

Objective:  $\ast P\{S \neq \hat{S}\}$  small  
 $\ast M$  large.

## 1. Classical - quantum channels.

Input of  $W$  is **classical**

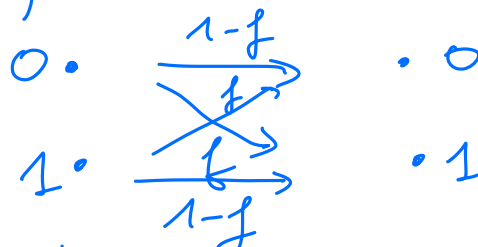
finite set  
 $\downarrow$

Def: A classical - quantum channel  $W$  with input space  $X$  and output space  $B$  is a collection  $\{W_x\}_{x \in X}$  of density operators  $W_x$  acting on  $B$ .  
 $B$  Hilbert space

Ex: • Classical channel:  $\{W(y|x)\}_{x \in X, y \in Y}$

$W(y|x) =$  probability output  $y$  for input  $x$ .

For example: Binary symmetric channel flip probability  $f$   
 $X = \{0, 1\}$        $Y = \{0, 1\}$        $\uparrow$   
 $\{0, 1\}$



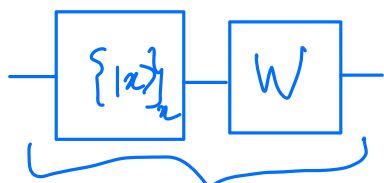
$$W(0|0) = W(1|1) = 1-f, \quad W(0|1) = W(1|0) = f.$$

Can see it as a classical-quantum channel with output  $\mathcal{B}$  a Hilbert space of dimension  $|\mathcal{Y}|$

$$W_x = \sum_{y \in \mathcal{Y}} W(y|x) |y\rangle\langle y|$$

where  $\{|y\rangle : y \in \mathcal{Y}\}$  is a fixed orthonormal basis.

- $W_0 = |0\rangle\langle 0|$  and  $W_1 = |+\rangle\langle +|$   $|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$
- Can see  $W$  as a quantum channel that starts by measuring in a basis  $\{|x\rangle\}_{x \in \mathcal{X}}$  followed by preparation

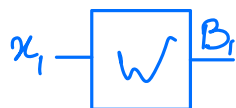


Quantum channel  $W$  satisfying  $W(|x\rangle\langle x|) = W_x$  for  $x \in \mathcal{X}$ .

and  $W(|x\rangle\langle x'|) = 0$  for  $x \neq x'$

- Given  $W$  and  $n \geq 1$  integer, can define  $W^{\otimes n}$ : input  $\mathcal{X}^n$  and output  $\mathcal{B}^{\otimes n}$

$$(W^{\otimes n})_{x_1 \dots x_n} = W_{x_1} \otimes W_{x_2} \otimes \dots \otimes W_{x_n}$$



$[M] := \{1, \dots, M\}$ .

Def: An  $M$ -code  $(E, D)$  for  $W$  is given by

- $E: [M] \rightarrow \mathcal{X}$  encoding function
- Decoding is a POVM  $\{D_s\}_{s \in [M]}$  on  $\mathcal{B}$ .

Ex: For a classical channel, we may assume  $\{D_s\}$  are diagonal  $D_s = \text{diag}(D_s(y) : y \in \mathcal{Y})$

$D_s(y) =$  Probability of decoding to  $s$  when seeing  $y$ .

POVM condition:  $\sum_s D_s(y) = 1$ .

Def: The error probability  $p_{\text{err}}(E, D)$  of an  $M$ -code for  $W$  is defined by

$$p_{\text{err}}(E, D) = 1 - \frac{1}{M} \sum_{s \in [M]} \text{Tr}(D_s W_{E(s)})$$

probability of successful decoding.

If  $p_{\text{err}}(E, D) \leq \epsilon$ , we say that  $(E, D)$  is an  $(M, \epsilon)$ -code

Remark: Used a uniform prior on  $[M]$ , another natural choice is

$$p_{\text{err}, \max}(E, D) = \max_{s \in [M]} 1 - \text{Tr}(D_s W_{E(s)})$$

$p_{\text{err}}$  and  $p_{\text{err}, \max}$  are related (see TD)

$x \in \{0, 1\}^{10} \xrightarrow{10 \text{ bits}} y \in \{0, 1\}^{10}$  flip each bit w.p.  $1/4$

$M=2$ .  $E(1) = \underbrace{0 \dots 0}_{10 \text{ bits}}$   $E(2) = \underbrace{1 \dots 1}_{10 \text{ bits}}$   $D_0 = \sum_{y: |y| \leq 5} |y\rangle\langle y|$

Ex: •  $\dim B = |\mathcal{X}|$ .  $W_x = |x \times x|$ .

$(|\mathcal{X}|, 0)$  code given by  
 $E(s) = |s \times s|$  (identifying  $\mathcal{X}$  with  $[|\mathcal{X}|]$ )  
 $D_s = |s \times s|$ .

$$\frac{1}{|\mathcal{X}|} \sum_s \text{Tr}(|s \times s| \cdot |s \times s|) = 1.$$

• Let  $e \in S(B)$  and  $W_x = e$  for all  $x \in \mathcal{X}$ .  
(useless channel, output does not depend on input)

For any choice of  $(E, D)$ , we have

$$\sum_{s \in [M]} \text{Tr}(D_s e) = 1 \Rightarrow P_{\text{err}}(E, D) = 1 - \frac{1}{M}$$

**Question:** Fixed  $\epsilon$ , largest  $M$  for which there exists an  $(M, \epsilon)$ -code for  $W$ ?

$$M^{\text{opt}}(W, \epsilon) = \max \{ M : \exists (M, \epsilon)\text{-code for } W \}$$

**Objective:** Characterize  $M^{\text{opt}}(W, \epsilon)$  in terms of "simple" properties of  $W$ .

**Important special case:** •  $W^{\otimes n}$  with large  $n$ .  
•  $\epsilon$  small

$$\lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \underbrace{\frac{\log_2 M^{\text{opt}}(W^{\otimes n}, \epsilon)}{n}} = ?$$

number of bits transmitted per channel use

Intuition:  $M^{\text{opr}}(W, \epsilon)$  should be given by a correlation measure between input and output of  $W$ .

Given a probability measure  $P_X$  on  $\mathcal{X}$  let

$$\rho_{XB} = \sum_{x \in \mathcal{X}} P_X(x) |x\rangle\langle x| \otimes W_x \quad \text{cq-state}$$

Recall we write  $\rho_X = \text{Tr}_B \rho_{XB}$  and  $\rho_B = \text{Tr}_X \rho_{XB}$ .

To characterize  $M^{\text{opr}}(W, \epsilon)$  need:

\* upper bound (called converse)

\* lower bound (called achievability)

## Converse

Th: If there exists an  $(M, \epsilon)$  code for  $W$

then  $\log M \leq \sup_{P_X} \underbrace{D_H^\epsilon(\rho_{XB} \| \rho_X \otimes \rho_B)}_{\text{correlation measure}}$

Rk: Channel  $W$  is arbitrary, "one-shot" entropy measure expected  
For  $W$  iid,  $D_H^\epsilon$  will become a relative entropy  $D$ .

Proof: Consider an  $(M, \epsilon)$  code.  $(E, D)$ .

Let  $C = \{x \in \mathcal{X} : \exists s \in [M] : E(s) = x\}$   
and define  $P_X(x) = 1/|C|$  for  $x \in C$  and  $P_X(x) = 0$   $x \notin C$

Then

$$\rho_{XB} = \frac{1}{|C|} \sum_{x \in C} |x\rangle\langle x| \otimes W_x.$$

$$\begin{aligned} \text{Tr}_B(\rho_{XB}) &= \frac{1}{|C|} \sum_{x \in C} \text{Tr}_B(|x\rangle\langle x| \otimes W_x) \\ &= \frac{1}{|C|} \sum_{x \in C} |x\rangle\langle x|. \end{aligned}$$

and

$$F = \frac{|C|}{M} \sum_{x \in C} |x\rangle\langle x| \otimes \left( \sum_{s: E(s)=x} D_s \right)$$

As  $\{D_s\}$  is a POVM,  $0 \leq F \leq I$ .

$$\begin{aligned} \text{Tr}(F \rho_{XB}) &= \frac{1}{M} \sum_{x \in C} \text{Tr} \left( \left( \sum_{s: E(s)=x} D_s \right) W_x \right) \\ &= \frac{1}{M} \sum_{s=1}^M \text{Tr}(D_s W_{E(s)}) \\ &\geq 1 - \epsilon. \end{aligned}$$

by the fact that  $(E, D)$  is an  $(M, \epsilon)$ -code.

On the other hand,

$$\begin{aligned} \text{Tr}(F \rho_X \otimes \rho_B) &= \frac{|C|}{M} \text{Tr} \left( \sum_{x \in C} |x\rangle\langle x| \otimes \left( \sum_{s: E(s)=x} D_s \right) \right) (\rho_X \otimes \rho_B) \\ &= \frac{|C|}{M} \sum_{x \in C} \text{Tr} \left( |x\rangle\langle x| \otimes \sum_{s: E(s)=x} D_s \right) \left( \frac{|x\rangle\langle x|}{|C|} \otimes \rho_B \right) \\ &= \frac{1}{M} \text{Tr} \left( \sum_{x \in C} \sum_{s: E(s)=x} D_s \right) \rho_B \\ &= \frac{1}{M} \end{aligned}$$

$\sup_{\rho_X \otimes \rho_B} -\log \text{Tr}(F \rho_X \otimes \rho_B) = \text{Tr}(F \rho_{XB}) \geq 1 - \epsilon$   
 $\Rightarrow \log M \leq D_H^\epsilon(\rho_{XB} \| \rho_X \otimes \rho_B) \geq \log M$   $\square$

# Achievability

Th: For any  $\epsilon \in (0, 1)$

and any  $\epsilon' \in (0, \epsilon)$  and  $c > 0$   
and  $M$  satisfying:

] tunable parameters  
for this bound.

$$\log M \leq \sup_{P_X} D_{\text{H}}^{\epsilon'}(P_{XB} \| P_X \otimes P_B) - \log \frac{2+c+\epsilon'}{\epsilon - (1+c)\epsilon'}$$

then exists an  $(M, \epsilon)$ -code.

Not the same  $\epsilon$   
but can choose it arbitrarily  
close to  $\epsilon$ .

Think of this as  
a small error term

Rk: \* Achievability statement matches converse up to error terms that are "small" in many settings of interest.

\* Proof uses the **probabilistic method**: does not give an explicit  $(E, D)$  that is an  $(M, \epsilon)$  code but rather we choose  $(E, D)$  **at random** and show that on average, it has an error probability  $\leq \epsilon$ .

Proof: Let  $\epsilon' < \epsilon$ ,  $c > 0$ ,  $P_X$  distribution on  $\mathcal{X}$ .

By definition, there exists  $F \in \text{Pos}(\mathcal{X} \otimes \mathcal{B})$  s.t.

$$\text{Tr}(F P_{XB}) \geq 1 - \epsilon'$$

$$\text{and } \text{Tr}(F P_X \otimes P_B) = 2^{-D_{\text{H}}^{\epsilon'}(P_{XB} \| P_X \otimes P_B)}.$$

Will construct  $(E, D)$  from  $F$ .

\*  $E: [M] \rightarrow \mathcal{X}$  ( $M$  should satisfy  $(*)$ )  
 choose  $E(s)$  random with distribution  $P_X$ .  
 independently for every  $s \in [M]$ .

\*  $\{D_\Delta\}_{\Delta \in [M]}$  a POVM.

Want to use the test  $F$  distinguishing  $P_X$  from  $P_X \otimes P_B$  -  
 operator on  $B$ .

Simple to see that we may assume  $F = \sum_{x \in \mathcal{X}} |ax\rangle\langle x| \otimes F_x$

Would like to set  $D_\Delta = F_{E(\Delta)}$   
 $\rightarrow$  does not work as  $\sum_{\Delta} F_{E(\Delta)} \neq I$  in general.

$\rightarrow$  we have to normalize it.

Let  $\Lambda = \sum_{s \in [M]} F_{E(s)} \geq 0$ .

$$D_\Delta = \Lambda^{-1/2} F_{E(\Delta)} \Lambda^{-1/2}$$

$\Lambda^{-1/2} = \sum_{i: \lambda_i > 0} \lambda_i^{-1/2} |e_i\rangle\langle e_i|$  for  $\Lambda = \sum_i \lambda_i |e_i\rangle\langle e_i|$

Note that  $D_\Delta \geq 0$

and  $\sum_{\Delta} D_\Delta = \Lambda^{-1/2} \sum_{\Delta} F_{E(\Delta)} \Lambda^{-1/2} = \Lambda^{-1/2} \Lambda \Lambda^{-1/2} = I$ .

Compute error probability

Rh: This construction is sometimes called pretty-good measurement.

For a fixed  $\Delta$  it is given by:

$$1 - \underbrace{\text{Tr}(D_\Delta W_{E(\Delta)})}_{\text{decode } \Delta \text{ when } \Delta \text{ is transmitted}} = \text{Tr}((I - D_\Delta) W_{E(\Delta)}) = \text{Tr}((I - \Lambda^{-1/2} F_{E(\Delta)} \Lambda^{-1/2}) W_{E(\Delta)})$$



## Operator inequality (Hayashi-Nagaoka)

For any  $c > 0$ ,  $0 \leq S \leq I$ ,  $0 \leq T$ ,

$$I - (S+T)^{-1/2} S (S+T)^{-1/2} \leq (1+c)(I-S) + (2+c+c^{-1})T$$

↑ the difference is a positive semidefinite operator.

Elementary fact: For  $A \leq B$  and  $W \geq 0$

$$\text{Tr}(AW) \leq \text{Tr}(BW)$$

Use Hayashi-Nagaoka + fact:

↳ with  $S = F_{E(0)}$  and  $T = \sum_{\delta' \neq \delta} F_{E(\delta')}$ .

$$\begin{aligned} \text{Tr}((I - \Lambda^{1/2} F_{E(0)} \Lambda^{1/2}) W_{E(0)}) &\leq (1+c) \text{Tr}((I - F_{E(0)}) W_{E(0)}) \\ &\quad + (2+c+c^{-1}) \sum_{\delta' \neq \delta} \text{Tr}(F_{E(\delta')} W_{E(\delta')}) \end{aligned}$$

So

$$\begin{aligned} \text{Perr}(E, D) &= \frac{1}{M} \sum_{\delta \in [M]} (1 - \text{Tr}(D_\delta W_{E(0)})) \\ &\leq \frac{1}{M} \sum_{\delta \in [M]} \left[ (1+c) \text{Tr}((I - F_{E(0)}) W_{E(0)}) \right. \\ &\quad \left. + (2+c+c^{-1}) \sum_{\delta' \neq \delta} \text{Tr}(F_{E(\delta')} W_{E(\delta')}) \right] \end{aligned}$$

Rk: we did not use our choice for  $E$  so far, we now use it by computing the expectation over the choice of  $E$ .

$$\begin{aligned} \mathbb{E} \left\{ \text{Perr}(E, D) \right\} &\leq \frac{1}{M_0} \left[ (1+c) \mathbb{E} \left\{ \text{Tr}((I - F_{E(0)}) W_{E(0)}) \right\} \right. \\ &\quad \left. + (2+c+c^{-1}) \sum_{\delta' \neq \delta} \mathbb{E} \left\{ \text{Tr}(F_{E(\delta')} W_{E(\delta')}) \right\} \right] \end{aligned}$$

↑  
over the randomness in choice of  $E$ .

$$\begin{aligned}
\textcircled{1} \mathbb{E} \left\{ \text{Tr} \left( (I - F_{E(\delta)}) W_{E(\delta)} \right) \right\} &= \sum_{\alpha \in \mathcal{X}} \mathbb{P} \{ E(\delta) = \alpha \} \text{Tr} \left( (I - F_{\alpha}) W_{\alpha} \right) \\
&= \sum_{\alpha \in \mathcal{X}} P_{\alpha}(\alpha) \text{Tr} \left( (I - F_{\alpha}) W_{\alpha} \right) \\
&= 1 - \text{Tr} \left( \left( \sum_{\alpha} |\alpha \times \alpha| \otimes F_{\alpha} \right) \cdot \sum_{\alpha} P_{\alpha}(\alpha) |\alpha \times \alpha| \otimes W_{\alpha} \right) \\
&= 1 - \underbrace{\text{Tr} \left( F \rho_{\mathcal{X} \times \mathcal{B}} \right)}_{\geq 1 - \varepsilon' \text{ by assumption}} \\
&\leq \varepsilon'.
\end{aligned}$$

$$\begin{aligned}
\textcircled{2} \mathbb{E} \left\{ \text{Tr} \left( F_{E(\delta')} W_{E(\delta')} \right) \right\} &= \sum_{\alpha, \alpha' \in \mathcal{X}} \mathbb{P} \{ E(\delta) = \alpha, E(\delta') = \alpha' \} \text{Tr} \left( F_{\alpha'} W_{\alpha} \right) \\
&= \sum_{\alpha, \alpha'} P_{\alpha}(\alpha) P_{\alpha'}(\alpha') \text{Tr} \left( F_{\alpha'} W_{\alpha} \right) \\
&= \text{Tr} \left( \left( \sum_{\alpha} |\alpha \times \alpha| \otimes F_{\alpha} \right) \left( \sum_{\alpha'} P_{\alpha'}(\alpha') |\alpha' \times \alpha'| \right) \otimes \left( \sum_{\alpha} P_{\alpha}(\alpha) W_{\alpha} \right) \right) \\
&= \text{Tr} \left( F \rho_{\mathcal{X}} \otimes \rho_{\mathcal{B}} \right) \\
&= 2^{-D_H^{\varepsilon'}(\rho_{\mathcal{X} \times \mathcal{B}} \| \rho_{\mathcal{X}} \otimes \rho_{\mathcal{B}})}
\end{aligned}$$

As a result

$$\mathbb{E} \left\{ \text{perr}(E, D) \right\} \leq (1+c) \varepsilon' + \underbrace{(2+c\varepsilon)}_{\text{because we sum over } \delta' \neq \delta} (M-1) 2^{-D_H^{\varepsilon'}(\rho_{\mathcal{X} \times \mathcal{B}} \| \rho_{\mathcal{X}} \otimes \rho_{\mathcal{B}})}$$

Many condition on  $M$  in  $\textcircled{2}$

$$\downarrow \\
\leq \varepsilon$$

$\Rightarrow$  There exists  $(E, D)$  s.t.  $\text{perr}(E, D) \leq \varepsilon$   $\blacksquare$

This characterization of  $\log M^{\text{opt}}(W, \epsilon)$  is very general.

Important special case where we can evaluate the expression more explicitly: Memoryless channel  $W^{\otimes n}$ .

Def: Let  $W$  be a cq channel.

The classical capacity  $C(W)$  of  $W$  is defined by

$$C(W) := \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{\log M^{\text{opt}}(W, \epsilon)}{n}$$

↑ optimal rate for transmitting information.

Corollary: For any cq channel  $W$

$$\sup_{P_X} I(X; B) \leq C(W) \leq \lim_{n \rightarrow \infty} \frac{1}{n} \sup_{P_{X_1 \dots X_n}} I(X_1, \dots, X_n; B_1, \dots, B_n)$$

$$\text{where } P_{X_1 \dots X_n} = \sum_{x_1, \dots, x_n} P(x_1, \dots, x_n) W_{x_1} \otimes W_{x_2} \otimes \dots \otimes W_{x_n}$$

Notation:  $X^n := X_1 \dots X_n$      $B^n = B_1 \dots B_n$

Proof:

We have

$$\lim_{\epsilon \rightarrow 0} \frac{1}{n} \sup_{P_{X^n}} D_{H^{\frac{\epsilon}{3}}}^{\epsilon/3}(P_{X^n B^n} \| P_{X^n} \otimes P_{B^n}) - \underbrace{\frac{1}{n} \log \left( \frac{4}{\epsilon/3} \right)}_{\rightarrow 0} \leq C(W) \leq \lim_{\epsilon \rightarrow 0} \frac{1}{n} \sup_{P_{X^n}} D_H^{\epsilon}(P_{X^n B^n} \| P_{X^n} \otimes P_{B^n})$$

We should evaluate  $\lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} \sup_{P_{X^n}} D_H^\epsilon(P_{X^n B^n} \| P_{X^n} \otimes P_B) =: \alpha$

•  $\alpha \geq \sup_{P_X} I(X; B)_{P_X}$  Let  $P_X$  achieve the sup.

Choose  $P_{X^n} = P_X \otimes P_X \dots \otimes P_X$  ( $X_1, \dots, X_n$  independent distribution  $P_X$ ).

$$\alpha \geq \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{D_H^\epsilon(P_{XB}^{\otimes n} \| P_X^{\otimes n} \otimes P_B^{\otimes n})}{n}$$

Stein lemma:

$$= D(P_{XB} \| P_X \otimes P_B)$$

$$= I(X; B)_{P_X}$$

•  $\alpha \leq \sup_m \sup_{P_{X^n}} \frac{1}{n} I(X^n; B^n)_{P_{X^n B^n}}$

In the converse part of Stein's lemma, we showed

$$D_H^\epsilon(P \| \sigma) \leq \frac{D(P \| \sigma) + 1}{1 - \epsilon}$$

$$\alpha \leq \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} \sup_{P_{X^n}} \frac{D(P_{X^n B^n} \| P_{X^n} \otimes P_{B^n}) + 1}{1 - \epsilon}$$

$$= \lim_{n \rightarrow \infty} \frac{1}{n} \sup_{P_{X^n}} I(X^n; B^n)_{P_{X^n B^n}}$$

$$= \sup_m \frac{1}{n} \sup_{P_{X^n}} I(X^n; B^n)_{P_{X^n B^n}}$$

$f(n) := \sup_{P_{X^n}} I(X^n; B^n)$   
is superadditive (ex)  
 $f(n+m) \geq f(n) + f(m)$ .  
+ Fekete lemma.

Rk: Actually easy to see  $C(W) = \sup_m \sup_{P_{X^n}} \frac{1}{n} I(X^n; B^n)_{P_{X^n B^n}}$ .

How to compute  $\sup_{P_{X^n}} \frac{1}{n} \sup_{P_{B^n}} I(X^n: B^n)$  ?

For cq channels  $\rightarrow = \sup_{P_X} I(X: B)$ , ie  $f(n)$  additive

Lemma: For any  $n$

$$\frac{1}{n} \sup_{P_{X^n}} I(X^n: B^n) = \sup_{P_X} I(X: B)$$

Proof:  $\cdot \geq$  simple (always true, not only cq channels)

$\cdot \leq$  Let  $P_{X^n}$  be arbitrary  $P_{X^n B^n} = \sum_{x^n} P_{X^n}(x^n) |x^n\rangle\langle x^n| \otimes W_{x^n}$

$$I(X^n: B^n) = H(B^n) - H(B^n | X^n)$$

$$* H(B^n) \leq \sum_{i=1}^n H(B_i) \quad (\text{subadditivity})$$

$$* H(B^n | X^n) = \sum_{x_1 \dots x_n} P_{X^n}(x_1 \dots x_n) H(B^n)_{W_{x_1} \otimes W_{x_2} \otimes \dots \otimes W_{x_n}}$$

Property of von Neumann entropy:

conditional entropy = average of entropy of conditional state.

$$= \sum_{x_1 \dots x_n} P_{X^n}(x_1 \dots x_n) (H(B)_{W_{x_1}} + H(B)_{W_{x_2}} + \dots + H(B)_{W_{x_n}})$$

entropy of a product state is sum of entropies.

$$= \sum_{i=1}^n \sum_{x_i} P_{X_i}(x_i) H(B)_{W_{x_i}}$$

$$= \sum_{i=1}^n H(B_i | X_i)$$

$$\begin{aligned}
\text{So } I(X^n: B^n) &\leq \sum_{i=1}^n H(B_i) - H(B_i|X_i) \\
&= \sum_{i=1}^n I(X_i: B_i) \\
&\leq n \cdot \sup_{P_X} I(X: B)_{\mathcal{C}_{XB}} \quad \square
\end{aligned}$$

Th (Shannon theorem for cq channels)

The capacity of a cq channel is given by

$$\begin{aligned}
C(W) &= \sup_{P_X} I(X: B)_{\mathcal{C}_{XB}} \\
\mathcal{C}_{XB} &= \sum_x P_X(x) |x\rangle\langle x| \otimes W_x
\end{aligned}$$

Rk.: If  $W_x = W$  for all  $x \Rightarrow C(W) = 0$

Surprisingly, converse also true

$$C(W) = 0 \Leftrightarrow W_x = W \quad \forall x$$

This is surprising, just using "repetition" will not work.

→ See TD.

## 2. General quantum channels.

Now  $\mathcal{W}: L(A) \rightarrow L(B)$  quantum channel.

Very similar definitions:

Def: An  $M$ -code  $(E, D)$  for  $\mathcal{W}$  is given by

- $E: [M] \rightarrow S(A)$  encoding function
- Decoding is a POVM  $\{D_s\}_{s \in [M]}$  on  $B$ .

Def: The error probability  $p_{\text{err}}(E, D)$  of an  $M$ -code for  $\mathcal{W}$  is defined by

$$p_{\text{err}}(E, D) = 1 - \frac{1}{M} \sum_{s \in [M]} \text{Tr}(D_s \mathcal{W}(E(s)))$$

probability of successful decoding.

If  $p_{\text{err}}(E, D) \leq \epsilon$ , we say that  $(E, D)$  is an  $(M, \epsilon)$ -code

Looking back at the proofs for cq channels, we see that it suffices to optimize over choices of  $\{\sigma_A^x\}_{x \in X} \subseteq S(A)$  and consider the corresponding cq channel  $\mathcal{W}_x = \mathcal{W}(\sigma_A^x)$

We then define (as before) for  $\{P_X(x), \sigma_A^x\}_{x \in X}$

$$\rho_{XB} = \sum_{x \in X} P_X(x) |x\rangle\langle x| \otimes \mathcal{W}(\sigma_A^x)$$

↑ This is called an ensemble

Th: Any  $(0, \epsilon)$ -code for  $\mathcal{W}$  satisfies

$$\log M \leq \sup_{\sigma_A^x} \sup_{P_X} D_H^\epsilon(\rho_{XB} \| \rho_X \otimes \rho_B)$$

and there exists an  $(M, \epsilon)$ -code for  $\mathcal{W}$

$$\log M \geq \sup_{\sigma_A^x} \sup_{P_X} D_H^{\epsilon'}(\rho_{XB} \| \rho_X \otimes \rho_B) - \log\left(\frac{2+c+c^{-1}}{\epsilon - (1+c)\epsilon'}\right)$$

Basically the same proof. (good exercise to redo it yourself)

Rk: we take supremum over arbitrarily large  $X$  but in many cases can bound it.

Important special case:  $\mathcal{W}^{\otimes n}$ .

Def: The classical capacity  $C(\mathcal{W})$  of a quantum channel  $\mathcal{W}$  is defined as: some def as for cq channels

$$C(\mathcal{W}) := \lim_{\epsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{\log M^{\text{opt}}(\mathcal{W}^{\otimes n}, \epsilon)}{n}$$

Same as before: using Stein lemma  $\frac{1}{n} D_H^\epsilon \rightarrow D$ .

Notation:  $X(\mathcal{W}) := \sup_{\{\sigma_A^x, P_X(n)\}} \underbrace{D(\rho_{XB} \| \rho_X \otimes \rho_B)}_{I(X:B)_{\rho_{XB}}}$

where  $\rho_{XB} = \sum_x P_X(x) |x\rangle\langle x| \otimes \mathcal{W}(\sigma_A^x)$



Rk: In literature  $\chi(W)$  is called the Holevo information of  $W$ . See [Wilde, Ch 13] or [Watrous, Ch 8] for properties.

\* The Holevo information of an ensemble  $\{P_X(x), \sigma_A^x\}$  also commonly denoted  $\underbrace{I(X:A)}_{\chi(X:A)}$  for  $\rho_{XA} = \sum_x P_X(x) |x\rangle\langle x| \otimes \sigma_A^x$   
 $\chi(\{P_X(x), \sigma_A^x\})$

With this notation, for a cq channel  $W$ :  $C(W) = \sup_{P_X} \chi(\{P_X(x), W_x\})$

The (Holevo-Schumacher-Westmoreland, HSW)

Let  $W$  be a quantum channel

$$C(W) = \lim_{n \rightarrow \infty} \frac{1}{n} \chi(W^{\otimes n}) = \sup_n \frac{1}{n} \chi(W^{\otimes n})$$

Proof is the same as what we did in cq case.

Question: Is  $\chi$  additive under tensor product?

i.e.  $\chi(W^{\otimes n}) \stackrel{?}{=} n \chi(W)$

Note that  $\chi(W^{\otimes n}) \geq n \chi(W)$  is simple, follows from the fact that  $D(\rho \otimes \rho \| \sigma \otimes \sigma) = 2D(\rho \| \sigma)$ .

Answer: . **NO** in general, i.e., there exists channels  $W$  s.t.  $\chi(W^{\otimes 2}) > 2 \chi(W)$ .

This means that optimal choices of states  $\sigma_{A_1 A_2}^x$  will be entangled

Construction in [Hastings, 2009] by choosing  $W$  "random" and a very involved analysis. [See book Alice & Bob meet Banach]

- But there are families of channels for which additivity can be proved.

Research question:

parameter  $\delta \in [0, 1]$

Consider the amplitude damping channel  $A_\delta$

$$\begin{pmatrix} \rho_{00} & \rho_{01} \\ \rho_{10} & \rho_{11} \end{pmatrix} \xrightarrow{A_\delta} \begin{pmatrix} \rho_{00} + \delta \rho_{11} & \sqrt{1-\delta} \rho_{01} \\ \sqrt{1-\delta} \rho_{10} & (1-\delta) \rho_{11} \end{pmatrix}$$

$C(A_\delta)$  unknown.

[Simplistic model for decay of 2-level atom due to spontaneous emission of photon]