

# Cryptology

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# Chapter 1

## Identity Based Encryption from Learning With Errors

In the following we will use these two tools whose existence is not proved here. The first tool's description can be found in [1] and the second tool can be retrieved in [2].

**Tool 1** There exists a probabilistic polynomial time algorithm `GenBasis` that takes  $n, m, q$  with  $m$  bigger than a  $\Omega(n \log n)$  as inputs, and returns a matrix  $T$  (called the *trapdoor* of  $A$ ) of size  $m \times n$  in  $\mathbb{Z}_q^{m \times n}$  such that:

- $\Delta(A, \text{Unif}) \leq 2^{-n}$
- The maximum norm of a row of  $T$  is quasilinear:

$$\|T\| = \max_i \|t_i\| = \mathcal{O}(n \log n)$$

- the  $t_i$ 's form a basis of the lattice:

$$\Lambda^\perp(A) = \{x \in \mathbb{Z}^m : x^T A = 0 \pmod{q}\}$$

*Remark.* For this algorithm to be polynomial time,  $n$  and  $m$  are written in unary in order to make the input exponentially bigger.

**Tool 2** Let  $L$  be a  $n$ -dimensional lattice with basis  $(b_i)$ , a vector  $c$  in  $\mathbb{Z}^n$  and  $s$  greater than  $\max \|b_i\| \cdot \Omega(\sqrt{\log n})$ . There exists a probabilistic pseudo-random polynomial time algorithm `GPVSample` that samples from  $D_{L,s,c}$ :

$$D_{L,s,c}(b) \sim \exp\left(-\pi \frac{\|b - c\|^2}{s^2}\right)$$

For such an  $s$  we have the following properties:

$$\max_{b \in L} D_{L,s,c}(b) \leq 2^{-n}$$

and

$$\Pr_{b \in D_{L,s,c}} (\|b - c\| \geq s\sqrt{n}) \leq 2^{-n}$$

## 1.1 The Gentry-Peikert-Vaikuntanathan Identity Based Encryption scheme

In this section we will present the Gentry Peikert Vaikuntanathan Identity Based Encryption [2]. It is a cryptosystem which security is based on the Learning With Errors problem.

**Setup** We sample  $A, T$  using **tool 1**. Let  $s = \mathcal{O}(n \log n \cdot \sqrt{n})$ . We define the master public key and the master secret key with:

$$MPK : A, MSK : T$$

**Key extract**( $MSK, id$ ) We first take  $u = H(id)$ , where  $H$  is a hash function from a binary word to  $\mathbb{Z}_q^n$  modeled as a random oracle.

Then we use linear algebra to find  $r_0 \in \mathbb{Z}_q^m$  such that

$$r_0^T A = u^T \pmod{q}$$

Finally we use **tool 2** to sample  $r$  from the distribution:

$$r_0 + \underbrace{D_{\Lambda^\perp(A), s, -r_0}}_{r_1}$$

Thus:

$$r^T A = r_0^T A + r_1^T A = r_0^T A + 0 = u^T \pmod{q}$$

And we have (tail bound) with probability at least  $1 - 2^{-n}$ :

$$\|r\| = \|r_0 + r_1\| = \|r_1 - (-r_0)\| \leq \sqrt{ns}$$

$r$  is id's secret key  $sk_{id}$ .

**Enc**( $MPK, id, M \in \{0, 1\}$ ) Let  $u := H(id)$ . We sample  $s$  from  $U(\mathbb{Z}_q^n)$  and  $(e_1, e_2)$  from  $D_{\mathbb{Z}^m, \alpha q} \times D_{\mathbb{Z}, \alpha q}$  (gaussian), and we send:

$$\begin{aligned} c_1 &= As + e_1 \\ c_2 &= \langle u, s \rangle + e_2 + \lfloor q/2 \rfloor M \end{aligned}$$

**Dec**( $sk_{id}, (c_1, c_2)$ ) We compute

$$c_2 - r^T c_1 = e_2 + \lfloor q/2 \rfloor M - r^T e_1 \pmod{q}$$

if the result is greater than  $q/4$ , output 1, else output 0.

**Correctness** Let us compute the decryption error:

$$\begin{aligned}
|e_2 - r^T e_1| &\leq |e_2| + \|r\| \cdot \|e_1\| \\
&\leq \alpha q \sqrt{n} + \alpha q \sqrt{m} \sqrt{n} \cdot \|r\| \\
&\text{(with probability } \geq 1 - c2^n \text{ for some constant } c) \\
&\leq \alpha q \sqrt{n} [1 + \sqrt{m} \sqrt{mn} \log q \sqrt{\log n}]
\end{aligned}$$

We have to set  $\alpha$  such that

$$\begin{aligned}
\alpha q \sqrt{n} [1 + m \log q \sqrt{\log q}] &\leq \frac{q}{8} \\
\alpha \sqrt{n} [1 + m \log q \sqrt{\log q}] &\leq \frac{1}{8}
\end{aligned}$$

*Remark.* The parameter  $\alpha$  is some  $\frac{1}{\text{poly}(m, n, \log q)}$

**Security** Indistinguishability under Chosen Plaintext Attack (**IND-CPA**) with selective-id security in the Random Oracle Model (**ROM**).

**Security Game** We have an attacker  $\mathcal{A}$  and a challenger  $\mathcal{C}$ , as we are in the selective-id assumption,  $\mathcal{A}$  starts by choosing an identity  $id^*$  to attack and send it to  $\mathcal{C}$ . Then  $\mathcal{C}$  selects master public and secret keys from  $\text{Setup}$  and send the master public key (**MPK**) to  $\mathcal{A}$ .

Algorithm  $\mathcal{A}$  starts by making extraction queries for  $id$  different from  $id^*$  and also Random Oracle queries to  $\mathcal{C}$ .

Without loss of generality if  $id$  is different from  $id^*$ . We assume that  $H(id)$  is always queried just before **Extract**( $id$ ).  $H(id^*)$  is queried before the challenge phase.

As we are encrypting a bit, there is no need to produce two different messages  $M_0, M_1$  as they will be 0 and 1. This is why  $\mathcal{C}$  decides to encrypt a bit  $b$  with identity secret key  $id^*$  and send the resulting ciphertext  $c^*$  to  $\mathcal{A}$ .

Finally  $\mathcal{A}$  returns a bit  $b'$ , and wins if  $b'$  is  $b$ .

From this initial game we derive the following games:

**Game 0** Real game

**Game 1** *Change Setup to Setup'*:

We sample: the matrix  $A$  uniform and the vector  $u^*$  uniform ( $u^*$  is  $H(id^*)$ )

*Change Extract to Extract'*:

**if**  $\text{Extract}'(id)$  has been queried before **then** give same answer.

**else** sample  $r$  from  $D_{\mathbb{Z}^m, s, 0}$

set  $u := r^T A$ .

**return** that  $sk_{id}$  is  $r$  and  $H(id)$  is  $u$ .

*Enc stays the same as in Game 0.*

Using **tool 1** we have that:

$$\Delta(A \text{ in game 0}, A \text{ in Game 1}) \leq 2^{-n}$$

For all fresh Random Oracle queries, the reply is uniform. Distribution of  $sk_{id}$  (conditioned on  $A, H(id) = u$ ) are

$$\begin{aligned} u &\leftarrow U(\mathbb{Z}_q^m) \\ r &\leftarrow r_0^T + D_{\Lambda^\perp(A), s, -r_0} \end{aligned}$$

**Question:** Does the following experiment

$$\begin{aligned} r &\leftarrow D_{\mathbb{Z}^m, s, 0} \\ u &= r^T A \end{aligned}$$

gives the same distribution for  $(r, u)$ ? (the proof is somewhat non-trivial, left as exercise).

**Game 2** *Enc* changed to *Enc'* in challenge phase:

$$Enc'(MPK, id^*, M) : Unif + (0, 0, \dots, 0, \lfloor q/2 \rfloor M) \in \mathbb{Z}_q^{m+1}$$

$$\left| \begin{array}{c} 0 \\ \text{Unif} \\ \lfloor q/2 \rfloor M \end{array} \right| \in \mathbb{Z}_q^{m+1}$$

As depicted as follow:

Any adversary that can distinguish between Games 1 and 2 may be able to break Learning With Errors (**LWE**).

**LWE** input :  $(B, b)$ .

Answer  $b = Bs + c$  if in Game 1 or  $b = \text{unif}$  if in Game 2: the matrix  $B$  is built as  $u$  concatenated at the right of  $u$ . **Enc**:  $b + (0, 0, \dots, 0, \lfloor q/2 \rfloor M)$

## 1.2 The Agrawal-Boneh-Boyer IBE

The Agrawal Boneh Boyer Identity Based Encryption [3] scheme is based on the Learning With Errors problem. An interesting property of this scheme is that it is provably secure in the standard model, therefore we have no need of a random oracle assumption.

Encoding of identities as matrices in  $\mathbb{Z}_q^{n \times n}$ : we want a map  $H$  from  $ID$  to  $\mathbb{Z}_q^{n \times n}$  such that

$$\begin{cases} H(id) - H(id') \\ \forall id \neq id' \end{cases}$$

is full rank/invertible

Let  $\Phi(x)$  be a degree  $n$  irreducible polynomial modulo  $q$  (as the density is greater than  $1/n$  and irreducibility can be tested in polynomial time, then the  $\Phi$ -generation is polynomial-time).

$$\begin{aligned} ID &= \mathbb{Z}_q[x]/(\Phi(x)) \\ |ID| &= q^n \end{aligned}$$

$$H(id) = [id, x \cdot id \bmod \Phi, \dots, x^{n-1} \cdot id \bmod \Phi]$$

The  $k$ -th column coefficients of  $H(id)$  consists in the  $x^k \cdot id(x) \bmod \Phi$ :

$$\left[ \begin{array}{c|c|c|c|c|c|c} id & x \cdot id & x^2 \cdot id & x^3 \cdot id & \cdots & x^{n-1} \cdot id & \bmod \Phi \end{array} \right]$$

*Remark.* The map:

$$\begin{aligned} ID &\rightarrow H(ID) \\ id &\mapsto H(id) \end{aligned}$$

is a ring homomorphism:  $H(id + id') = H(id) + H(id')$  and  $H(id \cdot id') = H(id) \cdot H(id')$

The set  $ID$  is a field:  $H(id) - H(id') = 0 \implies id = id'$ , then we can embed  $\mathbb{F}_{q^n}$  into  $\mathbb{Z}_q^{n \times n}$

**Setup** We generate  $A_0, T_0$  using **tool 1**.

And we sample matrices  $A_1, B$  uniform in  $\mathbb{Z}_q^{m \times n}$ .

The vector  $u$  is sampled uniform in  $\mathbb{Z}_q^n$ .

We return:  $MSK = T_0, MPK = (A_0, A_1, B, u)$

**Extract**( $MPK, id$ ) Define  $A_{id}$ :

$$A_{id} = \begin{pmatrix} A_0 \\ A_1 + B \cdot H(id) \end{pmatrix} \in \mathbb{Z}_q^{3m \times n}$$

$$\begin{pmatrix} T_0 & 0 \\ T' & I \end{pmatrix} \begin{pmatrix} A_0 \\ A_{id} + B \cdot H(id) \end{pmatrix} = 0$$

and

$$T_{id} = \begin{pmatrix} T_0 \\ T' \end{pmatrix}$$

How to find  $T'$  such that  $T_{id} \cdot A_{id} = 0$ ?

To find  $t'_i$  such that  $t'_i{}^T \cdot A_0 = -\dots$ , proceed as in *GPV's Extract*:

$$\|t'_i\| \leq \mathcal{O}(\sqrt{mn} \log q \sqrt{\log n})$$

$$\begin{array}{ccc} \begin{array}{c} \uparrow \\ 2m \\ \downarrow \end{array} \begin{array}{|c|} \hline T_0 \\ \hline \end{array} & \begin{array}{|c|} \hline A_0 \\ \hline \end{array} & = 0 \bmod q \end{array} \quad \begin{array}{ccc} \begin{array}{c} \uparrow \\ m \\ \downarrow \end{array} \begin{array}{|c|} \hline A \\ \hline \end{array} & \begin{array}{|c|} \hline B \\ \hline \end{array} & \\ & & \underline{u} \end{array}$$

The matrix  $T_{id}$  is small (largest row smaller than  $\mathcal{O}(\sqrt{mn} \log q \sqrt{\log n})$ ). It is made of linearly independent rows. Further, we have  $T_{id} A_{id} = 0$ . We then have  $3m$  linearly independent short vectors in  $\Lambda^\perp(A_{id})$ . It is sufficient to efficiently sample from  $D_{\Lambda^\perp(A_{id}),s,c}$  for all  $c$  and  $s$  greater

than an  $\Omega(m^{1.5} n \log q \sqrt{\log n})$ . (like in *GPV's* extract)

Using gaussian tail bound, we sample  $r$  such that:

$$\begin{aligned} r^T A_{id} &= u^T \pmod{q} \\ \|r\| &\leq \mathcal{O}(\sqrt{ms}) \end{aligned}$$

**Enc**( $MPK, id, M \in \{0, 1\}$ ) Recover:

$$A_{id} = \begin{matrix} A_0 \\ A_1 + BH(id) \end{matrix}$$

Sample:

$$\begin{aligned} s &\leftarrow U(\mathbb{Z}_q^n) \\ e_1 &\in D_{\mathbb{Z}^{2m}, \alpha q} \\ R &\leftarrow \{-1, 1\}^{m \times 2m} \\ \text{set } e_2 &:= R \cdot e_1 \\ e_2 &\leftarrow D_{\mathbb{Z}, \alpha q} \end{aligned}$$

Send  $c = (c_1, c_2, c_3)$  with the following components:

$$\begin{aligned} c_1 &= A_0 \cdot s + e_1 + 0 \\ c_2 &= A_1 + B \cdot H(id) + e_2 + 0 \\ c_3 &= 0 + e_3 + \lfloor q/2 \rfloor M \end{aligned}$$

**Dec**( $sk_{id}, (c_1, c_2, c_3)$ ) Compute:

$$c_3 - r^T \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \lfloor q/2 \rfloor M + (\text{decryption noise})$$

**Correctness** Get a bound on decryption noise. Set  $\alpha$  such that  $|\text{decryption noise}|$  is smaller than  $q/8$  with probability greater than  $1 - k2^{-n}$  for some constant  $k$ .

**Security** IND-CPA selective id in the standard model.

**Game 0** real game

**Game 1** change **Setup** and **Extract**:

Change setup to  $setup'$ :

The Challenger gets  $id^*$  from Adversary.

He samples:

$$\begin{aligned} R^* &\leftarrow \{-1, 1\}^{m \times 2m} \text{ (to be used for challenge)} \\ T_B, B &\leftarrow \text{tool 1} \\ A_0 &\leftarrow \mathbb{Z}_q^{m \times n} \\ u &\leftarrow \mathbb{Z}_q^n \end{aligned}$$

Set  $A_1 = R^* \cdot A_0 - BH(id^*)$

We want that  $A_0, A, B, u$  should “look” as in Game 0.

For  $A_0, B$  and  $u$  it is ok as they are chosen uniformly in Game 0. For  $A_1$  with small statistical distance to uniform by the Leftover Hash Lemma (LHL). Therefore:

$$\Delta(MPK^{Game0}, MPK^{Game1}) \leq 2^{-\Omega(n)}$$

Change Extract to Extract'(id ≠ id'):

$$A_{id} = \begin{pmatrix} A_0 \\ A_1 + B \cdot H(id) \end{pmatrix} = \begin{pmatrix} A_0 \\ R^* A_0 + B(H(id) - H(id^*)) \end{pmatrix}$$

How do I find a  $T_{id}$  for  $A_{id}$  using  $T_B$ ?

$$T_B \cdot B = 0 \implies T_B \cdot B \cdot (H(id) - H(id^*)) = 0$$

$$\begin{pmatrix} I & T' \\ 0 & T_B \end{pmatrix} \begin{pmatrix} A_0 \\ B \end{pmatrix} \begin{pmatrix} \square \end{pmatrix} = 0$$

The vectors  $t'_i$  are small, constructed as the  $T'$  in real Extract.

Possible as  $\square = H(id) - H(id^*)$  is full rank.

$$\underbrace{\begin{pmatrix} I & T' \\ 0 & T_B \end{pmatrix} \begin{pmatrix} I & \\ -R^* & I \end{pmatrix} \begin{pmatrix} A_B \\ R^* A_0 + BH(id - id^*) \end{pmatrix}}_{\begin{pmatrix} A_0 \\ BH(id - id^*) \end{pmatrix}} = 0$$

$T_{id}$

Rest of Extract' is identical to Extract

**Game 2** Enc' in challenge phase :  $Unif + (0, 0, \dots, \lfloor q/2 \rfloor M) \in \mathbb{Z}_q^{3m+1}$

**Exercise :** show that if an adversary can distinguish Games 2 and 3 it may be used to break **LWE**. (how to extend **LWE**'s “b” into a ciphertext? )

*Note:* the correctness proof is not trivial and is based of a strengthened Leftover Hash Lemma.



# Bibliography

- [1] Joël Alwen and Chris Peikert. “Generating shorter bases for hard random lattices.” *Theory of Computing Systems* 48.3 (2011): 535-553.
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