# Resource Augmentation for Buffer Management with Bounded Delay

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We study a problem known as *packet switching*, *buffer management with bounded delay*:

- Input: non-empty set of jobs with:
  - release time, deadline (integers)
  - weight (also called value)
- Execution of any job takes one unit of time
- Jobs must be executed one at a time
- Goal: to maximize the total weight of executed jobs

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- Input: non-empty set of jobs with:
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This is the *off-line* version of the problem – the complete input is made available to the algorithm immediately.

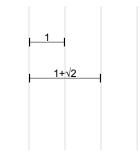
In this version the optimal solution can be found easily (polynomial time).

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More common scenario – there is no information about the future. In the *on-line* version:

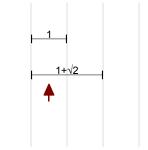
- At each step the algorithm makes a decision which job to execute
- The jobs become "visible" after their respective release times
- Each decision is irrevocable

In the on-line setting the algorithm seems to have a clear disadvantage compared to the off-line setting.



## Consider the following example.

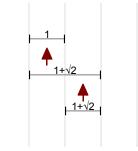
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Algorithm non-optimal by factor 
$$rac{2+\sqrt{2}}{1+\sqrt{2}}=\sqrt{2}$$

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## Definition

Let *A* be an on-line algorithm. The *competitive ratio* of *A* is defined as follows

$$R_{A} = \sup_{I} \frac{w(OPT_{1}(I))}{w(A(I))}$$

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We already know, that no on-line algorithm has a competitive ratio lower than  $\sqrt{2} \approx 1.414$ . But there is a better lower bound.

## Theorem (Hajek 2001)

## Every on-line algorithm has a competitive ratio at least equal to $\phi = \frac{1+\sqrt{5}}{2} \approx 1.618.$

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The proof uses a remarkably simple class of jobs – with lengths at most 2.

Consequently this lower bound holds also for many restricted versions of the problem.

Progress in recent years:

- 2 (Kesselman et al. 2001, Hajek 2001)
- $\frac{64}{33} \approx 1.939$  (Chrobak et al. 2004)
- 1.852... (Li et al. 2007)
- $2\sqrt{2} 1 \approx 1.828$  (Englert and Westermann 2007)

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## An interesting restriction of the problem: agreeable deadlines.

## Definition

We say that the jobs forming the set  ${\cal S}$  have agreeable deadlines if and only if

$$\forall i, j \in S : r_i < r_j \Rightarrow d_i \leqslant d_j$$

In other words – the availability interval of one job is not contained in the interior of the availability interval of another job.

The construction of the lower bound of  $\phi$  works even with the restriction to instances with agreeable deadlines. What about the upper bound?

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## Theorem (Li et al. 2005)

There exists an algorithm having a competitive ratio exactly  $\phi \approx 1.618$  in the agreeable deadlines setting.

*Resource augmentation* – a different approach for analyzing the hardness of an on-line scheduling problem.

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## The modification

The on-line algorithm may now execute more than one job per time slot, given by the parameter k.

The "quick" on-line algorithm is compared to the "slow" off-line algorithm using the competitive ratio.

Our task is to find some lower and upper bounds for this ratio (depending on k).

Natural first choice: greedy algorithm.

#### Fact

The competitive ratio of the greedy algorithm is equal to  $1 + \frac{1}{k}$ .

But we can do better than that.

A better algorithm EG(k) is presented below. Let h denote the heaviest available job (note that it may change during the step). In each time slot the algorithm executes:

- The most urgent available job with weight at least  $2^{-k}w_h$
- The most urgent available job with weight at least  $2^{-k+1}w_h$
- . . .

• The most urgent available job with weight at least  $2^{-1}w_h$ "Most urgent" means the job whose deadline will be reached next. Ties can be broken in an arbitrary way.

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## Theorem (J. 2009)

The competitive ratio of EG(k) is  $1 + \frac{1}{2^k - 1}$ .

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For a given instance I we first take an optimal off-line schedule and reorder it so that the sequence of executed jobs is similar to the sequence generated by EG(k).

We define a charging function  $c: OPT_1(I) \rightarrow \mathbb{Z}$  such that

$$c(j) = min(t_{OPT_1}(j), t_{EG_k}(j))$$

For every time slot t such that  $w(c^{-1}(t)) > 0$  we prove that

$$w(c^{-1}(t)) < \left(1 + \frac{1}{2^k - 1}\right) w(t_{EG_k}^{-1}(t))$$

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#### Thus

$$w(OPT_1(I)) < \left(1 + \frac{1}{2^k - 1}\right) w(EG_k(I))$$

This means that EG(k) is  $\left(1 + \frac{1}{2^{k}-1}\right)$ -competitive. It can be shown easily that EG(k) is not competitive for any lower ratio.

#### Question

Is there any k and an on-line algorithm executing k jobs per time slot with competitive ratio equal to 1?

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## Theorem (J. 2009)

Every k-speed on-line algorithm has a competitive ratio higher than  $1 + \varepsilon_k$ .

In fact this remains true if we strengthen the algorithm by allowing it to conserve its processing power for the future – we call such an algorithm *cumulative*.

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We view the task as a game between Algorithm and Adversary. Adversary creates new jobs that are presented to Algorithm. We define a strategy  $S_k$  for Adversary recursively. We view the task as a game between Algorithm and Adversary. Adversary creates new jobs that are presented to Algorithm. We define a strategy  $S_k$  for Adversary recursively.

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#### Goal

Algorithm playing against strategy  $S_k$  will either execute more than k jobs per step, or has lower throughput than  $OPT_1$  on the same instance.

Key points of the strategy:

- The game lasts at mosts  $I_k$  steps
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- We have two types of jobs
  - H-jobs, which are the heaviest jobs that appear during the game
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The idea is that on average the algorithm executes k - 1 L-jobs and 1 H-job per step.

## What are the values of $I_k$ , $M_k$ and $\varepsilon_k$ ?

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What are the values of  $I_k$ ,  $M_k$  and  $\varepsilon_k$ ?

$$\begin{split} & l_k \leqslant 2^{2^{2k}} \\ & M_k \leqslant 2^{2^{2^{3(k-1)}}} \\ & \varepsilon_k \geqslant 1 + \frac{1}{M_k} \geqslant 1 + \left(\frac{1}{2}\right)^{2^{2^{3(k-1)}}} \end{split}$$

The gap between the lower and upper bound is quite big.

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It looks like normal competitive analysis (without resource augmentation) is not able to make a distinction between the general case and the restriction to agreeable deadlines. It looks like normal competitive analysis (without resource augmentation) is not able to make a distinction between the general case and the restriction to agreeable deadlines. This is different using resource augmentation:

#### Theorem (Jeżabek 2009+)

There is a 2-speed on-line algorithm having competitive ratio 1 for inputs with agreeable deadlines.

We may regard a 2-speed algorithm as two algorithms with speed 1. In our case these algorithms are syntactically similar, but have very different characteristics.

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### Observation

This algorithm makes no mistakes – the jobs executed by it are always in the optimal off-line schedule.

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The algorithm may however miss some jobs.

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The second algorithm:

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- Looks at all jobs seen so far
- Computes the optimal off-line schedule and executes the first job from it that is available

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### Consequence

The presented algorithm's throughput is always at least equal to the througput of the optimal 1-speed off-line algorithm.

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- Find an even broader class of instances where resource augmented on-line algorithms can achieve a comtetitive ratio equal 1
- Reduce the gap between the lower and upper bound in the general *k*-speed scenario
- Find the best possible competitive ratio for the 1-speed scenario

Thank you!

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