

Compositional relational reasoning via operational game semantics

Guilhem Jaber (Univ. Nantes) & Andrzej Murawski (Univ. Oxford)

Concurrent Game Café

Motivation:

Compositional reasoning on higher-order programs with shared resources, that is modular w.r.t. effects

Realizability/Logical Relations?!

Advantages:

- provides models of type systems and program logics;
- defined directly using operational semantics;
- captures abstraction properties like parametricity;
- provides Kripke-style reasoning (a.k.a forcing/presheaves construction) on shared resources
- modular wrt observation (biorthogonality).

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- modular wrt observation (biorthogonality).

Drawbacks:

- complex inductive definition (step-indexing);
- extensional (no clear distinction between programs and environments);
- full-abstraction only via biorthogonality;
- hard to automate.

We Want Game Semantics!

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Models built on representations of the interactions between the program and its environment...

... but with some specific features:

- defined from operational semantics
- provide coinductive and Kripke-style reasoning
- handle asymmetric settings (Programs and Environments written in different languages)

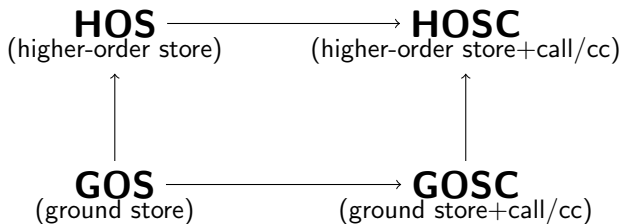
Operational Game Semantics!

What's in this talk ?

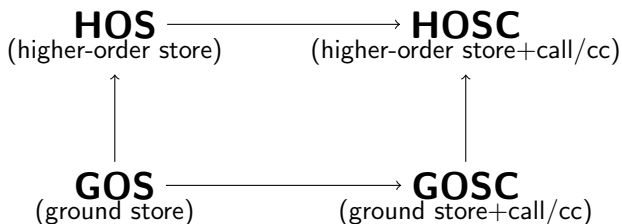
- Fully-abstract operational game models
 - ▶ For simply-typed call-by-value λ -calculus with higher-order references and call/cc
 - ▶ Modular reasoning on the power of Opponent based on a asymmetric & uniform presentation of visibility and well-bracketing.
- Kripke normal-form bisimulations
 - ▶ Complete & tractable technique for proving contextual equivalence
 - ▶ Compositional reasoning on shared resource (i.e. references)

Effectful fragments of ML

- Simply-typed call-by-value λ -calculus
- with references: ground (can store integers or locations) or higher-order (can also store functions)
- with or without call/cc control operator



Semantic studies



For these four languages we design:

- a fully-abstract model using **operational game semantics**
- a complete operational technique for proving contextual equivalence using **Kripke open bisimulations**

- 1 Operational Semantics
- 2 Operational Game Semantics
- 3 Kripke Normal-Form Bisimulations

Operational Semantics

We use *reduction semantics*:

- reduction relation $M \rightarrow M'$ between terms
- closed by evaluation contexts:

$$\frac{M \rightarrow M'}{K[M] \rightarrow K[M']}$$

In call-by-value:

$$\begin{array}{l} \text{Values } V \triangleq x \mid \lambda x.M \\ \text{Terms } M \triangleq V \mid MN \\ \text{Evaluation Contexts } K \triangleq \bullet \mid VK \mid KM \end{array}$$

Reduction relation

$$(\lambda x.M)V \rightarrow M\{V/x\}$$

Contextual equivalence

Definition

Two programs M_1, M_2 are contextually equivalent when for all context C , $C[M_1]$ and $C[M_2]$ are *observationally indistinguishable*.

This definition depends on:

- The language the contexts are written in
 - ↪ in general the same as the one of M_1, M_2 ;
 - ↪ In our CBV λ -calculus : $C \triangleq \bullet \mid \lambda x.C \mid MC \mid CM$
- the observation that is used
 - ↪ termination: reduces to a value
 - ↪ error: reduces to $K[err()]$ with err a distinguished, free variable.

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Talcott's *CIU-equivalence*:

- only consider evaluation contexts;
- substitute free variables with values;

CIU and contextual equivalence are equal (in a symmetric setting) !

Operational Semantics for references

- We consider heaps: partial maps from *locations* ℓ to values.
- Evaluation reduction works on pairs (M, h) :

$$\begin{aligned}(\text{ref } V, h) &\rightarrow (\ell, h \cdot [\ell \mapsto V]) \\(\ell := V, h) &\rightarrow ((), h[\ell \mapsto V]) \\(!\ell, h) &\rightarrow (h(\ell), h)\end{aligned}$$

New evaluation contexts:

$$K \triangleq \dots \mid \text{ref } K \mid K := M \mid \ell := K \mid !K$$

Operational Semantics for call/cc

We embed evaluation contexts into terms using `cont K` construct.

$$\begin{aligned} (K[\text{call/cc}(x.M)], h) &\rightarrow (K[M\{\text{cont } K/x\}], h) \\ (K[\text{throw } V \text{ to cont } K'], h) &\rightarrow (K'[V], h) \end{aligned}$$

- 1 Operational Semantics
- 2 Operational Game Semantics
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Introducing Operational Game Semantics

Interactions between the program and the context are represented by traces generated by a bipartite labelled transition system.

- Bipartite: Program=Player; Context=Opponent
- Player behavior is fully determined by the program;
- Opponent behavior represents all possible contexts.

- Functional values and continuations are represented using free variables called names;
- Configurations of the LTS have a dynamic environment ("inventory") γ that keeps track of the functional values and continuations associated to these names.

Exchanging Values

Player and Opponent exchanges *abstract values*:

$$A, B \triangleq () \mid tt \mid ff \mid n \mid f \mid \langle A, B \rangle$$

- avoid being too intentional
- negative values are represented by names: they are opaque
 - ▶ functional names f
- similar to Levy's ultimate patterns.
- In this talk: no exchange of locations or continuations !

Abstracting values:

$$(A, \gamma) \in \text{AVal}_\sigma(V) \text{ when } A : \sigma \text{ and } A\{\gamma\} = V$$

Actions

Four kind of actions

- Player Answer $\bar{c}(A)$: an abstract value A is sent through a continuation name c .
- Player Question $\bar{f}(A, c)$: an abstract value A and a continuation name c are sent through a function name f .
- Opponent Answer (OA) $c(A)$: an abstract value A is received from the environment via the continuation name c .
- Opponent Question (OQ) $f(A, c)$: an abstract value A and a continuation name c are received from the environment through a function name f .

Traces

Traces are sequence of actions alternating between Player and Opponent

- similar to traces generated by the (Internal) π -calculus;
- justification pointer used in game semantics can be reconstructed from the binding structure of actions;
- removing continuation names in traces for HOS: back to direct style.

Configurations

The state of the bipartite LTS are:

- Player (active) configurations $\langle M, c, \gamma, \xi, h \rangle$;
- Opponent (passive) configurations $\langle \gamma, \xi, h \rangle$.

They are formed by:

- a term M ;
- a continuation name c ;
- an dynamic environment γ :
a map from names to functional values or evaluation contexts;
- a continuation structure ξ :
a map from continuation names to continuation names;
- a heap h .

LTS for HOSC

- (P τ) $\langle M, c, \gamma, \xi, h \rangle \xrightarrow{\tau} \langle N, c', \gamma, \xi, h' \rangle$
when $(M, c, h) \rightarrow (N, c', h')$
- (PA) $\langle V, c, \gamma, \xi, h \rangle \xrightarrow{\bar{c}(A)} \langle \gamma \cdot \gamma', \xi, h \rangle$
when $c : \sigma$ and $(A, \gamma') \in \text{AVal}_\sigma(V)$
- (PQ) $\langle K[fV], c, \gamma, \xi, h \rangle \xrightarrow{\bar{f}(A, c')} \langle \gamma \cdot \gamma' \cdot [c' \mapsto K], \xi \cdot [c' \mapsto c], h \rangle$
when $c' : \sigma', f : \sigma \rightarrow \sigma'$ and $(A, \gamma') \in \text{AVal}_\sigma(V)$,
- (OA) $\langle \gamma, \xi, h \rangle \xrightarrow{c(A)} \langle K[A], c', \gamma, \xi, h \rangle$
when $c : \sigma, A : \sigma$ and $\gamma(c) = K, \xi(c) = c'$
- (OQ) $\langle \gamma, \xi, h \rangle \xrightarrow{f(A, c)} \langle VA, c, \gamma, \xi, h \rangle$
when $f : \sigma \rightarrow \sigma', A : \sigma, c : \sigma'$ and $\gamma(f) = V$

We consider the following program M :

```
let x = ref 0 in λf.x :=!x - 1; f(); x :=!x + 1; !x
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 \xrightarrow{\tau} \langle K[f()], c_1, \gamma, [l \mapsto -1] \rangle \quad \text{with } K = \bullet; l := !l + 1; !l
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 \xrightarrow{\bar{f}(\cdot, c_2)} \underbrace{\langle \gamma \cdot [c_2 \mapsto K] \rangle}_{\gamma'} \underbrace{\langle [c_2 \mapsto c_1] \rangle}_{\xi}, [l \mapsto -1] \rangle
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 \xrightarrow{\tau} \langle K[f()], c_1, \gamma, [l \mapsto -1] \rangle \quad \text{with } K = \bullet; l := !l + 1; !l \\
 \xrightarrow{\bar{f}(() , c_2)} \overbrace{\langle \gamma \cdot [c_2 \mapsto K], [c_2 \mapsto c_1], [l \mapsto -1] \rangle}^{\gamma'} \overbrace{\quad}^{\xi} \\
 \text{here Opponent can either answer to } c_2 \\
 \text{or interrogate } g \text{ again (nested call)} \\
 \xrightarrow{c_2(())} \langle K[()], c_2, \gamma', \xi, [l \mapsto -1] \rangle \\
 \dots
 \end{array}$$

c_2 can still be used afterwards, meaning that we can use the evaluation context K many times to increment l .

Operational Game Semantics for HOS contexts

- Opponent cannot use call/cc anymore
 - ▶ but Player still can (*asymmetric situation*).
- Opponent behaviour is then restricted:
 - ▶ Opponent Answers must follow the **O-bracketing** discipline
 - ▶ enforced in the OA rule of the LTS by keeping track of the continuation structure for Opponent too.
- **Well-bracketing** when Player cannot use call/cc neither: the continuation structure used in the LTS is then a stack, getting back the model of [Laird 2007].

LTS for HOS

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when $f : \sigma \rightarrow \sigma', (A, \gamma') \in \text{AVal}_\sigma(V), c' : \sigma'$
- (OA) $\langle \gamma, \xi, h, c'' \rangle \xrightarrow{c(A)} \langle K[A], c', \gamma, \xi, h \rangle$
when $c = c'', c : \sigma, A : \sigma, \gamma(c) = K, \xi(c) = c'$
- (OQ) $\langle \gamma, \xi, h, c'' \rangle \xrightarrow{f(A, c)} \langle VA, c, \gamma, \xi \cdot [c \mapsto c''], h \rangle$
when $f : \sigma \rightarrow \sigma', A : \sigma, c : \sigma', \gamma(f) = V$

$M \triangleq \text{let } x = \text{ref } 0 \text{ in } \lambda f. x := !x - 1; f(); x := !x + 1; !x$

$$\begin{array}{l}
 \langle M, c_0, \overbrace{[c_0 \mapsto \perp]}^{\xi_0} \rangle \xrightarrow{\tau} \overbrace{\langle \lambda f. l := !l - 1; f(); l := !l + 1; !l, c_0, \xi_0, [l \mapsto 0] \rangle}^V \\
 \xrightarrow{\bar{c}_0(g)} \underbrace{\langle [g \mapsto V], \xi_0, [l \mapsto 0], \perp \rangle}_{\gamma} \\
 \\
 \xrightarrow{g(f, c_1)} \langle V f, c_1, \overbrace{\xi_0 \cdot [c_1 \mapsto \perp]}^{\xi_1}, \gamma, [l \mapsto 0] \rangle \\
 \xrightarrow{\tau} \langle K[f()], c_1, \gamma, \xi_1, [l \mapsto -1] \rangle \text{ with } K = \bullet; l := !l + 1; !l \\
 \\
 \xrightarrow{\bar{f}(), c_2} \langle \underbrace{\gamma \cdot [c_2 \mapsto K]}^{\gamma'}, \underbrace{\xi_1 \cdot [c_2 \mapsto c_1]}^{\xi_2}, [l \mapsto -1], c_2 \rangle \\
 \\
 \xrightarrow{g(f', c_3)} \langle V f', c_3, \gamma', \overbrace{\xi_2 \cdot [c_3 \mapsto c_2]}^{\xi_3}, [l \mapsto -1] \rangle \\
 \xrightarrow{\tau} \langle K[f'()], c_3, \gamma', \xi_3, [l \mapsto -2] \rangle \\
 \\
 \xrightarrow{\bar{f}'(), c_4} \langle \underbrace{\gamma' \cdot [c_4 \mapsto K]}^{\gamma'}, \underbrace{\xi_3 \cdot [c_4 \mapsto c_3]}^{\xi_4}, [l \mapsto -2], c_4 \rangle
 \end{array}$$

Then Opponent answers should be first on c_4 , then on c_2 .

Operational Game Semantics for GOSC contexts

- Opponent cannot store functional values nor continuations produced by call/cc
 - ▶ but Player still can (*asymmetric situation*).
- Both Opponent Questions and Answers are restricted: **O-visibility**
 - ▶ to control the functional names used in Opponent Questions and the continuation names used in Opponent Answers to be in the *scope*, called the O-view;
 - ▶ enforced in the Opponent rules of the LTS by keeping track of the O-views at each interaction points.

Modularity

Operational Game Semantics for GOS contexts

- Opponent cannot store functional values and cannot use call/cc.
- Combine both O-bracketing and O-visibility
- On Opponent Answer, O-bracketing implies O-visibility.

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More generally, a common LTS for the four fragments:

- enforcing O-bracketing and O-visibility is based on the *trace already played*;
- uniform treatment by incorporating in configurations a notion of *history of available names used*;
- condition on Opponent moves depending if we want to capture HOSC, HOS, GOSC, or GOS contexts.

Theorem (Full Abstraction)

For each fragment $GOS, GOSC, HOS, HOSC$, the set of traces, generated by the corresponding LTS, for two programs M_1, M_2 , are equal iff M_1, M_2 are contextually equivalent.

- For HOS and GOS: error observation rather than termination in the definition of contextual equivalence
 - ▶ to avoid the restriction to *complete* traces.
- Proofs of these full-abstraction results use ciu-equivalence and definability theorems.

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- For HOS and GOS: error observation rather than termination in the definition of contextual equivalence
 - ▶ to avoid the restriction to *complete* traces.
- Proofs of these full-abstraction results use ciu-equivalence and definability theorems.
- Asymmetric case: M_1, M_2 are in HOSC, while contexts are taken either in HOS, GOS or GOSC;
 - ▶ Player is more powerful than Opponent;
 - ▶ soundness wrt ciu-equivalence only...
 - ▶ equivalence is not a congruence anymore !

Can we prove contextual equivalence of two programs using these fully-abstract models ?

Prove trace equality of the LTS corresponding to the two programs using bisimulations.

- Eager normal-form bisimulations for \sim HOSC [Støvring & Lassen 2007]
- extended to HOS in [Biernacki, Lenglet & Polesiuk, 2019]
- main difficulty: the dynamic environment γ of LTS configurations keeps growing

- 1 Operational Semantics
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We would like to relate each corresponding components of the environments independently

Problem: this is **unsound** in presence of references

<pre>let c = ref0 in let inc () = c := !c + 1 in let get () = !c in ⟨inc, get⟩</pre>		<pre>let c = ref0 in let dec () = c := !c - 1 in let get () = !c in ⟨dec, get⟩</pre>
--	--	--

↪ Related to the unsoundness of applicative bisimulations in a similar setting [Koutavas, Levy, Sumii 2015] ?

A Solution:

Use worlds w as memory invariants to specify the heap resources shared by all the components of the two programs.

↪ as introduced with Kripke Logical Relations [Pitts & Stark 1998; Dreyer, Neis, Birkedal 2010]

Adaptation to Bisimulations over Operational Game Semantics LTS:
Kripke Normal-Form Bisimulations

Kripke reasoning

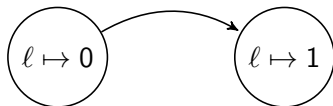
Definition

A world transition system (WTS) \mathcal{A} is a triple $(\text{Worlds}, \sqsubseteq_{\text{OQ}}, \sqsubseteq_{\text{OA}}, \mathcal{I})$, where:

- Worlds is a set of states,
- $\sqsubseteq_{\text{OQ}}, \sqsubseteq_{\text{OA}}$ are binary reflexive relations on Worlds ,
- $\mathcal{I} : \text{Worlds} \rightarrow \mathcal{P}(\text{Heap} \times \text{Heap})$ is the *invariant assignment*.

For example:

- Relational invariants: $\mathcal{I}(w) = \{(h_1, h_2) \mid h_1(\ell_1) = -h_2(\ell_2)\}$
- Transition systems of invariants:



Decomposing configurations

- Partial configurations D, D' : remove the shared resources
 - ▶ heap h ;
 - ▶ available name history components used to restrict Opponent behaviour.
- Product of partial configurations $D \otimes D'$:
 - ▶ concatenate the dynamic environments γ, γ' of D, D' ;
 - ▶ at most one Player (i.e. active) configuration among D, D' ;
 - ▶ Opponent names can be shared between D, D' .
- Prime configurations:
 - ▶ partial configurations that are irreducible w.r.t. \otimes ;
 - ▶ either Player (active) configuration with empty dynamic environment γ ;
 - ▶ or Opponent (passive) configurations with singleton γ .

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Normal-Form bisimulations/Bohm trees corresponds to
LTS over prime configurations !

Kripke Normal-Form Bisimulations for HOSC

Kripke Normal-Form Bisimulations are relations over triples $R = (R_V, R_K, R_E)$ which are post-fixpoint of $(\mathcal{V}_A, \mathcal{K}_A, \mathcal{E}_A)$, i.e. $R \subseteq (\mathcal{V}_A(R), \mathcal{K}_A(R), \mathcal{E}_A(R))$, where:

$$\mathcal{V}_A(R_V, R_K, R_E) \triangleq \{ (\beta, V, V, w) \mid V : \beta \wedge \beta \in \text{Int}, \text{Bool}, \text{Unit} \} \cup \{ (\sigma \rightarrow \sigma', V_1, V_2, w) \mid \forall w' \sqsupseteq_{\text{OQ}}^* w. \forall A : \sigma. \forall c : \tau. (\sigma', V_1 A, c, V_2 A, c, w') \in R_E \}$$

$$\mathcal{K}_A(R_V, R_K, R_E) \triangleq \{ (\sigma, \sigma', K_1, c_1, K_2, c_2, w) \mid \forall w' \sqsupseteq_{\text{OA}}^* w. \forall A : \sigma. (\sigma', K_1[A], c_1, K_2[A], c_2, w') \in R_E \}$$

$$\mathcal{E}_A(R_V, R_K, R_E) \triangleq \{ (\sigma, M_1, c_1, M_2, c_2, w) \mid \forall (h_1, h_2) \in \mathcal{I}(w). P_{\text{Div}} \vee P_{\text{PA}} \vee P_{\text{PQ}} \}$$

Kripke Normal-Form Bisimulations for HOSC

$$P_{Div} \triangleq (M_1, c_1, h_1) \uparrow \wedge (M_2, c_2, h_2) \uparrow$$

$$P_{PA} \triangleq \exists V_1, V_2, c. \exists w' \sqsupseteq_c w. \exists (h'_1, h'_2) \in \mathcal{I}(w'). (\sigma, V_1, V_2, w') \in R_V \wedge (M_1, c_1, h_1) \rightarrow^* (V_1, c, h'_1) \wedge (M_2, c_2, h_2) \rightarrow^* (V_2, c, h'_2)$$

$$P_{PQ} \triangleq \exists K_1, V_1, K_2, V_2. \exists c'_1, c'_2 : \tau. \exists \sigma_1, \sigma_2. \exists f : \sigma_1 \rightarrow \sigma_2. \exists w' \sqsupseteq_f (w). \exists (h'_1, h'_2) \in \mathcal{I}(w'). (\sigma_1, V_1, V_2, w', \mathcal{H}) \in R_V \wedge (\sigma_2, \sigma, K_1, c'_1, K_2, c'_2, w', \mathcal{H}) \in R_K \wedge (M_1, c_1, h_1) \rightarrow^* (K_1[fV_1], c'_1, h'_1) \wedge (M_2, c_2, h_2) \rightarrow^* (K_2[fV_2], c'_2, h'_2)$$

Modular Kripke Normal-Form Bisimulations

Extending the definition to restricted Opponent (GOS,GOSC,HOS):

- by index the definition of KNFB with a world-history \mathcal{H} that associates worlds to continuation and functional names;
- related to the available-name history of the uniform OGS LTS.

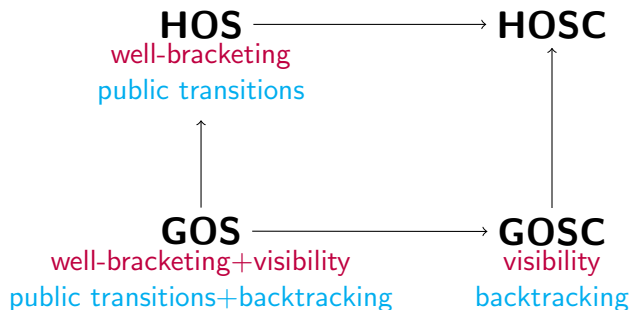
Theorem (Soundness & Completeness)

For each fragment $x \in \{GOS, GOSC, HOS, HOSC\}$, considering for two programs M_1, M_2 of these fragments, there exists a world transition system \mathcal{A} and an \mathcal{A}, x -Kripke normal-form bisimulation that contains M_1, M_2 iff M_1, M_2 are contextually equivalent in x .

- Soundness: prime decomposition of the LTS.
- Completeness: transformation of the OGS LTS into a WTS \mathcal{A} .

Relating Semantic Squares

Relate semantic characterizations of effects coming from **game semantics** and **Kripke logical relations**



- [Abramsky & McCusker 1997], [Laird 1997]
- [Dreyer, Neis & Birkedal 2010]

In the future:

- Construction from normal-form bisimulations to Kripke normal-form bisimulations as an up-to/abstraction technique ?
- Assymmetric reasoning on effects between the program and contexts and fully-abstract interoperability.
- Extension to parametric polymorphism, following [Lassen, Levy 2008], [Jaber, Tzevelekos 2016, 2018].
- Automation of contextual equivalence for these fragments, following [Jaber 2020].