

Positive first-order logic on words

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First-order logic, words

The FO^+ logic

A special language

Background: Lyndon's theorem

Undecidability result

First-order logic

Signature:

Predicate symbols (P_1, \dots, P_n) with arities k_1, \dots, k_n .

Syntax of First-Order Logic on a signature (P_1, \dots, P_n) :

$$\varphi, \psi := P_i(x_1, \dots, x_{k_i}) \mid \varphi \vee \psi \mid \varphi \wedge \psi \mid \neg\varphi \mid \exists x.\varphi \mid \forall x.\varphi$$

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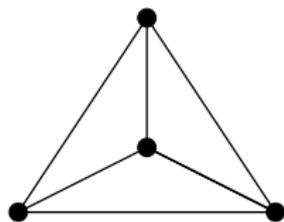
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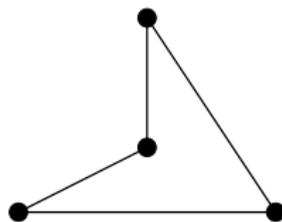
Semantics of φ : Set of Structures (X, R_1, \dots, R_n) .

Example: For graphs, Signature (E) .

- ▶ Cliques: $\varphi = \forall x.\forall y.E(x, y)$
- ▶ Graphs with no central hub: $\psi = \neg\exists x.\forall y.E(x, y)$



Model of φ



Model of ψ

FO on words, the usual way

Words on alphabet $A = \{a, b, c, \dots\}$: signature (\leq, a, b, c, \dots)

- ▶ $x \leq y$ means position x is before position y .
- ▶ $a(x)$ means position x is labelled by the letter a

Examples of formulas:

- ▶ $\exists x.a(x)$: words containing a . Language A^*aA^* .
- ▶ $\exists x, y.(x \leq y \wedge a(x) \wedge b(y))$. Language $A^*aA^*bA^*$.

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Characterization: “Counter-free” automata

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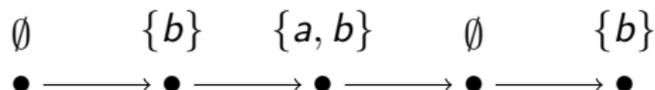
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→ Words on alphabet $\mathcal{P}(\{a, b, \dots\})$:



We will note $\Sigma = \{a, b, \dots\}$, and $A = 2^\Sigma$ the alphabet.

- ▶ Useful e.g. in verification: independent signals can be true or false simultaneously.

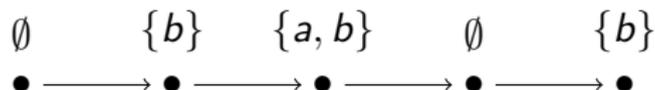
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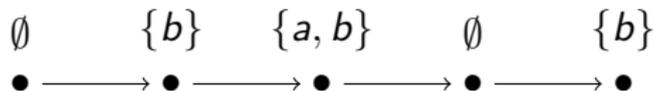
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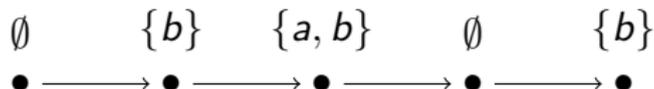
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- ▶ **This work:** study the negation-free fragment.

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FO⁺ Logic: a ranges over Σ , no \neg

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Question [Colcombet]: FO & monotone $\stackrel{?}{\Rightarrow}$ FO⁺

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Our first result

There is L **monotone**, FO-definable but not FO^+ -definable.

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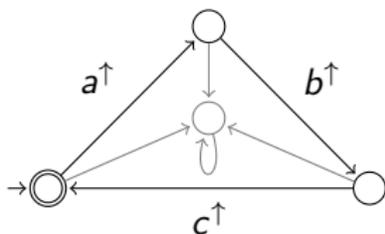
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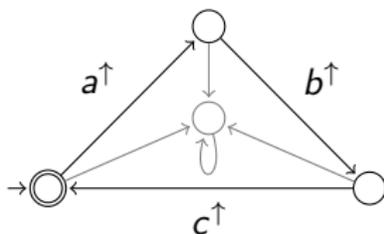
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To prove L is not FO^+ -definable: Ehrenfeucht-Fraïssé games.

Ehrenfeucht-Fraïssé games for FO

Definition (EF games)

Played on two words u, v . At each round i :

- ▶ **Spoiler** places token i in u or v .
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Example

Proving $(aa)^*$ is not FO-definable:

$$\begin{array}{l} u = a^{2k} \quad \in (aa)^* : \quad a a a a a a a a a a \\ v = a^{2k-1} \quad \notin (aa)^* : \quad a a a a a a a a a \end{array}$$

Proving FO^+ -undefinability

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New rule:

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Application: Proving L is not FO^+ -definable

$$\begin{array}{l} u \in L: \quad a \quad b \quad c \quad a \quad b \quad c \quad a \quad b \quad c \\ v \notin L: \quad \binom{a}{b} \binom{b}{c} \binom{c}{a} \binom{a}{b} \binom{b}{c} \binom{c}{a} \binom{a}{b} \binom{b}{c} \end{array}$$

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First-order logic on arbitrary structures, signature (P_1, \dots, P_k) .

Theorem (Lyndon 1959)

*Let $\varphi \in \text{FO}$, stable under making predicates true on more tuples.
Then φ is equivalent to a negation-free formula.*

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EF games on grid-like structures, *involved*
- ▶ [This work]
EF games on words, *elementary thanks to L*

Can we decide FO^+ -definability?

Theorem

Given L regular on an ordered alphabet, we can decide

- ▶ *whether L is monotone (e.g. automata inclusion)*
- ▶ *whether L is FO-definable [Schützenberger, McNaughton, Papert]*

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Reduction from *Turing Machine Mortality*:

A deterministic TM M is *mortal* if there a uniform bound n on the runs of M from **any** configuration.

Undecidable [Hooper 1966].

Undecidability proof sketch

Given a TM M , we build a regular language L such that

M mortal $\Leftrightarrow L$ is FO^+ -definable.

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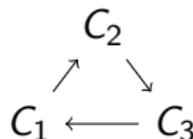
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Building L :

Inspired from $(a^\uparrow b^\uparrow c^\uparrow)^*$, but:

▶ $a, b, c \rightsquigarrow$ Words from C_1, C_2, C_3 encoding configs of M .

▶ All transitions of M follow the cycle:



▶ $\begin{pmatrix} a \\ b \end{pmatrix}, \begin{pmatrix} b \\ c \end{pmatrix}, \begin{pmatrix} c \\ a \end{pmatrix} \rightsquigarrow \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}$, exists iff $u_1 \xrightarrow{M} u_2$.

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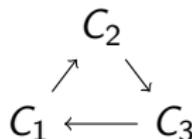
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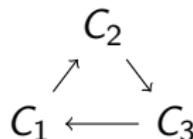
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$u \in L \not\Rightarrow u$ encodes a run of M .

The reduction

If M not mortal:

Let u_1, u_2, \dots, u_n a long run of M , and play **Duplicator** in :

$$\begin{array}{l} u \in L : u_1 \quad u_2 \quad u_3 \quad \dots \quad u_{n-1} \quad u_n \\ v \notin L : \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \quad \begin{pmatrix} u_2 \\ u_3 \end{pmatrix} \quad \begin{pmatrix} u_3 \\ u_4 \end{pmatrix} \quad \dots \quad \begin{pmatrix} u_{n-1} \\ u_n \end{pmatrix} \end{array}$$

$\rightarrow L$ is not FO^+ -definable.

The reduction

If M not mortal:

Let u_1, u_2, \dots, u_n a long run of M , and play **Duplicator** in :

$$\begin{array}{l} u \in L : \quad u_1 \quad u_2 \quad u_3 \quad \dots \quad u_{n-1} \quad u_n \\ v \notin L : \quad \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} \quad \begin{pmatrix} u_2 \\ u_3 \end{pmatrix} \quad \begin{pmatrix} u_3 \\ u_4 \end{pmatrix} \quad \dots \quad \begin{pmatrix} u_{n-1} \\ u_n \end{pmatrix} \end{array}$$

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If M mortal with bound n :

Abstract u_i by the length of the run of M starting in it (at most n).

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Play **Spoiler** in the abstracted game (here $n = 5$):

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Spoiler always wins in $2n$ rounds $\rightarrow L$ is FO^+ -definable.

Ongoing work

Encoding the counter-example in Graphs.

With Thomas Colcombet:

Exploring the consequences of this in other frameworks:

- ▶ regular cost functions,
- ▶ logics on linear orders,
- ▶ ...

Slogan:

FO variants without negation will often display this behaviour.

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Thanks for your attention !