

# Compositional relational reasoning via operational game semantics

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Concurrent Game Café

# Motivation:

Compositional reasoning on higher-order programs with shared resources, that is modular w.r.t. effects

# Realizability/Logical Relations?!

## Advantages:

- provides models of type systems and program logics;
- defined directly using operational semantics;
- captures abstraction properties like parametricity;
- provides Kripke-style reasoning (a.k.a forcing/presheaves construction) on shared resources
- modular wrt observation (biorthogonality).

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- modular wrt observation (biorthogonality).

## Drawbacks:

- complex inductive definition (step-indexing);
- extensional (no clear distinction between programs and environments);
- full-abstraction only via biorthogonality;
- hard to automate.

# We Want Game Semantics!

Models built on representations of the interactions between the program and its environment...

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Models built on representations of the interactions between the program and its environment...

... but with some specific features:

- defined from operational semantics
- provide coinductive and Kripke-style reasoning
- handle asymmetric settings (Programs and Environments written in different languages)

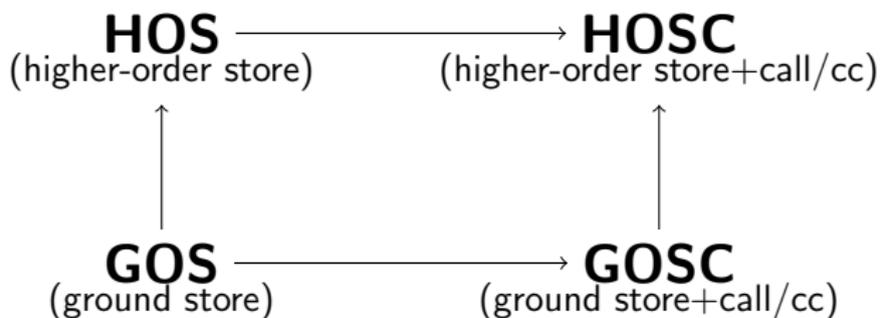
Operational Game Semantics!

# What's in this talk ?

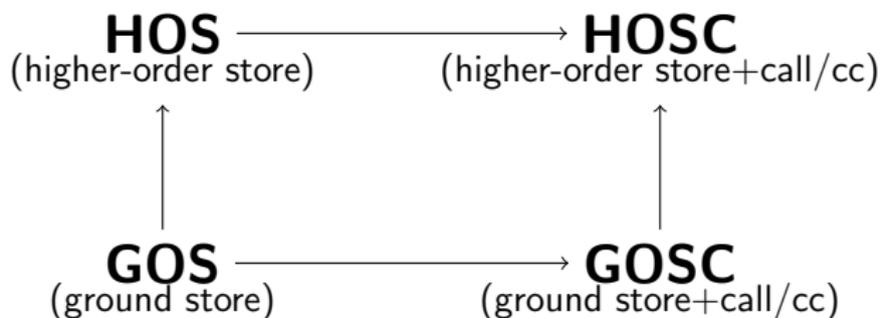
- Fully-abstract operational game models
  - ▶ For simply-typed call-by-value  $\lambda$ -calculus with higher-order references and call/cc
  - ▶ Modular reasoning on the power of Opponent based on a asymmetric & uniform presentation of visibility and well-bracketing.
- Kripke normal-form bisimulations
  - ▶ Complete & tractable technique for proving contextual equivalence
  - ▶ Compositional reasoning on shared resource (i.e. references)

# Effectful fragments of ML

- Simply-typed call-by-value  $\lambda$ -calculus
- with references: ground (can store integers or locations) or higher-order (can also store functions)
- with or without call/cc control operator



## Semantic studies



For these four languages we design:

- a fully-abstract model using **operational game semantics**
- a complete operational technique for proving contextual equivalence using **Kripke open bisimulations**

- 1 Operational Semantics
- 2 Operational Game Semantics
- 3 Kripke Normal-Form Bisimulations

# Operational Semantics

We use *reduction semantics*:

- reduction relation  $M \rightarrow M'$  between terms
- closed by evaluation contexts:

$$\frac{M \rightarrow M'}{K[M] \rightarrow K[M']}$$

In call-by-value:

$$\begin{array}{l} \text{Values } V \triangleq x \mid \lambda x.M \\ \text{Terms } M \triangleq V \mid MN \\ \text{Evaluation Contexts } K \triangleq \bullet \mid VK \mid KM \end{array}$$

Reduction relation

$$(\lambda x.M)V \rightarrow M\{V/x\}$$

# Contextual equivalence

## Definition

Two programs  $M_1, M_2$  are contextually equivalent when for all context  $C$ ,  $C[M_1]$  and  $C[M_2]$  are *observationally indistinguishable*.

This definition depends on:

- The language the contexts are written in
  - ↪ in general the same as the one of  $M_1, M_2$ ;
  - ↪ In our CBV  $\lambda$ -calculus :  $C \triangleq \bullet \mid \lambda x.C \mid MC \mid CM$
- the observation that is used
  - ↪ termination: reduces to a value
  - ↪ error: reduces to  $K[err()]$  with  $err$  a distinguished, free variable.

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- the observation that is used
  - ↪ termination: reduces to a value
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Talcott's *CIU-equivalence*:

- only consider evaluation contexts;
- substitute free variables with values;

CIU and contextual equivalence are equal (in a symmetric setting) !

## Operational Semantics for references

- We consider heaps: partial maps from *locations*  $\ell$  to values.
- Evaluation reduction works on pairs  $(M, h)$ :

$$\begin{aligned}(\text{ref } V, h) &\rightarrow (\ell, h \cdot [\ell \mapsto V]) \\(\ell := V, h) &\rightarrow ((), h[\ell \mapsto V]) \\(!\ell, h) &\rightarrow (h(\ell), h)\end{aligned}$$

New evaluation contexts:

$$K \triangleq \dots \mid \text{ref } K \mid K := M \mid \ell := K \mid !K$$

## Operational Semantics for call/cc

We embed evaluation contexts into terms using `cont K` construct.

$$\begin{aligned} (K[\text{call/cc}(x.M)], h) &\rightarrow (K[M\{\text{cont } K/x\}], h) \\ (K[\text{throw } V \text{ to cont } K'], h) &\rightarrow (K'[V], h) \end{aligned}$$

- 1 Operational Semantics
- 2 Operational Game Semantics
- 3 Kripke Normal-Form Bisimulations

# Introducing Operational Game Semantics

Interactions between the program and the context are represented by traces generated by a bipartite labelled transition system.

- Bipartite: Program=Player; Context=Opponent
- Player behavior is fully determined by the program;
- Opponent behavior represents all possible contexts.
  
- Functional values and continuations are represented using free variables called names;
- Configurations of the LTS have a dynamic environment ("inventory")  $\gamma$  that keeps track of the functional values and continuations associated to these names.

# Exchanging Values

Player and Opponent exchanges *abstract values*:

$$A, B \triangleq () \mid tt \mid ff \mid n \mid f \mid \langle A, B \rangle$$

- avoid being too intentional
- negative values are represented by names: they are opaque
  - ▶ functional names  $f$
- similar to Levy's ultimate patterns.
- In this talk: no exchange of locations or continuations !

Abstracting values:

$$(A, \gamma) \in \text{AVal}_\sigma(V) \text{ when } A : \sigma \text{ and } A\{\gamma\} = V$$

# Actions

## Four kind of actions

- Player Answer  $\bar{c}(A)$ : an abstract value  $A$  is sent through a continuation name  $c$ .
- Player Question  $\bar{f}(A, c)$ : an abstract value  $A$  and a continuation name  $c$  are sent through a function name  $f$ .
- Opponent Answer (OA)  $c(A)$ : an abstract value  $A$  is received from the environment via the continuation name  $c$ .
- Opponent Question (OQ)  $f(A, c)$ : an abstract value  $A$  and a continuation name  $c$  are received from the environment through a function name  $f$ .

# Traces

Traces are sequence of actions alternating between Player and Opponent

- similar to traces generated by the (Internal)  $\pi$ -calculus;
- justification pointer used in game semantics can be reconstructed from the binding structure of actions;
- removing continuation names in traces for HOS: back to direct style.

# Configurations

The state of the bipartite LTS are:

- Player (active) configurations  $\langle M, c, \gamma, \xi, h \rangle$ ;
- Opponent (passive) configurations  $\langle \gamma, \xi, h \rangle$ .

They are formed by:

- a term  $M$ ;
- a continuation name  $c$ ;
- an dynamic environment  $\gamma$ :  
a map from names to functional values or evaluation contexts;
- a continuation structure  $\xi$ :  
a map from continuation names to continuation names;
- a heap  $h$ .

# LTS for HOSC

- (P $\tau$ )  $\langle M, c, \gamma, \xi, h \rangle \xrightarrow{\tau} \langle N, c', \gamma, \xi, h' \rangle$   
when  $(M, c, h) \rightarrow (N, c', h')$
- (PA)  $\langle V, c, \gamma, \xi, h \rangle \xrightarrow{\bar{c}(A)} \langle \gamma \cdot \gamma', \xi, h \rangle$   
when  $c : \sigma$  and  $(A, \gamma') \in \text{AVal}_\sigma(V)$
- (PQ)  $\langle K[fV], c, \gamma, \xi, h \rangle \xrightarrow{\bar{f}(A, c')} \langle \gamma \cdot \gamma' \cdot [c' \mapsto K], \xi \cdot [c' \mapsto c], h \rangle$   
when  $c' : \sigma', f : \sigma \rightarrow \sigma'$  and  $(A, \gamma') \in \text{AVal}_\sigma(V)$ ,
- (OA)  $\langle \gamma, \xi, h \rangle \xrightarrow{c(A)} \langle K[A], c', \gamma, \xi, h \rangle$   
when  $c : \sigma, A : \sigma$  and  $\gamma(c) = K, \xi(c) = c'$
- (OQ)  $\langle \gamma, \xi, h \rangle \xrightarrow{f(A, c)} \langle VA, c, \gamma, \xi, h \rangle$   
when  $f : \sigma \rightarrow \sigma', A : \sigma, c : \sigma'$  and  $\gamma(f) = V$

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```
let x = ref 0 in λf.x :=!x - 1; f(); x :=!x + 1; !x
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 \xrightarrow{\bar{f}(() , c_2)} \overbrace{\langle \gamma \cdot [c_2 \mapsto K], [c_2 \mapsto c_1], [l \mapsto -1] \rangle}^{\gamma'} \overbrace{\quad}^{\xi} \\
 \text{here Opponent can either answer to } c_2 \\
 \text{or interrogate } g \text{ again (nested call)} \\
 \xrightarrow{c_2(() )} \langle K[()], c_2, \gamma', \xi, [l \mapsto -1] \rangle \\
 \dots
 \end{array}$$

$c_2$  can still be used afterwards, meaning that we can use the evaluation context  $K$  many times to increment  $l$ .

# Operational Game Semantics for HOS contexts

- Opponent cannot use call/cc anymore
  - ▶ but Player still can (*asymmetric situation*).
- Opponent behaviour is then restricted:
  - ▶ Opponent Answers must follow the **O-bracketing** discipline
  - ▶ enforced in the OA rule of the LTS by keeping track of the continuation structure for Opponent too.
- **Well-bracketing** when Player cannot use call/cc neither: the continuation structure used in the LTS is then a stack, getting back the model of [Laird 2007].

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when  $f : \sigma \rightarrow \sigma', (A, \gamma') \in \text{AVal}_\sigma(V), c' : \sigma'$
- (OA)  $\langle \gamma, \xi, h, c'' \rangle \xrightarrow{c(A)} \langle K[A], c', \gamma, \xi, h \rangle$   
when  $c = c'', c : \sigma, A : \sigma, \gamma(c) = K, \xi(c) = c'$
- (OQ)  $\langle \gamma, \xi, h, c'' \rangle \xrightarrow{f(A, c)} \langle VA, c, \gamma, \xi \cdot [c \mapsto c''], h \rangle$   
when  $f : \sigma \rightarrow \sigma', A : \sigma, c : \sigma', \gamma(f) = V$

$M \triangleq \text{let } x = \text{ref } 0 \text{ in } \lambda f. x := !x - 1; f(); x := !x + 1; !x$

$$\begin{array}{l}
 \langle M, c_0, \overbrace{[c_0 \mapsto \perp]}^{\xi_0} \rangle \xrightarrow{\tau} \overbrace{\langle \lambda f. l := !l - 1; f(); l := !l + 1; !l, c_0, \xi_0, [l \mapsto 0] \rangle}^V \\
 \xrightarrow{\bar{c}_0(g)} \underbrace{\langle [g \mapsto V], \xi_0, [l \mapsto 0], \perp \rangle}_{\gamma} \\
 \\
 \xrightarrow{g(f, c_1)} \langle V f, c_1, \overbrace{\xi_0 \cdot [c_1 \mapsto \perp]}^{\xi_1}, \gamma, [l \mapsto 0] \rangle \\
 \xrightarrow{\tau} \langle K[f()], c_1, \gamma, \xi_1, [l \mapsto -1] \rangle \text{ with } K = \bullet; l := !l + 1; !l \\
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 \xrightarrow{\bar{f}(), c_2} \langle \underbrace{\gamma \cdot [c_2 \mapsto K]}^{\gamma'}, \underbrace{\xi_1 \cdot [c_2 \mapsto c_1]}^{\xi_2}, [l \mapsto -1], c_2 \rangle \\
 \\
 \xrightarrow{g(f', c_3)} \langle V f', c_3, \gamma', \overbrace{\xi_2 \cdot [c_3 \mapsto c_2]}^{\xi_3}, [l \mapsto -1] \rangle \\
 \xrightarrow{\tau} \langle K[f'()], c_3, \gamma', \xi_3, [l \mapsto -2] \rangle \\
 \\
 \xrightarrow{\bar{f}'(), c_4} \langle \underbrace{\gamma' \cdot [c_4 \mapsto K]}^{\gamma'}, \underbrace{\xi_3 \cdot [c_4 \mapsto c_3]}^{\xi_4}, [l \mapsto -2], c_4 \rangle
 \end{array}$$

Then Opponent answers should be first on  $c_4$ , then on  $c_2$ .

# Operational Game Semantics for GOSC contexts

- Opponent cannot store functional values nor continuations produced by call/cc
  - ▶ but Player still can (*asymmetric situation*).
- Both Opponent Questions and Answers are restricted: **O-visibility**
  - ▶ to control the functional names used in Opponent Questions and the continuation names used in Opponent Answers to be in the *scope*, called the O-view;
  - ▶ enforced in the Opponent rules of the LTS by keeping track of the O-views at each interaction points.

# Modularity

## Operational Game Semantics for GOS contexts

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- Combine both O-bracketing and O-visibility
- On Opponent Answer, O-bracketing implies O-visibility.

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## More generally, a common LTS for the four fragments:

- enforcing O-bracketing and O-visibility is based on the *trace already played*;
- uniform treatment by incorporating in configurations a notion of *history of available names used*;
- condition on Opponent moves depending if we want to capture HOSC, HOS, GOSC, or GOS contexts.

## Theorem (Full Abstraction)

For each fragment  $GOS, GOSC, HOS, HOSC$ , the set of traces, generated by the corresponding LTS, for two programs  $M_1, M_2$ , are equal iff  $M_1, M_2$  are contextually equivalent.

- For HOS and GOS: error observation rather than termination in the definition of contextual equivalence
  - ▶ to avoid the restriction to *complete* traces.
- Proofs of these full-abstraction results use ciu-equivalence and definability theorems.

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- For HOS and GOS: error observation rather than termination in the definition of contextual equivalence
  - ▶ to avoid the restriction to *complete* traces.
- Proofs of these full-abstraction results use ciu-equivalence and definability theorems.
- Asymmetric case:  $M_1, M_2$  are in HOSC, while contexts are taken either in HOS, GOS or GOSC;
  - ▶ Player is more powerful than Opponent;
  - ▶ soundness wrt ciu-equivalence only...
  - ▶ equivalence is not a congruence anymore !

## Can we prove contextual equivalence of two programs using these fully-abstract models ?

Prove trace equality of the LTS corresponding to the two programs using bisimulations.

- Eager normal-form bisimulations for  $\sim$ HOSC [Støvring & Lassen 2007]
- extended to HOS in [Biernacki, Lenglet & Polesiuk, 2019]
- main difficulty: the dynamic environment  $\gamma$  of LTS configurations keeps growing

- 1 Operational Semantics
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We would like to relate each corresponding components of the environments independently

Problem: this is **unsound** in presence of references

<pre>let c = ref0 in let inc () = c := !c + 1 in let get () = !c in ⟨inc, get⟩</pre>		<pre>let c = ref0 in let dec () = c := !c - 1 in let get () = !c in ⟨dec, get⟩</pre>
--	--	--

↪ Related to the unsoundness of applicative bisimulations in a similar setting [Koutavas, Levy, Sumii 2015] ?

## A Solution:

Use worlds  $w$  as memory invariants to specify the heap resources shared by all the components of the two programs.

↪ as introduced with Kripke Logical Relations [Pitts & Stark 1998; Dreyer, Neis, Birkedal 2010]

Adaptation to Bisimulations over Operational Game Semantics LTS:  
**Kripke Normal-Form Bisimulations**

# Kripke reasoning

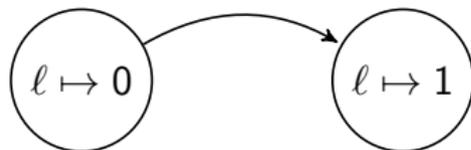
## Definition

A world transition system (WTS)  $\mathcal{A}$  is a triple  $(\text{Worlds}, \sqsubseteq_{\text{OQ}}, \sqsubseteq_{\text{OA}}, \mathcal{I})$ , where:

- $\text{Worlds}$  is a set of states,
- $\sqsubseteq_{\text{OQ}}, \sqsubseteq_{\text{OA}}$  are binary reflexive relations on  $\text{Worlds}$ ,
- $\mathcal{I} : \text{Worlds} \rightarrow \mathcal{P}(\text{Heap} \times \text{Heap})$  is the *invariant assignment*.

For example:

- Relational invariants:  $\mathcal{I}(w) = \{(h_1, h_2) \mid h_1(\ell_1) = -h_2(\ell_2)\}$
- Transition systems of invariants:



## Decomposing configurations

- Partial configurations  $D, D'$ : remove the shared resources
  - ▶ heap  $h$ ;
  - ▶ available name history components used to restrict Opponent behaviour.
- Product of partial configurations  $D \otimes D'$ :
  - ▶ concatenate the dynamic environments  $\gamma, \gamma'$  of  $D, D'$ ;
  - ▶ at most one Player (i.e. active) configuration among  $D, D'$ ;
  - ▶ Opponent names can be shared between  $D, D'$ .
- Prime configurations:
  - ▶ partial configurations that are irreducible w.r.t.  $\otimes$ ;
  - ▶ either Player (active) configuration with empty dynamic environment  $\gamma$ ;
  - ▶ or Opponent (passive) configurations with singleton  $\gamma$ .

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Normal-Form bisimulations/Bohm trees corresponds to  
LTS over prime configurations !

# Kripke Normal-Form Bisimulations for HOSC

Kripke Normal-Form Bisimulations are relations over triples  $R = (R_V, R_K, R_E)$  which are post-fixpoint of  $(\mathcal{V}_A, \mathcal{K}_A, \mathcal{E}_A)$ , i.e.  $R \subseteq (\mathcal{V}_A(R), \mathcal{K}_A(R), \mathcal{E}_A(R))$ , where:

$$\mathcal{V}_A(R_V, R_K, R_E) \triangleq \{ (\beta, V, V, w) \mid V : \beta \wedge \beta \in \text{Int}, \text{Bool}, \text{Unit} \} \cup \{ (\sigma \rightarrow \sigma', V_1, V_2, w) \mid \forall w' \sqsupseteq_{\text{OQ}}^* w. \forall A : \sigma. \forall c : \tau. (\sigma', V_1 A, c, V_2 A, c, w') \in R_E \}$$

$$\mathcal{K}_A(R_V, R_K, R_E) \triangleq \{ (\sigma, \sigma', K_1, c_1, K_2, c_2, w) \mid \forall w' \sqsupseteq_{\text{OA}}^* w. \forall A : \sigma. (\sigma', K_1[A], c_1, K_2[A], c_2, w') \in R_E \}$$

$$\mathcal{E}_A(R_V, R_K, R_E) \triangleq \{ (\sigma, M_1, c_1, M_2, c_2, w) \mid \forall (h_1, h_2) \in \mathcal{I}(w). P_{\text{Div}} \vee P_{\text{PA}} \vee P_{\text{PQ}} \}$$

# Kripke Normal-Form Bisimulations for HOSC

$$P_{Div} \triangleq (M_1, c_1, h_1) \uparrow \wedge (M_2, c_2, h_2) \uparrow$$

$$P_{PA} \triangleq \exists V_1, V_2, c. \exists w' \sqsupseteq_c w. \exists (h'_1, h'_2) \in \mathcal{I}(w'). (\sigma, V_1, V_2, w') \in R_V \wedge \\ (M_1, c_1, h_1) \rightarrow^* (V_1, c, h'_1) \wedge (M_2, c_2, h_2) \rightarrow^* (V_2, c, h'_2)$$

$$P_{PQ} \triangleq \exists K_1, V_1, K_2, V_2. \exists c'_1, c'_2 : \tau. \exists \sigma_1, \sigma_2. \exists f : \sigma_1 \rightarrow \sigma_2. \exists w' \sqsupseteq_f (w). \\ \exists (h'_1, h'_2) \in \mathcal{I}(w'). (\sigma_1, V_1, V_2, w', \mathcal{H}) \in R_V \\ \wedge (\sigma_2, \sigma, K_1, c'_1, K_2, c'_2, w', \mathcal{H}) \in R_K \\ \wedge (M_1, c_1, h_1) \rightarrow^* (K_1[fV_1], c'_1, h'_1) \wedge (M_2, c_2, h_2) \rightarrow^* (K_2[fV_2], c'_2, h'_2)$$

# Modular Kripke Normal-Form Bisimulations

Extending the definition to restricted Opponent (GOS,GOSC,HOS):

- by index the definition of KNFB with a world-history  $\mathcal{H}$  that associates worlds to continuation and functional names;
- related to the available-name history of the uniform OGS LTS.

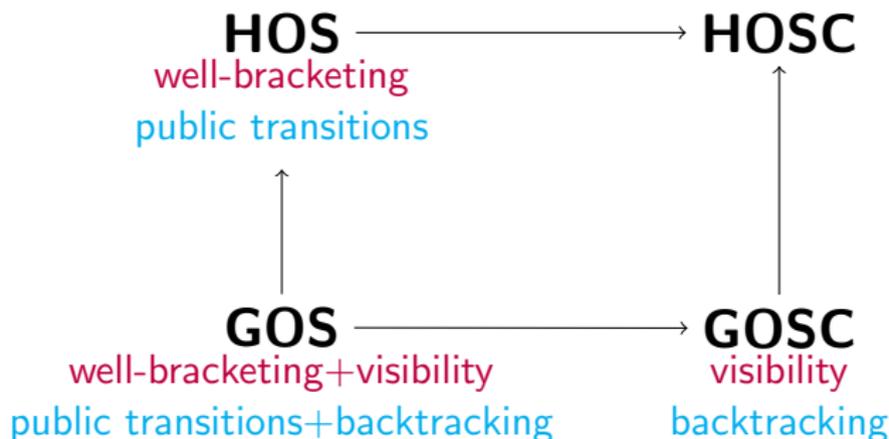
## Theorem (Soundness & Completeness)

*For each fragment  $x \in \{GOS, GOSC, HOS, HOSC\}$ , considering for two programs  $M_1, M_2$  of these fragments, there exists a world transition system  $\mathcal{A}$  and an  $\mathcal{A}, x$ -Kripke normal-form bisimulation that contains  $M_1, M_2$  iff  $M_1, M_2$  are contextually equivalent in  $x$ .*

- Soundness: prime decomposition of the LTS.
- Completeness: transformation of the OGS LTS into a WTS  $\mathcal{A}$ .

## Relating Semantic Squares

Relate semantic characterizations of effects coming from **game semantics** and **Kripke logical relations**



- [Abramsky & McCusker 1997], [Laird 1997]
- [Dreyer, Neis & Birkedal 2010]

## In the future:

- Construction from normal-form bisimulations to Kripke normal-form bisimulations as an up-to/abstraction technique ?
- Assymmetric reasoning on effects between the program and contexts and fully-abstract interoperability.
- Extension to parametric polymorphism, following [Lassen, Levy 2008], [Jaber, Tzevelekos 2016, 2018].
- Automation of contextual equivalence for these fragments, following [Jaber 2020].