Well-quasi-order of relabel functions

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Abstract

We define $\operatorname{NLC}_k^{\mathcal{F}}$ to be the restriction of the class of graphs NLC_k , where relabelling functions are exclusively taken from a set \mathcal{F} of functions from $\{1, \ldots, k\}$ into $\{1, \ldots, k\}$. We characterize the sets of functions \mathcal{F} for which $\operatorname{NLC}_k^{\mathcal{F}}$ is well-quasiordered by the induced subgraph relation \leq_i . Precisely, these sets \mathcal{F} are those which satisfy that for every $f, g \in \mathcal{F}$, we have $\operatorname{Im}(f \circ g) = \operatorname{Im}(f)$ or $\operatorname{Im}(g \circ f) = \operatorname{Im}(g)$. To obtain this, we show that words (or trees) on \mathcal{F} are well-quasi-ordered by a relation slightly more constrained than the usual subword (or subtree) relation.

A class of graphs is *n*-well-quasi-ordered if the collection of its vertex-labellings into *n* colors forms a well-quasi-order under \leq_i , where \leq_i respects labels. Pouzet [15] conjectured that a 2-well-quasi-ordered class closed under induced subgraph is in fact *n*-well-quasi-ordered for every *n*. A possible approach would be to characterize the 2-well-quasi-ordered classes of graphs. In this respect, we conjecture that such a class is always included in some well-quasi-ordered NLC^{\mathcal{F}} for some family \mathcal{F} . This would imply Pouzet's conjecture.

1 Introduction

Let S be a set and \leq be a quasi-order on S, i.e. a reflexive and transitive relation. Given a sequence $(x_i)_{i\in\omega}$ of elements of S, a good pair consists of two elements $x_i \leq x_j$, with i < j. A sequence with no good pair is called a *bad* sequence of (S, \leq) . A quasi-order with no bad sequence is a well-quasi-order.

There are other equivalent presentations of the notion of well-quasi-ordering (see for instance [12]). A quasi-order is a well-quasi-order if and only if it

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has no infinite antichain and no infinite strictly decreasing sequence. Also, a quasi-order is a well-quasi-order if and only if every infinite sequence has an infinite increasing subsequence. Equivalently, a quasi-order is a well-quasiorder if and only if every nonempty subset of S has a nonempty finite set of minimal elements (up to equivalence).

The theory of well-quasi-ordering has been flourishing. Higman's theorem states that the set of words over a well-quasi-ordered set is well-quasi-ordered by the subword relation [7], and this has been extended by Kruskal's to trees [11]. Robertson and Seymour's celebrated graph minor theorem [16] asserts that the minor relation is a well-quasi-order on the set of finite graphs. It implies that every graph class closed under minor (or minor *ideal*) can be characterized by a finite list of excluded minors. This in turn implies that every minor ideal can be recognized in polynomial time.

The class of finite graphs is not well-quasi-ordered by the induced subgraph relation since the cycles form an antichain. The good properties of the minor ideals ensured by the minor theorem do not hold for induced subgraph ideals (for instance, the set of paths, which is well-quasi-ordered does not have a finite set of forbidden induced subgraphs). This is a motivation to the stronger notion of 2-well-quasi-ordering.

In the following, we will be exclusively interested in the induced subgraph relation. Throughout this paper, we will abbreviate "well-quasi-ordered by the induced subgraph relation" in *well-quasi-ordered*, being understood that we are dealing with the induced subgraph relation.

An extension of the notion of well-quasi-order is the notion of *n*-well-quasiorder (see Kriz and Thomas [9] for a more general discussion in terms of QOcategory). A set S of graphs is *n*-well-quasi-ordered if the class \hat{S} consisting of all vertex *n*-coloring of graphs in S, is well-quasi-ordered by \leq_1 , where $G \leq_1 G'$ if there is an injection from V to V' preserving adjacency and color. The set S is ∞ -well-quasi-ordered if S is *n*-well-quasi-ordered, for any $n \geq 1$. Being 2-well-quasi-ordered is a stronger property than being well-quasi-ordered, for instance the set of paths is not 2-well-quasi-ordered.

The notion of 2-well-quasi-ordering is especially interesting in view of algorithmic properties, as induced subgraph ideals which are 2-well-quasi-ordered can be characterized by a finite list of forbidden induced subgraphs, and thus are polynomially recognizable. Our ultimate aim would be to characterize the 2-well-quasi-ordered ideals of graphs, in order to prove the following conjecture of Pouzet [15], also appearing in Fraïssé [4]:

Conjecture 1 An induced subgraph ideal is 2-well-quasi-ordered if and only if it is ∞ -well-quasi-ordered.



Fig. 1. The graph G_5

We will come back to this topic in Section 5. Our main purpose here is to study a restriction of the hierarchy of graph classes NLC.

The class NLC_k of k-node labelled controlled graphs was introduced in [18]. Let \mathcal{F} be a set of functions from $\{1, \ldots, k\}$ into $\{1, \ldots, k\}$. The class $\operatorname{NLC}_k^{\mathcal{F}}$ is defined recursively by using k node-labels and three operators: \bullet_i , \circ_f and χ_S . For $i \in \{1, \ldots, k\}$, the operator \bullet_i creates a single vertex labelled by i. The operator \circ_f , with $f \in \mathcal{F}$, applied to a labelled graph replaces every label i with f(i). The operator χ_S , with $S \subseteq \{1, \ldots, k\} \times \{1, \ldots, k\}$, applied to two labelled graphs G and H in this order, creates the disjoint union of graphs Gand H, and for all $(i, j) \in S$ adds edges between every vertex of label i in Gand every vertex of label j in H. The class NLC_k is defined to be $\operatorname{NLC}_k^{\Phi}$ where Φ is the set of all functions from $\{1, \ldots, k\}$ into $\{1, \ldots, k\}$. The NLC -width of G is the minimum k for which some labelling of G is in NLC_k .

It is not known whether there exists a polynomial time algorithm computing a NLC decomposition using k colors for graphs in NLC_k. Only the cases k = 1(which corresponds to cographs) and k = 2 (see [8]) have been solved so far. Computing the NLC-width is NP-hard (see [6]).

The NLC-width has a strong link with another well-known parameter: the clique-width, introduced by Courcelle *et al.* (see [1]). NLC-width and clique-width indeed differ by a factor at most 2 (more precisely, the clique-width of a graph is bounded below by its NLC-width, and above by twice its NLC-width). Moreover, transformations respecting these bounds between decompositions of the two types can be done in linear time.

The class of graphs NLC₁ is well-quasi-ordered, see [2] and [17] for the countable case. The class of graphs NLC₂ is well-quasi-ordered (and even ∞ -wellquasi-ordered), this easily follows from the results in [8]. Indeed, the NLC₂ prime graphs for the modular decomposition are constructible in NLC₂ without relabelling, and thus form a well-quasi-ordered family by Kruskal's tree theorem. However, the class NLC₃ is not well-quasi-ordered, as it contains for every *i* the graph G_i (a path of length *i* with two pending vertices added to each extremity) depicted in Fig. 1. These graphs indeed do not form a well-quasi-ordered family. Allowing all relabelling operators \circ_f is too much to construct a well-quasi-ordered class of graphs if we have at least 3 colors. This is why we define a restriction of NLC, using only relabelling operators from a specified set of functions \mathcal{F} . Our main purpose is to characterize the sets \mathcal{F} such that NLC^{\mathcal{F}} is well-quasi-ordered. We will see that NLC^{\mathcal{F}} is well-quasiordered (equivalently ∞ -well-quasi-ordered) if and only if it does not contain arbitrarily large paths.

In Section 2, we introduce a binary relation \leq on set of functions. In Section 3 we introduce a subword order \leq on words labelled with a set of functions which is more constrained that Higman's order. In Section 4 we extend < to trees, with the purpose of applications to $NLC_k^{\mathcal{F}}$ expressions. In Section 5, we characterize the sets \mathcal{F} for which $\mathrm{NLC}_k^{\mathcal{F}}$ is well-quasi-ordered. In the final section, we discuss Pouzet's conjecture on *n*-well-quasi-ordering.

Throughout this paper, we will obtain the following equivalent characterizations of \mathcal{F} :

- The set \mathcal{F} is totally quasi-ordered by \prec .
- The set of words on \mathcal{F} is well-quasi-ordered by \leq .
- The set of trees on \mathcal{F} is well-quasi-ordered by \leq .
- The set of graphs $NLC_k^{\mathcal{F}}$ is well-quasi-ordered.
- The set of graphs NLC^F_k is ∞-well-quasi-ordered.
 The set of graphs NLC^F_k does not contain arbitrarily large paths.

$\mathbf{2}$ Totally ordered sets of functions

Let \mathcal{F} be a set of functions from $\{1, \ldots, k\}$ into $\{1, \ldots, k\}$ closed under composition (with the convention that the identity function ε belongs to \mathcal{F}). The key-definition of this section is the following. Let us say that $f \leq g$ whenever $Im(f \circ g) = Im(f).$

Assume that \leq is total on \mathcal{F} , i.e. for every f, g in \mathcal{F} , at least one of $f \leq g$ and $q \prec f$ holds. This implies in particular that $Im(f^2) = Im(f)$ for all $f \in \mathcal{F}$. Observe that $f \leq g$ implies that $|Im(f)| \leq |Im(g)|$.

Lemma 1 If \leq is total on \mathcal{F} , then \leq is transitive.

Thus \prec is a reflexive and transitive relation, in other words \prec is a total quasiorder on \mathcal{F} . This is equivalent to the existence of a partition of \mathcal{F} into t equivalence classes $F_1, ..., F_t$ such that $f \in F_i$ and $g \in F_j$ verify $f \leq g$ if and only if $i \leq j$.

Lemma 2 When \mathcal{F} is totally quasi-ordered by \preceq , the equivalence classes $F_1, ..., F_t$ are exactly the classes of functions having an image of the same size, in increasing order of the image size.

Observe that the top class F_t contains ε , and contains only permutations.

Lemma 3 For all i, F_i and $\bigcup_{k \ge i} F_k$ are closed under composition.

Lemma 4 The functions of the bottom class F_1 verify a "left-cancellation" identity:

$$\forall f \in F_1, \forall h, h' \in \mathcal{F}, \ if \ h \circ f \circ h' = h \circ f \ then \ f \circ h' = f \tag{1}$$

Here is an example of a set of functions which is totally ordered by \leq . An (i, j)-cast, with $i \leq j$, is a function f from $\{1, \ldots, k\}$ into itself such that f(l) = l for all l < i and f(l) = j whenever $i \leq l$. It is routine to check that the set of casts is indeed totally ordered by \leq . We feel that the following problem would give some insight on the well-quasi-ordered NLC^F_k classes:

Problem 2 Find a generic class of functions \mathcal{G} (like casts for instance) such that for every totally ordered \mathcal{F} and k, there exists some k' for which $NLC_k^{\mathcal{F}}$ is included in $NLC_{k'}^{\mathcal{G}}$

Such a class of function would describe much more precisely how to construct the well-quasi-ordered classes $NLC_k^{\mathcal{F}}$.

3 Words on functions

An \mathcal{F} -word is a finite word on the alphabet \mathcal{F} , i.e. a finite sequence f_1, \ldots, f_l of elements of \mathcal{F} . Let $\mathcal{W}^{\mathcal{F}}$ be the set of \mathcal{F} -words. Let $M = f_1, \ldots, f_l$ and $M' = f'_1, \ldots, f'_{l'}$ be two \mathcal{F} -words. The word M is a subword of M' if there exists an increasing injection ϕ from $\{1, \ldots, l\}$ into $\{1, \ldots, l'\}$ such that $f_i = f'_{\phi(i)}$. Higman's theorem asserts that the subword partial order is a well-quasi-order when the alphabet is finite. In our more constrained partial order on \mathcal{F} -words, we have $M \leq M'$ if two conditions are satisfied:

- There is a function ϕ for which M is a subword of M'.
- For all $1 \leq i < l$, we have $f_i = f'_{\phi(i)} \circ f'_{\phi(i)+1} \circ \cdots \circ f'_{\phi(i+1)-1}$.

Thus, when $M \leq M'$ and i < j, the composition of functions $f_i \circ f_{i+1} \circ \cdots \circ f_{j-1}$ is equal to the function $f'_{\phi(i)} \circ f'_{\phi(i)+1} \circ \cdots \circ f'_{\phi(j)-1}$. And since $f_j = f'_{\phi(j)}$, we also have $f_i \circ f_{i+1} \circ \cdots \circ f_j = f'_{\phi(i)} \circ f'_{\phi(i)+1} \circ \cdots \circ f'_{\phi(j)}$.

Our goal is to prove here that $\mathcal{W}^{\mathcal{F}}$ is well-quasi-ordered by \leq if and only if \leq is total on \mathcal{F} . For this, we have to be a little bit more general and need to consider $\mathcal{W}_Q^{\mathcal{F}}$, the set of words on the set $\mathcal{F} \times Q$, where Q is a set endowed by a well-quasi-ordered \leq_Q .

We naturally extend the partial order \leq on $\mathcal{W}_Q^{\mathcal{F}}$. For w in $\mathcal{W}_Q^{\mathcal{F}}$ and $1 \leq x \leq |w|$ we denote by (f_x^w, q_x^w) the x^{th} letter of w. For any couple of indices a, b, with $1 \leq a < b \leq |w|$, we define $L^w(a, b)$ to be the composition $f_a^w \circ f_{a+1}^w \circ \ldots \circ f_{b-1}^w$. When a = b, we set $L^w(a, b) = \epsilon$. Let ϕ be an increasing injection from $\{1, \ldots, |w|\}$ into $\{1, \ldots, |w'|\}$. We say that ϕ is compatible with labels if $f_x^w = f_{\phi(x)}^{w'}$ and $q_x^w \leq_Q q_{\phi(x)}^{w'}$. We say that ϕ preserves path-composition if for every x < |w|, we have that $L^w(x, x + 1) = L^{w'}(\phi(x), \phi(x + 1))$ (observe that by definition we have $L^w(x, x+1) = f_x^w$). We write $w \leq w'$ if there exists an increasing injection ϕ from $\{1, \ldots, |w|\}$ into $\{1, \ldots, |w'|\}$ compatible with labels and preserving path-composition. When ϕ is only compatible with labels, we simply say that w is a subword of w' and write $w \leq_0 w'$.

Theorem 1 The set of words $\mathcal{W}_Q^{\mathcal{F}}$ is well-quasi-ordered by \leq if and only if \leq is a total quasi-order on \mathcal{F} .

4 Trees on functions

We extend in this section our results to trees. However, since the arguments are similar to the previous section, we will not give the same level of details, especially concerning the verification of path-composition.

A structured tree is a finite tree where the childs of a node are ordered from left to right. Our trees have their nodes labelled by a well-quasi-ordered set Q. We denote by $\mathcal{T}_Q^{\mathcal{F}}$ the set of structured rooted trees with nodes labelled by $\mathcal{F} \times Q$, where \mathcal{F} is as usual a set of functions. A node x is then labelled by a pair l(x) = (f(x), q(x)). We simply write $\mathcal{T}^{\mathcal{F}}$ when there is no additional label Q. The set of nodes of T is denoted by V(T). We write $x \wedge y$ for the least common ancestor of x and y. We say that (x, y) is an arc of T when xis the father of y. A sequence of nodes $z_0, z_1, ..., z_n$ is a downward path in Tif (z_i, z_{i+1}) is an arc, for every $i = 0, \ldots, n-1$. For such a downward path $z_0, z_1, ..., z_n$, we denote by $L(z_0, z_n)$ the composition $f(z_0) \circ f(z_1) \circ ... \circ f(z_{n-1})$.

Let us define a partial order \leq on $\mathcal{T}_Q^{\mathcal{F}}$ which extends the order \leq on words. Precisely, let us write that $T \leq T'$ if there exists an injection ϕ from V(T) into V(T') such that:

- ϕ preserves descendance.
- ϕ preserves least common ancestors, i.e. $\phi(x \wedge y) = \phi(x) \wedge \phi(y)$.
- ϕ preserves *left/right order*, i.e. if x and y are not in descendance relation, and the branch of $x \wedge y$ containing x is to the left of the one containing y, the same holds for the branches of $\phi(x) \wedge \phi(y)$ containing $\phi(x)$ and $\phi(y)$.
- ϕ preserves labels, i.e. $f(x) = f(\phi(x))$ and $q(x) \leq_Q q(\phi(x))$.
- ϕ preserves *path-composition* if for any arc (x, y) in T, we have that $L(x, y) = L(\phi(x), \phi(y))$, i.e. $f(x) = L(\phi(x), \phi(y))$.

When ϕ satisfies all these properties except possibly path-composition, we

simply write $T \leq_0 T'$. Kruskal's tree theorem asserts that \leq_0 is a well-quasiorder on the set of trees.

This more constrained order relation \leq presents some analogies with the socalled gap-condition embedding studied by Kriz in [10]. For instance, when the class of functions \mathcal{F} is totally ordered, and hence partitioned into F_1, \ldots, F_t , the path-composition property implies that if y is a child of x and f(x) belongs to F_i , then every fonction of the product $L(\phi(x), \phi(y))$ belong to classes with height at least i. It could be interesting to state a common generalization of these results, possibly involving ordinal functions.

Theorem 2 $\mathcal{T}_Q^{\mathcal{F}}$ is well-quasi-ordered by \leq if and only if \leq is total on \mathcal{F} .

5 NLC with restricted relabelling functions

We can see $\operatorname{NLC}_k^{\mathcal{F}}$ expressions as binary trees, where the leaves are labelled by \bullet_i , the nodes of degree 1 by \circ_f , and the nodes of degree 2 by χ_S . To fit in the framework of the previous section, we add an extra label to every node of such an $\operatorname{NLC}_k^{\mathcal{F}}$ construction tree, to see χ_S and \bullet_i as identity relabelling functions. For this, replace \bullet_i with (ε, \bullet_i) , \circ_f with (f, \circ_f) and χ_S with (ε, χ_S) . Such a tree is a construction tree for the vertex-colored graph corresponding to this $\operatorname{NLC}_k^{\mathcal{F}}$ expression. Let T_G be a construction tree for a vertex-colored graph G. Let x be a vertex of G with color i which corresponds to the leaf x' of T_G and y be an ancestor of x' in T_G . When we apply the operation corresponding to the node y of T_G to the vertex x, the color of x, denoted by $c_x(y)$ is exactly L(y, x')(i).

Lemma 5 Let G and H be two vertex k-colored graphs together with their $NLC_k^{\mathcal{F}}$ construction trees T_G and T_H . If $T_G \leq T_H$, then $G \leq_i H$.

Proof: Let ϕ be an injection from $V(T_G)$ into $V(T_H)$. The restriction of ϕ on the leaves of T_G can be seen as an injection from V(G) into V(H). Let x, y be two vertices of G, with x on the left of y in T. Then x and y are neighbours in G if and only if their least common ancestor in $V(T_G)$ is a node labelled by χ_S with $(c_x(x \wedge y), c_y(x \wedge y)) \in S$. As ϕ preserves labels, path composition and right/left order, this is the case if and only if $\phi(x)$ and $\phi(y)$ are neighbours in H. So $G \leq_i H$. \Box

Theorem 2 immediately gives:

Corollary 1 If \leq is total on \mathcal{F} , then $NLC_k^{\mathcal{F}}$ is well-quasi-ordered by \leq_i .

Moreover, since we can always add some extra vertex-labels, we obtain that $\mathrm{NLC}_k^{\mathcal{F}}$ is ∞ -well-quasi-ordered when \preceq is total on \mathcal{F} . The converse of the

previous corollary actually holds:

Theorem 3 $NLC_k^{\mathcal{F}}$ is well-quasi-ordered by \leq_i if and only if \leq is total on \mathcal{F} .

Proof: Assume that \leq is not total on \mathcal{F} , and let (f, g) be an incomparable pair for the relation \leq . Let us show that for any $n \geq 1$, the graph G_n depicted in Fig. 1 is in $NLC_k^{\mathcal{F}}$. The set $\{G_n | n \in \omega\}$ is clearly not well-quasi-ordered.

Assume first that f = g, that is $|Im(f^2)| < |Im(f)|$. Hence there exist x, y $\notin Im(f^2)$, such that f(x) = y. To construct G_n , start from two vertices labelled by y and one vertex labelled by x, and apply $\chi_{\{(x,y)\}}$ to form a path of length 2. Relabel by f. Observe that the two extremities of this path will never be again labelled by x or y since their labels will stay within $Im(f^2)$. Add a vertex labelled by x, apply again $\chi_{\{(x,y)\}}$. This adds an edge between the middle vertex of the path and the new one. Then relabel by f, and keep on building the path up to the desired length. The point is that after any step, the extremity of the path is distinguished by its label from the other vertices. When the last vertex of the path has been added (with label x as usual), add two isolated vertices with label x for instance, and apply $\chi_{\{(x,x)\}}$, completing the graph G_n .

We can generalize this when f and g are distinct. An f-class is a subset Sof $\{1, \ldots, k\}$ such that |f(S)| = 1 and which is maximum with respect to inclusion. Since $f \not\preceq g$, there exists an f-class disjoint from Im(g). Let x be one of its elements. Similarly, let y be in a g-class disjoint from Im(f). Let us prove by induction that for every n, we can build paths of length 2n where the last vertex is labelled by y and the other vertices are labelled in the set Im(f). We will therefore be able to build graphs G_n for arbitrarily large n then, adding two pending nodes on each extremity as in the previous case.

To start with, take a vertex $z \in Im(f)$, add a vertex y, and apply $\chi_{\{(z,y)\}}$. Now assume that we have a path of length 2n where the last vertex is labelled by y and the other vertices by some elements of Im(f). Relabel by g. Observe that the last vertex is still distinguished from the rest. Add a vertex x. At this point, no other vertex has label x, since x is not in Im(g). Apply $\chi_{\{(x,y)\}}$. This constructs a path of length 2n+1. Now relabel by f, add a vertex y and apply $\chi_{\{(y,x)\}}$ in order to get a path of length 2(n+1) which satisfies the induction hypothesis. \Box

To sum-up the different results of this section, let us mention the following equivalent statements:

- NLC^F_k is well-quasi-ordered by ≤_i
 NLC^F_k is ∞-well-quasi-ordered by ≤_i
- \leq is total on \mathcal{F}
- $\operatorname{NLC}_k^{\mathcal{F}}$ does not contain arbitrarily large paths.

6 ∞ -well-quasi-ordered classes of graphs

As we have mentioned before, one important motivation for the notion of 2-well-quasi-ordered class is that it can be described by a finite set of bounds.

Proposition 3 Let S be a 2-well-quasi-ordered induced subgraph ideal. There are finitely many graphs in the complement \overline{S} of S which are minimal with respect to the induced subgraph relation.

Proof: By contradiction, we assume that the border (the set of minimal graphs in \overline{S}) B is infinite. For every graph G in B, choose a vertex, color its neighbours red and its non-neighbours black, and delete it. Call the resulting graph G'. The set $B' = \{G' | G \in B\}$ is infinite, and we have that $B' \subseteq S$, by minimality of the border graphs. Thus there exist two graphs G'_1 and G'_2 in B', such that $G'_1 \leq_1 G'_2$. Hence G_1 is an induced subgraph of G_2 , contradicting the fact that G_2 is in B. \Box

This implies that any 2-well-quasi-ordered induced subgraph ideal is polynomially recognizable. This means in particular that for a set \mathcal{F} totally quasiordered by \leq , the class $\mathrm{NLC}_k^{\mathcal{F}}$ is polynomially recognizable.

The following question would give an answer to Pouzet's conjecture.

Conjecture 4 If \mathcal{G} be a 2-well-quasi-ordered induced subgraph ideal, there exists a well-quasi-ordered set $NLC_k^{\mathcal{F}}$ which contains \mathcal{G} .

We have no clue concerning this problem. One first step would be to show that if a class of graph has unbounded clique-width, then it is not 2-well-quasiordered. The next step would be to show that if indeed a subclass of NLC_k is 2-well-quasi-ordered, then it is contained in some well-quasi-ordered set $NLC_{k'}^{\mathcal{F}}$. Finally, let us mention a question which would push Pouzet's conjecture to a full extent. The answer for cographs can be found in [17].

A quasi-order Q is a *better-quasi-order* if the class of countable ordinals labelled by Q is a wqo.

Conjecture 5 Let \mathcal{G} be a class of countable graphs. If the class of finite induced subgraphs \mathcal{G}_F of \mathcal{G} is 2-well-quasi-ordered, then \mathcal{G} is better-quasi-ordered for every better-quasi-ordered vertex-label.

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