

## Midterm exam – March 6th, 2022 (2h)

### 1 Short questions

*These questions only require a short answer!*

- Q1.** Be  $\hat{a}_1, \hat{a}_1^\dagger$  and  $\hat{a}_2, \hat{a}_2^\dagger$  the destruction and creation operators in two single-particle states 1 and 2. Is  $\hat{a}_1^\dagger \hat{a}_2 \hat{a}_2^\dagger \hat{a}_1$  a Hermitian operator? Rewrite it in terms of the number operators  $\hat{n}_{1(2)} = \hat{a}_{1(2)}^\dagger \hat{a}_{1(2)}$  in the case in which  $\hat{a}, \hat{a}^\dagger$  are bosonic operators, and in the case in which they are fermionic operators.

**Solution:** Yes,  $\hat{a}_1^\dagger \hat{a}_2 \hat{a}_2^\dagger \hat{a}_1$  is Hermitian as it is of the form  $\hat{A} \hat{A}^\dagger$  with  $\hat{A} = \hat{a}_1^\dagger \hat{a}_2$ .  
Using (anti)commutation relations for bosons (fermions) one finds that  $\hat{a}_1^\dagger \hat{a}_2 \hat{a}_2^\dagger \hat{a}_1 = \hat{n}_1 (\pm \hat{n}_2 + 1)$  with + for bosons and - for fermions.

- Q2.** Consider two  $S = 1/2$  particles in the following state (in first quantization):

$$\phi(x_1)\phi(x_2) \frac{|\uparrow_1\downarrow_2\rangle - |\downarrow_1\uparrow_2\rangle}{\sqrt{2}}$$

where  $\phi(x)$  is a single-particle wavefunction. Is it a legitimate state for two identical fermions? Write the state in second quantization using the field operators  $\hat{c}_{\phi,\uparrow}^\dagger$  and  $\hat{c}_{\phi,\downarrow}^\dagger$  creating a fermion in the state  $\phi$  with spin  $\uparrow$  and  $\downarrow$  respectively.

**Solution:**

$$|\Psi\rangle = \hat{c}_{\phi,\uparrow}^\dagger \hat{c}_{\phi,\downarrow}^\dagger |0\rangle$$

- Q3.** Rewrite the state above by using the field operators  $\hat{\psi}_\uparrow^\dagger(x)$ ,  $\hat{\psi}_\downarrow^\dagger(x)$  creating particles with spin  $\uparrow$  and  $\downarrow$  at position  $x$ .

**Solution:**

$$|\Psi\rangle = \int dx_1 dx_2 \phi(x_1)\phi(x_2) \hat{\psi}_\uparrow^\dagger(x_1) \hat{\psi}_\downarrow^\dagger(x_2) |0\rangle$$

- Q4.** What are the assumptions underlying Bogolyubov theory for the interacting Bose gas?

**Solution:** One must assume that the gas is nearly 100% condensed, so that the fraction of the particles outside of the condensate can be treated as a perturbation to the fraction of the condensed particles. In the treatment that we gave in the lectures we also assumed that the atoms in the condensate are described via a macroscopic wavefunction  $\Psi_0(\mathbf{r})$  such that the field operator writes as  $\hat{\psi}(\mathbf{r}) = \Psi_0(\mathbf{r}) + \delta\hat{\psi}(\mathbf{r})$ , with  $\delta\hat{\psi}$  treated as a “perturbation” with respect to  $\Psi_0(\mathbf{r})$ .

- Q5.** In a rotating Bose-Einstein condensate with total vorticity of 4, order the following states depending on their energy (neglecting vortex-vortex interactions): a) two vortices with vorticity 2 each; b) three vortices with vorticity 1, 1, and 2; c) two vortices with vorticity 3 and 1. Is there a vortex state with even lower energy?

**Solution:** The energy of each vortex goes as the *square* of its vorticity, so that the energies are ordered as  $E_b < E_a < E_c$ . A state with even lower energy has 4 vortices with vorticity 1 each.

- Q6.** Consider the one-body density matrix  $g^{(1)}(\mathbf{r}, \mathbf{r}') = \langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}') \rangle$  for a system of identical bosons. What is the value of this function for  $|\mathbf{r} - \mathbf{r}'| \rightarrow 0$ ? And what is its behavior for  $|\mathbf{r} - \mathbf{r}'| \rightarrow \infty$  in the absence of Bose condensation?

**Solution:**  $g^{(1)}(\mathbf{r}, \mathbf{r}) = n$  (average density).  $g^{(1)}(\mathbf{r}, \mathbf{r}')$  decays to zero when  $|\mathbf{r} - \mathbf{r}'| \rightarrow \infty$  in the absence of condensation.

## 2 Hydrogen atom as a composite boson

Let us consider two types of fermions, electrons and protons, with associated quantum fields  $\hat{\psi}_e(\mathbf{r}), \hat{\psi}_e^\dagger(\mathbf{r})$  and  $\hat{\psi}_p(\mathbf{r}), \hat{\psi}_p^\dagger(\mathbf{r})$ , respectively. In the following we shall neglect the fact that electrons and protons carry a spin, which is not relevant for the present discussion.

### 2.1

For identical fermions we have the anticommutation relations

$$\{\hat{\psi}_e(\mathbf{r}), \hat{\psi}_e^\dagger(\mathbf{r}')\} = \delta(\mathbf{r} - \mathbf{r}') \quad \{\hat{\psi}_e(\mathbf{r}), \hat{\psi}_e(\mathbf{r}')\} = 0$$

and the same holds for the protons ( $e \rightarrow p$ ). Justify why instead we can write

$$[\hat{\psi}_e(\mathbf{r}), \hat{\psi}_p^\dagger(\mathbf{r}')] = [\hat{\psi}_e(\mathbf{r}), \hat{\psi}_p(\mathbf{r}')] = 0.$$

**Solution:** Because electrons and protons are mutually distinguishable! I can have a proton and an electron in the same state, even though they are fermions.

### 2.2

Consider now the hydrogen atom, which is a bound state of a proton and an electron. Be  $\phi_0(\mathbf{r})$  the ground-state wavefunction of the hydrogen atom for the relative coordinate  $\mathbf{r}$  (namely the distance between the proton and the electron). Justify why the “hydrogen operator”

$$\hat{h}_0^\dagger(\mathbf{R}) = \int d^3r \phi_0(\mathbf{r}) \hat{\psi}_e^\dagger(\mathbf{r} + \mathbf{R}) \hat{\psi}_p^\dagger(\mathbf{R}) \quad (1)$$

creates a hydrogen atom in its electronic ground state with the nucleus at position  $\mathbf{R}$ .

**Solution:**  $\hat{\psi}_p^\dagger(\mathbf{R})$  creates the nucleus at the desired position;  $\int d^3r \phi_0(\mathbf{r}) \hat{\psi}_e^\dagger(\mathbf{r} + \mathbf{R}) = \hat{c}_{\phi_0, \mathbf{R}}^\dagger$  creates an electron in the state  $\phi_0$  centered around  $\mathbf{R}$ .

## 2.3

We want to study the commutation relations of the  $\hat{h}_0(\mathbf{R}), \hat{h}_0^\dagger(\mathbf{R})$  operators. Calculate the commutation bracket

$$[\hat{\psi}_p(\mathbf{R}) \hat{\psi}_e(\mathbf{R} + \mathbf{r}), \psi_e^\dagger(\mathbf{R}' + \mathbf{r}') \hat{\psi}_p^\dagger(\mathbf{R}')] . \quad (2)$$

(*Suggestion:* try to rewrite  $\psi_e^\dagger(\mathbf{R}' + \mathbf{r}') \hat{\psi}_p^\dagger(\mathbf{R}') \hat{\psi}_p(\mathbf{R}) \hat{\psi}_e(\mathbf{R} + \mathbf{r})$  in terms of  $\hat{\psi}_p(\mathbf{R}) \hat{\psi}_e(\mathbf{R} + \mathbf{r}) \psi_e^\dagger(\mathbf{R}' + \mathbf{r}') \hat{\psi}_p^\dagger(\mathbf{R}')$  by using the known anticommutation relations of fermions).

**Solution:** The result is

$$-\delta(\mathbf{R} - \mathbf{R}') \hat{\psi}_e^\dagger(\mathbf{r}' + \mathbf{R}') \hat{\psi}_e(\mathbf{r} + \mathbf{R}) + \delta(\mathbf{r}' + \mathbf{R}' - \mathbf{r} - \mathbf{R}) \hat{\psi}_p(\mathbf{R}) \hat{\psi}_p^\dagger(\mathbf{R}')$$

## 2.4

Conclude that

$$[\hat{h}_0(\mathbf{R}), \hat{h}_0^\dagger(\mathbf{R}')] = -\hat{c}_{\phi_0, \mathbf{R}}^\dagger \hat{c}_{\phi_0, \mathbf{R}} \delta(\mathbf{R} - \mathbf{R}') + \int d^3r \phi_0(\mathbf{r}) \phi_0(\mathbf{r} + \mathbf{R} - \mathbf{R}') \hat{\psi}_p(\mathbf{R}) \hat{\psi}_p^\dagger(\mathbf{R}')$$

where we have introduced the operator

$$\hat{c}_{\phi_0, \mathbf{R}}^\dagger = \int d^3r \phi_0(\mathbf{r}) \hat{\psi}_e^\dagger(\mathbf{r} + \mathbf{R})$$

and we have assumed that  $\phi_0 \in \mathbb{R}$  (as it is the case).

**Solution:** It just follows by integrating the result of the previous section over  $\mathbf{r}$  and  $\mathbf{r}'$ .

## 2.5

The ground-state hydrogen wavefunction has a linear spatial extent of about a Bohr radius,  $a_0 \approx 0.5 \times 10^{-10}$  m. Under which physical conditions can one have that  $[\hat{h}_0(\mathbf{R}), \hat{h}_0^\dagger(\mathbf{R}')] \approx 0$ ? What can you conclude about the possibility of treating hydrogen atoms as bosons?

**Solution:** The commutation relation vanishes when  $\mathbf{R} \neq \mathbf{R}'$  (for the first term) and when  $|\mathbf{R} - \mathbf{R}'| \gg a_0$  (to let the second term vanish), which also implies the vanishing of the first term *a fortiori*. Hence two hydrogen atoms behave as composite bosons (namely, their operators commute) when they stay at a distance much bigger than their spatial extent.

## 3 Bosonic bunching and the effect of interactions on a BEC

In this section we would like to evaluate the effect of interactions on a Bose gas in the case in which it is condensed or not.

To do so, we shall evaluate the so called  $g^{(2)}(0)$  function, which reads

$$g^{(2)}(0) = \frac{\langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \hat{\psi}(\mathbf{r}) \rangle}{\langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \rangle^2} \quad (3)$$

where  $\hat{\psi}(\mathbf{r}), \hat{\psi}^\dagger(\mathbf{r})$  are bosonic field operators.

### 3.1

Introducing the destruction operator in momentum space

$$\hat{\psi}(\mathbf{r}) = \frac{1}{\sqrt{V}} \sum_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}} \hat{a}_{\mathbf{k}}$$

write  $\langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \hat{\psi}(\mathbf{r}) \rangle$  in terms of the 4-momentum expectation value  $\langle \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{q}}^\dagger \hat{a}_{\mathbf{k}'} \hat{a}_{\mathbf{q}'} \rangle$ . Conclude that, if the system is translationally invariant (namely momentum must be conserved), then  $\langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \hat{\psi}(\mathbf{r}) \rangle$  is independent of  $\mathbf{r}$ .

**Solution:**

$$\langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \hat{\psi}(\mathbf{r}) \rangle = \frac{1}{V^2} \sum_{\mathbf{k} \mathbf{q}} \sum_{\mathbf{k}' \mathbf{q}'} e^{-i(\mathbf{k} + \mathbf{q} - \mathbf{k}' - \mathbf{q}') \cdot \mathbf{r}} \langle \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{q}}^\dagger \hat{a}_{\mathbf{k}'} \hat{a}_{\mathbf{q}'} \rangle .$$

With momentum conservation, one must have  $\mathbf{k} + \mathbf{q} = \mathbf{k}' + \mathbf{q}'$ , so that the spatial dependence drops out.

### 3.2

In the case of an ideal Bose gas, we have that all states which enter in the averages  $\langle \dots \rangle$  have a well-defined number of particles  $\{n_{\mathbf{p}}\}$  in each single-particle state with wave-vector  $\mathbf{p}$ . This means that one should consider only quartets of wavevectors  $\mathbf{k}, \mathbf{q}, \mathbf{k}', \mathbf{q}'$  such that  $\hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{q}}^\dagger \hat{a}_{\mathbf{k}'} \hat{a}_{\mathbf{q}'} |\{n_{\mathbf{p}}\}\rangle = c |\{n_{\mathbf{p}}\}\rangle$ , where  $c$  is a proportionality constant.

Conclude that

$$\langle \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{q}}^\dagger \hat{a}_{\mathbf{k}'} \hat{a}_{\mathbf{q}'} \rangle = n_{\mathbf{k}}(n_{\mathbf{q}} - \delta_{\mathbf{k}, \mathbf{q}}) [\delta_{\mathbf{k}, \mathbf{k}'} \delta_{\mathbf{q}, \mathbf{q}'} + \delta_{\mathbf{k}, \mathbf{q}'} \delta_{\mathbf{k}', \mathbf{q}} (1 - \delta_{\mathbf{k}, \mathbf{q}})]$$

where  $n_{\mathbf{k}} = \langle \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} \rangle$ .

**Solution:** Only the combinations  $\hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{q}}^\dagger \hat{a}_{\mathbf{q}} \hat{a}_{\mathbf{k}}$  and  $\hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{q}}^\dagger \hat{a}_{\mathbf{k}} \hat{a}_{\mathbf{q}}$  count; but one has to avoid counting twice the case with all equal wavevectors, which appears in principle in both cases (hence the factor  $(1 - \delta_{\mathbf{k}, \mathbf{q}})$ ).

### 3.3

In the case of a perfect BEC,  $n_{\mathbf{k}} = N\delta_{\mathbf{k}, 0}$ , show that

$$g^{(2)}(0) = 1 + \mathcal{O}\left(\frac{1}{V}\right) .$$

**Solution:** One has that for the ideal Bose gas

$$\langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \hat{\psi}(\mathbf{r}) \rangle = \frac{1}{V^2} \sum_{\mathbf{k} \mathbf{q}} (n_{\mathbf{k}} n_{\mathbf{q}} - n_{\mathbf{k}} \delta_{\mathbf{k}, \mathbf{q}}) (2 - \delta_{\mathbf{k}, \mathbf{q}}) = 2n^2 - \frac{1}{V^2} \sum_{\mathbf{k}} n_{\mathbf{k}}^2 - \frac{n}{V} .$$

In the case of a condensate  $\frac{1}{V^2} \sum_{\mathbf{k}} n_{\mathbf{k}}^2 = n^2$ , so that  $\langle \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \hat{\psi}(\mathbf{r}) \rangle = n^2 + \mathcal{O}(1/V)$ .

### 3.4

We consider then the opposite limit of a gas with a rather flat momentum distribution (non-condensed gas), namely  $n_{\mathbf{k}} \sim O(1)$  for  $N$  wavevectors. Show then that

$$g^{(2)}(0) = 2 + \mathcal{O}\left(\frac{1}{V}\right) .$$

This effect for the non-condensed Bose gas is called *bosonic bunching* – and it expresses the fact that if one finds a boson in a given position in space it is twice more likely to find a second one than in a system of spatially uncorrelated particles.

**Solution:** In this case  $\frac{1}{V^2} \sum_{\mathbf{k}} n_{\mathbf{k}}^2 \approx n/V$ , and the result follows.

### 3.5

The above result has strong consequences in terms of the stability of a condensate to interactions. Considering the potential energy due to a contact potential

$$\hat{\mathcal{H}}_{\text{int}} = \frac{g}{2} \int d^3r \, \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \hat{\psi}(\mathbf{r})$$

calculate the expectation value of this energy on the perfect BEC and for the non-condensed gas. Which state has the lowest potential energy?

**Solution:**

$$\langle \hat{\mathcal{H}}_{\text{int}} \rangle = \begin{cases} \frac{gn}{2} N + O(1/V) & \text{BEC} \\ gnN + O(1/V) & \text{non-BEC} \end{cases} .$$

Clearly the BEC case has the lowest potential energy.