

## TD 5: PRFs and Symmetric Encryption (corrected version)

**Exercise 1.***LWE with small secret*

We once more work in the setting of the LWE assumption. Let  $q, B, n, m$  such that the LWE assumption holds. Moreover, we assume that  $q$  is prime.

1. (a) What is the probability that  $\mathbf{A}_1 \in \mathbb{Z}_q^{n \times n}$  is invertible where  $\mathbf{A} =: [\mathbf{A}_1^\top | \mathbf{A}_2^\top]^\top$  is uniformly sampled?

*☞* We have to compute  $|GL_n(\mathbb{F}_q)|$ , i.e. the number of invertibles matrices with coefficients in  $\mathbb{F}_q$ . We have  $q^n - 1$  choice for the first vector (it can be any vector except the 0 vector), then  $q^n - q^1$  for the second vector (anything except a vector collinear to the first one), then  $q^n - q^2$  (anything that is not a linear combination of the first two vectors), etc. So we get

$$\begin{aligned} \Pr_{\mathbf{A}_1 \leftarrow U(\mathbb{F}_q^{n \times n})} [\mathbf{A}_1 \in GL_n(\mathbb{F}_q)] &= \frac{1}{q^{n^2}} \prod_{i=0}^{n-1} (q^n - q^i) \\ &= \prod_{i=0}^{n-1} (1 - q^{i-n}), \end{aligned}$$

which is always  $\geq \prod_{i=0}^{n-1} (1 - 2^{i-n}) \geq 0.288$ .

- (b) Assume that  $m \geq 2n$ . Prove that there exists a subset of  $n$  linearly independent rows of  $\mathbf{A} \leftarrow U(\mathbb{Z}_q^{m \times n})$  with probability  $\geq 1 - 1/2^{\Omega(n)}$  and that we can find them in polynomial time.

*☞* If this is not the case, then there exists a hyperplane of  $\mathbb{Z}_q^n$  in which each row is sampled. A hyperplane is given by a nonzero vector: there are at most  $q^n - 1$  hyperplanes of the space and for a given hyperplane, the probability that each vector falls into it is  $q^{(n-1)m} / q^{nm} = 1/q^m$ . Then the union bound gives us that the probability is  $\geq 1 - \frac{1}{q^{m-n}} \geq 1 - \frac{1}{q^n}$ .

To find such rows, the naive greedy algorithm works: select the first row. Then, repeat the following for  $i = 2$  to  $m$ . If the  $i$ -th row is linearly independent from the selected rows, select it.

2. Let us define the distribution  $D_B = U((-B, B] \cap \mathbb{Z})$ , and  $m' = m - n$ .

Show that under the  $LWE_{q,m,n,B}$  assumption, the distributions  $(\mathbf{A}', \mathbf{A}'\mathbf{s}' + \mathbf{e}') \in \mathbb{Z}_q^{m' \times n} \times \mathbb{Z}_q^{m'}$ , with  $\mathbf{s}' \leftarrow D_B^n$  and  $\mathbf{e}' \leftarrow D_B^{m'}$ , and  $(\mathbf{A}', \mathbf{b}')$  with  $\mathbf{b}' \leftarrow U(\mathbb{Z}_q^{m'})$  are indistinguishable.

*☞* We show how to reduce an instance of the decision problem  $LWE_{q,m,n,B}$  to an instance of this new decision problem. Let  $(\mathbf{A}, \mathbf{b}) \in \mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$ . With non negligible probability and up to permuting the rows of  $\mathbf{A}$  (and  $\mathbf{b}$ ), one can write  $\mathbf{A} = \begin{bmatrix} \mathbf{A}_1 \\ \mathbf{A}_2 \end{bmatrix}$ , where  $\mathbf{A}_1 \in \mathbb{Z}_q^{n \times n}$  is invertible.

Notice that in this case,  $\mathbf{A}_2 \mathbf{A}_1^{-1} \in \mathbb{Z}_q^{m' \times n}$  is still uniform because  $\mathbf{A}_1$  is invertible, and  $\mathbf{A}_2$  is uniformly sampled.

Assume that we are given a sample  $(\mathbf{A}, \mathbf{A}\mathbf{s} + \mathbf{e})$  of the  $LWE_{q,m,n,B}$  distribution. Set  $\mathbf{e} =: (\mathbf{e}_1^\top, -\mathbf{e}_2^\top)^\top$ . Consider the following:

$$(\mathbf{A}_2 \mathbf{A}_1^{-1}, \mathbf{A}_2 \mathbf{A}_1^{-1} (\mathbf{A}_1 \mathbf{s} + \mathbf{e}_1) - \mathbf{A}_2 \mathbf{s} + \mathbf{e}_2) = (\mathbf{A}_2 \mathbf{A}_1^{-1}, \mathbf{A}_2 \mathbf{A}_1^{-1} \mathbf{e}_1 + \mathbf{e}_2).$$

This is exactly a sample from the new distribution, with secret  $\mathbf{e}_1$  and noise  $\mathbf{e}_2$ .

Assume now that we are given a sample  $(\mathbf{A}, \mathbf{b})$  where  $\mathbf{b}$  is uniformly sampled. We write  $\mathbf{b} =: (\mathbf{b}_1^\top, \mathbf{b}_2^\top)^\top$ . With the previous transformation we get:  $\mathbf{A}_2 \mathbf{A}_1^{-1}, \mathbf{A}_2 \mathbf{A}_1^{-1} \mathbf{b}_1 - \mathbf{b}_2$ . Whatever  $\mathbf{A}_2 \mathbf{A}_1^{-1} \mathbf{b}_1$  is, since it is independent from  $\mathbf{b}_2$ , we get a uniform sample over  $\mathbb{Z}_q^{m' \times n} \times \mathbb{Z}_q^{m'}$ .

This means that any distinguisher for the new decision problem is a distinguisher for decision LWE. Under the LWE assumption, any efficient distinguisher has negligible advantage and this concludes the proof.

**Exercise 2.***CTR Security*

Let  $F : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a PRF. To encrypt a message  $M \in \{0, 1\}^{d \cdot n}$ , CTR proceeds as follows:

- Write  $M = M_0 \| M_1 \| \dots \| M_{d-1}$  with each  $M_i \in \{0, 1\}^n$ .
- Sample  $IV$  uniformly in  $\{0, 1\}^n$ .

- Return  $IV \| C_0 \| C_1 \| \dots \| C_{d-1}$  with  $C_i = M_i \oplus F(k, IV + i \bmod 2^n)$  for all  $i$ .

The goal of this exercise is to prove the security of the CTR encryption mode against chosen plaintext attacks, when the PRF  $F$  is secure.

1. Recall the definition of security of an encryption scheme against chosen plaintext attacks.

☞ Let  $(\text{KeyGen}, \text{Enc}, \text{Dec})$  be an encryption scheme. We consider the following experiments  $\text{Exp}_b$ , for  $b \in \{0, 1\}$ :

- Challenger samples  $k \leftarrow \text{KeyGen}$ ,
- Adversary makes  $q$  encryption queries on messages  $(M_{i,0}, M_{i,1})$ ,
- Challenger sends back  $\text{Enc}(k, M_{i,b})$  for each  $i$ ,
- Adversary returns  $b' \in \{0, 1\}$ .

We define the advantage of the adversary  $\mathcal{A}$  against the encryption scheme as

$$\text{Adv}^{\text{CPA}}(\mathcal{A}) = |\Pr(\mathcal{A} \xrightarrow{\text{Exp}_1} 1) - \Pr(\mathcal{A} \xrightarrow{\text{Exp}_0} 1)|.$$

Then, the encryption scheme is said to be secure against chosen plaintext attacks if no probabilistic polynomial-time adversary has a non-negligible advantage with respect to  $n$ .

(Note in particular that since  $\mathcal{A}$  runs in polynomial time,  $q$  must be polynomial in  $n$ .)

*Remark: in another equivalent definition, there is only one experiment in which the challenger starts by choosing the bit  $b$  uniformly at random, and the advantage is defined as  $\text{Adv}^{\text{CPA}}(\mathcal{A}) = |\Pr(\mathcal{A} \rightarrow 1 \mid b = 0) - \Pr(\mathcal{A} \rightarrow 1 \mid b = 1)|$ .*

2. Assume an attacker makes  $Q$  encryption queries. Let  $IV_1, \dots, IV_Q$  be the corresponding IV's. Let  $\text{Twice}$  denote the event "there exist  $i, j \leq Q$  and  $k_i, k_j < d$  such that  $IV_i + k_i = IV_j + k_j \bmod 2^n$  and  $i \neq j$ ." Show that the probability of  $\text{Twice}$  is bounded from above by  $Q^2 d / 2^{n-1}$ .

☞ *Remark: the probability of  $\text{Twice}$  is obviously 1 if it is not required that  $i$  and  $j$  be distinct. Besides, considering the case  $i = j$  is not interesting for our purpose.*

For  $i, j \leq Q$ , let  $\text{Twice}_{i,j}$  be the event " $\exists k_i, k_j < d : IV_i + k_i = IV_j + k_j \pmod{2^n}$ ", which is equivalent to " $\exists k, |k| < d$  and  $IV_i - IV_j = k \pmod{2^n}$ ". As the IVs are chosen uniformly and independently,  $IV_i - IV_j$  is uniform modulo  $2^n$  and  $\Pr(\text{Twice}_{i,j}) \leq 2^{-n}(2d - 1)$ . (The inequality is strict when  $2d - 1 > 2^n$ , in which case  $\Pr(\text{Twice}_{i,j}) = 1$ .) Then,

$$\Pr(\text{Twice}) \leq \sum_{1 \leq i \neq j \leq Q} \Pr(\text{Twice}_{i,j}) = Q(Q-1)2^{-n}(2d-1) \leq 2^{1-n}Q^2d.$$

3. Assume the PRF  $F$  is replaced by a uniformly chosen function  $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$ . Give an upper bound on the distinguishing advantage of an adversary  $\mathcal{A}$  against this idealized version of CTR, as a function of  $d, n$  and the number of encryption queries  $Q$ .

☞ We write  $M^{i,\beta} = M_0^{i,\beta} \| \dots \| M_{d-1}^{i,\beta}$  with  $1 \leq i \leq Q$  and  $\beta \in \{0, 1\}$  the encryption queries of the adversary  $\mathcal{A}$  and  $C^i = IV_i \| C_0^i \| \dots \| C_{d-1}^i$  with  $1 \leq i \leq Q$  the replies. Given the value of  $b \in \{0, 1\}$  chosen by the challenger, we know that  $C_j^i = M_j^{i,b} \oplus f(IV_i + j \bmod 2^n)$  for all  $1 \leq i \leq Q$  and  $0 \leq j < d$ .

If  $\text{Twice}$  does not occur, then all the  $IV_i + j \pmod{2^n}$  for  $1 \leq i \leq Q$  and  $0 \leq j < d$  are pairwise distinct. Then the values of  $f$  at these points are independent and uniformly distributed, since  $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$  is chosen uniformly at random. Therefore, all the  $C_j^i$  are also independent and uniformly distributed regardless of the value of  $b$ , so that  $\Pr(\neg \text{Twice} \wedge \mathcal{A} \rightarrow 1 \mid b = 0) = \Pr(\neg \text{Twice} \wedge \mathcal{A} \rightarrow 1 \mid b = 1)$ . It follows that

$$\begin{aligned} \text{Adv}_{\text{CTR}}^{\text{CPA}}(\mathcal{A}) &= |\Pr(\text{Twice} \wedge \mathcal{A} \rightarrow 1 \mid b = 0) - \Pr(\text{Twice} \wedge \mathcal{A} \rightarrow 1 \mid b = 1)| \\ &= |\Pr(\mathcal{A} \rightarrow 1 \mid b = 0, \text{Twice}) - \Pr(\mathcal{A} \rightarrow 1 \mid b = 1, \text{Twice})| \Pr(\text{Twice}) \\ &\leq \Pr(\text{Twice}) \leq 2^{1-n}Q^2d. \end{aligned}$$

4. Show that if there exists a probabilistic polynomial-time adversary  $\mathcal{A}$  against CTR based on PRF  $F$ , then there exists a probabilistic polynomial-time adversary  $\mathcal{B}$  against the PRF  $F$ . Give a lower bound on the advantage degradation of the reduction.

☞ Assume that  $\mathcal{A}$  is a PPT adversary against the encryption scheme with a non-negligible advantage for a chosen plaintext attack. We build an adversary  $\mathcal{B}$  against the underlying PRF  $F$  as follows:

1. Choose  $b \in \{0, 1\}$  uniformly at random.
2. For each encryption query  $(M^0, M^1)$  from  $\mathcal{A}$ , encrypt  $M^b$  using the given scheme, that is,
  - (a) Choose  $IV \in \{0, 1\}^n$  uniformly at random.
  - (b) For  $j = 0$  to  $d-1$ , send a query for  $IV + j$  and with the reply  $f_j$  compute  $C_j = M_j^b \oplus f_j$ .

- (c) Send  $IV \| C_0 \| \dots \| C_{d-1}$  back to  $\mathcal{A}$ .
3. When  $\mathcal{A}$  finally outputs a bit  $b' \in \{0,1\}$ , output 1 if  $b' = b$  and 0 otherwise.

The advantage of  $\mathcal{B}$  against the PRF  $F$  is

$$\text{Adv}_F^{\text{PRF}}(\mathcal{B}) = |\Pr(\mathcal{B} \rightarrow 1 \mid \text{PRF}) - \Pr(\mathcal{B} \rightarrow 1 \mid \text{Unif})|$$

where PRF is the experiment in which replies to  $\mathcal{B}$  are computed by calling  $F$  and Unif is the one in which replies to  $\mathcal{B}$  are computed from a uniformly chosen random function  $f$ .

Considering the two terms separately gives

$$\begin{aligned} \Pr(\mathcal{B} \rightarrow 1 \mid E) &= \frac{1}{2} (\Pr(b' = 0 \mid E, b = 0) + \Pr(b' = 1 \mid E, b = 1)) \\ &= \frac{1}{2} (1 + \Pr(\mathcal{A} \rightarrow 1 \mid E, b = 1) - \Pr(\mathcal{A} \rightarrow 0 \mid E, b = 0)) \end{aligned}$$

where  $E$  is either PRF or Unif. Therefore

$$\text{Adv}_F^{\text{PRF}}(\mathcal{B}) \geq \frac{1}{2} (\text{Adv}^{\text{CPA}}(\mathcal{A}) - \text{Adv}_U^{\text{CPA}}(\mathcal{A})) \geq \frac{1}{2} \text{Adv}^{\text{CPA}}(\mathcal{A}) - 2^{1-n} Q^2 d$$

using the previous question. Thus, if  $\text{Adv}^{\text{CPA}}(\mathcal{A})$  is non-negligible then so is  $\text{Adv}_F^{\text{PRF}}(\mathcal{B})$ , which is then about a half of  $\text{Adv}^{\text{CPA}}(\mathcal{A})$ .