

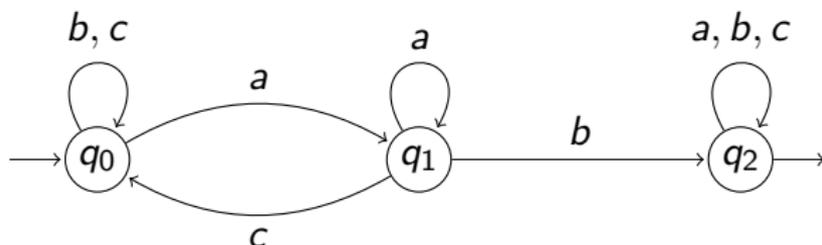
Cost functions recognizable by Min/Max automata.

Thomas Colcombet¹, **Denis Kuperberg**², Amaldev Manuel³,
Szymon Toruńczyk³

¹LIAFA, Paris ²TU Munich ³MIMUW, Warsaw

STACS, Orléans
18-02-2015

Descriptions of a language



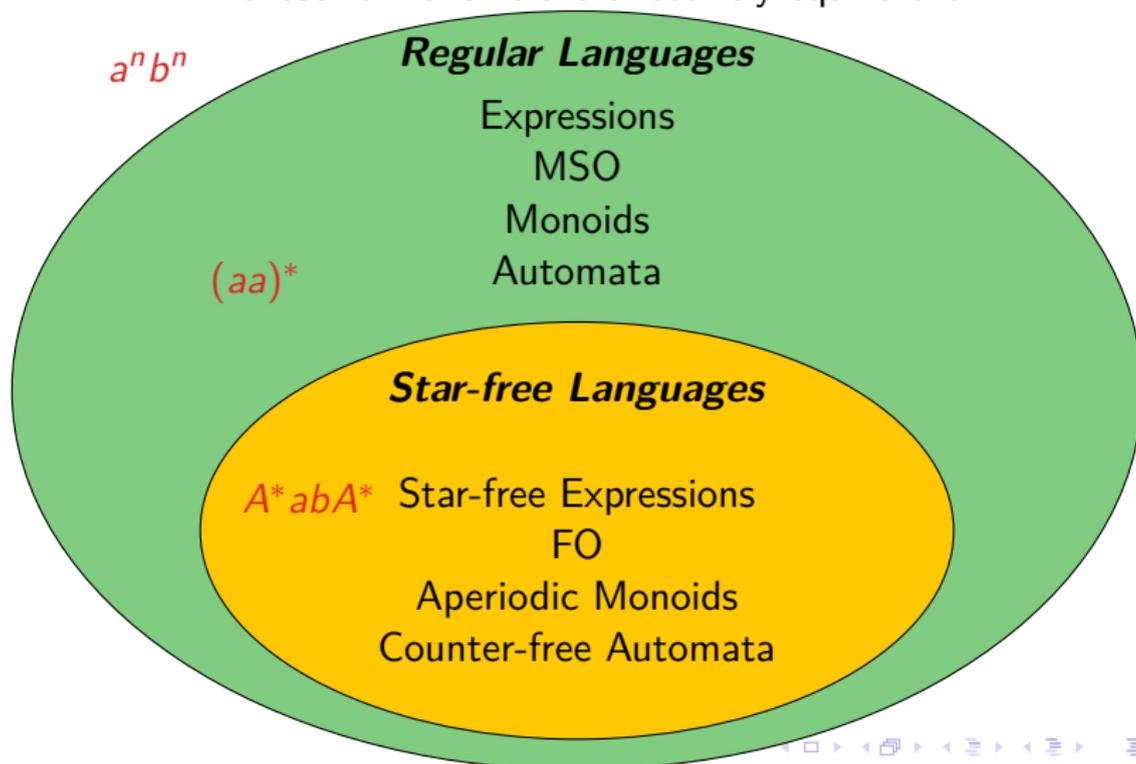
Language recognized : $L_{ab} = \{\text{words containing } ab\}$.

Other ways than automata to specify L_{ab} :

- **Regular expression** : A^*abA^* ,
- **Logical sentence (MSO)** : $\exists x \exists y a(x) \wedge b(y) \wedge (y = Sx)$.
- **Finite monoid** : $M = \{1, a, b, c, ba, 0\}$, $P = \{0\}$
 $ab = 0$, $aa = ca = a$, $bb = bc = b$, $cc = ac = cb = c$

Regular Languages

All these formalisms are effectively equivalent.



Historical motivation

Given a class of languages \mathcal{C} , is there an algorithm which given an automaton for L , decides whether $L \in \mathcal{C}$?

Theorem (Schützenberger 1965)

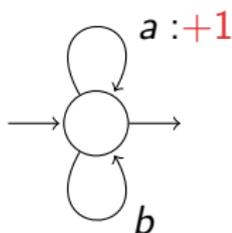
It is decidable whether a regular language is star-free, thanks to the equivalence with aperiodic monoids.

Finite Power Problem: Given L , is there n such that

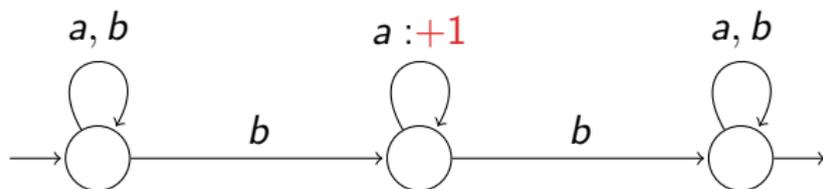
$$L^* = \varepsilon + L + L^2 + \dots + L^n ?$$

There is no known characterization on the monoid of L , other technics are needed to show decidability.

Distance Automata



\mathcal{A}_1 : number of a



\mathcal{A}_2 : smallest block of a

Unbounded: There are words with arbitrarily large value.

Deciding **Boundedness** for distance automata \Rightarrow solving finite power problem.

Theorem (Hashiguchi '82, Simon '90, Kirsten '05)

Boundedness is decidable for distance automata.

Cost automata over words

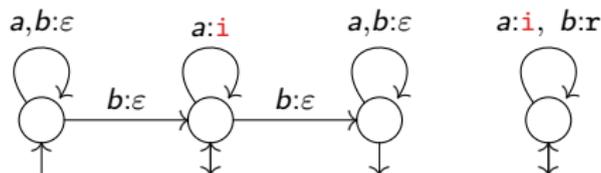
Generalisation of distance automata [Kirsten '05, Colcombet '09]:

B-automaton: Non-deterministic automaton + finite set of counters with operations (increment i , reset r , no change ε)

- Value of a run: highest value reached by a counter.
- Value of the automaton: infimum over runs.
- Computes a function $A^* \rightarrow \mathbb{N} \cup \{\infty\}$.

Example

B-automata computing the minimal and maximal length of a block of *a*'s.



A dual form exists: **S-automata**, with max and min reversed.

Cost regular expressions

B-expression:

$$E ::= a \mid \emptyset \mid E \cdot E \mid E + E \mid E^* \mid E^{\leq N}.$$

Only one N for the whole expression.

From B -expression to cost function: $\llbracket E \rrbracket_B : A^* \rightarrow \mathbb{N} \cup \{\infty\}$

$$\llbracket E \rrbracket_B(u) = \inf\{k \in \mathbb{N} \mid u \in L(E_k)\}.$$

Where E_k is the k -**unfolding** of E :

$a^{\leq N}$ becomes $\varepsilon + a + aa + aaa$ if $k = 3$.

Examples: $number_a = \llbracket b^*(ab^*)^{\leq N} \rrbracket_B$
 $minblock_a = \llbracket A^*ba^{\leq N}bA^* \rrbracket_B$

Dual form exists : **S-expressions** with $E^{>n}$.

Cost Monadic Second-Order Logic

Cost MSO = MSO + $|X| \leq N$.

- Negations pushed to the leaves.
- $|X| \leq N$ means that X contains at most N elements.

From formula to cost function:

$$\llbracket \varphi \rrbracket(u) = \inf \{k \in \mathbb{N} \mid u \models \varphi_k\}$$

where φ_k is the k -unfolding.

Examples: $number_a = \llbracket \forall X \ a(X) \Rightarrow |X| \leq N \rrbracket$.

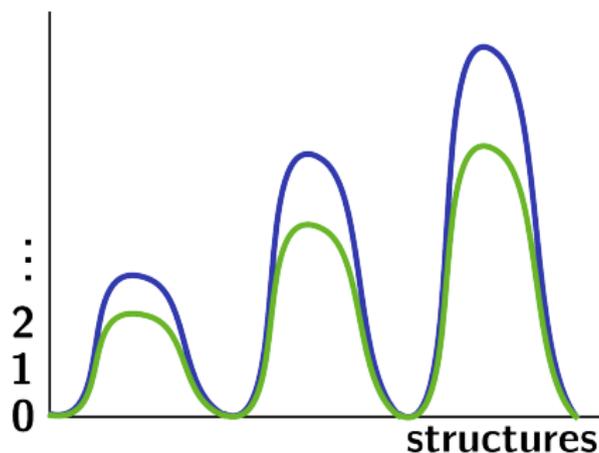
$minblock_a = \llbracket \exists X \ block_a(X) \wedge |X| \leq N \rrbracket$

($block_a(X)$) recognizes non-extensible blocks of a 's).

Boundedness relation

" $\llbracket \mathcal{A} \rrbracket = \llbracket \mathcal{B} \rrbracket$ ": undecidable for distance automata [Krob '94]

" $\llbracket \mathcal{A} \rrbracket \approx \llbracket \mathcal{B} \rrbracket$ ": decidable for B -automata (or S) [Colcombet '09]
for all subsets U , $\llbracket \mathcal{A} \rrbracket(U)$ bounded iff $\llbracket \mathcal{B} \rrbracket(U)$ bounded

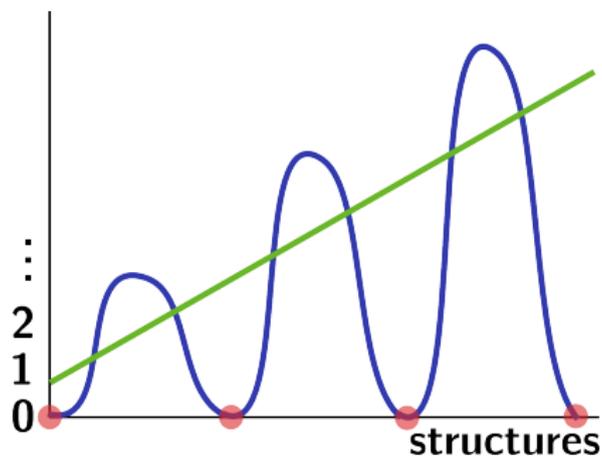


$$\llbracket \mathcal{A} \rrbracket \approx \llbracket \mathcal{B} \rrbracket$$

Boundedness relation

" $\llbracket \mathcal{A} \rrbracket = \llbracket \mathcal{B} \rrbracket$ ": undecidable for distance automata [Krob '94]

" $\llbracket \mathcal{A} \rrbracket \approx \llbracket \mathcal{B} \rrbracket$ ": decidable for B -automata (or S) [Colcombet '09]
for all subsets U , $\llbracket \mathcal{A} \rrbracket(U)$ bounded iff $\llbracket \mathcal{B} \rrbracket(U)$ bounded



$$\llbracket \mathcal{A} \rrbracket \not\approx \llbracket \mathcal{B} \rrbracket$$

Therefore we always identify two functions if they are bounded on the same sets.

Example

For any function f , we have $f \approx 2f \approx \exp(f)$.

But $(u \mapsto |u|_a) \not\approx (u \mapsto |u|_b)$, as witnessed by the set a^* .

Therefore we always identify two functions if they are bounded on the same sets.

Example

For any function f , we have $f \approx 2f \approx \exp(f)$.

But $(u \mapsto |u|_a) \not\approx (u \mapsto |u|_b)$, as witnessed by the set a^* .

Theorem (Colcombet '09)

Cost automata \Leftrightarrow *Cost expressions* \Leftrightarrow *Cost MSO*

\Leftrightarrow *Stabilisation monoids (next talk)*

Boundedness decidable.

All these equivalences are only valid up to \approx .

It generalizes regular languages and provides a toolbox to decide boundedness problems.

Distance automaton = B -automaton with 1 counter, no reset.

Question 1 : Can we **decide** the following problem ?

Input: A regular cost function f (given via any formalism).

Output: Is there a **distance** automaton for f ?

Motivation:

- Simpler structure
- Better algorithmic properties.
- Better cost approximations [Colcombet + Daviaud '13]

Question 2: Are there characterizations of **distance** functions in other formalisms ?

Characterizations

Theorem

The following are equivalent for a cost function f :

- 1 f is accepted by a *distance automaton*,
- 2 f is definable by a *cost MSO* formula of the form $\exists X, (\varphi(X) \wedge |X| \leq n)$ where φ does not contain any cost predicates,
- 3 f is accepted by a *B-expression* without any $\leq N$ nested under a star.
- 4 f is accepted by a *B-automaton* without reset.
- 5 f belongs to the smallest *class* of cost functions containing length and regular languages that is closed under min, max and inf-projections,
- 6 The syntactic *stabilisation monoid* of f has Min-property.
(Set of equations, *Decidable*)

Dual class

Max-automata: S-automata with 1 counter and no reset.

Dual notion of distance automata, equivalent to forms of register automata.

Same questions:

- 1 Given a regular cost function, is there a Max-automaton for it?
- 2 Can we characterize this class in other formalisms?

Additional motivation:

Evaluate the time complexity of programs
[Colcombet+Daviaud+Zuleger '13].

Characterizations of Max-functions

Theorem

The following are effectively equivalent for a cost function f :

- 1 f is accepted by a **max-automaton**,
- 2 f is definable by a **cost MSO** formula of the form $\psi \wedge \forall X, (\varphi(X) \rightarrow |X| \leq N)$ where ψ, φ do not contain cost predicates,
- 3 f is in the smallest **class** of cost functions that contains REG, length, and closed under min with REG, max, sup-projections, and composition with morphisms,
- 4 f is definable by an **S-expression** of the form $h + \sum_i e_i$ where h is a regular expression and each e_i is of the form $ef^{\geq N}g$ where e, f and g are regular expressions.
- 5 The syntactic **stabilisation monoid** of f has Max-property.
(Set of equations, **Decidable**)

Thank you !