# SIMPLY CONNECTED INDEFINITE HOMOGENEOUS SPACES OF FINITE VOLUME

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ABSTRACT. Let M be a simply connected pseudo-Riemannian homogeneous space of finite volume with isometry group G. We show that M is compact and that the solvable radical of G is abelian and the Levi factor is a compact semisimple Lie group acting transitively on M. For metric index less than three, we find that the isometry group of M is compact itself. Examples demonstrate that G is not necessarily compact for higher indices. To prepare these results, we study Lie algebras with abelian solvable radical and a nil-invariant symmetric bilinear form. For these, we derive an orthogonal decomposition into three distinct types of metric Lie algebras.

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#### 1. INTRODUCTION AND MAIN RESULTS

In this article we are interested in the isometry groups of simply connected homogeneous pseudo-Riemannian manifolds of finite volume. D'Ambra [3, Theorem 1.1] showed that a simply connected compact analytic Lorentzian manifold (not necessarily homogeneous) has compact isometry group, and she also gave an example of a simply connected compact analytic manifold of metric signature (7,2) that has a non-compact isometry group.

Here we study homogeneous spaces for arbitrary metric signature. Our main tool is the structure theory of the isometry Lie algebras developed by the authors in [2]. The metric on the homogeneous space induces a symmetric bilinear form on the isometry Lie algebra, and as shown in [1, 2], the existence of a finite invariant measure then implies that this bilinear form is nil-invariant. The first main result is the following theorem:

**Theorem A.** Let M be a connected and simply connected pseudo-Riemannian homogeneous space of finite volume,  $G = Iso(M)^{\circ}$ , and let H be the stabilizer subgroup in G of a point in M. Let G = KR be a Levi decomposition, where R is the solvable radical of G. Then:

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- (1) M is compact.
- (2) K is compact and acts transitively on M.
- (3) *R* is abelian. Let *A* be the maximal compact subgroup of *R*. Then  $A = Z(G)^{\circ}$ . More explicitly,  $R = A \times V$  where  $V \cong \mathbb{R}^{n}$  and  $V^{K} = \mathbf{0}$ .
- (4) *H* is connected. If dim R > 0, then  $H = (H \cap K)E$ , where *E* and  $H \cap K$  are normal subgroups in *H*,  $(H \cap K) \cap E$  is finite, and *E* is the graph of a non-trivial homomorphism  $\varphi : R \to K$ , where the restriction  $\varphi|_A$  is injective.

In Section 4 we give examples of isometry groups of compact simply connected homogeneous M with non-compact radical. However, for metric index 1 or 2 the isometry group of a simply connected M is always compact:

**Theorem B.** The isometry group of any simply connected pseudo-Riemannian homogeneous manifold of finite volume with metric index  $\ell \leq 2$  is compact.

As follows from Theorem A, the isometry Lie algebra of a simply connected pseudo-Riemannian homogeneous space of finite volume has abelian radical. This motivates a closer investigation of Lie algebras with abelian radical that admit nilinvariant symmetric bilinear forms in Section 3. Our main result is the following algebraic theorem:

**Theorem C.** Let  $\mathcal{G}$  be a Lie algebra whose solvable radical  $\mathcal{R}$  is abelian. Suppose  $\mathcal{G}$  is equipped with a nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$  such that the kernel  $\mathcal{G}^{\perp}$  of  $\langle \cdot, \cdot \rangle$  does not contain a non-trivial ideal of  $\mathcal{G}$ . Let  $\mathcal{K} \times \mathcal{S}$  be a Levi subalgebra of  $\mathcal{G}$ , where  $\mathcal{K}$  is of compact type and  $\mathcal{S}$  has no simple factors of compact type. Then  $\mathcal{G}$  is an orthogonal direct product of ideals

$$\mathcal{G} = \mathcal{G}_1 \times \mathcal{G}_2 \times \mathcal{G}_3$$

with

$$G_1 = \mathcal{K} \ltimes \mathcal{A}, \quad G_2 = \mathcal{S}_0, \quad G_3 = \mathcal{S}_1 \ltimes \mathcal{S}_1^*$$

where  $\mathcal{R} = \mathcal{A} \times \mathcal{S}_1^*$  and  $\mathcal{S} = \mathcal{S}_0 \times \mathcal{S}_1$  are orthogonal direct products, and  $\mathcal{G}_3$  is a metric cotangent algebra. The restrictions of  $\langle \cdot, \cdot \rangle$  to  $\mathcal{G}_2$  and  $\mathcal{G}_3$  are invariant and non-degenerate. In particular,  $\mathcal{G}^{\perp} \subseteq \mathcal{G}_1$ .

For the definition of metric cotangent algebra, see Section 2. We call an algebra  $\mathcal{G}_1 = \mathcal{K} \ltimes \mathcal{A}$  with  $\mathcal{K}$  semisimple of compact type and  $\mathcal{A}$  abelian a Lie algebra of *Euclidean type*. By Theorem A, isometry Lie algebras of compact simply connected pseudo-Riemannian homogeneous spaces are of Euclidean type. However, not every Lie algebra of Euclidean type appears as the isometry Lie algebra of a compact pseudo-Riemannian homogeneous space. In fact, this is the case for the Euclidean Lie algebras  $\mathcal{E}_n = \mathcal{SO}_n \ltimes \mathbb{R}^n$  with  $n \neq 3$ .

**Theorem D.** The Euclidean group  $E_n = O_n \ltimes \mathbb{R}^n$ ,  $n \neq 1, 3$ , does not have compact quotients with a pseudo-Riemannian metric such that  $E_n$  acts isometrically and almost effectively.

Note that  $E_n$  acts transitively and effectively on compact manifolds with finite fundamental group, as we remark at the end of Section 3.

Notations and conventions. For a Lie group G, we let  $G^{\circ}$  denote the connected component of the identity. For a subgroup H of G, we write  $\operatorname{Ad}_{\mathcal{G}}(H)$  for the adjoint representation of H on the Lie algebra  $\mathcal{G}$  of G, to distinguish it from the adjoint representation  $\operatorname{Ad}(H)$  on its own Lie algebra  $\mathcal{H}$ .

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The solvable radical  $\mathcal{R}$  of  $\mathcal{G}$  is the maximal connected solvable normal subgroup of  $\mathcal{G}$ . The solvable radical  $\mathcal{R}$  of  $\mathcal{G}$  is the maximal solvable ideal of  $\mathcal{G}$ . The semisimple Lie algebra  $\mathcal{F} = \mathcal{G}/\mathcal{R}$  is a direct product  $\mathcal{F} = \mathcal{K} \times \mathcal{S}$ , where  $\mathcal{K}$  is a semisimple Lie algebra of *compact type*, meaning its Killing form is definite, and  $\mathcal{S}$  is semisimple without factors of compact type.

The center of a group G, or a Lie algebra  $\mathcal{G}$ , is denoted by Z(G), or  $Z(\mathcal{G})$ , respectively. Similarly, the centralizer of a subgroup H in G (or a subalgebra  $\mathcal{H}$  in  $\mathcal{G}$ ) is denoted by  $Z_G(H)$  (or  $Z_{\mathcal{G}}(\mathcal{H})$ ).

The action of a Lie group G on a homogeneous space M is (almost) effective if the stabilizer of any point in M does not contain a non-trivial (connected) normal subgroup of G.

If V is a G-module, then we write  $V^G = \{v \in V \mid gv = v \text{ for all } g \in G\}$  for the module of G-invariants. Similarly,  $V^G = \{v \in V \mid xv = 0 \text{ for all } x \in G\}$  for a  $\mathcal{G}$ -module.

For direct products of Lie algebras  $\mathcal{G}_1$ ,  $\mathcal{G}_2$  we write  $\mathcal{G}_1 \times \mathcal{G}_2$ , whereas  $\mathcal{G}_1 + \mathcal{G}_2$  or  $\mathcal{G}_1 \oplus \mathcal{G}_2$  refers to sums as vector spaces.

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#### 2. NIL-INVARIANT BILINEAR FORMS

Let  $\mathcal{G}$  be a finite-dimensional real Lie algebra, let  $\operatorname{Inn}(\mathcal{G})$  denote the inner automorphism group of  $\mathcal{G}$  and  $\overline{\operatorname{Inn}(\mathcal{G})}^{\mathbb{Z}}$  its Zariski closure in  $\operatorname{Aut}(\mathcal{G})$ . A symmetric bilinear form  $\langle \cdot, \cdot \rangle$  on  $\mathcal{G}$  is called *nil-invariant* if for all  $x_1, x_2 \in \mathcal{G}$ ,

(2.1) 
$$\langle \varphi x_1, x_2 \rangle = -\langle x_1, \varphi x_2 \rangle$$

for all nilpotent elements  $\varphi$  of the Lie algebra of  $\overline{\operatorname{Inn}(\mathcal{G})}^2$ . For a subalgebra  $\mathcal{H}$  of  $\mathcal{G}$ , we say  $\langle \cdot, \cdot \rangle$  is  $\mathcal{H}$ -invariant if for all  $x \in \mathcal{H}$ ,  $\operatorname{ad}_{\mathcal{G}}(x)$  is skew-symmetric for  $\langle \cdot, \cdot \rangle$ .

The kernel of  $\langle \cdot, \cdot \rangle$  is the subspace

$$\mathcal{G}^{\perp} = \{ x \in \mathcal{G} \mid \langle x, y \rangle = 0 \text{ for all } y \in \mathcal{G} \}.$$

We use a Levi decomposition of  $\mathcal{G}$ ,

$$\mathcal{G} = (\mathcal{K} \times \mathcal{S}) \ltimes \mathcal{R},$$

where  $\mathcal{K}$  is semisimple of compact type,  $\mathcal{S}$  is semisimple without factors of compact type, and  $\mathcal{R}$  is the solvable radical of  $\mathcal{G}$ . Let further  $\mathcal{G}_s = \mathcal{S} \ltimes \mathcal{R}$ .

**Theorem 2.1** ([2, Theorem A]). Let  $\mathcal{G}$  be a finite-dimensional real Lie algebra with nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$ . Let  $\langle \cdot, \cdot \rangle_{\mathcal{G}_s}$  denote the restriction of  $\langle \cdot, \cdot \rangle$  to  $\mathcal{G}_s$ . Then:

- (1)  $\langle \cdot, \cdot \rangle_{\mathcal{G}_s}$  is invariant by the adjoint action of  $\mathcal{G}$  on  $\mathcal{G}_s$ .
- (2)  $\langle \cdot, \cdot \rangle$  is invariant by the adjoint action of  $\mathcal{G}_{s}$ .

This implies some orthogonality relations that will be useful later on:

$$(2.2) S \perp [\mathcal{K}, \mathcal{G}], \quad \mathcal{K} \perp [\mathcal{S}, \mathcal{G}].$$

**Theorem 2.2** ([2, Corollary C]). Let  $\mathcal{G}$  be a finite-dimensional real Lie algebra with nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$ , where we further assume that  $\mathcal{G}^{\perp}$ does not contain any non-zero ideal of  $\mathcal{G}$ . Let  $\mathcal{Z}(\mathcal{G}_s)$  denote the center of  $\mathcal{G}_s$ . Then

$$\mathcal{G}^{\perp} \subseteq \mathcal{K} \ltimes \mathcal{Z}(\mathcal{G}_{s}) \quad and \quad [\mathcal{G}^{\perp}, \mathcal{G}_{s}] \subseteq \mathcal{Z}(\mathcal{G}_{s}) \cap \mathcal{G}^{\perp}$$

We say that  $\langle \cdot, \cdot \rangle$  has relative index  $\ell$  if the induced scalar product on  $\mathcal{G}/\mathcal{G}^{\perp}$  has index  $\ell$ . For relative index  $\ell \leq 2$ , we have a general structure theorem for  $\mathcal{G}$ .

**Theorem 2.3** ([2, Theorem D]). Let  $\mathcal{G}$  be a finite-dimensional real Lie algebra with nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$  of relative index  $\ell \leq 2$ , and assume that  $\mathcal{G}^{\perp}$  does not contain any non-zero ideal of  $\mathcal{G}$ . Then:

- (1) The Levi decomposition of G is a direct sum of ideals  $G = \mathcal{K} \times \mathcal{S} \times \mathcal{R}$ .
- (2)  $\mathcal{G}^{\perp}$  is contained in  $\mathcal{K} \times \mathcal{Z}(\mathcal{R})$  and  $\mathcal{G}^{\perp} \cap \mathcal{R} = \mathbf{0}$ .
- (3)  $\mathcal{S} \perp (\mathcal{K} \times \mathcal{R})$  and  $\mathcal{K} \perp [\mathcal{R}, \mathcal{R}]$ .

2.1. Cotangent algebras. Let  $\mathcal{L}$  be a Lie algebra. A cotangent algebra constructed from  $\mathcal{L}$  is a Lie algebra  $\mathcal{G} = \mathcal{L} \ltimes \mathcal{L}^*$  where  $\mathcal{L}$  acts on its dual space  $\mathcal{L}^*$ by its coadjoint representation. We call  $\mathcal{G}$  a metric cotangent algebra if it has a non-degenerate invariant scalar product  $\langle \cdot, \cdot \rangle$  such that  $\mathcal{L}^*$  is totally isotropic.

2.2. **Invariance by**  $\mathcal{G}^{\perp}$ . We are mainly interested in nil-invariant bilinear forms  $\langle \cdot, \cdot \rangle$  on  $\mathcal{G}$  induced by pseudo-Riemannian metrics on homogeneous spaces. In this case,  $\langle \cdot, \cdot \rangle$  is invariant by the stabilizer subalgebra  $\mathcal{G}^{\perp}$ . We can then further sharpen the statement of Theorem 2.2.

**Proposition 2.4.** Let G and  $\langle \cdot, \cdot \rangle$  be as in Theorem 2.2. If in addition  $\langle \cdot, \cdot \rangle$  is  $G^{\perp}$ -invariant, then

$$[\mathcal{G}^{\perp},\mathcal{G}_{s}]=\mathbf{0}.$$

The proof is based on the following immediate observations:

**Lemma 2.5.** Suppose  $\langle \cdot, \cdot \rangle$  is  $\mathcal{G}^{\perp}$ -invariant. Then  $[[\mathcal{K}, \mathcal{G}^{\perp}], \mathcal{G}_{s}] \subseteq \mathcal{G}^{\perp} \cap \mathcal{G}_{s}$ .

and

**Lemma 2.6.** Let  $\mathcal{H}$  be any Lie algebra and V a module for  $\mathcal{H}$ . Suppose that the subalgebra  $\mathcal{Q}$  of  $\mathcal{H}$  is generated by the subspace  $\mathcal{M}$  of  $\mathcal{H}$ . Then  $\mathcal{Q} \cdot V = \mathcal{M} \cdot V$ .

Together with

**Lemma 2.7.** Let  $\mathcal{K}$  be semisimple of compact type and  $\mathcal{K}_0$  a subalgebra of  $\mathcal{K}$ . Then the subalgebra  $\mathcal{Q}$  generated  $\mathcal{M} = \mathcal{K}_0 + [\mathcal{K}, \mathcal{K}_0]$  is an ideal of  $\mathcal{K}$ .

*Proof.* Put  $Z = Z_{\mathcal{K}}(\mathcal{K}_0)$ . Then  $[Z, \mathcal{M}] \subseteq \mathcal{M}$  and  $[[\mathcal{K}, \mathcal{K}_0], \mathcal{M}] \subseteq \mathcal{M} + [\mathcal{M}, \mathcal{M}]$ . Since  $\mathcal{K} = [\mathcal{K}, \mathcal{K}_0] + Z$ , this shows  $[\mathcal{K}, \mathcal{M}] \subseteq Q$ . Since Q is linearly spanned by the iterated commutators of elements of  $\mathcal{M}$ ,  $[\mathcal{K}, Q] \subseteq Q$ .

Proof of Proposition 2.4. Let  $\mathcal{K}_0$  be the image of  $\mathcal{G}^{\perp}$  under the projection homomorphism  $\mathcal{G} \to \mathcal{K}$ . Note that by Theorem 2.2 above,  $[\mathcal{G}^{\perp}, \mathcal{G}_s] = [\mathcal{K}_0, \mathcal{G}_s]$ . Let  $Q \subseteq \mathcal{K}$  be the subalgebra generated by  $\mathcal{M} = \mathcal{K}_0 + [\mathcal{K}, \mathcal{K}_0]$  and consider  $V = \mathcal{G}_s$  as a module for Q. Since Q is an ideal of  $\mathcal{K}$ , [Q, V] is a submodule for  $\mathcal{K}$ , that is,  $[\mathcal{K}, [Q, V]] \subseteq [Q, V]$ . By Lemmas 2.5, 2.6 and Theorem 2.2 we have  $[Q, V] = [\mathcal{M}, V] \subseteq \mathcal{G}^{\perp} \cap \mathcal{Z}(\mathcal{G}_s)$ . Hence,  $\mathcal{I} = [\mathcal{M}, V] \subseteq \mathcal{G}^{\perp}$  is an ideal in  $\mathcal{G}$ , with  $\mathcal{I} \supseteq [\mathcal{G}^{\perp}, \mathcal{G}_s] = [\mathcal{K}_0, \mathcal{G}_s]$ . Since  $\mathcal{G}^{\perp}$  contains no non-trivial ideals of  $\mathcal{G}$  by assumption, we conclude that  $\mathcal{I} = \mathbf{0}$ .

In this section we study finite-dimensional real Lie algebras  $\mathcal{G}$  whose solvable radical  $\mathcal{R}$  is abelian and which are equipped with a nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$ .

3.1. An algebraic theorem. The Lie algebras with abelian radical and a nilinvariant symmetric bilinear form decompose into three distinct types of metric Lie algebras.

**Theorem C.** Let  $\mathcal{G}$  be a Lie algebra whose solvable radical  $\mathcal{R}$  is abelian. Suppose  $\mathcal{G}$  is equipped with a nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$  such that the kernel  $\mathcal{G}^{\perp}$  of  $\langle \cdot, \cdot \rangle$  does not contain a non-trivial ideal of  $\mathcal{G}$ . Let  $\mathcal{K} \times \mathcal{S}$  be a Levi subalgebra of  $\mathcal{G}$ , where  $\mathcal{K}$  is of compact type and  $\mathcal{S}$  has no simple factors of compact type. Then  $\mathcal{G}$  is an orthogonal direct product of ideals

$$\mathcal{G} = \mathcal{G}_1 \times \mathcal{G}_2 \times \mathcal{G}_3,$$

with

 $\mathcal{G}_1 = \mathcal{K} \ltimes \mathcal{A}, \quad \mathcal{G}_2 = \mathcal{S}_0, \quad \mathcal{G}_3 = \mathcal{S}_1 \ltimes \mathcal{S}_1^*,$ 

where  $\mathcal{R} = \mathcal{A} \times \mathcal{S}_1^*$  and  $\mathcal{S} = \mathcal{S}_0 \times \mathcal{S}_1$  are orthogonal direct products, and  $\mathcal{G}_3$  is a metric cotangent algebra. The restrictions of  $\langle \cdot, \cdot \rangle$  to  $\mathcal{G}_2$  and  $\mathcal{G}_3$  are invariant and non-degenerate. In particular,  $\mathcal{G}^{\perp} \subseteq \mathcal{G}_1$ .

We split the proof into several lemmas. Consider the submodules of invariants  $\mathcal{R}^{\mathcal{S}}, \mathcal{R}^{\mathcal{K}} \subseteq \mathcal{R}$ . Since  $\mathcal{S}, \mathcal{K}$  act reductively, we have

$$[\mathcal{S},\mathcal{R}] \oplus \mathcal{R}^{\mathcal{S}} = \mathcal{R} = [\mathcal{K},\mathcal{R}] \oplus \mathcal{R}^{\mathcal{K}}.$$

Then  $\mathcal{A} = \mathcal{R}^{\mathcal{S}}$ ,  $\mathcal{B} = [\mathcal{S}, \mathcal{R}^{\mathcal{K}}]$  and  $\mathcal{C} = [\mathcal{S}, \mathcal{R}] \cap [\mathcal{K}, \mathcal{R}]$  are ideals in  $\mathcal{G}$  and  $\mathcal{R} = \mathcal{A} \oplus \mathcal{B} \oplus \mathcal{C}$ . Recall from Theorem 2.1 that  $\langle \cdot, \cdot \rangle$  is in particular  $\mathcal{S}$ - and  $\mathcal{R}$ -invariant.

**Lemma 3.1.**  $C = \mathbf{0}$  and  $\mathcal{R}$  is an orthogonal direct sum of ideals in  $\mathcal{G}$ 

 $\mathcal{R}=\mathcal{A}\oplus\mathcal{B}$ 

where  $[\mathcal{K}, \mathcal{R}] \subseteq \mathcal{A}$  and  $[\mathcal{S}, \mathcal{R}] = \mathcal{B}$ .

*Proof.* The *S*-invariance of  $\langle \cdot, \cdot \rangle$  immediately implies  $\mathcal{A} \perp \mathcal{B}$ . Since  $\mathcal{R}$  is abelian,  $\mathcal{R}$ -invariance implies  $\mathcal{C} \perp \mathcal{R}$ . Since  $\mathcal{C} \perp (\mathcal{S} \times \mathcal{K})$  by (2.2), this shows  $\mathcal{C}$  is an ideal contained in  $\mathcal{G}^{\perp}$ , hence  $\mathcal{C} = \mathbf{0}$ . Now  $[\mathcal{K}, \mathcal{R}] \subseteq \mathcal{A}$  and  $[\mathcal{S}, \mathcal{R}] = \mathcal{B}$  by definition of  $\mathcal{A}$  and  $\mathcal{B}$ .

Lemma 3.2. G is a direct product of ideals

$$G = (\mathcal{K} \ltimes \mathcal{A}) \times (\mathcal{S} \ltimes \mathcal{B}).$$

where  $(\mathcal{K} \ltimes \mathcal{A}) \perp (\mathcal{S} \ltimes \mathcal{B})$ .

*Proof.* The splitting as a direct product of ideals follows from Lemma 3.1. The orthogonality follows together with (2.2) and the fact that the *S*-invariance of  $\langle \cdot, \cdot \rangle$  implies  $S \perp \mathcal{A}$  and  $\mathcal{K} \perp \mathcal{B}$ .

**Lemma 3.3.**  $\mathcal{G}^{\perp} \subseteq \mathcal{K} \ltimes \mathcal{A}$  and  $\mathcal{S} \ltimes \mathcal{B}$  is a non-degenerate ideal of  $\mathcal{G}$ .

*Proof.*  $\mathcal{Z}(\mathcal{G}_s) = \mathcal{A}$ , therefore  $\mathcal{G}^{\perp} \subseteq \mathcal{K} \ltimes \mathcal{A}$  by Theorem 2.2. Since also  $(\mathcal{S} \ltimes \mathcal{B}) \perp (\mathcal{K} \ltimes \mathcal{A})$ , we have  $(\mathcal{S} \ltimes \mathcal{B}) \cap (\mathcal{S} \ltimes \mathcal{B})^{\perp} \subseteq \mathcal{G}^{\perp} \subseteq \mathcal{K} \ltimes \mathcal{A}$ . It follows that  $(\mathcal{S} \ltimes \mathcal{B}) \cap (\mathcal{S} \ltimes \mathcal{B})^{\perp} = \mathbf{0}$ . □

To complete the proof of Theorem C, it remains to understand the structure of the ideal  $\mathcal{S} \ltimes \mathcal{B}$ , which by Theorem 2.1 and the preceding lemmas is a Lie algebra with an invariant non-degenerate scalar product given by the restriction of  $\langle \cdot, \cdot \rangle$ .

**Lemma 3.4.**  $\mathcal{B}$  is totally isotropic. Let  $S_0$  be the kernel of the S-action on  $\mathcal{B}$ . Then  $S_0 = \mathcal{B}^{\perp} \cap S$ .

*Proof.* Since  $\langle \cdot, \cdot \rangle$  is  $\mathcal{R}$ -invariant and  $\mathcal{R}$  is abelian,  $\mathcal{B}$  is totally isotropic. For the second claim, use  $\mathcal{B} \cap S^{\perp} = \mathbf{0}$  and the invariance of  $\langle \cdot, \cdot \rangle$ .

**Lemma 3.5.** *S* is an orthogonal direct product of ideals  $S = S_0 \times S_1$  with the following properties:

- (1)  $S_1 \ltimes \mathcal{B}$  is a metric cotangent algebra.
- (2)  $[\mathcal{S}_0, \mathcal{B}] = \mathbf{0} \text{ and } \mathcal{S}_0 = \mathcal{B}^{\perp} \cap \mathcal{S}.$

*Proof.* The kernel  $S_0$  of the *S*-action on  $\mathcal{B}$  is an ideal in S, and by Lemma 3.4 orthogonal to  $\mathcal{B}$ . Let  $S_1$  be the ideal in S such that  $S = S_0 \times S_1$ . Then  $S_0 \perp S_1$  by invariance of  $\langle \cdot, \cdot \rangle$ .

 $S_1$  acts faithfully on  $\mathcal{B}$  and so  $S_1 \cap \mathcal{B}^{\perp} = \mathbf{0}$  by Lemma 3.4. Moreover,  $S_1 \ltimes \mathcal{B}$  is non-degenerate since  $S \ltimes \mathcal{B}$  is. But  $\mathcal{B}$  is totally isotropic by Lemma 3.4, so non-degeneracy implies dim  $S_1 = \dim \mathcal{B}$ . Therefore  $\mathcal{B}$  and  $S_1$  are dually paired by  $\langle \cdot, \cdot \rangle$ .

Now identify  $\mathcal{B}$  with  $\mathcal{S}_1^*$  and write  $\xi(s) = \langle \xi, s \rangle$  for  $\xi \in \mathcal{S}_1^*$ ,  $s \in \mathcal{S}_1$ . Then, once more by invariance of  $\langle \cdot, \cdot \rangle$ ,

$$[s,\xi](s') = \langle [s,\xi], s' \rangle = \langle \xi, -[s,s'] \rangle = \xi(-\mathrm{ad}(s)s') = (\mathrm{ad}^*(s)\xi)(s')$$

for all  $s, s' \in S_1$ . So the action of  $S_1$  on  $S_1^*$  is the coadjoint action. This means  $S \ltimes \mathcal{B}$  is a metric cotangent algebra (cf. Subsection 2.1).

*Proof of Theorem C.* The decomposition into the desired orthogonal ideals follows from Lemmas 3.2 to 3.5. The structure of the ideals  $\mathcal{G}_2$  and  $\mathcal{G}_3$  is Lemma 3.5.  $\Box$ 

The algebra  $\mathcal{G}_1$  in Theorem C is of Euclidean type. Let  $\mathcal{G} = \mathcal{K} \ltimes V$ , with  $V \cong \mathbb{R}^n$ , be an algebra of Euclidean type and let  $\mathcal{K}_0$  be the kernel of the  $\mathcal{K}$ -action on V. Proposition 2.4 and the fact that the solvable radical V is abelian immediately give the following:

**Proposition 3.6.** Let  $\mathcal{G} = \mathcal{K} \ltimes V$  be a Lie algebra of Euclidean type, and suppose  $\mathcal{G}$  is equipped with a symmetric bilinear form that is nil-invariant and  $\mathcal{G}^{\perp}$ -invariant, such that  $\mathcal{G}^{\perp}$  does not contain a non-trivial ideal of  $\mathcal{G}$ . Then

$$(3.1) \mathcal{G}^{\perp} \subseteq \mathcal{K}_0 \times V.$$

The following Examples 3.7 and 3.8 show that in general a metric Lie algebra of Euclidean type cannot be further decomposed into orthogonal direct sums of metric Lie algebras. Both examples will play a role in Section 4.

**Example 3.7.** Let  $\mathcal{K}_1 = \mathcal{SO}_3$ ,  $V_1 = \mathbb{R}^3$ ,  $V_0 = \mathbb{R}^3$  and  $\mathcal{G} = (\mathcal{SO}_3 \ltimes V_1) \times V_0$  with the natural action of  $\mathcal{SO}_3$  on  $V_1$ . Let  $\varphi : V_1 \to V_0$  be an isomorphism and put

$$\mathcal{H} = \{(0, v, \varphi(v)) \mid v \in V_0\} \subset (\mathcal{K}_0 \ltimes V_1) \times V_0.$$

We can define a nil-invariant symmetric bilinear form on  $\mathcal{G}$  by identifying  $V_1 \cong SO_3^*$ and requiring for  $k \in \mathcal{K}_1, v_0 \in V_0, v_1 \in V_1$ ,

$$\langle k, v_0 + v_1 \rangle = v_1(k) - \varphi^{-1}(v_0)(k),$$

 $\mathbf{6}$ 

and further  $\mathcal{K}_1 \perp \mathcal{K}_1$ ,  $(V_0 \oplus V_1) \perp (V_0 \oplus V_1)$ . Then  $\langle \cdot, \cdot \rangle$  has signature (3,3,3) and kernel  $\mathcal{H} = \mathcal{G}^{\perp}$ , which is not an ideal in  $\mathcal{G}$ . Note that  $\langle \cdot, \cdot \rangle$  is not invariant. Moreover,  $\mathcal{K}_1 \ltimes V_1$  is not orthogonal to  $V_0$ . A direct factor  $\mathcal{K}_0$  can be added to this example at liberty.

**Example 3.8.** We can modify the Lie algebra  $\mathcal{G}$  from Example 3.7 by embedding the direct summand  $V_0 \cong \mathbb{R}^3$  in a torus subalgebra in a semisimple Lie algebra  $\mathcal{K}_0$  of compact type, say  $\mathcal{K}_0 = \mathcal{SO}_6$ , to obtain a Lie algebra  $\mathcal{F} = (\mathcal{K}_1 \ltimes V_1) \ltimes \mathcal{K}_0$ . We obtain a nil-invariant symmetric bilinear form of signature (15,3,3) on  $\mathcal{F}$  by extending  $\langle \cdot, \cdot \rangle$  by a definite form on a vector space complement of  $V_0$  in  $\mathcal{K}_0$ . The kernel of the new form is still  $\mathcal{G}^{\perp} = \mathcal{H}$ .

3.2. Nil-invariant bilinear forms on Euclidean algebras. A Euclidean algebra is a Lie algebra  $\mathcal{E}_n = SO_n \ltimes \mathbb{R}^n$ , where  $SO_n$  acts on  $\mathbb{R}^n$  by the natural action.

By a *skew pairing* of a Lie algebra  $\mathcal{L}$  and an  $\mathcal{L}$ -module V we mean a bilinear map  $\langle \cdot, \cdot \rangle : \mathcal{L} \times V \to \mathbb{R}$  such that  $\langle x, yv \rangle = -\langle y, xv \rangle$  for all  $x, y \in \mathcal{L}, v \in V$ . Note that any nil-invariant symmetric bilinear form on  $\mathcal{G} = \mathcal{K} \ltimes \mathbb{R}^n$  yields a skew pairing of  $\mathcal{K}$  and  $\mathbb{R}^n$ .

**Proposition 3.9** ([2, Proposition A.5]). Let  $\langle \cdot, \cdot \rangle : SO_3 \times V \to \mathbb{R}$  be a skew pairing for the (non-trivial) irreducible module V. If the skew pairing is non-zero, then V is isomorphic to the adjoint representation of  $SO_3$  and  $\langle \cdot, \cdot \rangle$  is proportional to the Killing form.

**Example 3.10.** Consider  $\mathcal{G} = \mathcal{SO}_3 \ltimes \mathbb{R}^n$  with a nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$ , and assume that the action of  $\mathcal{SO}_3$  is irreducible. By Proposition 3.9, either  $\mathcal{SO}_3 \perp \mathbb{R}^n$ , or n = 3 and  $\mathcal{SO}_3$  acts by its coadjoint representation on  $\mathbb{R}^3 \cong \mathcal{SO}_3^*$ , and  $\langle \cdot, \cdot \rangle$  is the dual pairing. In the first case,  $\mathbb{R}^n$  is an ideal in  $\mathcal{G}^{\perp}$ , and in the second case,  $\langle \cdot, \cdot \rangle$  is invariant and non-degenerate.

**Example 3.11.** Let  $\mathcal{G}$  be the Euclidean Lie algebra  $\mathcal{SO}_4 \ltimes \mathbb{R}^4$  with a nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$ . Since  $\mathcal{SO}_4 \cong \mathcal{SO}_3 \times \mathcal{SO}_3$ , and here both factors  $\mathcal{SO}_3$  act irreducibly on  $\mathbb{R}^4$ , it follows from Example 3.10 that in  $\mathcal{G}$ ,  $\mathbb{R}^4$  is orthogonal to both factors  $\mathcal{SO}_3$ , hence to all of  $\mathcal{SO}_4$ . In particular,  $\mathbb{R}^4$  is an ideal contained in  $\mathcal{G}^{\perp}$ .

**Theorem 3.12.** Let  $\langle \cdot, \cdot \rangle$  be a nil-invariant symmetric bilinear form on the Euclidean Lie algebra  $SO_n \ltimes \mathbb{R}^n$  for  $n \ge 4$ . Then the ideal  $\mathbb{R}^n$  is contained in  $\mathcal{G}^{\perp}$ .

*Proof.* For n = 4, this is Example 3.11. So assume n > 4. Consider an embedding of  $SO_4$  in  $SO_n$  such that  $\mathbb{R}^n = \mathbb{R}^4 \oplus \mathbb{R}^{n-4}$ , where  $SO_4$  acts on  $\mathbb{R}^4$  in the standard way and trivially on  $\mathbb{R}^{n-4}$ . By Example 3.11,  $SO_4 \perp \mathbb{R}^4$ . Since  $\mathbb{R}^{n-4} \subseteq [SO_n, \mathbb{R}^n]$ , the nil-invariance of  $\langle \cdot, \cdot \rangle$  implies  $SO_4 \perp \mathbb{R}^{n-4}$ . Hence  $\mathbb{R}^n \perp SO_4$ .

The same reasoning shows that  $\operatorname{Ad}(g)SO_4 \perp \mathbb{R}^n$ , where  $g \in SO_n$ . Then  $\mathcal{B} = \sum_{g \in SO_n} \operatorname{Ad}(g)SO_4$  is orthogonal to  $\mathbb{R}^n$ . But  $\mathcal{B}$  is clearly an ideal in  $SO_n$ , so  $\mathcal{B} = SO_n$  by simplicity of  $SO_n$  for n > 4.

**Theorem D.** The Euclidean group  $E_n = O_n \ltimes \mathbb{R}^n$ ,  $n \neq 1, 3$ , does not have compact quotients with a pseudo-Riemannian metric such that  $E_n$  acts isometrically and almost effectively.

*Proof.* For n > 3, such an action of  $\mathbb{E}_n$  would induce a nil-invariant symmetric bilinear form on the Lie algebra  $SO_n \ltimes \mathbb{R}^n$  without non-trivial ideals in its kernel, contradicting Theorem 3.12.

For n = 2, the Lie algebra  $\mathcal{E}_2$  is solvable, and hence by Baues and Globke [1], any nil-invariant symmetric bilinear form must be invariant. For such a form, the ideal  $\mathbb{R}^2$  of  $\mathcal{E}_2$  must be contained in  $\mathcal{E}_2^1$ , and therefore action cannot be effective.

Note that  $\mathcal{E}_3$  is an exception, as it is the metric cotangent algebra of  $SO_3$ .  $\Box$ 

Remark. Clearly the Lie group  $E_n$  admits compact quotient manifolds on which  $E_n$  acts almost effectively. For example take the quotient by a subgroup  $F \ltimes \mathbb{Z}^n$ , where  $F \subset O_n$  is a finite subgroup preserving  $\mathbb{Z}^n$ . Compact quotients with finite fundamental group also exist. For example, for any non-trivial homomorphism  $\varphi : \mathbb{R}^n \to O_n$ , the graph H of  $\varphi$  is a closed subgroup of  $E_n$  isomorphic to  $\mathbb{R}^n$ , and the quotient  $M = E_n/H$  is compact (and diffeomorphic to  $O_n$ ). Since H contains no non-trivial normal subgroup of  $E_n$ , the  $E_n$ -action on M is effective. Theorem D tells us that none of these quotients admit  $E_n$ -invariant pseudo-Riemannian metrics.

# 4. Simply connected compact homogeneous spaces with indefinite metric

Let M be a connected and simply connected pseudo-Riemannian homogeneous space of finite volume. Then we can write

$$(4.1) M = G/H$$

for a connected Lie group G acting almost effectively and by isometries on M, and H is a closed subgroup of G that contains no non-trivial connected normal subgroup of G (for example,  $G = \text{Iso}(M)^{\circ}$ ). Note that H is connected since M is simply connected.

Let  $\mathcal{G}$ ,  $\mathcal{H}$  denote the Lie algebras of G, H, respectively. Recall that the pseudo-Riemannian metric on M induces an  $\mathcal{H}$ -invariant and nil-invariant symmetric bilinear form  $\langle \cdot, \cdot \rangle$  on  $\mathcal{G}$ , and the kernel of  $\langle \cdot, \cdot \rangle$  is precisely  $\mathcal{G}^{\perp} = \mathcal{H}$  and contains no non-trivial ideal of  $\mathcal{G}$ .

We decompose G = KSR, where K is a compact semisimple subgroup, S is a semisimple subgroup without compact factors, R the solvable radical of G

## **Proposition 4.1.** The subgroup S is trivial and M is compact.

*Proof.* As M is simply connected,  $H = H^{\circ}$ . Now  $H \subseteq KR$  by Theorem 2.2. On the other hand, since M has finite invariant volume, the Zariski closure of  $\operatorname{Ad}_{\mathcal{G}}(H)$  contains  $\operatorname{Ad}_{\mathcal{G}}(S)$ , see Mostow [7, Lemma 3.1]. Therefore S must be trivial. It follows from Mostow's result [6, Theorem 6.2] on quotients of solvable Lie groups that M = (KR)/H is compact.

We can therefore restrict ourselves in (4.1) to groups G = KR and connected uniform subgroups H of G.

The structure of a general compact homogeneous manifold with finite fundamental group is surveyed in Onishchik and Vinberg [8, II.5.§2]. In our context it follows that

$$(4.2) G = L \ltimes V$$

where V is a normal subgroup isomorphic to  $\mathbb{R}^n$  and L = KA is a maximal compact subgroup of G. The solvable radical is  $R = A \ltimes V$ . Moreover,  $V^L = \mathbf{0}$ . By a theorem of Montgomery [5] (also [8, p. 137]), K acts transitively on M.

The existence of a G-invariant metric on M further restricts the structure of G.

**Proposition 4.2.** The solvable radical R of G is abelian. In particular,  $R = A \times V$ ,  $V^K = 0$  and  $A = Z(G)^\circ$ .

*Proof.* Let Z(R) denote the center of R and N its nilradical. Since H is connected,  $H \subseteq KZ(R)^{\circ}$  by Theorem 2.2. Hence there is a surjection  $G/H \rightarrow G/(KZ(R)^{\circ}) = R/Z(R)^{\circ}$ . It follows that  $Z(R)^{\circ}$  is a connected uniform subgroup. Therefore the nilradical N of R is  $N = TZ(R)^{\circ}$  for some compact torus T. But a compact subgroup of N must be central in R, so  $T \subseteq Z(R)$ . Hence  $N \subseteq Z(R)$ , which means R = N is abelian.

Combined with (4.2), we obtain

$$(4.3) G = KR = (K_0A) \times (K_1 \ltimes V),$$

with  $K = K_0 \times K_1$ ,  $R = A \times V$ , where  $K_0$  is the kernel of the K-action on V.

For any subgroup Q of G we write  $H_Q = H \cap Q$ .

**Lemma 4.3.**  $[H,H] \subseteq H_K$ . In particular,  $H_K$  is a normal subgroup of H.

*Proof.* By Proposition 3.6 and the connectedness of H, we have  $H \subseteq K_0 R$ . Since R is abelian,  $[H, H] \subseteq H_{K_0}$ .

If G is simply connected, we have  $K \cap R = \{e\}$ . Then let  $p_K$ ,  $p_R$  denote the projection maps from G to K, R.

**Lemma 4.4.** Suppose G is simply connected. Then  $p_R(H) = R$ .

*Proof.* Since K acts transitively on M, we have G = KH. Then  $R = p_R(G) = p_R(H)$ .

**Proposition 4.5.** Suppose G is simply connected. Then the stabilizer H is a semidirect product  $H = H_K \times E$ , where E is the graph of a homomorphism  $\varphi : R \to K$  that is non-trivial if dim R > 0. Moreover,  $\varphi(R \cap H) = \{e\}$ .

*Proof.* The subgroup  $H_K$  is a maximal compact subgroup of the stabilizer H. By Lemma 4.3,  $H = H_K \times E$  for some normal subgroup E diffeomorphic to a vector space. By Lemma 4.4, H projects onto R with kernel  $H_K$ , so that  $E \cong R$ . Then E is necessarily the graph of a homomorphism  $\varphi : R \to K$ . If dim R > 0, then  $\varphi$  is non-trivial, for otherwise  $R \subseteq H$ , in contradiction to the almost effectivity of the action. Observe that  $R \cap H \subseteq E$ . Therefore  $\varphi(R \cap H) \subseteq H_K \cap E = \{e\}$ .

Now we can state our main result:

**Theorem A.** Let M be a connected and simply connected pseudo-Riemannian homogeneous space of finite volume,  $G = Iso(M)^{\circ}$ , and let H be the stabilizer subgroup in G of a point in M. Let G = KR be a Levi decomposition, where R is the solvable radical of G. Then:

- (1) M is compact.
- (2) K is compact and acts transitively on M.
- (3) *R* is abelian. Let *A* be the maximal compact subgroup of *R*. Then *A* =  $Z(G)^{\circ}$ . More explicitly,  $R = A \times V$  where  $V \cong \mathbb{R}^n$  and  $V^K = \mathbf{0}$ .
- (4) *H* is connected. If dim R > 0, then  $H = (H \cap K)E$ , where *E* and  $H \cap K$  are normal subgroups in *H*,  $(H \cap K) \cap E$  is finite, and *E* is the graph of a non-trivial homomorphism  $\varphi : R \to K$ , where the restriction  $\varphi|_A$  is injective.

*Proof.* Claims (1), (2) and (3) follow from Proposition 4.1, Proposition 4.2 and (4.2), applied to  $G = \text{Iso}(M)^{\circ}$ .

For claim (4), let  $\widetilde{G}$  be the universal cover of G. Since G acts effectively on M,  $\widetilde{G}$  acts almost effectively on M with stabilizer  $\widetilde{H}$ , the preimage of H in  $\widetilde{G}$ . Let  $\widetilde{\varphi}: \widetilde{R} \to \widetilde{K}$  be the homomorphism given by Proposition 4.5 for  $\widetilde{G}$ . Then  $\widetilde{R} = \widetilde{A} \oplus V$ , with  $\widetilde{A} \cong \mathbb{R}^k$  for some k, and  $R = \widetilde{R}/Z$  for some central discrete subgroup  $Z \subset \widetilde{A} \cap \widetilde{H}$ . Since  $Z \subset \widetilde{R} \cap \widetilde{H}$  we have  $Z \subseteq \ker \widetilde{\varphi}$ . Therefore  $\widetilde{\varphi}$  descends to a homomorphism  $R \to \widetilde{K}$ , and by composing with the canonical projection  $\widetilde{K} \to K$ , we obtain a homomorphism  $\varphi: R \to K$  with the desired properties. Observe that  $\ker \varphi|_A \subset A \cap H$  is a normal subgroup in G. Hence it is trivial, as G acts effectively.

Now assume further that the index of the metric on M is  $\ell \leq 2$ . Theorem 2.3 has strong consequences in the simply connected case.

**Theorem B.** The isometry group of any simply connected pseudo-Riemannian homogeneous manifold of finite volume and metric index  $\ell \leq 2$  is compact.

*Proof.* We know from Theorem A that M is compact. Let  $G = \text{Iso}(M)^{\circ}$ , with G = KR as before. By Theorem 2.3, R commutes with K and thus R = A by part 3 of Theorem A. It follows that G = KA is compact.

Then K is a characteristic subgroup of G which also acts transitively on M. Therefore we may identify  $T_x M$  at  $x \in M$  with  $\mathcal{K}/(\mathcal{H} \cap \mathcal{K})$ , where  $\mathcal{K}$  is the Lie algebra of K. Hence the isotropy representation of the stabilizer  $Iso(M)_x$  factorizes over a closed subgroup of the automorphism group of  $\mathcal{K}$ . As this latter group is compact, the isotropy representation has compact closure in  $GL(T_xM)$ . If follows that there exists a Riemannian metric on M that is preserved by Iso(M). Hence Iso(M) is compact.

*Remark.* Note that in fact the isometry group of every compact analytic simply connected pseudo-Riemannian manifold has finitely many connected components (Gromov [4, Theorem 3.5.C]).

For indices higher than two, the identity component of the isometry group of a simply connected M can be non-compact. This is demonstrated by the examples below in which we construct some M on which a non-compact group acts isometrically. The following Lemma 4.6 then ensures that these groups cannot be contained in any compact Lie group.

**Lemma 4.6.** Assume that the action of K on V in the semidirect product  $G = K \times V$  is non-trivial. Then G cannot be immersed in a compact Lie group.

*Proof.* Suppose there is a compact Lie group C that contains G as a subgroup. As the action of K on V is non-trivial, there exists an element  $v \in V \subseteq C$  such that  $\operatorname{Ad}_{\mathcal{C}}(v)$  has non-trivial unipotent Jordan part. But by compactness of C, every  $\operatorname{Ad}_{\mathcal{C}}(g), g \in C$ , is semisimple, a contradiction.

**Example 4.7.** Start with  $G_1 = (\widetilde{SO}_3 \ltimes \mathbb{R}^3) \times \mathbb{T}^3$ , where  $\widetilde{SO}_3$  acts on  $\mathbb{R}^3$  by the coadjoint action, and let  $\varphi : \mathbb{R}^3 \to \mathbb{T}^3$  be a homomorphism with discrete kernel. Put

$$H = \{ (\mathbf{I}_3, v, \varphi(v)) \mid v \in \mathbb{R}^3 \}.$$

The Lie algebras  $\mathcal{G}_1$  of  $\mathcal{G}_1$  and  $\mathcal{H}$  of H are the corresponding Lie algebras from Example 3.7. We can extend the nil-invariant scalar product  $\langle \cdot, \cdot \rangle$  on  $\mathcal{G}_1$  from

Example 3.7 to a left-invariant tensor on  $G_1$ , and thus obtain a  $G_1$ -invariant pseudo-Riemannian metric of signature (3,3) on the quotient  $M_1 = G_1/H = \widetilde{SO}_3 \times T^3$ . Here,  $M_1$  is a non-simply connected manifold with a non-compact connected stabilizer.

In order to obtain a simply connected space, embed  $T^3$  in a simply connected compact semisimple group  $K_0$ , for example  $K_0 = \widetilde{SO}_6$ , so that  $G_1$  is embedded in  $G = (\widetilde{SO}_3 \ltimes \mathbb{R}^3) \times K_0$ . As in Example 3.8, we can extend  $\langle \cdot, \cdot \rangle$  from  $\mathcal{G}_1$  to  $\mathcal{G}$ , and thus obtain a compact simply connected pseudo-Riemannian homogeneous manifold  $M = G/H = \widetilde{SO}_3 \times K_0$ .

**Example 4.8.** Example 4.7 can be generalized by replacing  $\widetilde{SO}_3$  by any simply connected compact semisimple group K, acting by the coadjoint representation on  $\mathbb{R}^d$ , where  $d = \dim K$ , and trivially on  $\mathbb{T}^d$ . Define H similarly as a graph in  $\mathbb{R}^d \times \mathbb{T}^d$ , and embed  $\mathbb{T}^d$  in a simply connected compact semisimple Lie group  $K_0$ .

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