sup>, Matthew A. Price<sup>1</sup>, Xiaohao Cai<sup>4</sup> and Marcelo Pereyra<sup>5</sup>

<sup>1</sup> Mullard Space Science Laboratory, University College London (UCL), Dorking, RH5 6NT, UK;

<sup>2</sup> Alan Turing Institute, London, NW1 2DB, UK;

<sup>3</sup> Department of Computer Science, University College London (UCL), London, WC1E 6BT, UK;

<sup>4</sup> School of Electronics and Computer Science, University of Southampton, Southampton, SO17 1BJ, UK;

<sup>5</sup> School of Mathematical and Computer Sciences, Heriot-Watt University, Edinburgh, EH14 4AS, UK;

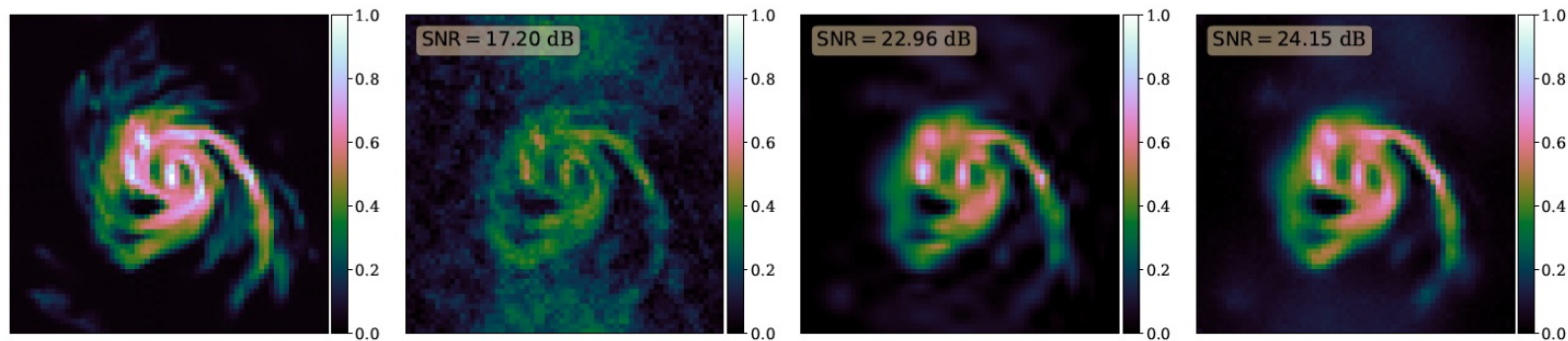
\* Correspondence: jason.mcewen@gmail.com

**Abstract:** Proximal nested sampling was introduced recently to open up Bayesian model selection for high-dimensional problems such as computational imaging. The framework is suitable for models with a log-convex likelihood, which are ubiquitous in the imaging sciences. The purpose of this article is two-fold. First, we review proximal nested sampling in a pedagogical manner in an attempt to elucidate the framework for physical scientists. Second, we show how proximal nested sampling can be extended in an empirical Bayes setting to support data-driven priors, such as deep neural networks learned from training data.

**Keywords:** Bayesian model selection; nested sampling; proximal calculus.

28 Jul 2023

# Model Selection



(a) Ground truth

(b) Dirty

(c) Hand-crafted prior

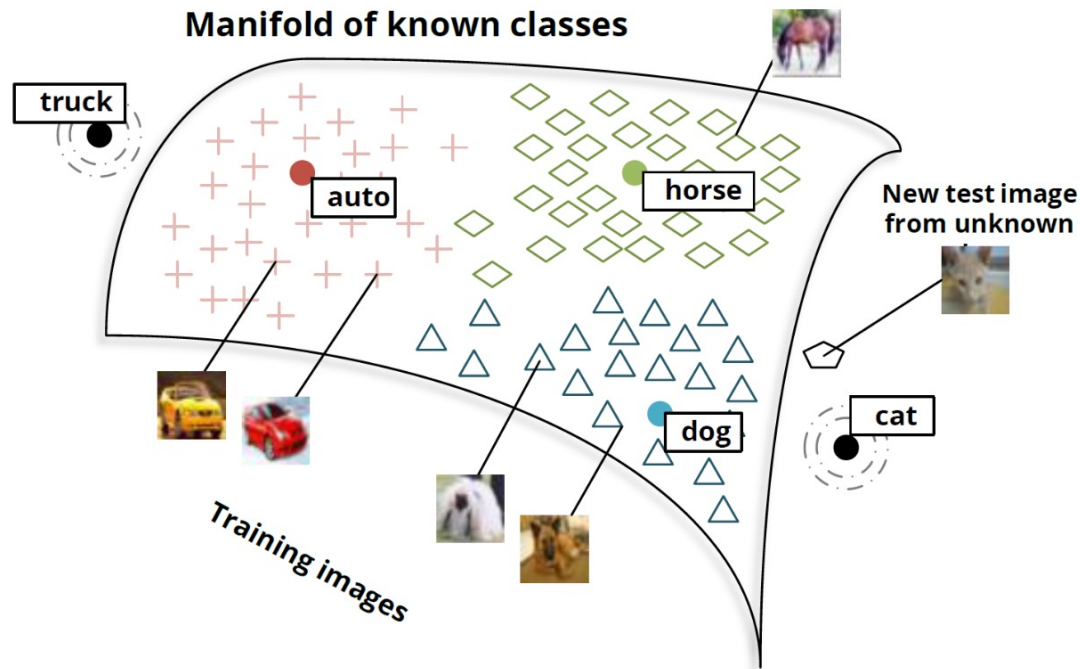
(d) Data-driven prior

-2.96x1E3

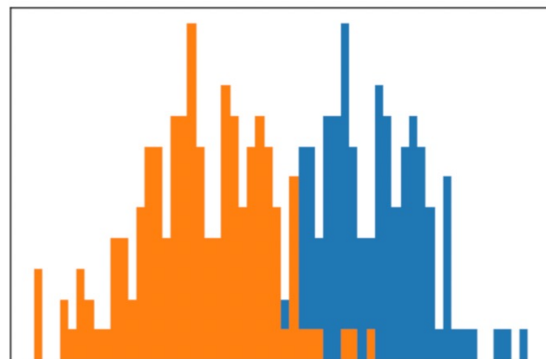
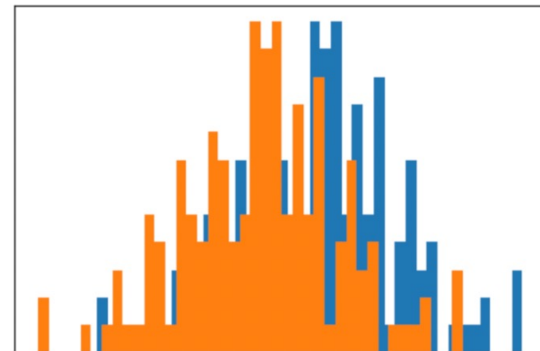
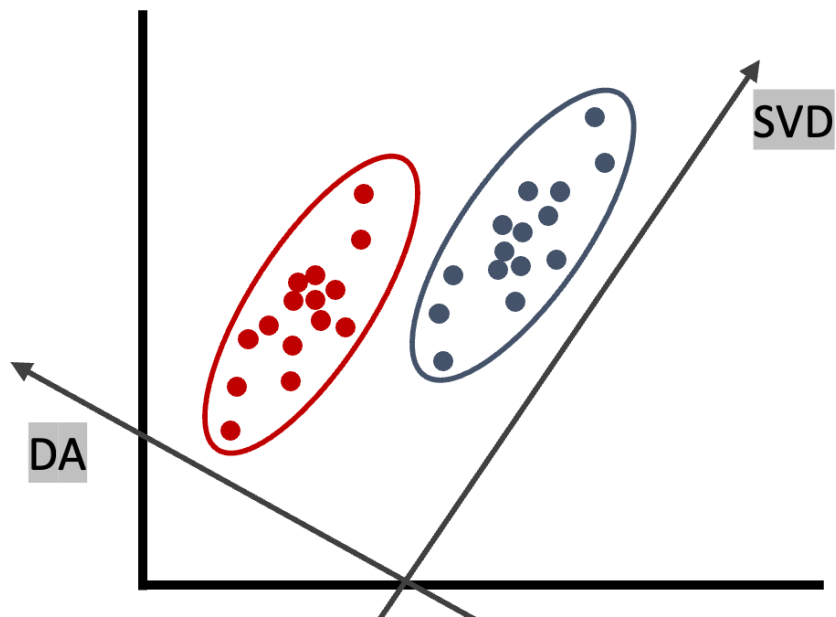
-1.35x1E3

Log evidence

# Subspace Representation / Embedding



# Subspace feature representations (SVD vs DA)





# DA (Linear discriminant analysis)

Maximise:  $\mathcal{R}(\mathbf{d}) = \frac{\mathbf{d}^\top \mathbf{S}_B \mathbf{d}}{\mathbf{d}^\top \mathbf{S}_W \mathbf{d}}$

No. of classes  $\downarrow$  Mean of class  $j$   $\downarrow$  Mean of the whole data  $\downarrow$

$$\mathbf{S}_B = \sum_{j=1}^C (\bar{\mathbf{y}}_j - \bar{\mathbf{y}})(\bar{\mathbf{y}}_j - \bar{\mathbf{y}})^\top, \quad \mathbf{S}_W = \sum_{j=1}^C \mathbf{S}_W^j,$$

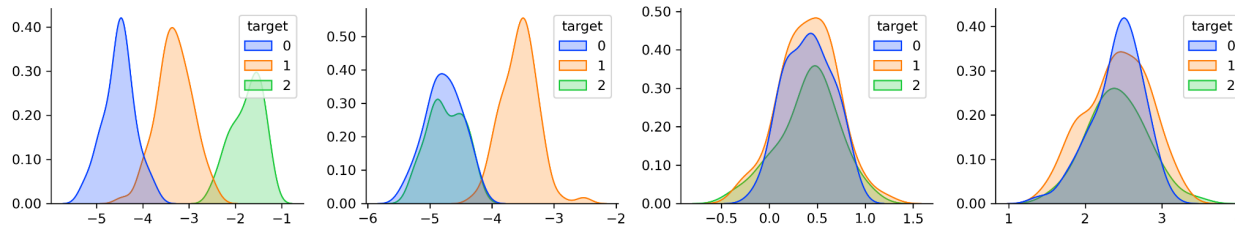
Inter-class scatter Intra-class scatter

$$\mathbf{S}_W^j = \sum_{k=1}^{N_j} (\mathbf{y}_k^j - \bar{\mathbf{y}}_j)(\mathbf{y}_k^j - \bar{\mathbf{y}}_j)^\top,$$

# DA (Linear discriminant analysis)

[arXiv:2305.14568 ]

Maximise:  $\mathcal{R}(\mathbf{d}) =$



JOURNAL OF L<sup>A</sup>T<sub>E</sub>X CLASS FILES, VOL. XX, NO. X.

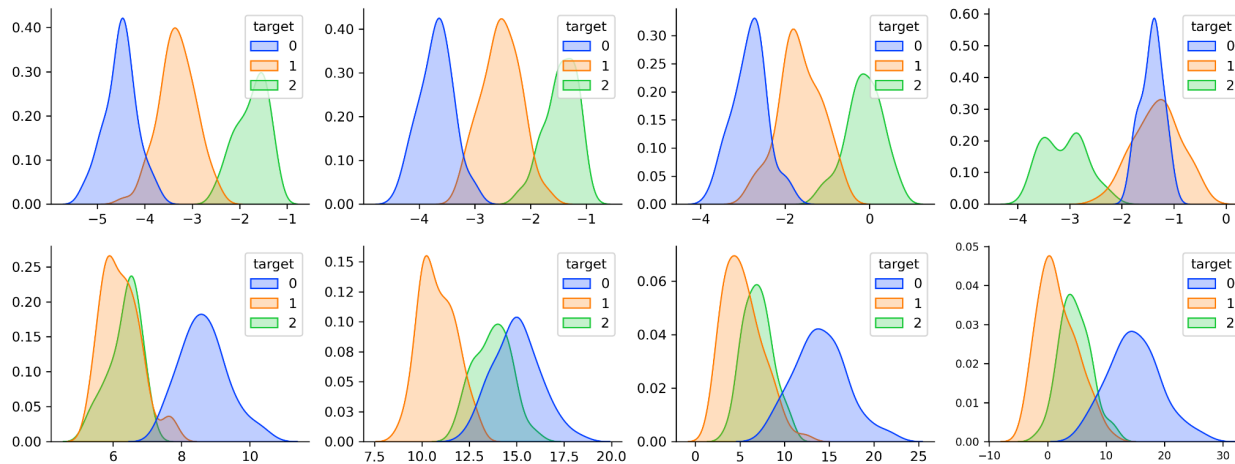
(a) Classic-LDA

## GO-LDA:

## D

## Jiah

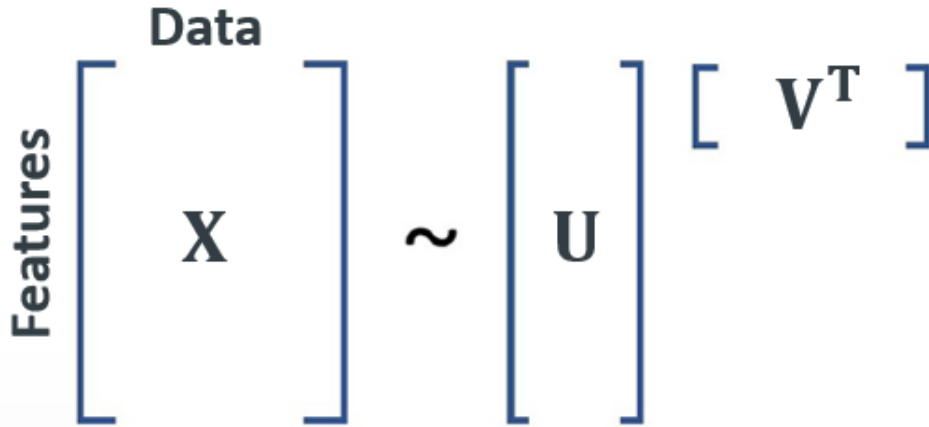
**Abstract**—Linear discriminant analysis While linearity of class boundaries cannot be preserved to map complex data onto feature space which is variance preserving, LDA maximizes the variance of the projection of the data onto a subspace. The solution to binomial LDA is well known that the multiclass LDA is



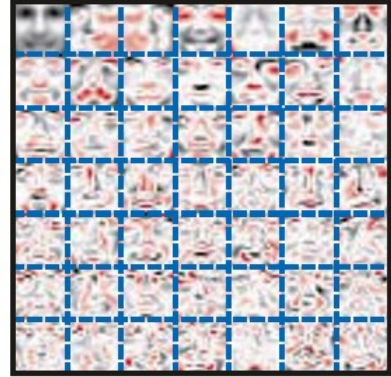
(b) GO-LDA

# NMF (Non-negative matrix factorization)

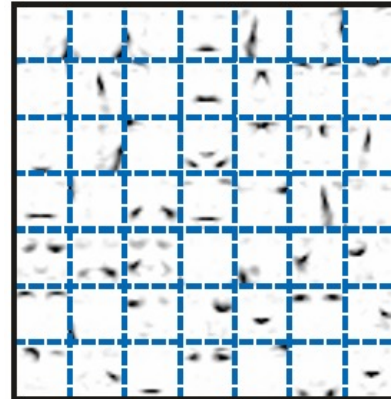
$$\min_{U,V} \|X - UV^T\|_F^2, \text{ s.t. } U \geq 0, V \geq 0$$



PCA



NMF



# Supervised NMF (DNMF, SCNMFS)

## DNMF (Discriminative NMF)

[M. Babaee, 2016]:

$$\min_{U, V, A} \|X - UV^T\|_F^2 + \alpha \|Q - AV^T\|_F^2,$$

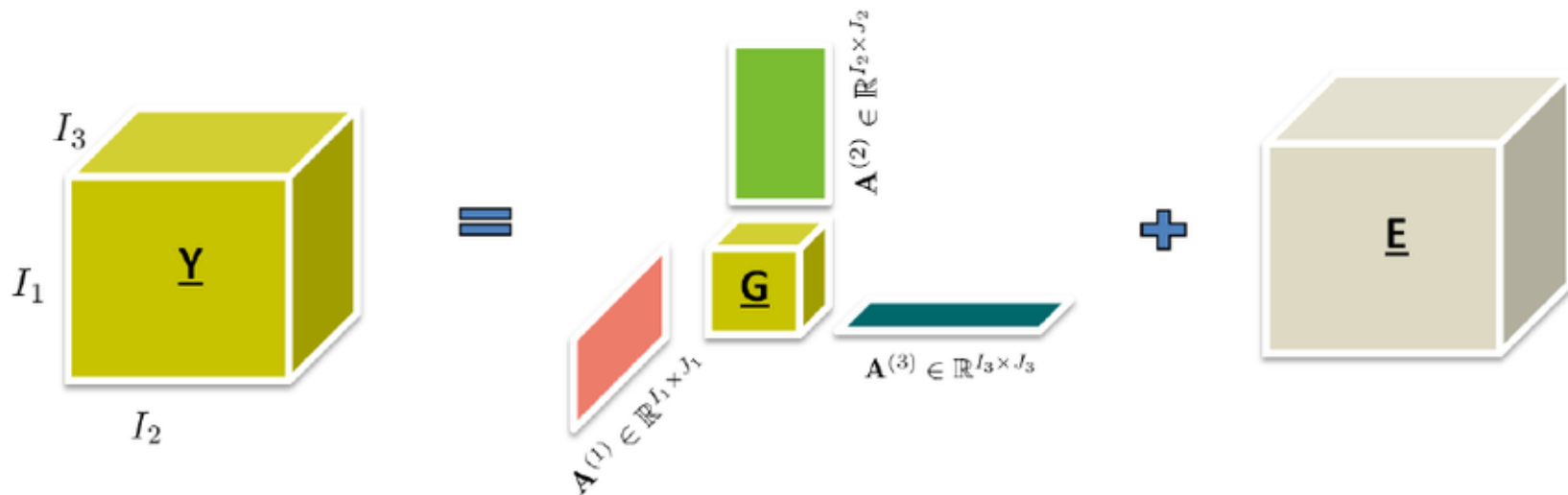
$$\text{s.t. } U \geq \mathbf{0}, V \geq \mathbf{0}$$

## SCNMFS (Supervised and Constrained NMF with Sparseness) [Xibiao Cai, 2018]:

$$\min_{U, Z} \|X - UZ^T Q\|_F^2 + \beta \|U\|_F^2,$$

$$\text{s.t. } U \geq \mathbf{0}, Z \geq \mathbf{0}$$

# Subspace Representation / Tensor Decomposition



Journal of Scientific Computing (2023) 95:52  
<https://doi.org/10.1007/s10915-023-02172-y>

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## Practical Sketching Algorithms for Low-Rank Tucker Approximation of Large Tensors

Wandi Dong<sup>1</sup> · Gaohang Yu<sup>1</sup> · Liqun Qi<sup>1,2</sup> · Xiaohao Cai<sup>3</sup>

Received: 24 October 2022 / Revised: 27 January 2023 / Accepted: 28 February 2023 /  
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# Subspace Representation / Tensor Decomposition



## A Randomized Block Krylov Method for Tensor Train Approximation

Gaohang Yu<sup>1\*</sup>, Jinhong Feng<sup>1</sup>, Zhongming Chen<sup>1</sup>, Xiaohao Cai<sup>2</sup>,  
Liqun Qi<sup>1,3</sup>

<sup>1</sup>Department of Mathematics, Hangzhou Dianzi University, China.

<sup>2</sup>School of Electronics and Computer Science, University of  
Southampton, Southampton, UK.

<sup>3</sup>Huawei Theory Research Lab, Hong Kong, China.

\*Corresponding author(s). E-mail(s): [maghyu@163.com](mailto:maghyu@163.com);

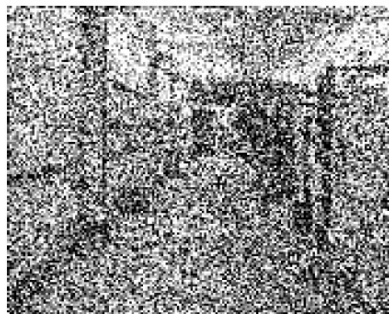
Contributing authors: [fengjinhong0502@163.com](mailto:fengjinhong0502@163.com); [zmchen@hdu.edu.cn](mailto:zmchen@hdu.edu.cn);  
[x.cai@soton.ac.uk](mailto:x.cai@soton.ac.uk); [liqun.qi@polyu.edu.hk](mailto:liqun.qi@polyu.edu.hk);

NAJ 7 Aug 2023

# Subspace Representation / Tensor Decomposition



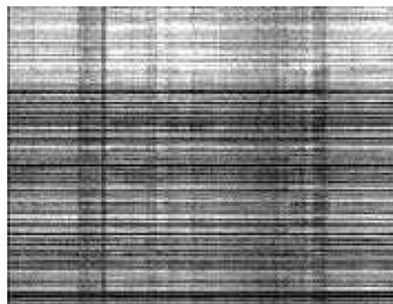
(a) Original gray video frame



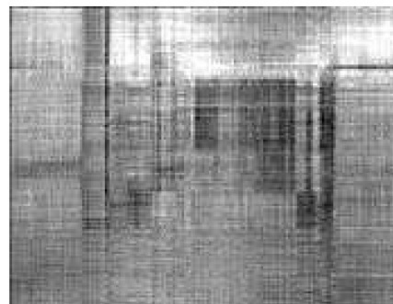
(b) Noisy gray video frame  
PSNR: 7.6099



(c) TT-SVD  
CPU: 0.29; PSNR: 22.9434



(d) TT-rSVD  
CPU: 0.03; PSNR: 15.4260



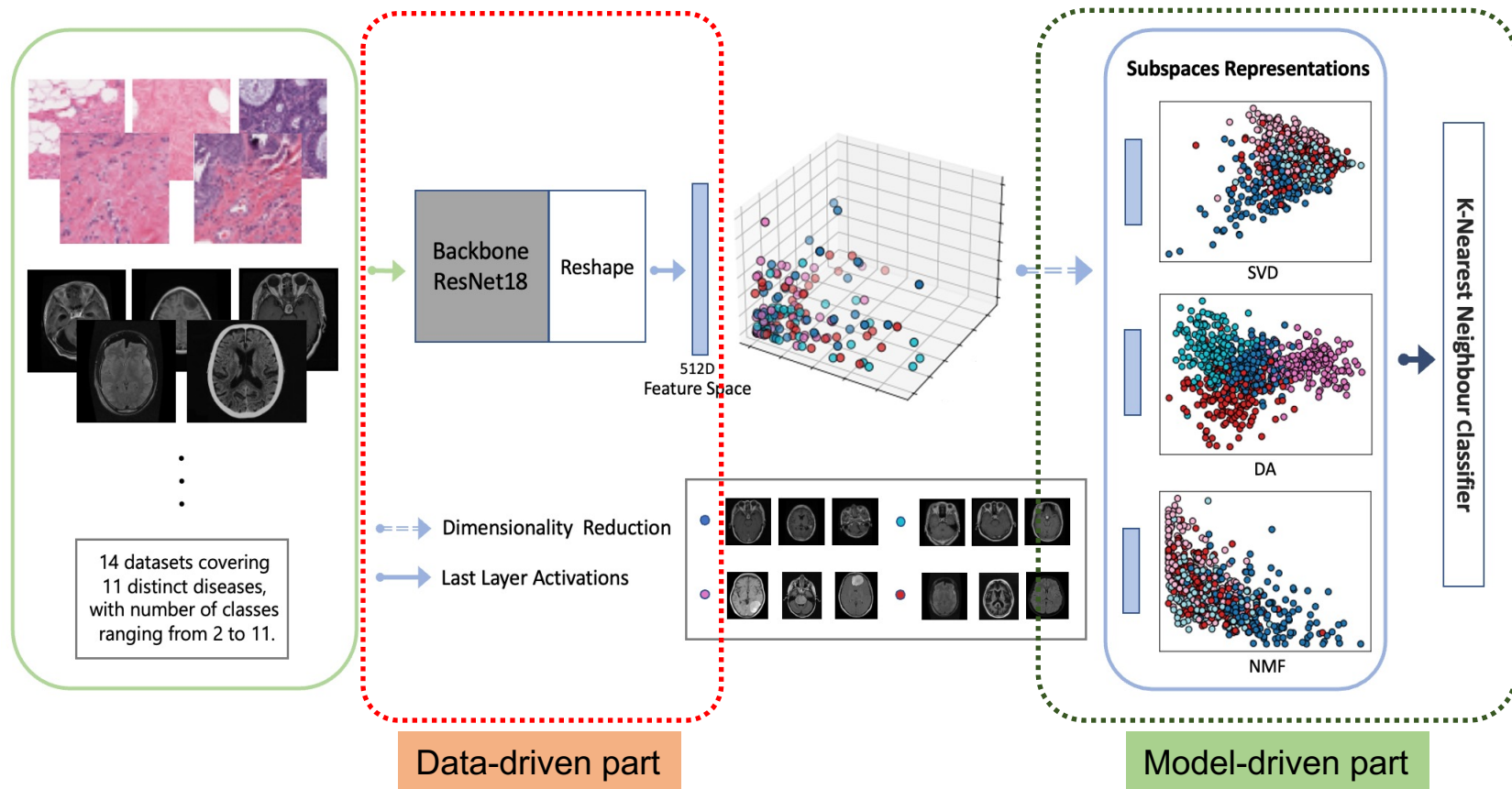
(e) TT-rSI  
CPU: 0.07; PSNR: 20.4777



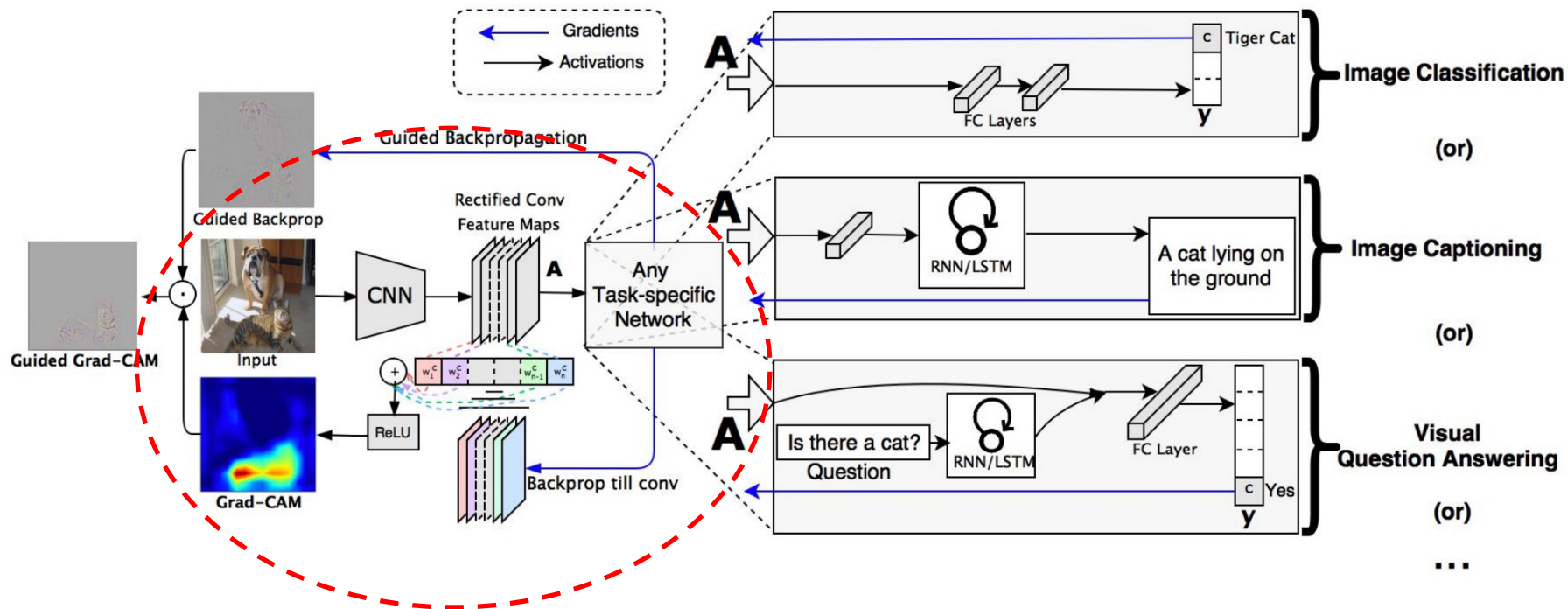
(f) TT-rBKI  
CPU: 0.10; PSNR: 22.0651



# Few-Shot Learning Framework and Subspaces



# Explainable AI (XAI) methods – Grad-CAM



# XAI Application



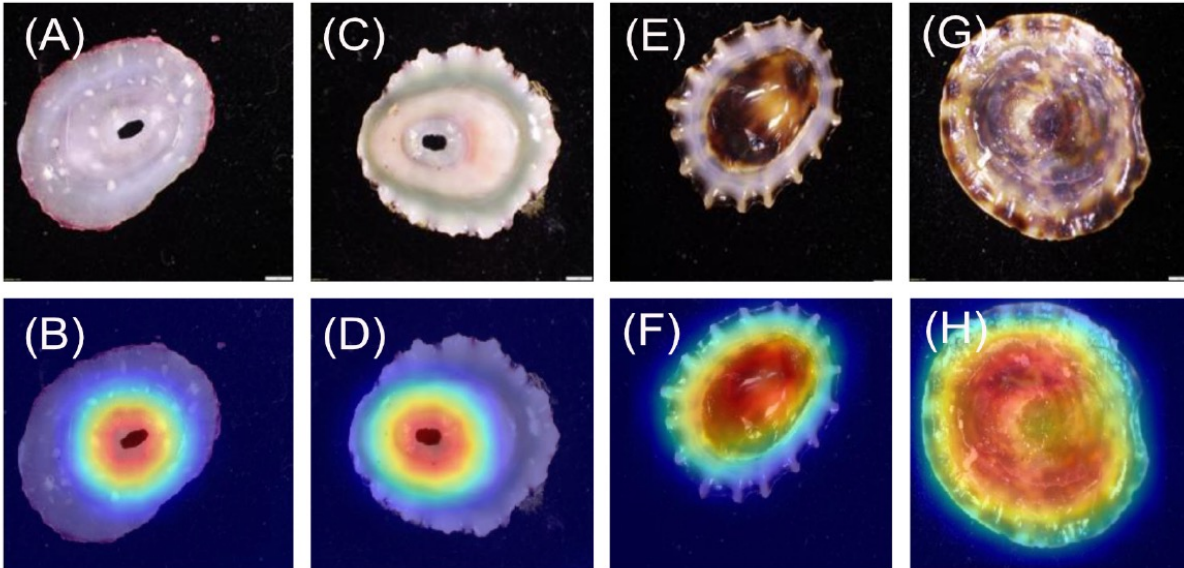
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## Using computer vision to identify limpets from their shells: a case study using four species from the Baja California peninsula

Christopher J. Fenberg<sup>1,2,3\*</sup>, Xiaohao Cai<sup>1</sup>, Tammy Horton<sup>2,3</sup>,  
Richard J. Price<sup>3</sup>, Karolina M. Zarzyczny<sup>1,3</sup>  
and Christopher J. Fenberg<sup>1,3</sup>

<sup>1</sup>Earth Sciences, University of Southampton, Southampton, United Kingdom, <sup>2</sup>Earth Sciences, National Oceanography Centre, Southampton, United Kingdom, <sup>3</sup>Natural Environment Research Council, Southampton, United Kingdom

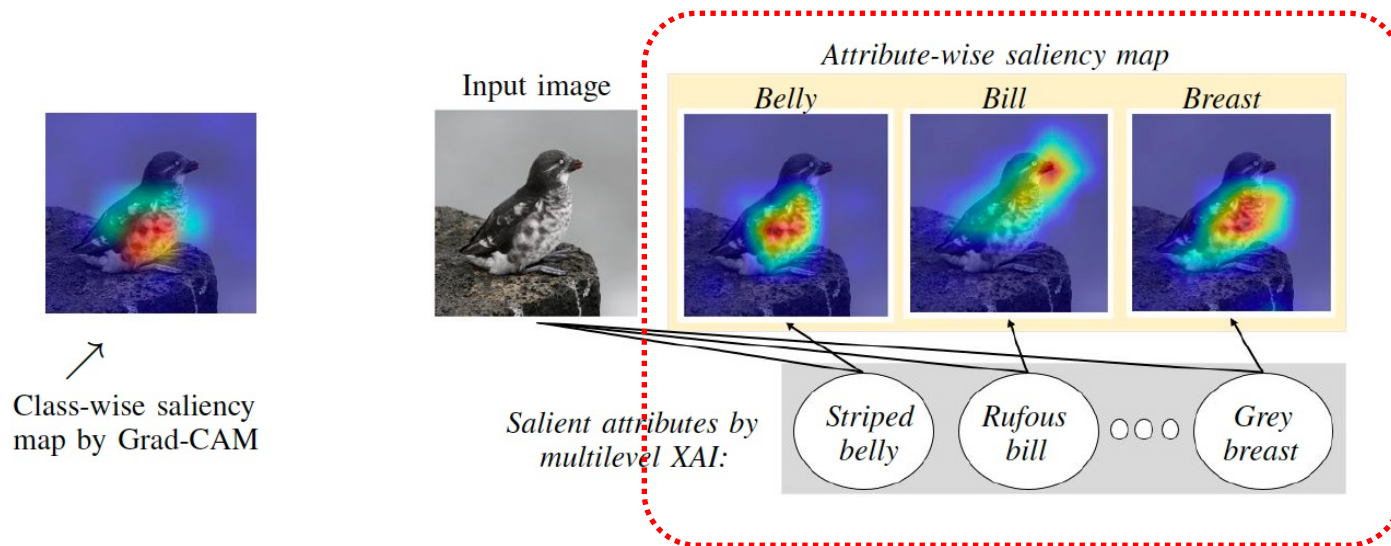


# Multilevel XAI

JOURNAL OF IEEE TRANSACTIONS ON ARTIFICIAL INTELLIGENCE, VOL. 00, NO. 0, MON [2023]

## Multilevel Explainable Artificial Intelligence: Visual and Linguistic Bonded Explanations

Halil Ibrahim Aysel, Xiaohao Cai, and Adam Prugel-Bennett

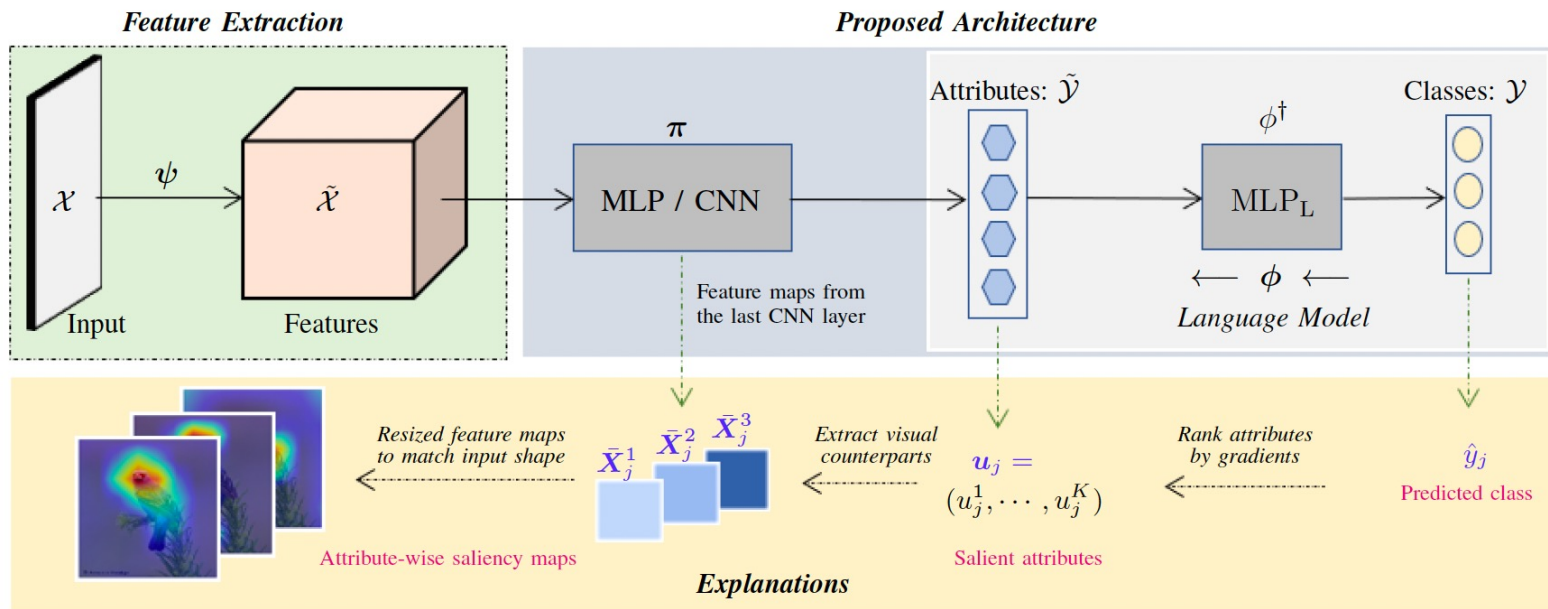


# Multilevel XAI

JOURNAL OF IEEE TRANSACTIONS ON ARTIFICIAL INTELLIGENCE, VOL. 00, NO. 0, MON [2023]

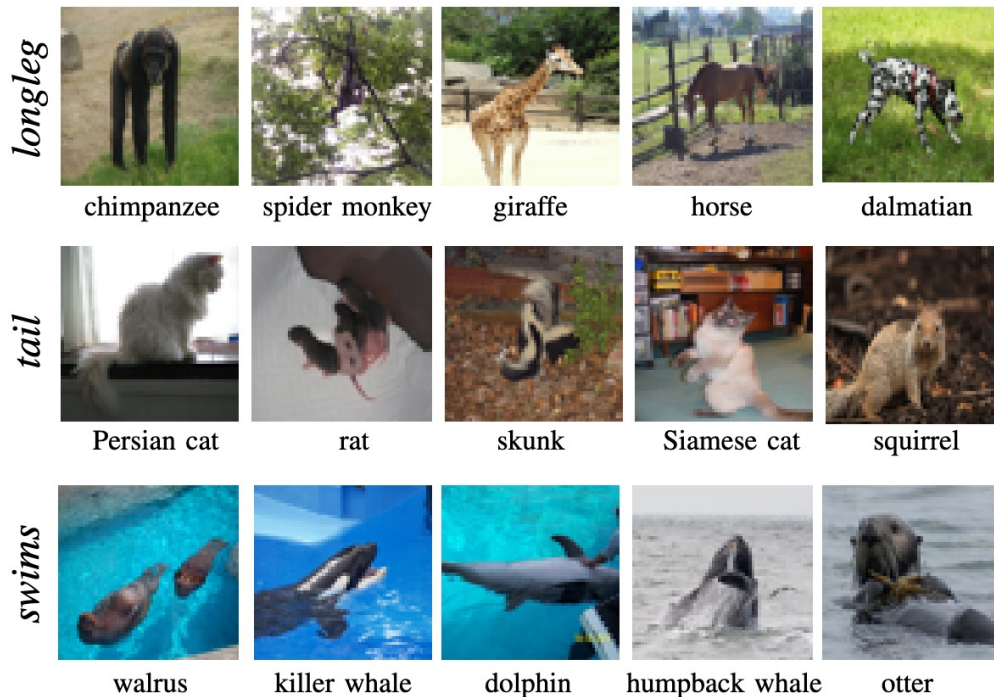
## Multilevel Explainable Artificial Intelligence: Visual and Linguistic Bonded Explanations

Halil Ibrahim Aysel, Xiaohao Cai, and Adam Prugel-Bennett

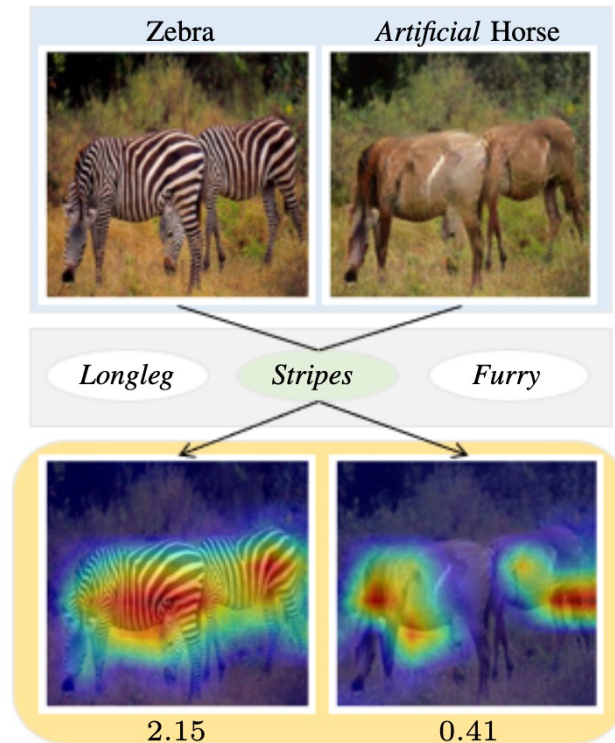




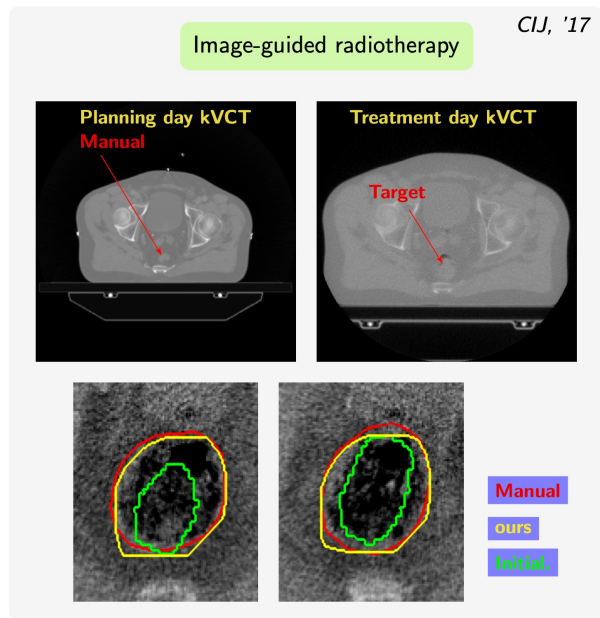
# Multilevel XAI



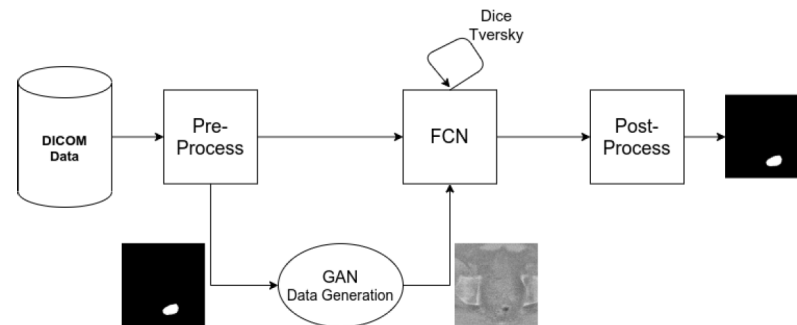
The top five classes that maximally activate the given individual attribute on the left



# Data Augmentation

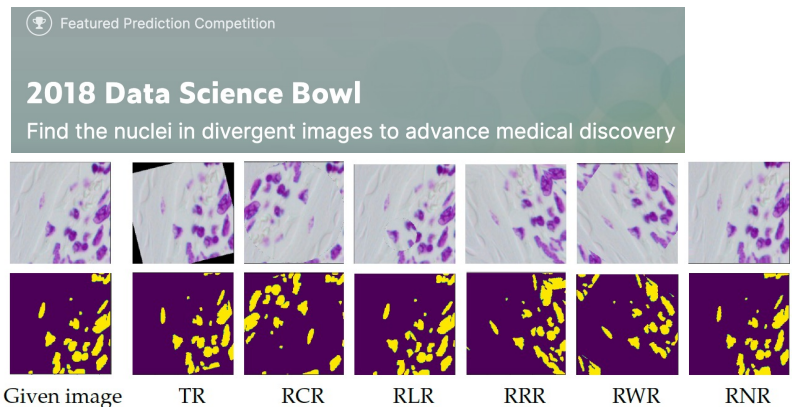


<http://www.componc.org/research/voxtox>



Use cGAN for data augmentation

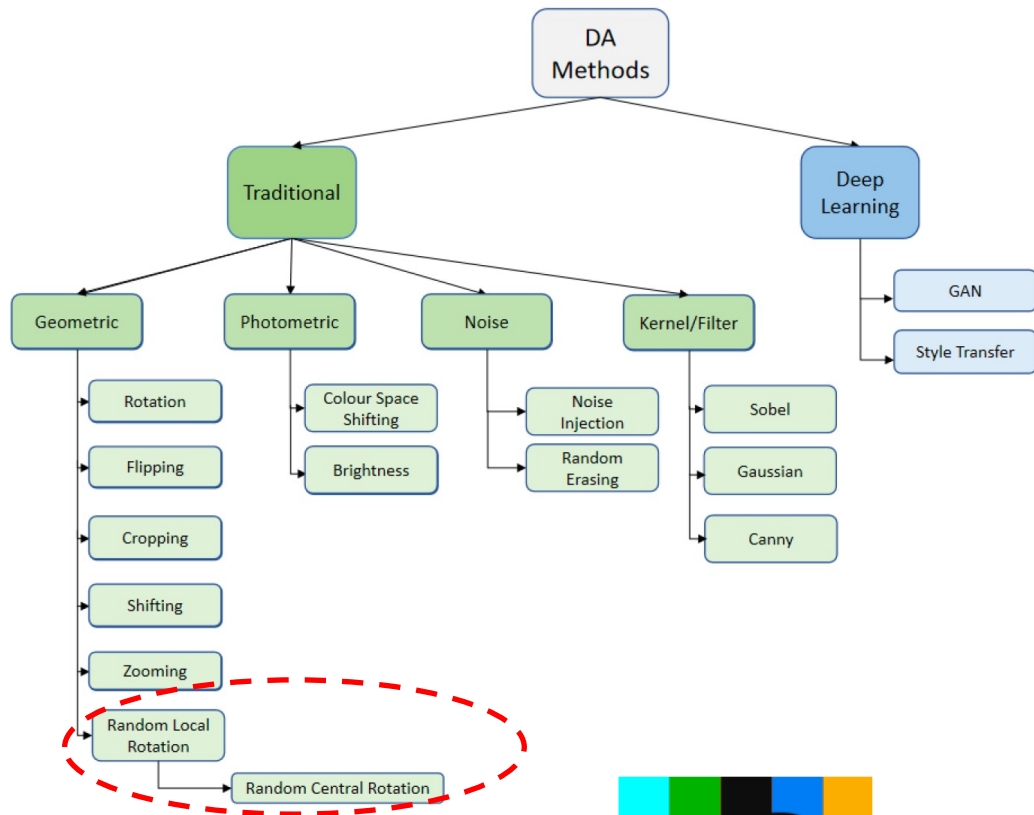
# Data Augmentation



Article

## Data Augmentation in Classification and Segmentation: A Survey and New Strategies

Khaled Alomar <sup>\*</sup>, Halil Ibrahim Aysel and Xiaohao Cai

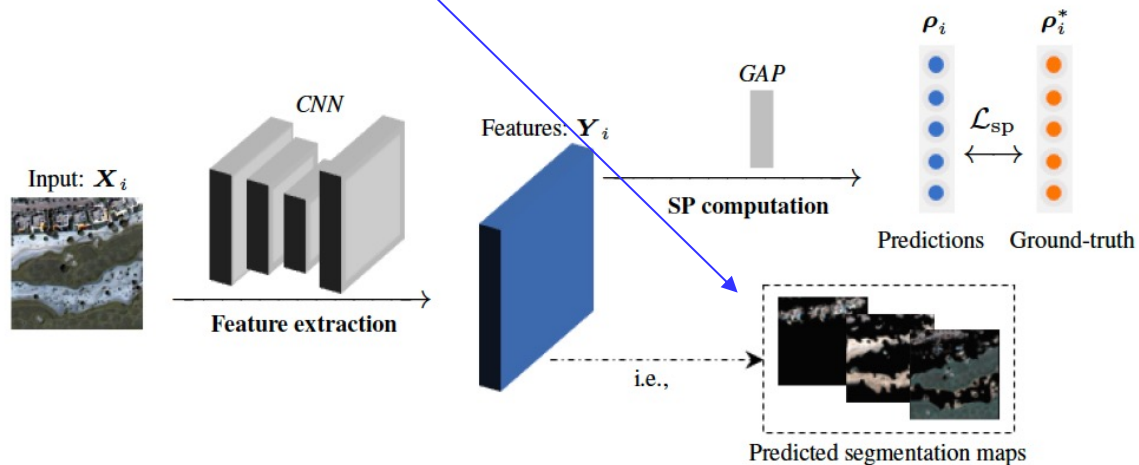




# Semantic Segmentation by Semantic Proportions

Halil Ibrahim Aysel<sup>1\*</sup> Xiaohao Cai<sup>1</sup> Adam Prügel-Bennett<sup>1</sup>


<sup>1</sup>School of Electronics and Computer Science, University of Southampton, UK

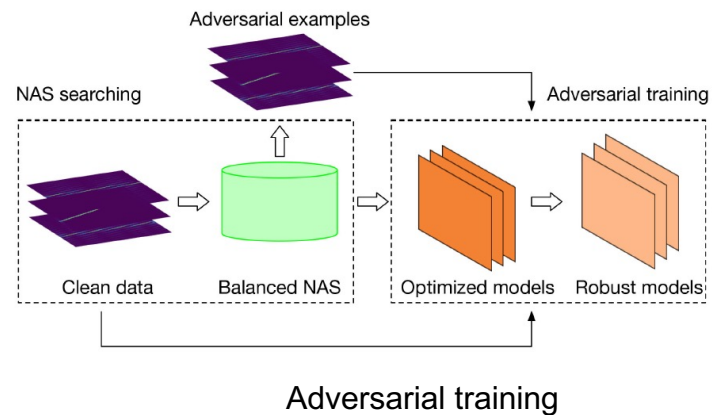
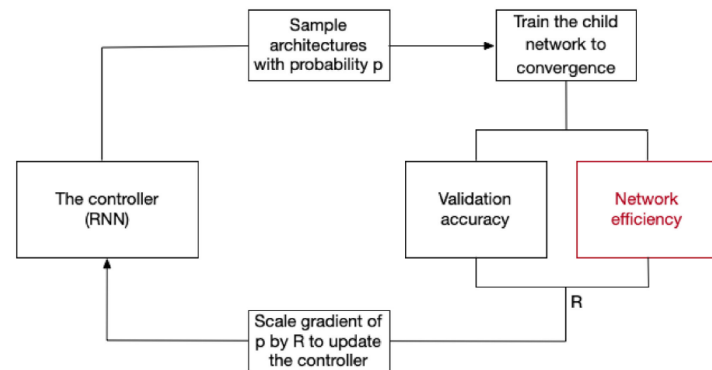
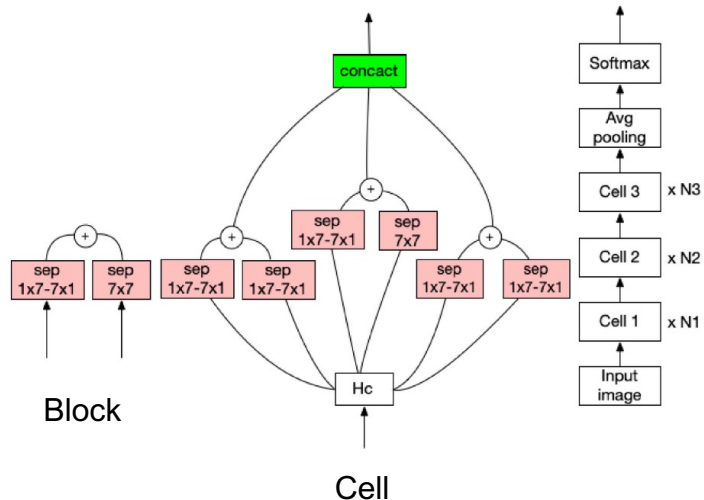


# Balanced Neural Architecture Search

IEEE TRANSACTIONS ON SIGNAL PROCESSING, VOL. 69, 2021

## Balanced Neural Architecture Search and Its Application in Specific Emitter Identification

Mingyang Du , Xikai He, Xiaohao Cai, and Daping Bi



# Bayesian Attention Belief Network



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Digital Signal Processing

journal homepage: [www.elsevier.com/locate/dsp](https://www.elsevier.com/locate/dsp)



Robust Bayesian attention belief network for radar work mode recognition <sup>☆</sup>

Mingyang Du <sup>a,\*</sup>, Ping Zhong <sup>b</sup>, Xiaohao Cai <sup>c</sup>, Daping Bi <sup>a</sup>, Aiqi Jing <sup>d</sup>

<sup>a</sup> College of Electronic Engineering, National University of Defense Technology, Hefei, 230037, China

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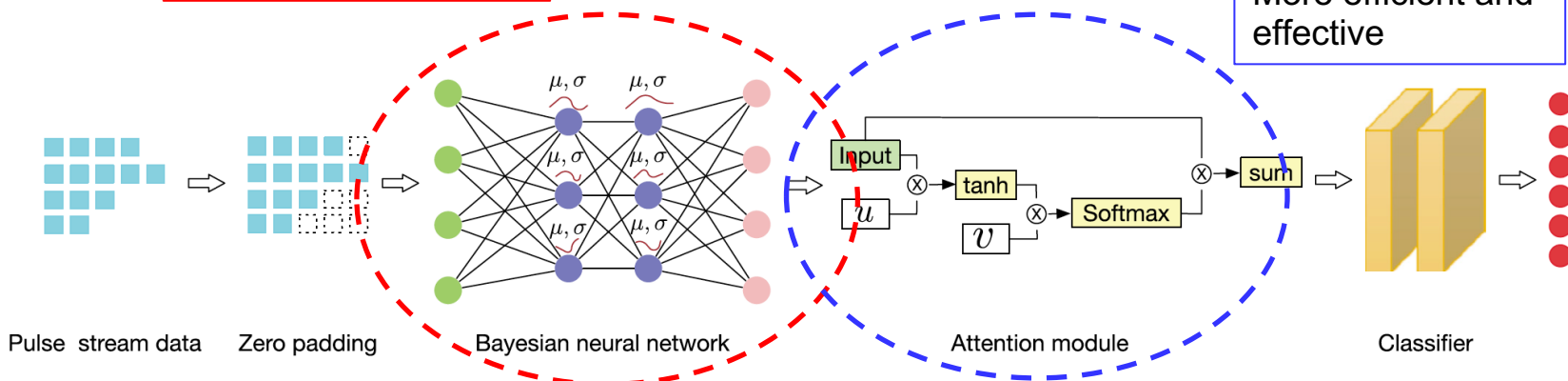
<sup>d</sup> School of Foreign Languages, Shanxi Datong University, Datong, 037000, China



2023

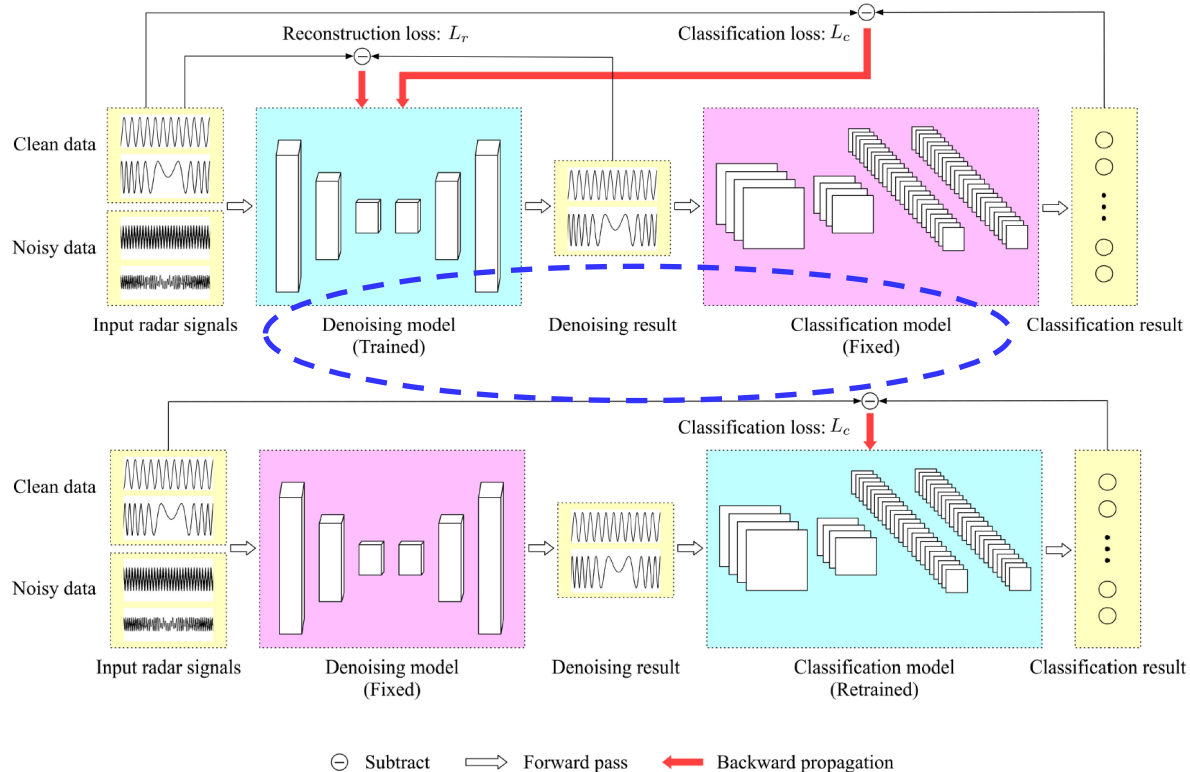
Better robustness and generalisation

More efficient and effective



Structure of the proposed BABNet

# Denosing and Classification



IEEE Transactions on Aerospace and  
 Electronic Systems, 2022

## DNCNet: Deep Radar Signal Denoising and Recognition

- MINGYANG DU** <sup>ID</sup>  
 National University of Defense Technology, Hefei, China
- PING ZHONG** <sup>ID</sup>, Senior Member, IEEE  
 National University of Defense Technology, Changsha, China
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 University of Southampton, Southampton, U.K.
- DAPING BI**  
 National University of Defense Technology, Hefei, China

Better robustness and  
 generalisation

**Recap:**

