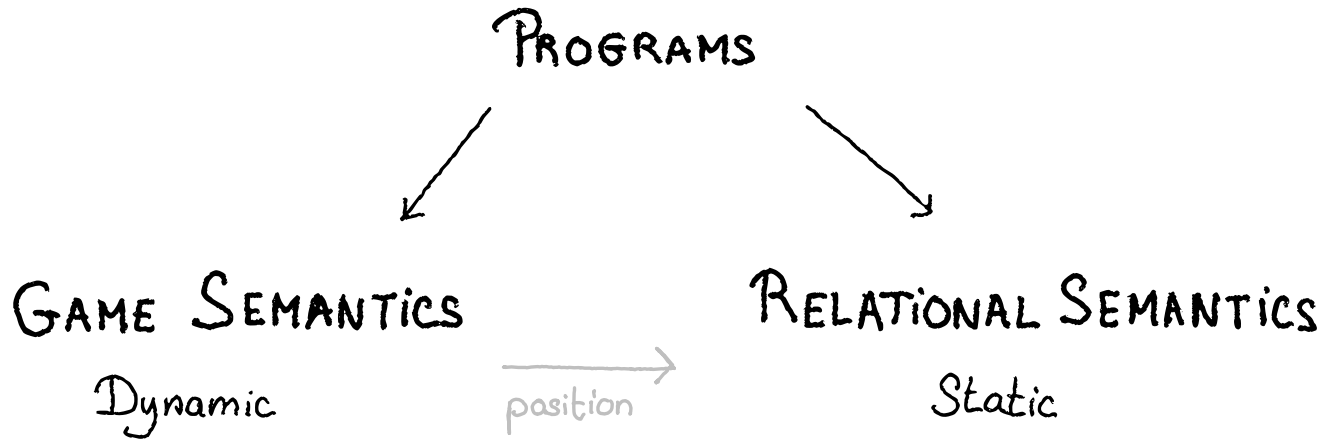


# Positional Injectivity for Innocent Strategies

Concurrent Games  
Café 



What happens when we forget temporal information?

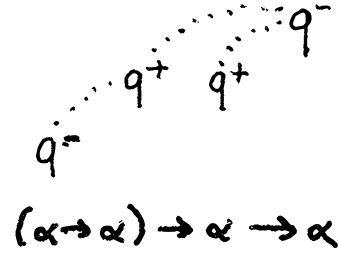
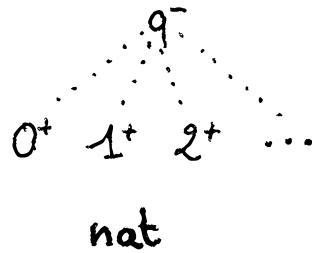
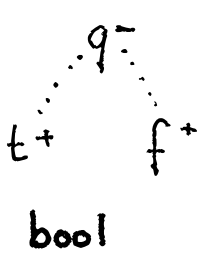
Thm: Total finite innocent strategies are  
positionally injective.

Thm: Total finite innocent strategies are  
positionally injective.

Thm: Finite causal strategies are positionally injective.

# 1. Causal Games

# A) Arenas (Types)



Arena:  $A = \langle |A|, \leq_A, \lambda_A \rangle$  where  $\langle |A|, \leq_A \rangle$  is a partial order and  $\lambda_A: |A| \rightarrow \{+, -\}$  is a polarity function.

- finitary:  $\forall a \in |A|, [a]_A = \{a' \in |A| \mid a' \leq_A a\}$  is finite,
- forestial:  $\forall a_1, a_2 \leq_A a$ , then  $a_1 \leq_A a_2$  or  $a_2 \leq_A a_1$ ,
- alternating:  $\forall a_1 \rightarrow_A a_2$ ,  $\lambda_A(a_1) \neq \lambda_A(a_2)$ ,
- well-opened:  $\# \min(A) = 1$ ,
- negative:  $\forall a \in \min(A), \lambda_A(a) = -$ .

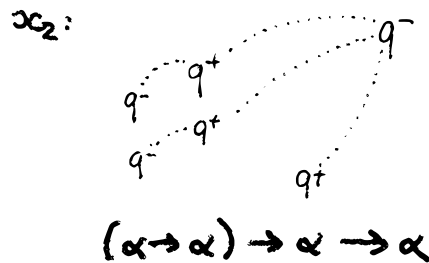
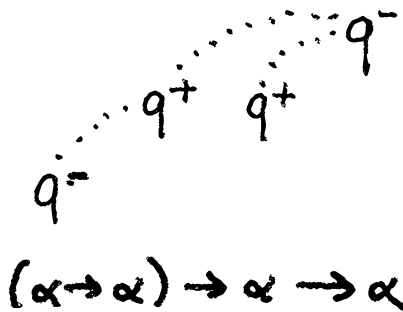
Configurations:  $x \in \mathcal{C}(A)$ :  $x = \langle |x|, \leq_x, \partial_x \rangle$  such that

- $\langle |x|, \leq_x \rangle$  is a finite tree,
- $\partial_x: |x| \rightarrow |A|$  is an arena-labelling function s.t.:
  - minimality preserving:  $\forall a \in |x|, a \text{ min for } \leq_x \Leftrightarrow \partial_x(a) \text{ min for } \leq_A,$
  - causality preserving:  $\forall a_1, a_2 \in |x|, a_1 \rightarrow_x a_2 \Rightarrow \partial_x(a_1) \rightarrow_A \partial_x(a_2).$

Configurations:  $\alpha \in \mathcal{C}(A)$ :  $\alpha = \langle |\alpha|, \leq_\alpha, \partial_\alpha \rangle$  such that

- $\langle |\alpha|, \leq_\alpha \rangle$  is a finite tree,
- $\partial_\alpha: |\alpha| \rightarrow |A|$  is an arena-labelling function s.t.:
  - minimality preserving:  $\forall a \in |\alpha|, a \text{ min for } \leq_\alpha \Leftrightarrow \partial_\alpha(a) \text{ min for } \leq_A$ ,
  - causality preserving:  $\forall a_1, a_2 \in |\alpha|, a_1 \rightarrow_\alpha a_2 \Rightarrow \partial_\alpha(a_1) \rightarrow_A \partial_\alpha(a_2)$ .

A:





## Isomorphism of configurations: $\varphi: x \cong y$

$\varphi: |x| \cong |y|$  a bijection such that:

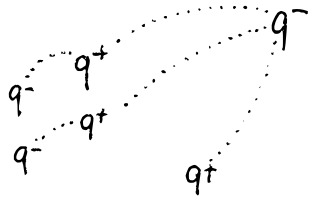
- arena-preserving:  $\forall a \in |x|, \partial_y(\varphi(a)) = \partial_x(a)$
- causality-respecting:  $\forall a_1, a_2 \in |x|, a_1 \rightarrow_x a_2 \Leftrightarrow \varphi(a_1) \rightarrow_y \varphi(a_2)$

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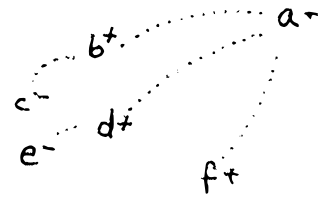
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$x_2$ :



$$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$$

$y_2$ :



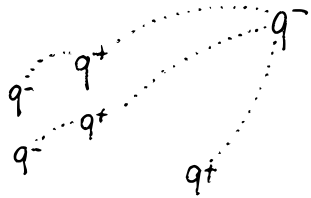
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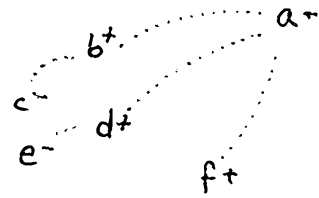
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$x_2$ :



$$(x \rightarrow x) \rightarrow x \rightarrow x$$

$y_2$ :



$$(x \rightarrow x) \rightarrow x \rightarrow x$$

Position:  $x \in \mathcal{C}(A)$ . The position of  $x$  is the isomorphism class of  $x$ , noted  $\langle x \rangle \in \langle A \rangle$ .

## B) Augmentations (Executions)

Augmentation:  $q = \langle |q|, \leq_{\langle q \rangle}, \leq_q, \partial_q \rangle \in \text{Aug}(A)$

where  $\langle q \rangle = \langle |q|, \leq_{\langle q \rangle}, \partial_q \rangle \in \mathcal{B}(A)$

and  $\langle |q|, \leq_q \rangle$  is a tree satisfying:

- rule-abiding:  $\forall a_1, a_2 \in |q|$ , if  $a_1 \leq_{\langle q \rangle} a_2$ , then  $a_1 \leq_q a_2$ ,
- courteous:  $\forall a_1 \rightarrow_q a_2$ , if  $\lambda(a_1) = +$  or  $\lambda(a_2) = -$ , then  $a_1 \rightarrow_{\langle q \rangle} a_2$ ,
- deterministic:  $\forall a^- \rightarrow_q a_1^+, a^- \rightarrow_q a_2^+$ , then  $a_1 = a_2$ .

## B) Augmentations (Executions)

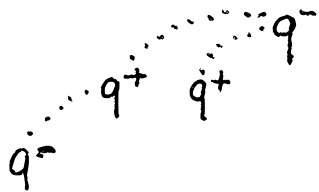
Augmentation:  $q = \langle |q|, \langle \langle q \rangle \rangle, \langle q, \partial q \rangle \in \text{Aug}(A)$

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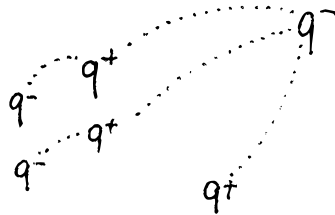
- rule-abiding:  $\forall a_1, a_2 \in |q|$ , if  $a_1 \leq_{\langle \langle q \rangle \rangle} a_2$ , then  $a_1 \leq_q a_2$ ,
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A<sub>1</sub>



$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$

A<sub>2</sub>



$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$

## B) Augmentations (Executions)

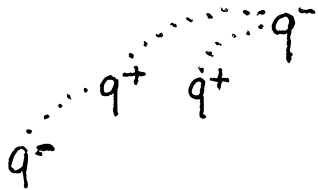
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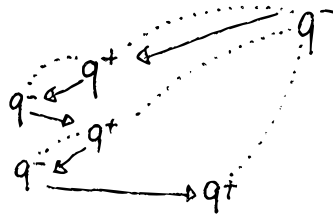
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A<sub>1</sub>

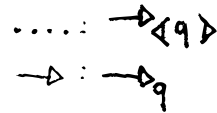


$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$

A<sub>2</sub>



$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$



## B) Augmentations (Executions)

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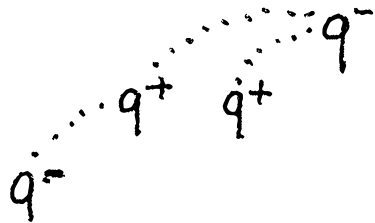
Position:  $q \in \text{Aug}(A)$ . The position of  $q$  is the position of  $\langle q \rangle$ , noted  $\langle q \rangle \in \langle A \rangle$ .

# C) Strategies (Programs)

Causal strategy:  $\sigma \in \text{Aug}(A)$  such that:

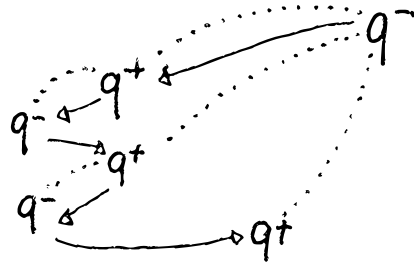
- receptive:  $\forall a \in \text{Act}$ , if  $\partial_\sigma(a) \rightarrow_A b^-$ ,  $\exists a \rightarrow_\sigma b'$  st  $\partial(b') = b$ ,
- linear:  $\forall a \rightarrow_\sigma a_1, a \rightarrow_\sigma a_2$ , if  $\partial_\sigma(a_1) = \partial_\sigma(a_2)$  then  $a_1 = a_2$ .

A:



$$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$$

$\sigma_2$ :



$$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$$

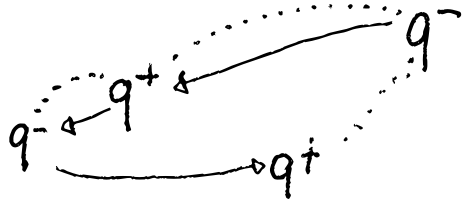


# D) Expansions (Executions)

Morphism:  $\Psi: q \rightarrow p$  is a function  $\Psi: |q| \rightarrow |p|$  such that:

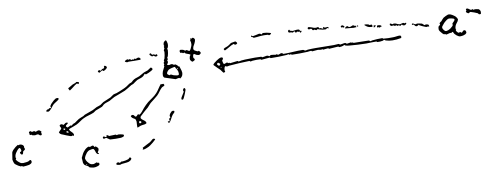
- arena-preserving:  $\partial_p \circ \Psi = \partial_q$ ,
- causality-preserving:  $\forall a_1, a_2 \in |q|, a_1 \rightarrow_q a_2 \Rightarrow \Psi(a_1) \rightarrow_p \Psi(a_2)$ ,
- configuration-preserving:  $\forall a_1, a_2 \in |q|, a_1 \rightarrow_{\langle q \rangle} a_2 \Rightarrow \Psi(a_1) \rightarrow_{\langle p \rangle} \Psi(a_2)$ .

$\nabla_q$ :



$$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$$

$q$ :

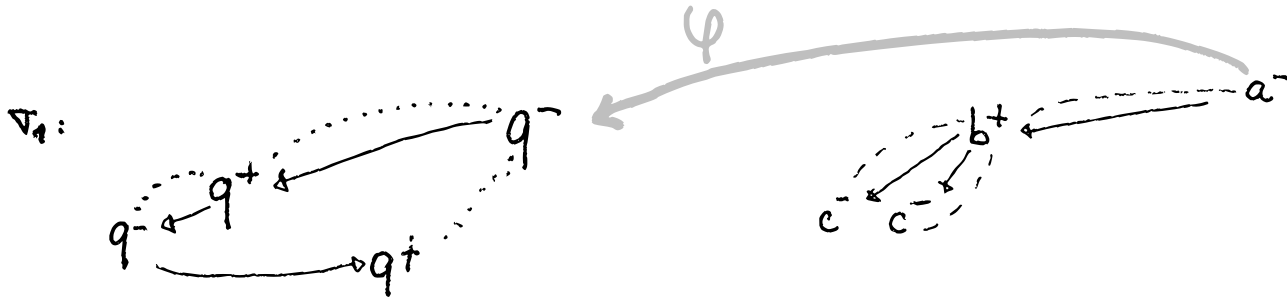


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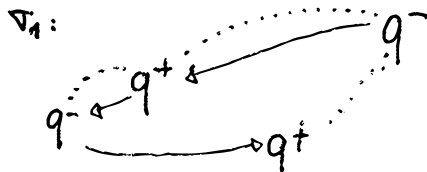
$$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$$

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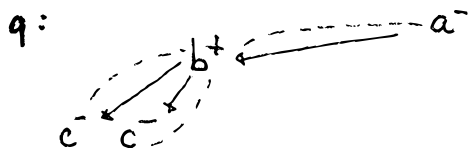
Expansion:  $q \in \text{Aug}(A)$  is an expansion of  $p \in \text{Aug}(A)$  iff

• simulation:  $\exists \varphi: q \rightarrow p$ ,

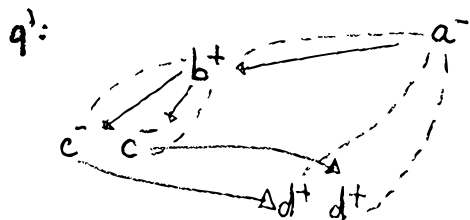
• + - obsessive:  $\forall a^- \in |q|$ , if  $\varphi(a^-) \rightarrow_p b^+$  then  $\exists a' \rightarrow_q a^-$  st  $\varphi(a') = b^+$ .



$$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$$



$$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$$



$$(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$$

Positions of a strategy =:  $\nabla \in \text{Aug}(A)$  causal strategy.

$$\langle \nabla \rangle = \{ \langle q \rangle \mid q \in \text{exp}(\nabla) \}$$

Question: Given  $\nabla, \tau \in \text{Aug}(A)$  causal strategies,

$$\text{if } \langle \nabla \rangle = \langle \tau \rangle,$$

do we have  $\nabla \cong \tau$  ?

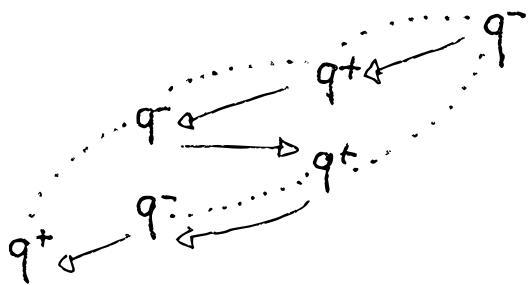
## 2. Positional Injectivity

$$\langle \nu \rangle = \langle \tau \rangle \Rightarrow \nu \cong \tau ?$$

# A) Proof Idea

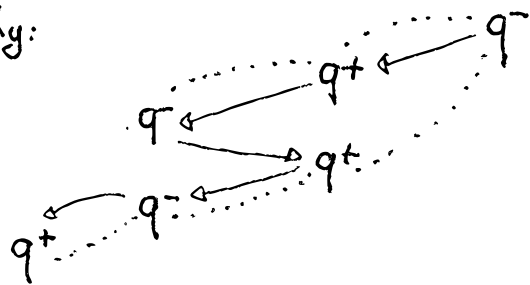
$$((\alpha \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$$

$K_{\alpha}$ :



$$((\alpha \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$$

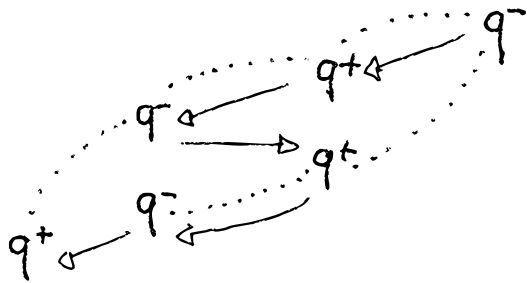
$K_{\beta}$ :



# A) Proof Idea

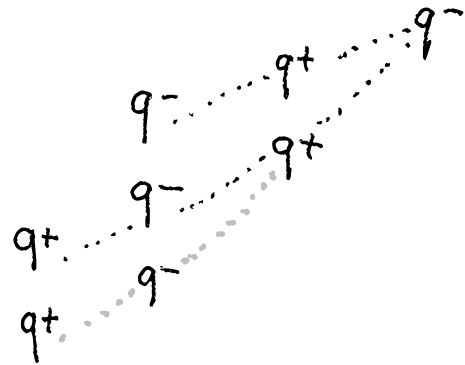
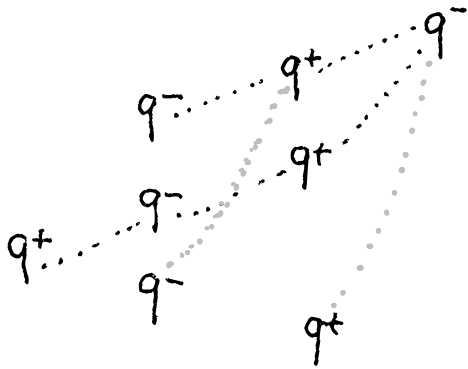
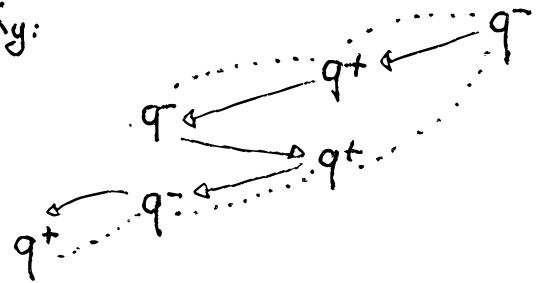
$$((\alpha \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$$

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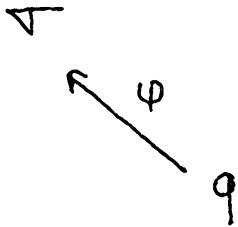
$$((\alpha \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$$

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Proof idea:

$\nabla, \zeta$  such that  $\langle \nabla \rangle = \langle \zeta \rangle$

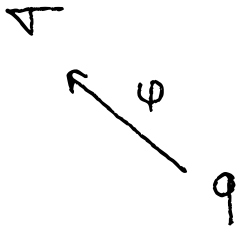


expansion  
where we  
duplicate  
some  $\Theta$ 's



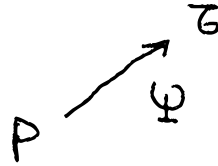
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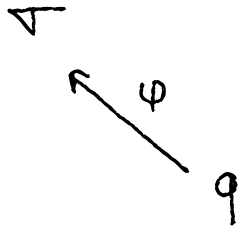
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$$\langle q \rangle \cong \langle p \rangle$$



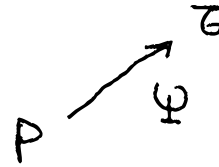
Proof idea:

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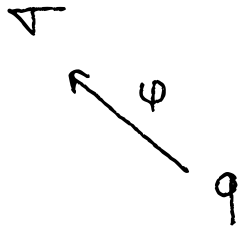
we want to reconstruct the  
causal structure of  $p$ :

$\oplus \rightarrow \ominus$  : courtesy

$\ominus \rightarrow \oplus$  : ?

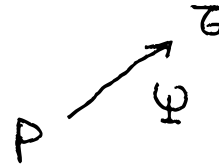
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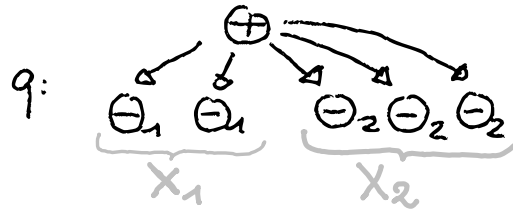
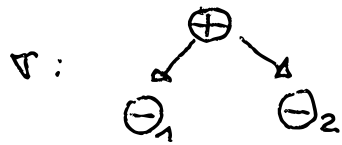


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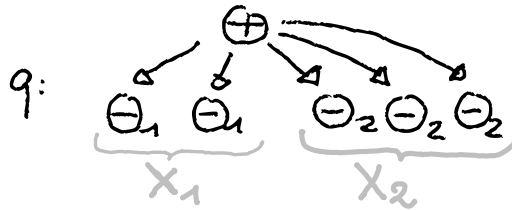
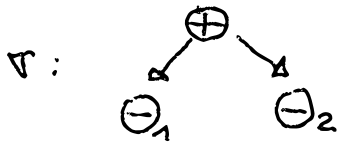
$\oplus \rightarrow \ominus$  : courtesy

$\ominus \rightarrow \oplus$  : multiplicity of  
the duplications

## B) Twin Sets



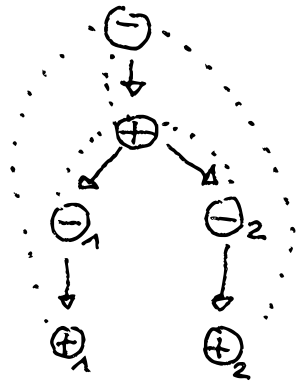
## B) Twin Sets



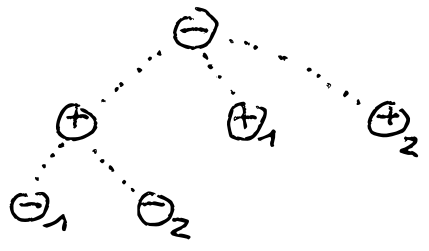
Twin Set:  $X \subseteq |q|$  maximal non empty such that:

- negative:  $\forall a \in X, \lambda(a) = -$
- sibling:  $X = \min(q)$  or  $\exists b \in |q|, \forall a \in X, b \rightarrow_q a$
- identical:  $\forall a_1, a_2 \in X, \partial_q(a_1) = \partial_q(a_2)$

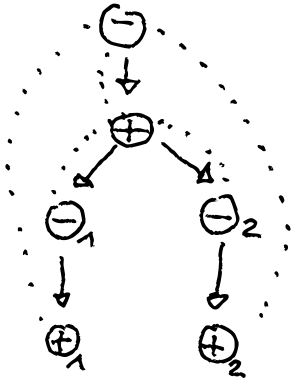
$\nabla$ :



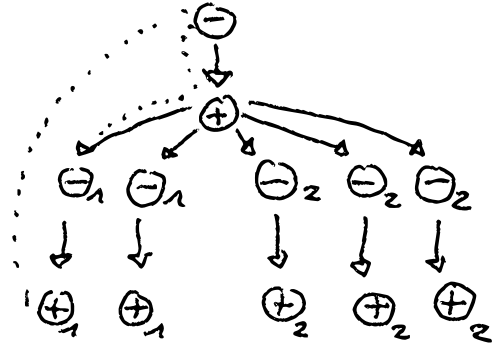
$\nabla \nabla \nabla$



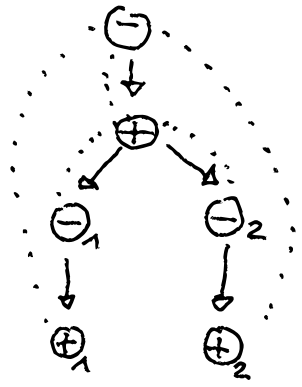
7:



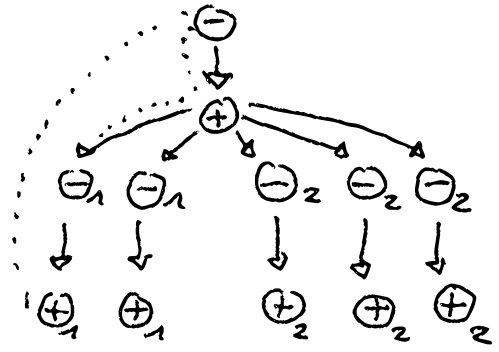
9:



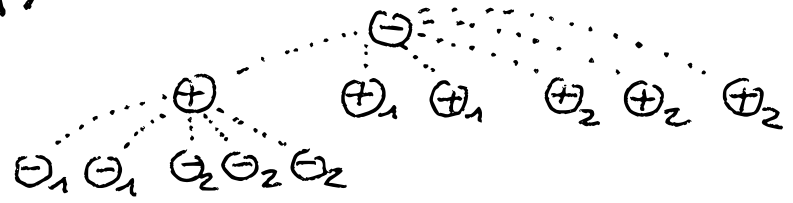
$\nabla$ :



$q$ :

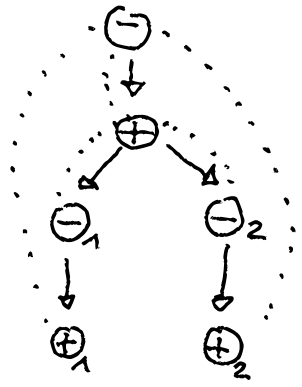


$\langle q \rangle$ :

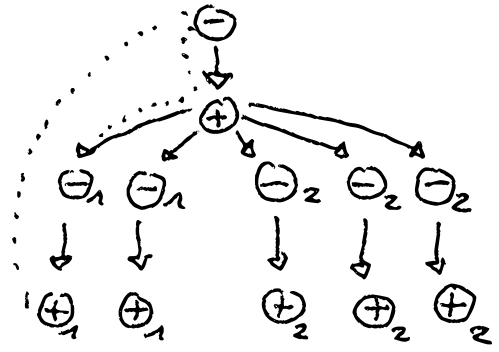




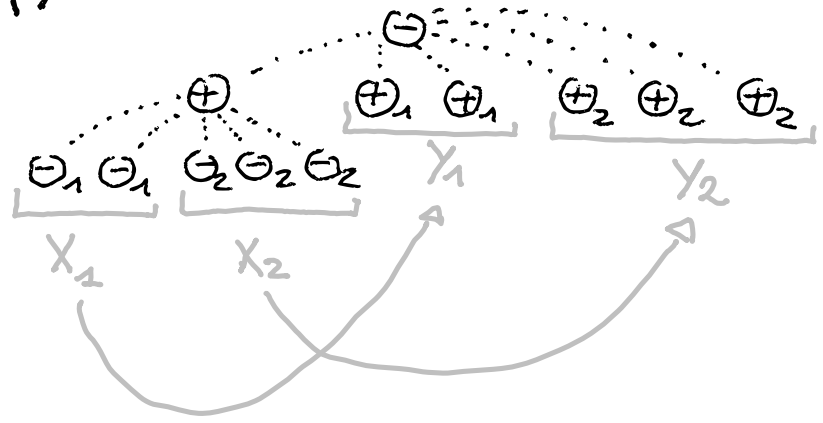
$\nabla$ :



$q$ :



$\langle q \rangle$ :



## C) Characteristic Expansions

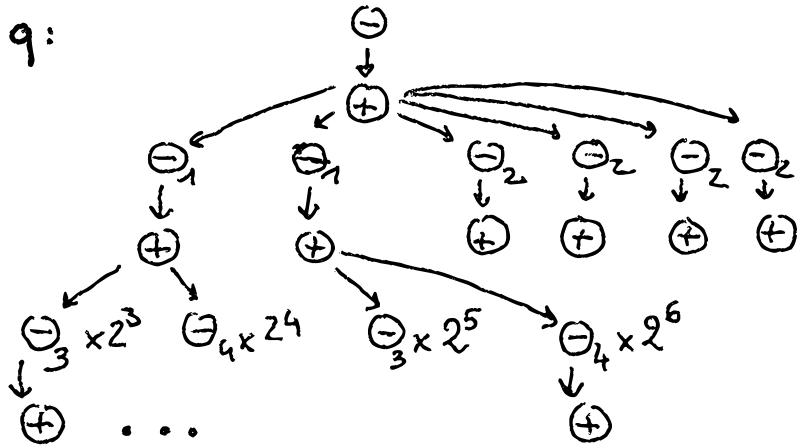
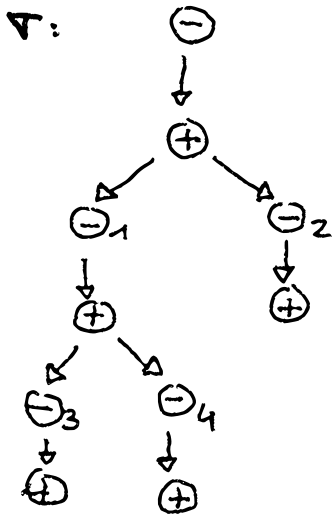
Def: A characteristic expansion of  $\tau$  is  $q \in \text{exp}(\tau)$  s.t.:

- injective: for  $X, Y \in \text{Twin}(q)$ ,  $\#Y = \#X \Rightarrow X = Y$
- well-powered:  $\forall X \in \text{Twin}(q)$ ,  $\#X = 2^n$  for  $n \in \mathbb{N}$
- --obsessional:  $\forall a^+ \in |q|$ , if  $\partial_q(a^+) \rightarrow_a b^-$ ,  $\exists a^+ \rightarrow_q b'$ ,  $\partial_q(b') = b$

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Fact:  $q \in \exp(\mathcal{T})$  "is a charac. exp." is a property of  $\langle q \rangle$ .

Fact:  $q \in \exp(\mathcal{V})$  "is a charac. exp." is a property of  $\langle q \rangle$ .

Corollary:  $q \in \exp(\mathcal{V}), p \in \exp(\mathcal{U}), \langle q \rangle \cong \langle p \rangle$ .

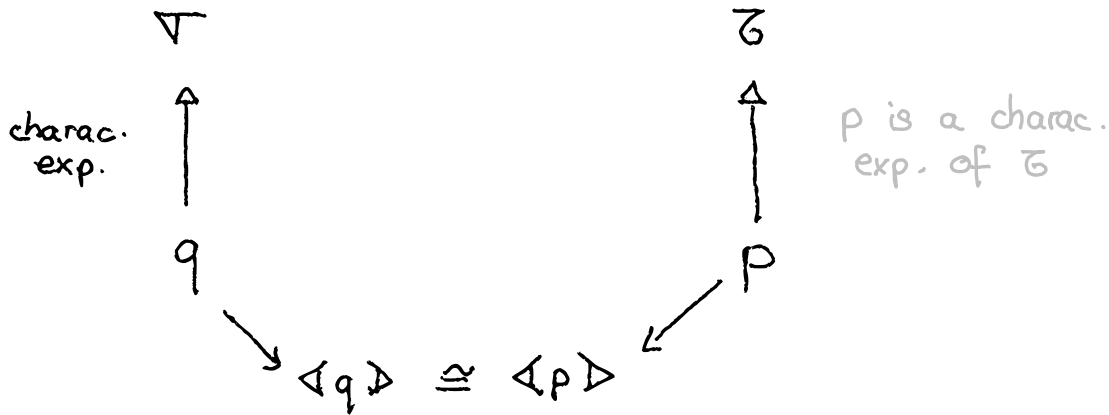
Then  $q$  charac. exp.  $\Rightarrow p$  charac. exp.

Thm (not proved yet):  $\nabla, \tau$  strategies

$$\langle \nabla \rangle = \langle \tau \rangle \Rightarrow \nabla \cong \tau$$

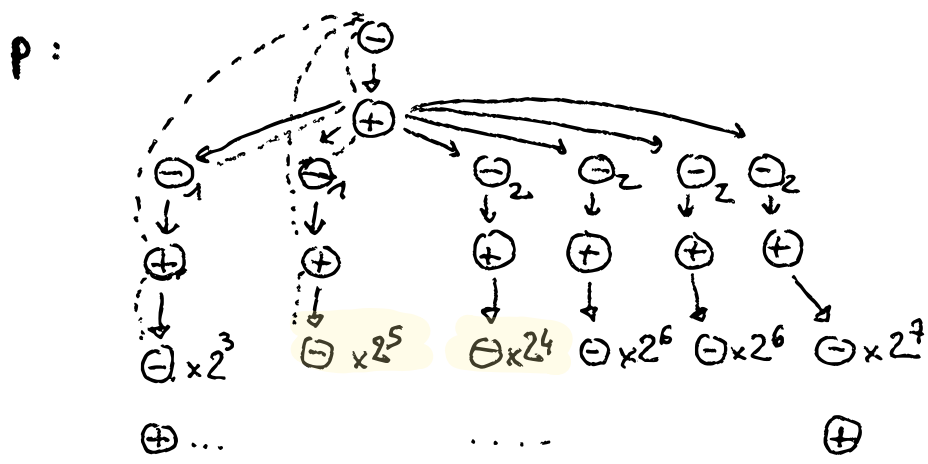
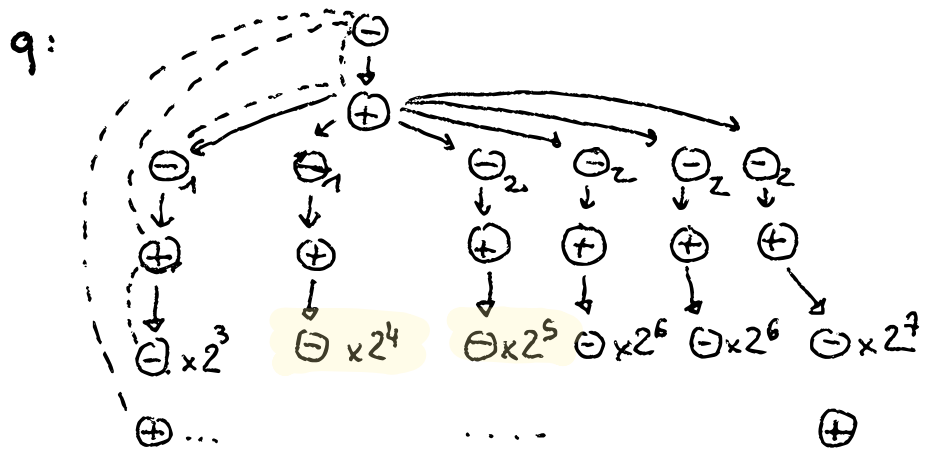
Thm (not proved yet):  $\nabla, \tau$  strategies

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1. What can we deduce about  $p$  from  $\langle q \rangle \cong \langle p \rangle$ ?
2. What can we deduce about  $\tau$  from  $p$  charac. exp. of  $\tau$ ?

$$\Delta q \triangleright \cong \Delta p \triangleright \not\Rightarrow q \cong p$$





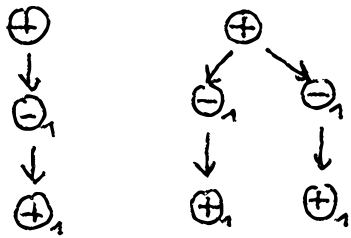
# D) Bisimulation

Goal:  $\langle q \rangle \cong^\varphi \langle p \rangle \Rightarrow q \sim^\varphi p$

Idea:  $a \in |q|, b \in |p|$

" $a \sim^\varphi b$ ":  $a$  and  $b$  have "the same follow-up, up to multiplicity"

Ex 1:



$$\oplus \sim^\varphi \oplus$$

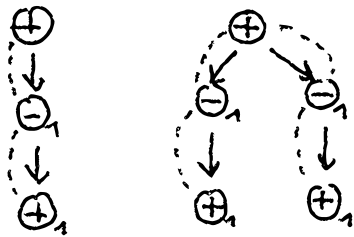
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Ex 2:



$$\oplus_1 \sim_\Gamma^\varphi \oplus_1$$

$$\Gamma = \{(\ominus_1, \ominus_1)\}$$

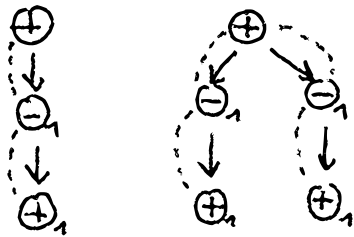
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Context: A context  $\Gamma$  between  $q, p \in \text{Aug}(A)$

is a bijection such that

$$\text{dom}(\Gamma) \subseteq |q|^- , \text{cod}(\Gamma) \subseteq |p|^-$$

$$\text{and for all } a^- \in \text{dom}(\Gamma), \partial_q(a) = \partial_p(\Gamma(a^-))$$

Bisimulation:  $q, p \in \text{Aug}(A)$ .  $\langle q \rangle \cong^\Psi \langle p \rangle$ .

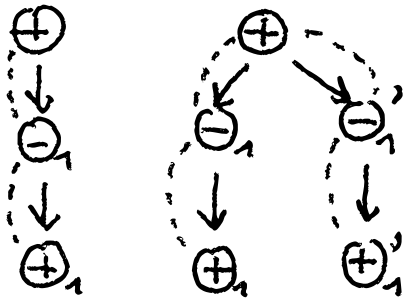
$a \in |q|$ ,  $b \in |p|$ ,  $\Gamma$  a context. We define  $a \sim_{\Gamma}^\Psi b$  if:

(a) well-formed:  $\partial_q(a) = \partial_p(b)$  and pointers compatible via  $\Psi, \Gamma$ ;

(1) if  $a^+ \rightarrow_q a'$ , then  $\exists b' \in |p|$  with  $b^+ \rightarrow_p b'$   
and  $a' \sim_{\Gamma \cup \{(a', b')\}}^\Psi b'$ , and symmetrically,

(2) if  $a^- \rightarrow_q a'$ , then  $\exists b' \in |p|$  with  $b^- \rightarrow_p b'$   
and  $a' \sim_{\Gamma}^\Psi b'$ , and symmetrically,

Ex:



$$\oplus \sim^\Psi \oplus$$

$$\ominus_1 \sim_{\{( \ominus_1, \ominus_1 \}}^\Psi \ominus_1$$

$$\ominus_1 \sim_{\{( \ominus_1, \ominus_1' \}}^\Psi \ominus_1'$$

$$\oplus_1 \sim_{\{( \oplus_1, \oplus_1 \}}^\Psi \oplus_1$$

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Notations:

$a \sim^\varphi b$  for  $a \sim_{\emptyset}^\varphi b$

$a \sim_p b$  for  $a \sim_n^{\text{id}} b$

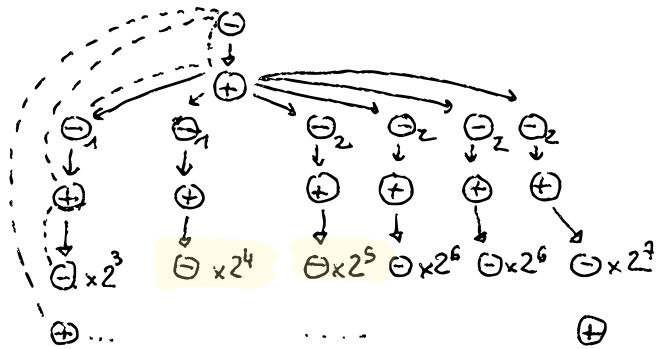
Notations:  $a \sim^\Psi b$  for  $a \sim_{\emptyset}^\Psi b$

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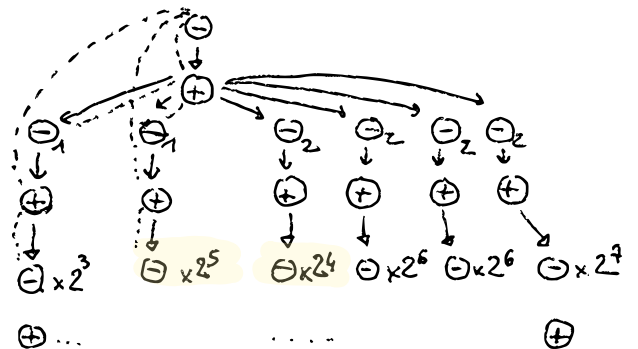
Def:  $q \sim^\Psi p \iff \text{init}(q) \sim^\Psi \text{init}(p)$

Ex:

q:

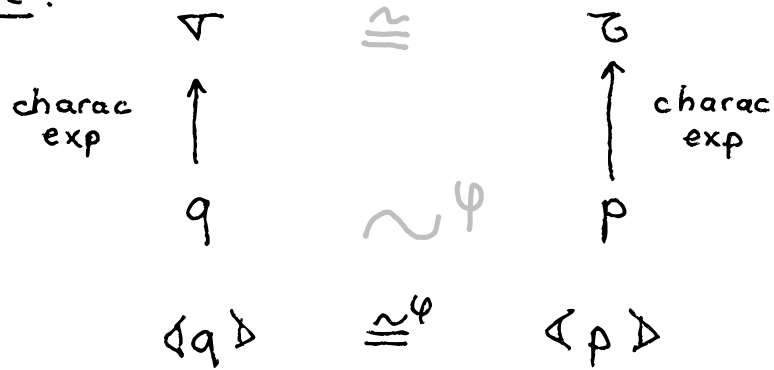


p:



$$q \sim^\Psi p$$

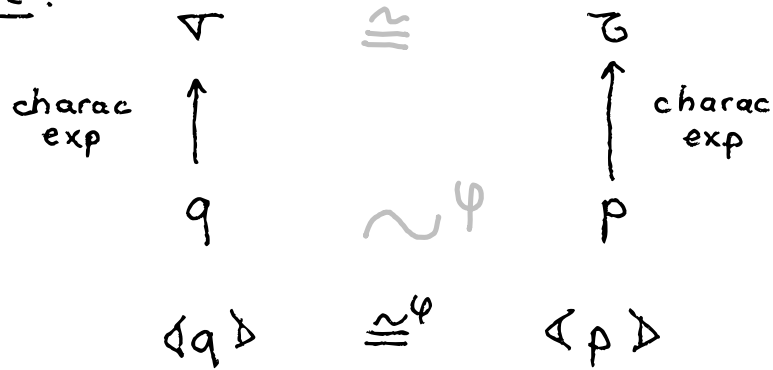
Proof idea :



$$1) \triangleleft q \triangleright \cong^\psi \triangleleft p \triangleright \Rightarrow q \sim^\psi p$$

$$2) q \sim^\psi p \Rightarrow \nabla \cong \tau$$

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$$\text{Pour 2) : } \nabla \sim q \sim^\psi p \sim \tau \Rightarrow \nabla \cong \tau$$



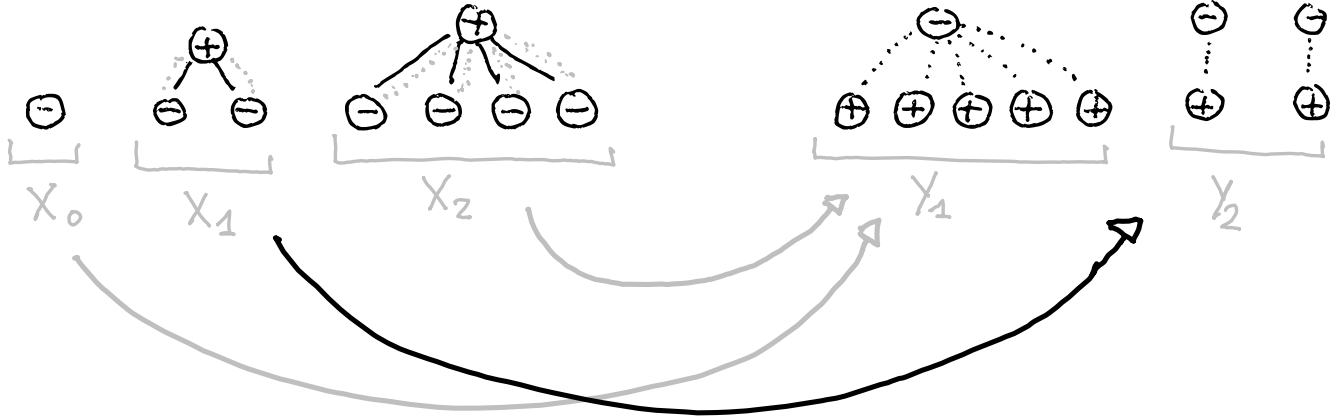
### 3. Injectivity Proof

Why does  $\langle q \rangle \cong^{\varphi} \langle p \rangle$  implies  $q \sim^{\varphi} p$  ?

Proof Idea:

Duplication of Opponent moves :

Player moves :



" $Y_i$ ": equivalence class of positive events.

## A) Clones (One last notion of bisimulation)

Clones:  $q, p \in \text{Aug}(A)$ ,  $\varphi: \langle q \rangle \cong \langle p \rangle$ ,  $a \in |q|$ ,  $b \in |p|$ .

$a$  and  $b$  are clones through  $\varphi$ :  $a \approx^\varphi b$  iff

$a \approx_\Gamma^\varphi b$  and  $\Gamma$  preserves pointers.

Equivalence properties:  $a \in |q|, b \in |p|, c \in |r|$

$$\varphi: \langle q \rangle \cong \langle p \rangle$$

$$\psi: \langle p \rangle \cong \langle r \rangle$$

• reflexivity:  $a \approx^{\text{id}} a$ ,

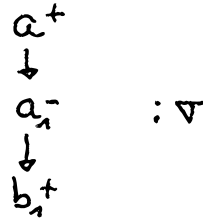
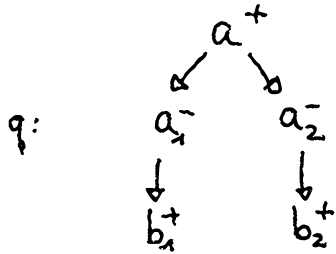
• transitivity:  $a \approx^\varphi b \wedge b \approx^\psi c \Rightarrow a \approx^{\psi \circ \varphi} c$ ,

• symmetry:  $a \approx^\varphi b \Rightarrow b \approx^{\varphi^{-1}} a$ .

## B) Partition Lemma

Lemme:  $q \in \text{exp}(\nabla)$ ,  $q$  --obsessional,  $X \in \text{Twin}(q)$ .

$\forall a_1, a_2 \in X, \forall a_1 \rightarrow_q b_1 \wedge a_2 \rightarrow_q b_2, b_1 \approx b_2$ .



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Lemma:  $q$  charac exp of  $\nabla$ ,  $Y$  clone class of positive events

$$\# Y = \sum_{i \in I} 2^i \quad \text{for } I \subseteq \mathbb{N} \text{ finite.}$$

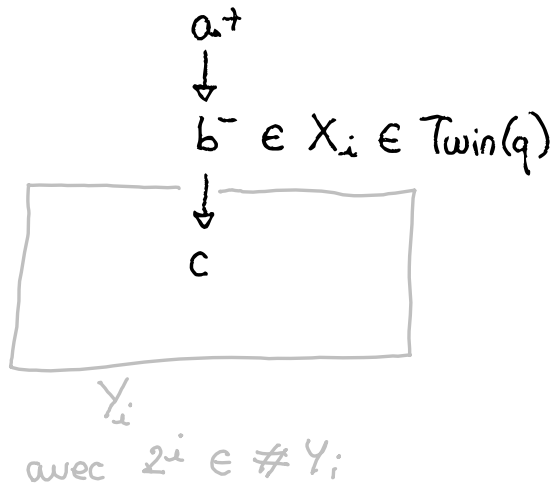
$$\text{Then } \forall i \in \mathbb{N}, i \in I \Leftrightarrow \begin{cases} \exists X_i \in \text{Twin}(q), \# X_i = 2^i, \\ \exists a \in X_i, b \in Y, a \rightarrow_q b. \end{cases}$$

### C) Key Lemma

Lemma:  $q, p \in \text{Aug}(A)$  charac exp of  $\nabla, \mathcal{B}$ .  $\varphi: \triangleleft q \triangleright \cong \triangleleft p \triangleright$

Then for all  $a^+ \in |q|$ ,  $a \approx^\varphi \varphi(a)$

Proof: Induction on depth of  $a$ .

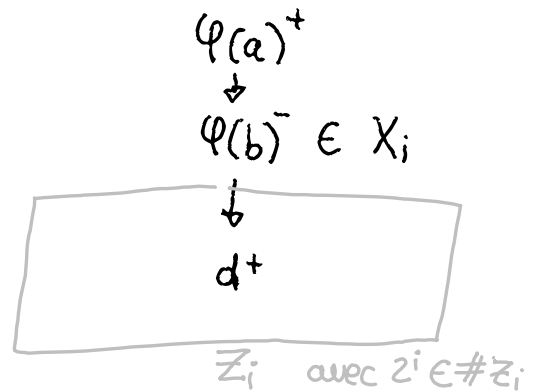
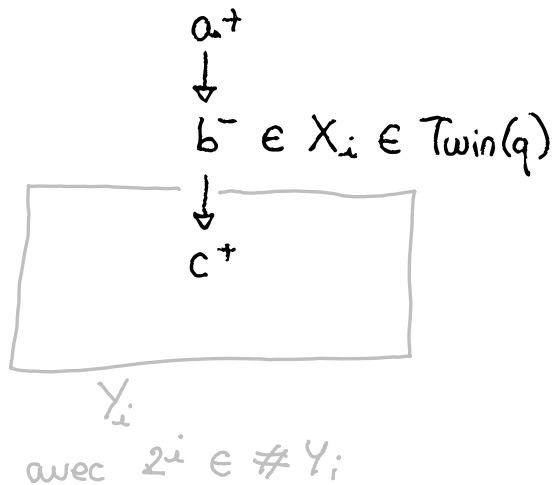


# c) Key Lemma

Lemma:  $q, p \in \text{Aug}(A)$  charac exp of  $\nabla, \mathcal{Z}$ .  $\varphi: \langle q \rangle \cong \langle p \rangle$

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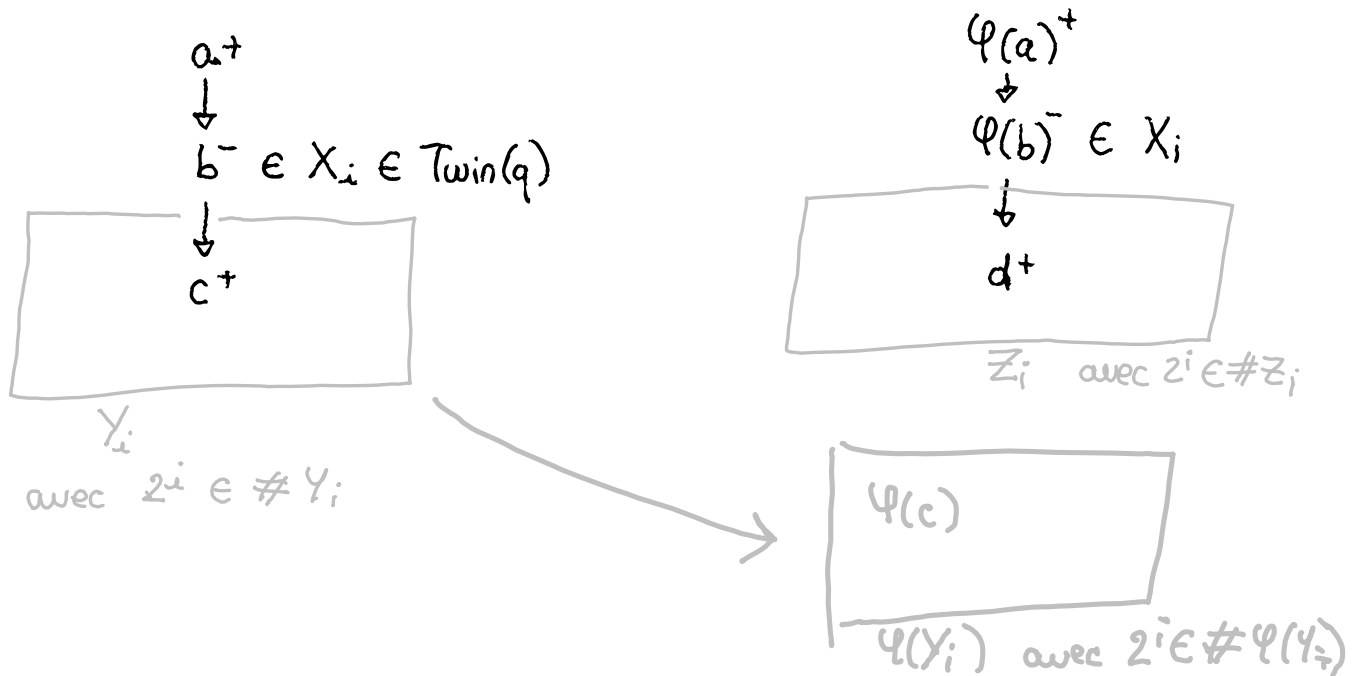


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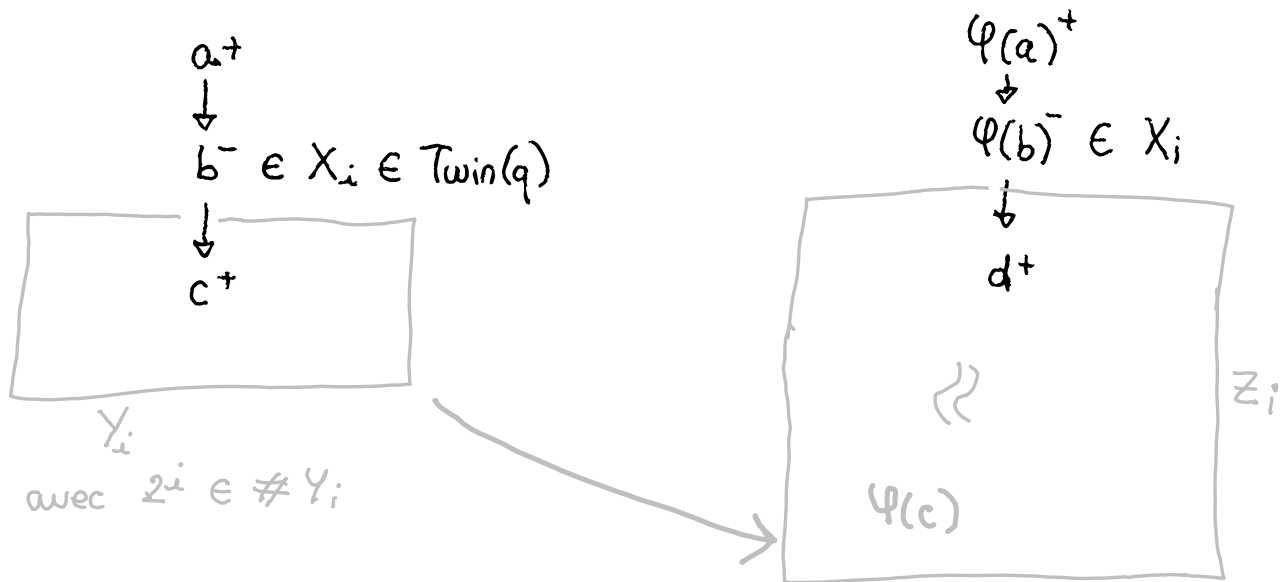


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Conclusion:  $\varphi: \langle q \rangle \cong \langle p \rangle \Rightarrow \forall a^+ \in |q|, a \approx^\varphi \varphi(a)$   
 $\Rightarrow \text{init}(q) \sim^\varphi \text{init}(p)$   
 $\Rightarrow q \sim^\varphi p.$

Thm:  $\forall \sigma, \tau$  causal strategies,  
 $\langle \sigma \rangle = \langle \tau \rangle \Rightarrow \sigma \cong \tau.$



Merci pour votre attention !

Lemma:  $q$  charac exp of  $\nabla$ ,  $Y$  clone class of positive events

$$\# Y = \sum_{i \in I} 2^i \quad \text{for } I \subseteq \mathbb{N} \text{ finite.}$$

Then  $\forall i \in \mathbb{N}, i \in I \Leftrightarrow \exists X_i \in \text{Twin}(q), \# X_i = 2^i,$   
 $\exists a \in X_i, b \in Y, a \rightarrow_q b$

Pf.:  $J = \{j \mid j \in \mathbb{N}, \exists X_j \in \text{Twin}(q), \exists a \in X_j, b \in Y, a \rightarrow_q b\}$

By Lemma,  $Y = \bigoplus_{j \in J} \text{succ}(X_j)$ . (\*)

$$\text{So } \# Y = \sum_{j \in J} \# \text{succ}(X_j) = \sum_{j \in J} \# X_j = \sum_{j \in J} 2^j \quad \square$$

(\*)
determinism
def  $X_j$

Lemme:  $q$  charac exp de  $\nabla \Leftrightarrow q \sim \nabla$

Proof:  $\Rightarrow$  Let  $\varphi: q \rightarrow \nabla$ . Then for all  $a \in |q|$ ,

$$a \sim_{\Gamma(a)} \varphi(a)$$

$$\text{with } \Gamma(a) = [a]_q^- \cong [\varphi(a)]_{\nabla}^-$$

$\Leftarrow$  We construct  $\varphi: q \rightarrow \nabla$  by induction on  $\llbracket q \rrbracket$ .

$\forall a \in |q|$ ,  $\varphi(a)$  is provided by the bisimulation,

and unique by determinism and  $\lambda$ -linearity of  $\nabla$ .  $\square$

$$\nabla \sim q \stackrel{\varphi}{\sim} p \sim \bar{\sigma} \Rightarrow \nabla \sim \bar{\sigma} \Rightarrow \nabla = \bar{\sigma}$$

uniqueness of  $\varphi: \nabla \rightarrow \nabla$   
by linearity and determinism

(1)  $\forall a \xrightarrow{q} b^- \xrightarrow{q} c^+$ , we have  $\varphi(a) \xrightarrow{p} \varphi(b)^- \xrightarrow{p} d^+$  and  $c \approx^\varphi d$ .

(2)  $\forall \varphi(a) \xrightarrow{p} \varphi(b) \xrightarrow{p} d$ , we have  $a \xrightarrow{q} b \xrightarrow{q} c^+$  with  $d \approx^{\varphi^{-1}} c$

The definition of  $\approx^\varphi$  allows all contexts for  $c \approx^\varphi d$  to be

**compatible**: if  $c \approx_{\Gamma}^\varphi d$  and  $c' \approx_{\Gamma'}^\varphi d'$ ,  $\Gamma, \Gamma'$  canonical,

then if  $e \in \text{dom}(\Gamma) \cap \text{dom}(\Gamma')$ ,  $\Gamma(e) = \Gamma'(e)$ .

