Systèmes non linéaires et Improvisation Collective Libre

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Outline

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- 2 Definitions and model
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 - Signal and information
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Future plans

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Definition

Collective Free Improvisation (CFI) is a musical phenomenon produced by at least two persons improvising simultaneously and freely, *i.e.* trying to leave undecided every compositional aspects until the very moment of the performance.

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- No pre-commitment in CFI
- 2 time scales

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Free Improvisation, features

 ∃ automatized behaviors on a short time scale (embodied patterns and learned gestures are present),

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- ∃ automatized behaviors on a short time scale (embodied patterns and learned gestures are present),
- ∃ "relaxation time",
 - \rightarrow this defines the "cluster of event"
- ⇒ free improvisation ≠ "pure" improvisation, i.e. instantaneous *ex nihilo* creation.

Free Improvisation : referent-free

Free Improvisation can be defined as a referent-free improvisation.

According to Pressing, a referent is an underlying formal scheme or guiding image specific to a given piece, used by the improviser to facilitate the generation and editing of improvised behavior on an intermediate time scale.

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In CFI, as opposed to referent-based improvisation (like straightforward jazz), there is no founding act (like the common choice of a standard) that confers a given set of musical or extra-musical data the status of *common knowledge* in a group.

Pressing, J.: Cognitive Processes in Improvisation. In: Crozier, W. R., Chapman, A. (eds.) Cognitive Processes in the Perception of Art, pp. 345-363. Elsevier, Amsterdam (1984)

Free Improvisation : no pre-commitment

FI can include idiomatic borrowings: a given CFI can sound, at times, as a *be-bop* piece (with swing articulation, chords, tonal progression) or as a meditation on a *raga* (with a scale and a specific ornamentation).

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But a free improviser has no *pre-commitment* (Elster 2000) when the performance begins. His production is of course determined by several self-imposed restrictions, even stylistic restrictions, but he can modify these restrictions at any time.

Elster, J. Ulysses Unbound: Studies in Rationality, Precommitment and Constraints, Cambridge, Cambridge University Press (2000)

CFI's challenges

- FI \Rightarrow the generation of musical signal on an intermediate time scale is not regulated. The formal unfolding is thus totally undetermined.

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- CFI \Rightarrow improvisers' musical coordination is not regulated and free improvisers' simultaneous production is much more difficult to control than in referent-based improvisation. The fact that the way improvisers interact in CFI is not predetermined (roles and places in the ensemble can be redefined by anyone at anytime) makes it even harder.

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- How can a collective structure emerge from CFI?

 $\Rightarrow \mathsf{Model}$

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Time scales Signal and information Signal and intention Objective

Time scales

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- short time scale τ_s (~ seconds) : the scale of the "clusters of events" (gestures).
- long time scale τ_l (~ minutes) : the scale of the "sequences".
- total length of the improvisation piece. Fixed in our model.

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The cluster of events

A cluster is a cognitive chunk that gathers a set of musical, acoustical, kinetical events that were decided at the same point in time; it can be pictured as the subsequent execution of a micro-plan, over its duration of the order τ_s .

 τ_{s} is a short time, of the order of seconds. It is short because of the agent's cognitive limitations.

Pressing, J.: Cognitive Processes in Improvisation. In: Crozier, W. R., Chapman, A. (eds.) Cognitive Processes in the Perception of Art, pp. 345-363. Elsevier, Amsterdam (1984)

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The sequence

A sequence is defined by a set of processes and/or a number of features (acoustical, kinetical, musical...) holding for a given length. Improvisers try to establish successive identities and stable points in musical's stream. These identities are then developed, played with or eventually negated, until new identities finally emerge.

The sequence is related to the long time scale τ_l .

Nunn, T.: Wisdom of the Impulse: On the Nature of Musical Improvisation, http://www20.brinkster.com/improarchive/tn.htm (1998)

Canonne, C.: L'improvisation Collective Libre: De l'Exigence de Coordination à la Recherche de Points

Focaux. Thèse de Doctorat en Musicologie de l'Université de Saint-Etienne (2010)

Time scales Signal and information Signal and intention Objective

Signal and information

N : number of musicians,

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N : number of musicians,

 $x^k(t)$: temporal signal of musician k $(1 \le k \le N)$,

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 I^k : information delivered by musician k:

$$I^k = I^k_s + I^k_d$$
 with $I^k_s = rac{1}{2}(x^k)^2$ and $I^k_d = rac{1}{2} au^2_i \left(rac{\mathrm{d}x^k}{\mathrm{d}t}
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I: total information seen by any musician of the group

$$I = \sum_{k} I^{k} = \frac{1}{2} \|\vec{x}\|^{2} + \frac{1}{2}\tau_{i}^{2} \left\|\frac{\mathrm{d}\vec{x}}{\mathrm{d}t}\right\|^{2}$$

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Time scales Signal and information Signal and intention Objective

Intention

 ω^k = ideal signal that the musician would like to deliver.

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Time scales Signal and information Signal and intention Objective

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Here, we choose g =Identity.

Time scales Signal and information Signal and intention Objective

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where a > 0 is a constant.

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Clusters of events are shorter when the signal is large, *i.e.* contains more information.
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Illustration



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Time scales Signal and information Signal and intention Objective

Intention's dynamics

$$\tau_s \frac{\mathrm{d}\omega^k}{\mathrm{d}t} = \alpha^k x^k + \sum_{l \neq k} \beta^{k,l} x^l - h \|\omega_k\|^2 \omega^k$$

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Landau equation with h = 1 > 0.

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 $\alpha^k > \mathbf{0}: \text{ "self-sensitivity"}$

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\beta^{k,l}: interactions \beta^{k,l} are of order 1, they can have any sign or vanish.
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Time scales Signal and information Signal and intention Objective

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 $\vec{\Omega}^{k} = (\alpha^{k}, \{\beta^{k,l}\}_{l \neq k}) =$ objective of the musician k

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We choose a discrete dynamics, and any change in the objective of a musician defines a new sequence for this musician. This dynamics requires the introduction of the *cognitive load* and the *boreness*.

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Intention's dynamics

couplings are non-symmetrical : $\beta^{k,l} \neq \beta^{l,k}$.

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- if β^{k,l} ≃ 0, then player k is not paying any attention to the signal from player l : "independency".

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Cognitive load

Total cognitive load of musician k: $C^k = C^k_{\text{monitor}} + C^k_{\text{prod}} \le C^k_{\text{max}}$ representing the maximal cognitive capacity of the musician k.

Musician's attention is shared between two tasks:

• monitoring other musicians' signals:

$$C_{\text{monitor}}^{k} = \frac{1}{2} \left(\alpha^{k} x^{k} \right)^{2} + \sum_{l \neq k} \frac{1}{2} \left(\beta^{k,l} x^{l} \right)^{2} = \frac{1}{2} \left\| \vec{\Omega}^{k} \cdot \vec{x} \right\|^{2}$$

generating his own signal

$$C_{\text{prod}}^{k} = \frac{1}{2} (a^{k})^{2} I_{s}^{k} = \frac{1}{2} (a^{k} x^{k})^{2}$$

Time scales Signal and information Signal and intention Objective

Boreness

When a sequence is lasting too long, the musician gets bored and ultimately breaks it.

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Time scales Signal and information Signal and intention Objective

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- \Rightarrow change of objective and \Rightarrow change of (individual) sequence.

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Boreness : example



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Objective's dynamic

Objective remains constant as long as $b^k(t) < b_{\max}^k$.

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We also decide to project ω^k into x^k at the very same time

Collective sequences Contributing factors to CFI's structuring in collective sequence

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Collective sequences

aim : probe and quantify coordination efficiency in the group.

Collective sequences Contributing factors to CFI's structuring in collective sequence

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If we find a lot of collective sequences, and if collective sequences are long enough, we will say that coordination amongst musicians in the group is good. One of the main interest of this model is to show the existence of collective sequences.

Collective sequences Contributing factors to CFI's structuring in collective sequence

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Collective sequences

If all objectives are constant, we expect a collective sequence. This is not a necessary condition



Collective sequences Contributing factors to CFI's structuring in collective sequence

Mathematical solutions

Two types of local structure in our model of CFI:

- A stable solution which can be seen as a "collective sequence" (labelled 1,2,3); this corresponds to a fixed point in the phase space of the system.
- An oscillating solution which can be seen as a phase of discoordination among the musicians (labelled B); this corresponds to a limit cycle.

Collective sequences Contributing factors to CFI's structuring in collective sequence

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Virtuosi

Virtuosi produce high-information signals at a lower cognitive cost.

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Virtuosi produce high-information signals at a lower cognitive cost. *Virtuosi* = low value of a^k .

Collective sequences Contributing factors to CFI's structuring in collective sequence

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Virtuosi produce high-information signals at a lower cognitive cost. *Virtuosi* = low value of a^k .



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Although collective sequences still exist, they occur less often.

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The existence of leaders enhance the organization of CFI in collective sequences
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Number of musicians

The fewer the musicians, the easier the collective organization:



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Emergence of sub-teams

In large groups of musicians, we allow our improvisers to seek for the creation of sub-teams.

This can be done in two different ways:

- Improvisers look for symmetrical interactions: if A is in imitation with B, B will also try to imitate A, $\Rightarrow \beta^{k,l}$ and $\beta^{l,k}$ with same sign and same order of magnitude.
- improvisers interact with 1 or 2 specific musicians only.
 - \Rightarrow 2 non vanishing $\beta^{k,l}$ at most, for every musician k.

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Emergence of sub-teams

5 musicians ($a^k = 0.4$) which tend to have symmetrical interactions:



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Emergence of sub-teams

5 virtuosi ($a^k = 0$) with 1 leader.

All improvisers are interacting with at most 2 other musicians.



Experiments

Collective sequences Contributing factors to CFI's structuring in collective sequence

Paris, december 2011. 5 quartets, 1 trio, 1 duo.

Protocole :

- improvise freely together for 10 minutes,
- then (individually) suggest a segmentation of the improvisation in successive sequences.

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Experiments

Exemple : quatuor (session 2)



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Future plans

• successful in showing self-organization in CFI, despite the absence of *a priori* structures.

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- measure information from (realistic) signals ?

Thank You

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Assume N = 1, $\omega = x$,

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Experiments

- pedal to record musicians' "internal" sequence / idea changes
- pedal to record "no idea" / "no identity"
- *a posteriori* decomposition of the improvisation piece by each musician
- complexity and information from signal : direct measurement ?

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