# Fine-Grained Complexity of k-OPT in Bounded-Degree Graphs for Solving TSP

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#### 15 — Abstract -

The TRAVELING SALESMAN PROBLEM asks to find a minimum-weight Hamiltonian cycle in an 16 edge-weighted complete graph. Local search is a widely-employed strategy for finding good solutions 17 to TSP. A popular neighborhood operator for local search is k-opt, which turns a Hamiltonian 18 cycle  $\mathcal{C}$  into a new Hamiltonian cycle  $\mathcal{C}'$  by replacing k edges. We analyze the problem of determining 19 whether the weight of a given cycle can be decreased by a k-opt move. Earlier work has shown 20 that (i) assuming the Exponential Time Hypothesis, there is no algorithm that can detect whether 21 or not a given Hamiltonian cycle  $\mathcal{C}$  in an *n*-vertex input can be improved by a *k*-opt move in 22 time  $f(k)n^{o(k/\log k)}$  for any function f, while (ii) it is possible to improve on the brute-force running 23 time of  $\mathcal{O}(n^k)$  and save linear factors in the exponent. Modern TSP heuristics are very successful 24 at identifying the most promising edges to be used in k-opt moves, and experiments show that 25 very good global solutions can already be reached using only the top- $\mathcal{O}(1)$  most promising edges 26 incident to each vertex. This leads to the following question: can improving k-opt moves be found 27 efficiently in graphs of bounded degree? We answer this question in various regimes, presenting new 28 algorithms and conditional lower bounds. We show that the aforementioned ETH lower bound also 29 holds for graphs of maximum degree three, but that in bounded-degree graphs the best improving 30 k-move can be found in time  $\mathcal{O}(n^{(23/135+\epsilon_k)k})$ , where  $\lim_{k\to\infty} \epsilon_k = 0$ . This improves upon the 31 best-known bounds for general graphs. Due to its practical importance, we devote special attention 32 to the range of k in which improving k-moves in bounded-degree graphs can be found in quasi-linear 33 time. For  $k \leq 7$ , we give quasi-linear time algorithms for general weights. For k = 8 we obtain a 34 quasi-linear time algorithm when the weights are bounded by  $\mathcal{O}(\text{polylog } n)$ . On the other hand, based 35 on established fine-grained complexity hypotheses about the impossibility of detecting a triangle in 36 edge-linear time, we prove that the k = 9 case does not admit quasi-linear time algorithms. Hence 37 we fully characterize the values of k for which quasi-linear time algorithms exist for polylogarithmic 38 weights on bounded-degree graphs. 39

**2012 ACM Subject Classification** Theory of computation  $\rightarrow$  Graph algorithms analysis; Theory of 40

- computation  $\rightarrow$  Parameterized complexity and exact algorithms 41
- Keywords and phrases traveling salesman problem, k-OPT, bounded degree 42
- Digital Object Identifier 10.4230/LIPIcs.ESA.2019.21 43
- Funding Yoichi Iwata: Supported by JSPS KAKENHI Grant Number JP17K12643. 44

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- Bart M.P. Jansen: Supported by ERC Starting Grant 803421 ReduceSearch. 45
- Lukasz Kowalik: Supported by ERC Starting Grant TOTAL (grant agreement No 677651). 46

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27th Annual European Symposium on Algorithms (ESA 2019). Editors: Michael A. Bender, Ola Svensson, and Grzegorz Herman; Article No. 21; pp. 21:1–21:15 Leibniz International Proceedings in Informatics LIPICS Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

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Acknowledgements This research was initiated at the Shonan Meeting Parameterized Graph Algorithms & Data Reduction: Theory Meets Practice held during March 4-8, 2019 in Shonan Village

<sup>49</sup> Center, Japan. Yoichi Iwata thanks Kaggle Traveling Santa 2018 Competition for motivating him

to study practical TSP heuristics. He also thanks Shogo Murai for valuable discussion about the

 $_{51}$  possibility of faster *k*-OPT algorithms.

# <sup>52</sup> **1** Introduction

# <sup>53</sup> 1.1 Motivation

The TRAVELING SALESMAN PROBLEM (TSP) hardly needs an introduction; it is one of the 54 most important problems in combinatorial optimization, which asks to find a Hamiltonian 55 cycle of minimum weight in an edge-weighted complete graph. Local search is widely used 56 in practical TSP solvers [10, 11]. The most commonly used neighborhood is a k-move (or 57 k-opt move). A k-move on a Hamiltonian cycle  $\mathcal{C}$  is a pair  $(E^-, E^+)$  of edge sets such that 58  $E^- \subseteq E(\mathcal{C}), |E^-| = |E^+| = k$  and  $(\mathcal{C} \setminus E^-) \cup E^+$  is also a Hamiltonian cycle. Marx [13] 59 showed that finding an improving k-move (i.e., a k-move that results in a lighter Hamiltonian 60 cycle) is W[1]-hard parameterized by k, and this result was refined by Guo et al. [6] to 61 obtain an  $f(k)n^{\Omega(k/\log k)}$  lower bound under the Exponential Time Hypothesis (ETH). For 62 small values of k, the current fastest running time is  $\mathcal{O}(n^k)$  for k=2,3 (by exhaustive 63 search),  $\mathcal{O}(n^3)$  for k = 4 [4], and  $\mathcal{O}(n^{3.4})$  for k = 5 [3]. Moreover, de Berg et al. [4] and 64 Cygan et al. [3] showed that improving the running time to  $\mathcal{O}(n^{3-\epsilon})$  for k=3 or k=465 implies a breakthrough result of  $\mathcal{O}(n^{3-\delta})$ -time algorithm for ALL-PAIRS SHORTEST PATHS. 66 From the hardness shown by the theoretical studies, it seems that local search can be 67

applied only to small graphs. Nevertheless, state-of-the-art local search TSP solvers can deal
 with large graphs with tens of thousands of vertices. This is mainly due to the following two
 heuristics.

1. They sparsify the input graph by picking the top-d important incident edges for each vertex according to an appropriate importance measure. For example, Lin-Kernighan [12] picks the top-5 nearest neighbors, and its extension LKH [8] picks the top-5  $\alpha$ -nearest neighbors, where the  $\alpha$ -distance of an edge is the increase of the Held-Karp lower bound [7] by including the edge. The empirical evaluation by Helsgaun [8] showed that the sparsification by the  $\alpha$ -nearest neighbors can preserve almost optimal solutions.

2. They mainly focus on sequential k-moves. In general,  $E^- \cup E^+$  is a set of edge-disjoint 77 closed walks, each of which alternately uses edges in  $E^-$  and  $E^+$ . If it consists of a single 78 closed walk, the move is called sequential. Graphs of maximum degree d with n vertices 79 have at most  $n(2(d-2))^{k-1}$  sequential k-moves (n choices for the starting point, 2 choices 80 for the next edge in  $E^-$ , and at most d-2 choices for the next edge in  $E^+$ ), which 81 is linear in n when considering d and k as constants. On the other hand, linear-time 82 computation of non-sequential k-moves appears non-trivial. Lin-Kernighan does not 83 search for non-sequential moves at all, and after it finds a local optimum, it applies special 84 non-sequential 4-moves called *double bridges* to get out of the local optimum. LKH-2 [9] 85 improves Lin-Kernighan by heuristically searching for non-sequential moves during the 86 local search. 87

This state of affairs raises the following questions: what is the complexity of finding improving k-moves in bounded-degree graphs? How does the complexity scale with k, and can it be done efficiently for small values of k? Since improving *sequential moves* can be found in linear time for fixed k and d, to answer these questions we have to investigate non-sequential k-moves in bounded-degree graphs.

# **93 1.2 Our contributions**

We classify the complexity of finding improving k-moves in bounded-degree graphs in various 94 regimes. We present improved algorithms that exploit the degree restrictions using the 95 structure of k-moves, treewidth bounds, color-coding, and suitable data structures. We also 96 give new lower bounds based on the Exponential Time Hypothesis (ETH) and hypotheses 97 from fine-grained complexity concerning the complexity of detecting triangles. To state our 98 results in more detail, we first introduce the two problem variants we consider; a weak variant 99 to which our lower bounds already apply, and a harder variant which can be solved by our 100 algorithms. 101

k-opt Detection

**Parameter:** k.

Input: An undirected graph G, a weight function  $w: E(G) \to \mathbb{Z}$ , an integer k, and a Hamiltonian cycle  $\mathcal{C} \subseteq E(G)$ .

**Question:** Can C be changed into a Hamiltonian cycle of strictly smaller weight by a k-move?

The related optimization problem k-OPT OPTIMIZATION is to compute, given a Hamiltonian cycle in the graph, a k-move that gives the largest cost improvement, or report that no improving k-move exists. With this terminology, we describe our results.

We show that k-OPT DETECTION is unlikely to be fixed-parameter tractable on bounded-106 degree graphs: we give a new constant-degree lower-bound construction to show that there 107 is no function f for which k-OPT DETECTION on subcubic graphs with weights  $\{1,2\}$  can 108 be solved in time  $f(k) \cdot n^{o(k/\log k)}$ , unless ETH fails. Hence the running time lower bound 109 for general graphs by Guo et al. [6] continues to hold in this very restricted setting. While 110 the degree restriction does not make the problem fixed-parameter tractable, it is possible 111 to obtain faster algorithms. By adapting the approach of Cygan et al. [3], exploiting the 112 fact that the number of sequential moves is linear in n in bounded-degree graphs, and 113 proving a new upper bound on the pathwidth of an k-edge even graph, we show that k-114 OPT OPTIMIZATION in *n*-vertex graphs of maximum degree  $\mathcal{O}(1)$  can be solved in time 115  $\mathcal{O}(n^{(23/135+\epsilon_k)k}) = \mathcal{O}(n^{(0.1704+\epsilon_k)k})$ , where  $\lim_{k\to\infty} \epsilon_k = 0$ . This improves on the behavior 116 for general graphs, where the current-best running time [3] is  $\mathcal{O}(n^{(1/4+\epsilon_k)k})$ . 117

Since quasi-linear running times are most useful for dealing with large inputs, we perform 118 a fine-grained analysis of the range of k for which improving k-moves can be found in 119 time  $\mathcal{O}(n \operatorname{polylog} n)$  on *n*-vertex graphs. Observe that in the bounded-degree setting, the 120 number of edges m is  $\mathcal{O}(n)$ . We prove lower bounds using the hypothesis that detecting 121 a triangle in an unweighted graph cannot be done in nearly-linear time in the number of 122 edges m, which was formulated in several ways by Abboud and Vassilevska Williams [1, 123 Conjectures 2–3]. By an efficient reduction from TRIANGLE DETECTION, we show that an 124 algorithm with running time  $\mathcal{O}(n \operatorname{polylog} n)$  for 9-OPT DETECTION in subcubic graphs with 125 weights  $\{1, 2\}$  implies that a triangle in an *m*-edge graph can be found in time  $\mathcal{O}(m \operatorname{polylog} m)$ , 126 contradicting popular conjectures. We complement these lower bounds by quasi-linear 127 algorithms for all  $k \leq 8$  to obtain a complete dichotomy for the case of integer weights 128 bounded by  $\mathcal{O}(\text{polylog } n)$ . When the weights are not bounded, we obtain quasi-linear time 129 algorithms for all  $k \leq 7$ , leaving open only the case k = 8. 130

## 131 **1.3 Organization**

Preliminaries are presented in Section 2. In Section 3 we give faster XP algorithms for varying k. By refining these ideas, we give quasi-linear-time algorithms for  $k \le 8$  in Section 4. Section 5 gives the reduction from TRIANGLE DETECTION to establish a superlinear lower

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bound on subcubic graphs for k = 9. In Section 6 we describe the lower bound for varying k.

# <sup>136</sup> **2** Preliminaries

Given a graph G edge-weighted by  $w: E(G) \to \mathbb{Z}$ , and a subset  $F \subseteq E(G)$  of its edges, 137  $w(F) := \sum_{e \in F} w(e)$ . A k-move on a Hamiltonian cycle  $\mathcal{C}$  is pair  $(E^-, E^+)$  of edge sets such 138 that  $|E^-| = |E^+| = k$  and  $(\mathcal{C} \setminus E^-) \cup E^+$  is also a Hamiltonian cycle. A k-move is called 139 improving if  $w((\mathcal{C} \setminus E^-) \cup E^+) < w(\mathcal{C})$ , or equivalently and more simply  $w(E^+) < w(E^-)$ . 140 A necessary condition for a pair  $(E^-, E^+)$  to be a k-move is that the multiset of endpoints 141 of  $E^-$  is equal to the multiset of endpoints of  $E^+$ . An exchange  $(E^-, E^+)$  that satisfies this 142 condition is called a k-swap. We say that a k-swap results in the graph  $(\mathcal{C} \setminus E^-) \cup E^+$ . Note 143 that a k-swap always results in a spanning disjoint union of cycles. A k-swap resulting in a 144 graph with a single connected component is therefore a k-move. An infeasible k-swap is a 145 k-swap which is not a k-move. 146

We say that a k-swap  $(E^-, E^+)$  induces the graph  $E^- \cup E^+$ . As a slight abuse of 147 notation, a k-swap will sometimes directly refer to this graph. A k-swap  $(E^-, E^+)$  such 148 that all edges  $E^- \cup E^+$  are visited by a single closed walk alternating between  $E^-$  and  $E^+$ 149 is called *sequential*. In particular, in a simple graph, every 2-swap is sequential. One can 150 notice that an infeasible (sequential) 2-swap results in a disjoint union of exactly two cycles. 151 A k-move can always be decomposed into sequential  $k_i$ -swaps (with  $\sum k_i = k$ ) but some 152 k-moves cannot be decomposed into sequential  $k_i$ -moves. The quantity  $w(E^-) - w(E^+)$  is 153 called the gain of the swap  $(E^-, E^+)$ . We distinguish neutral swaps, with gain 0, improving 154 swaps, with strictly positive gain, and worsening swaps, with strictly negative gain. 155

For an integer n, we denote  $[n] = \{1, \ldots, n\}$ . A k-embedding (or shortly: embedding) is 156 an increasing function  $f: [k] \to [n]$ . A connection k-pattern (or shortly: connection pattern) 157 is a perfect matching in the complete graph on the vertex set [2k]. A pair (f, M) where f is 158 a k-embedding and M is a connection k-pattern, is an alternative description of a k-swap. 159 Indeed, let  $e_1, \ldots, e_n$  be subsequent edges of  $\mathcal{C}$ . Then,  $E^- = \{e_{f(i)} : i \in [k]\}$ . Vertices of the 160 connection pattern correspond to endpoints of  $E^-$ , i.e., vertices  $2i - 1, 2i \in [2k]$  correspond 161 to the left and right (in the clockwise order) endpoint of  $e_{f(i)}$ , respectively. Thus, edges of 162 the connection pattern correspond to a set  $E^+$  of |M| edges in G. We say that a k-swap 163  $(E^{-}, E^{+})$  fits into M if there is an embedding f such that (f, M) describes  $(E^{-}, E^{+})$ . Note 164 that every pair of an embedding and a connection pattern (f, M) describes exactly one 165 swap  $(E^-, E^+)$ . Conversely, for a swap  $(E^-, E^+)$  the corresponding embedding f is also 166 unique (and determined by  $E^-$ ). However, in case  $E^-$  contains incident edges, the swap fits 167 into more than one matching M (see Fig. 1). See [3] for a more formal description of the 168 equivalence. 169

The notion of a connection pattern can be extended to represent k'-swaps, for k' < k, as 170 follows. Note that a matching N in the complete graph on the vertex set [2k] corresponds to 171 an |N|-swap if and only if there is a set  $\iota(N) \subseteq [k]$  such that  $V(N) = \{2i - 1, 2i : i \in \iota(N)\}$ . 172 For a set  $X \subseteq [k]$ , by M[X] we denote the swap N such that  $\iota(N) = X$ . We say that a 173 connection pattern M decomposes into swaps  $N_1, \ldots, N_t$  when  $M = \bigcup_{i=1}^t N_i$  and each  $N_i$  is 174 a connection pattern of a swap. The notion of fitting extends to k'-swaps in the natural way. 175 Consider a connection pattern N of a swap, for  $V(N) \subseteq [2k]$ . We call N sequential if 176  $N \cup \{\{2i-1,2i\}: i \in \iota(N)\}$  forms a simple cycle. In particular, every connection pattern 177 can be decomposed into sequential connection patterns of (possibly shorter) swaps. The 178 correspondence between sequential swaps and sequential connection pattern is somewhat 179 delicate, so let us explain it in detail. 180



**Figure 1** A sequential swap (left) which fits two connection patterns (center, right). The pattern in the center is not sequential, while the pattern on the right is sequential. On the left the solid red edges are in  $E^-$ , the dashed green edges are in  $E^+$ , and the thin black edges are the remaining edges of the Hamiltonian cycle C. In the central and right pictures, the dashed green edges form some connection patterns.

Let N be a sequential connection pattern,  $V(N) \subseteq [2k]$ . Recall that for every embedding f there is exactly one |N|-swap  $(E^-, E^+)$  that fits into N. Clearly, this swap is sequential, since every edge in  $\{\{2i-1, 2i\}: i \in \iota(N)\}$  corresponds to an edge of  $E^-$  and every edge in N corresponds to an edge in  $E^+$ . Thus the resulting set of edges  $E^- \cup E^+$  forms a single closed walk. In particular, if the image of f contains two neigboring indices  $i, i + 1 \in [n]$ , the closed walk is not a simple cycle.

Conversely, it is possible that a sequential swap fits into a connection pattern which is 187 not sequential, see Fig. 1 for an example. However, every sequential  $\ell$ -swap  $(E^-, E^+)$  fits at 188 least one sequential connection pattern. This sequential connection pattern is determined by 189 the closed walk which certifies the sequentiality of the swap. Indeed, let  $E^- = \{e_{i_1}, \ldots, e_{i_\ell}\}$ 190 where  $i_1, \ldots, i_{\ell}$  is an increasing sequence. Let  $v_0, \ldots, v_{2\ell-1}$  be the closed walk alternating 191 between  $E^-$  and  $E^+$ , in particular assume that  $E^- = \{v_i v_{i+1} : i \text{ is even}\}$ . Consider any 192  $i = 0, \ldots, \ell - 1$  and the corresponding edge  $e_{i_j} = v_{2i}v_{2i+1}$  in  $E^-$ , for some  $j \in [\ell]$ . If  $v_{2i}$ 193 is the left endpoint of  $e_{i_i}$ , we put  $w_{2i} = 2j - 1$  and  $w_{2i+1} = 2j$ , otherwise  $w_{2i} = 2j$  and 194  $w_{2i+1} = 2j - 1$ . Then  $w_0, \ldots, w_{2\ell-1}$  is a simple cycle and  $N = \{w_i w_{i+1} : i \text{ is odd}\}$  is a 195 sequential connection pattern. By construction,  $(E^-, E^+)$  fits N, as required. Keeping in 196 mind the nuances in the notions of sequential swaps and corresponding sequential connection 197 patterns, for simplicity, we will often just say 'a sequential swap M' for a matching M, instead 198 of the more formal 'a sequential connection pattern M of a swap'. 199

Fix a connection pattern M and let  $f: S \to [n]$  be a partial embedding, for some  $S \subseteq [k]$ . For every  $j \in S$ , let  $v_{2j-1}$  and  $v_{2j}$  be the left and right endpoint of  $e_{f(j)}$ , respectively. We define

$$E_{f}^{-} = \{e_{f(i)} \mid i \in S\},$$

$$E_{f}^{+} = \{\{v_{i'}, v_{j'}\} \mid i, j \in S, i' \in \{2i - 1, 2i\}, j' \in \{2j - 1, 2j\}, \{i', j'\} \in \{2j - 1, 2j\}, \{i', j'\}, \{i', j'\}, \{i', j'\}\} \in \{2j - 1, 2j\}, \{i', j'\}, \{i',$$

206 Then, 
$$\operatorname{gain}_{M}(f) = w(E_{f}^{-}) - w(E_{f}^{+}).$$

# J Fast XP algorithms

For every fixed integers k and d, the number of sequential k-swaps in a graph of maximum degree d is  $\mathcal{O}(n)$ , and we can enumerate all of them in the same running time. Therefore, we can find the best improving k-move that can be decomposed into at most c sequential

M.

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<sup>211</sup> k-swaps in  $\mathcal{O}(n^c)$  time. Because c is at most  $\lfloor \frac{k}{2} \rfloor$ , we obtain an  $\mathcal{O}(n^{\lfloor \frac{k}{2} \rfloor})$ -time algorithm for <sup>212</sup> k-OPT OPTIMIZATION. In what follows, we will improve this naive algorithm. Below we <sup>213</sup> present a relatively simple algorithm which exploits the range tree data structure [15] and <sup>214</sup> achieves running time roughly the same as the more sophisticated algorithm of Cygan et <sup>215</sup> al. [3] for general graphs.

▶ **Theorem 1.** For every fixed integers k, c, and d, there is an  $\mathcal{O}(n^{\lceil \frac{c}{2} \rceil} \operatorname{polylog} n)$ -time algorithm to compute the best improving k-move that can be decomposed into c sequential swaps in graphs of maximum degree d.

**Proof.** When c = 1, we can use the naive algorithm. Suppose  $c \ge 2$  and let  $h := \lfloor \frac{c}{2} \rfloor$ .

For each possible connection pattern M consisting of c sequential swaps, we find the best embedding as follows. Let  $M = \bigcup_{i=1}^{c} N_i$ , where each  $N_i$  corresponds to a sequential swap. We split M into two parts  $M_L = \bigcup_{i=1}^{h} N_i$  and  $M_R = \bigcup_{i=h+1}^{c} N_i$  and we define  $L = \bigcup_{i=1}^{h} \iota(N_i)$  and  $R = \bigcup_{i=h+1}^{c} \iota(N_i)$ . Note that  $L \uplus R = [k]$ . Let  $f_L \colon L \to [n]$  and  $f_R \colon R \to [n]$  be embeddings of L and R, respectively. The union of these two embeddings results in an embedding of [k] if and only if the following conditions hold.

For each  $i \in [k-1]$  with  $i \in L$  and  $i+1 \in R$ ,  $f_L(i) < f_R(i+1)$  holds.

For each  $i \in [k-1]$  with  $i \in R$  and  $i+1 \in L$ ,  $f_R(i) < f_L(i+1)$  holds.

We can efficiently compute a pair of embeddings satisfying these conditions using an ortho-228 gonal range maximum data structure as follows. Let  $\{l_1, \ldots, l_p\} = \{i: l_i \in L \text{ and } l_i + 1 \in R\}$ 229 and let  $\{r_1, \ldots, r_q\} = \{i: r_i - 1 \in R \text{ and } r_i \in L\}$ . We first enumerate all the |L|-swaps that 230 fit into  $M_L$  and all the |R|-swaps that fit into  $M_R$ , in  $\mathcal{O}(n^h)$  time. For each such |L|-swap 231  $(f_L, M_L)$ , we create a (p+q)-dimensional point  $(f_L(l_1), \ldots, f_L(l_p), f_L(r_1), \ldots, f_L(r_q))$  with 232 a priority  $\operatorname{gain}_{M_L}(f_L)$ , and we collect these points into a data structure. It stores  $\mathcal{O}(n^h)$ 233 points. For each |R|-swap  $(f_R, M_R)$ , we query for the embedding  $f_L$  of maximum priority 234 satisfying  $f_L(l_i) < f_R(l_i+1)$  for every  $i \in [p]$  and  $f_R(r_i-1) < f_L(r_i)$  for every  $i \in [q]$ , and 235 we answer the pair maximizing the total gain, i.e., the sum  $\operatorname{gain}_{M_L}(f_L) + \operatorname{gain}_{M_R}(f_R)$ . Using the range tree data structure [15], each query takes  $\mathcal{O}(\log^{p+q} n^h) = \mathcal{O}(\operatorname{polylog} n)$  time, so 236 237 the total running time is  $\mathcal{O}(n^h \operatorname{polylog} n)$ . 238

Since  $c \leq \lfloor \frac{k}{2} \rfloor$  we get the following corollary.

**Corollary 2.** For all fixed integers k and d, k-OPT OPTIMIZATION in graphs of maximum degree d can be solved in time  $\mathcal{O}(n^{\lceil \frac{k-1}{4} \rceil} \operatorname{polylog} n)$ .

Let us take another look at the proof of Theorem 1. Recall that for merging embeddings 242  $f_L$  and  $f_R$ , we were interested only in values  $f_L(i)$  for  $i \in L$  such that  $i + 1 \in R$  or  $i - 1 \in R$ . 243 The embeddings of the remaining elements of L were forgotten at that stage, but we knew 244 that it is possible to embed them and we stored the gain of embedding them. This suggests 245 the following, different approach. We decompose the connection pattern into sequential 246 swaps and we scan the swaps in a carefully chosen order. Assume we scanned t swaps already 247 and there are c-t swaps ahead. Assume that only  $p \ll t$  of the t 'boundary' swaps interact 248 with the remaining c-t swaps, where two swaps  $N_1$  and  $N_2$  interact when there is  $i \in \iota(N_1)$ 249 such that  $i - 1 \in \iota(N_2)$  or  $i + 1 \in \iota(N_2)$ . Then it suffices to compute, for every embedding 250  $f_L$  of the p swaps, the gain of the best (i.e., giving the highest gain) embedding  $g_L$  of the t 251 swaps, such that  $f_L$  matches  $g_L$  on the boundary swaps. This amounts to  $\mathcal{O}(n^p)$  values to 252 compute, since each sequential swap can be embedded in  $\mathcal{O}(n)$  ways, if k and the maximum 253 degree are  $\mathcal{O}(1)$ . The idea is to (1) compute these values fast (in time linear in their number) 254 using analogous values computed for the prefix of t-1 swaps, (2) find an order of swaps 255

so that p is always small, namely  $p \leq (23/135 + \epsilon_k)k$ . The readers familiar with the notion of pathwidth recognize that p here is just the pathwidth of the graph obtained from the path  $1, 2, \ldots, k$  by identifying vertices in the set  $\iota(N)$  for every sequential swap N in M, and that (2) is just dynamic programming over path decomposition. The resulting algorithm is summarized in Theorem 3, and due to space limits, its formal proof is skipped here and will be included in the full version.

▶ **Theorem 3.** For all fixed integers k and d, k-OPT OPTIMIZATION in graphs of maximum degree d can be solved in time  $\mathcal{O}(n^{(23/135+\epsilon_k)k}) = \mathcal{O}(n^{(0.1704+\epsilon_k)k})$ , where  $\lim_{k\to\infty} \epsilon_k = 0$ .

# <sup>264</sup> **4** Fast algorithms for small k

Note that the algorithm for k-OPT OPTIMIZATION from Corollary 2 is quasi-linear for  $k \leq 5$ . In this section we extend the quasi-linear-time solvability to  $k \leq 7$  for k-OPT DETECTION. Under an additional assumption of bounded weights, we are able to reach quasi-linear time for k = 8 as well, but the details of this part are deferred to the full version because of space constraints. To be precise, in the k = 7 case we prove the following stronger statement than just finding an arbitrary improving k-move.

▶ **Theorem 4.** For  $k \le 7$ , there is a quasi-linear-time algorithm to compute the best improving k-move in bounded-degree graphs under the assumption that there are no improving k'-moves for k' < k.

We say that a connection pattern M of k-swaps is *reducible* if it can be decomposed into two moves. Note that if M is improving, then at least one of the two moves is improving, contradicting the assumption of Theorem 4.

<sup>277</sup>  $\triangleright$  Observation 5. If there are no improving k'-moves for k' < k, then no improving k-swap <sup>278</sup> fits into a reducible connection pattern.

Before we formulate our algorithm, we need two lemmas. We can prove these lemmas by case analysis, and because of the space constraints, their proofs are skipped here and will be included in the full version. Let M[X] and M[Y] be two swaps in a connection pattern M, for some disjoint  $X, Y \subseteq [k]$ . Interaction between M[X] and M[Y] is any  $i \in [k-1]$  such that  $i \in X$  and  $i + 1 \in Y$  or  $i \in Y$  and  $i + 1 \in X$ .

**Lemma 6.** For any  $k \ge 6$ , there is no feasible and irreducible connection k-pattern that contains two 2-swaps that interact at least twice.

Let M be a connection pattern, i.e., a perfect matching on vertices [2k]. We say that M'is obtained from M by swapping i and i + 1, for  $i \in [k]$ , when M' is obtained from M by swapping the mates of 2i - 1 and 2i + 1 and swapping the mates of 2i and 2i + 2.

▶ Lemma 7. Let M be a feasible irreducible connection k-pattern. Assume that M decomposes into three sequential swaps M[X], M[Y], and M[Z], such that |X| = |Y| = 2. If there is exactly one index  $i \in [k-1]$  with  $i \in X$  and  $i+1 \in Y$  or  $i \in Y$  and  $i+1 \in X$ , the connection pattern M' obtained from M by swapping i and i+1 is either feasible or reducible.

Now we are ready to describe the algorithm from Theorem 4 (see also Pseudocode 1). For each feasible and irreducible connection k-pattern M, we compute the best embedding as follows. If M consists of at most two sequential swaps, we can use the algorithm in Theorem 1. Otherwise, M consists of three sequential swaps M[X], M[Y], M[Z] such that

<b>Pseudocode 1</b> Quasi-linear-time algorithm for $k \leq 7$	
1: 1	for each feasible irreducible connection $k$ -pattern $M$ do
2:	if $M$ consists of at most two sequential swaps then
3:	Apply the algorithm in Theorem 1.
4:	else
5:	Let $M = M[X] \uplus M[Y] \uplus M[Z]$ where $ X  =  Y  = 2$ and $ Z  = k - 4$ .
6:	if there are no interactions between $X$ and $Y$ then
7:	for each embedding $f_Z$ for $Z$ do
8:	Independently compute the best embeddings $f_X$ for X and $f_Y$ for Y.
9:	else
10:	Relax the constraint $f_X(i) < f_Y(i+1)$ to $f_X(i) \neq f_Y(i+1)$ .
11:	for each embedding $f_Z$ for $Z$ do
12:	Compute the best pair $(f_X, f_Y)$ satisfying the relaxed constraints.

<sup>297</sup>  $X \uplus Y \uplus Z = [k], |X| = |Y| = 2$  and |Z| = k - 4. For each embedding  $f_X : X \to [n]$  of <sup>298</sup>  $X = \{i, j\}$  we create a 2-dimensional point  $(f_X(i), f_X(j))$  with priority  $\operatorname{gain}_X(f_X)$  and we <sup>299</sup> put all the points in a range tree data structure  $D_X$  [15]. We build an analogous data <sup>300</sup> structure for Y. Next, for each embedding  $f_Z$  for Z, we compute the best pair of embeddings <sup>301</sup>  $(f_X, f_Y)$  for X and Y as follows.

If there are no interactions between X and Y, we can find the best pair in  $\mathcal{O}(\text{polylog } n)$ 302 time by independently picking the best embeddings for X and Y by querying the range trees 303  $D_X$  and  $D_Y$ . Indeed, first note that there is no index  $i \in [k-1]$  such that  $X = \{i, i+1\}$  because 304 in such a case, both the 2-swap and the remaining (k-2)-swap have to be feasible (similarly 305 for Y). Since there are no interactions between X and Y, we must have  $i - 1 \in Z \cup \{0\}$  and 306  $i+1 \in Z \cup \{k+1\}$  for every  $i \in X \cup Y$ . To find the best embedding  $f_X$  of  $X = \{i, j\}$ , we query 307  $D_X$  with the constraints  $f_Z(i-1) < f_X(i) < f_Z(i+1)$  and  $f_Z(j-1) < f_X(j) < f_Z(j+1)$ , 308 where we define  $f_Z(0) := 0$  and  $f_Z(k+1) := n+1$ . We proceed analogously for Y. 309

Finally, assume there are interactions between X and Y, so from Lemma 6, there is exactly 310 one interaction. W.l.o.g.  $i \in X$  and  $i+1 \in Y$ . Note that  $i-1 \in Z \cup \{0\}$  and  $i+2 \in Z \cup \{k+1\}$ . 311 We first relax the constraint  $f_Z(i-1) < f_X(i) < f_Y(i+1) < f_Z(i+2)$ , where we define 312  $f_Z(0) := 0$  and  $f_Z(k+1) := n+1$ , to three constraints  $f_Z(i-1) < f_X(i) < f_Z(i+2)$ , 313  $f_Z(i-1) < f_Y(i+1) < f_Z(i+2)$ , and  $f_X(i) \neq f_Y(i+1)$ . We then drop the disturbing 314 inequality constraint  $f_X(i) \neq f_Y(i+1)$  by color-coding<sup>1</sup>. We color each vertex in [n] in red 315 or blue, and we independently pick the best embedding for X (resp. Y) that uses only red 316 (resp. blue) vertices. By using a family of perfect hash functions [5], we can construct a set 317 of  $\mathcal{O}(\log^2 n)$  colorings such that, for every pair of embeddings  $f_X$  and  $f_Y$ , there is at least 318 one coloring that colors all the vertices in  $f_X$  red and all the vertices in  $f_Y$  blue. 319

We now obtain the best pair of embeddings  $(f_X, f_Y)$  satisfying the relaxed constraints. If the obtained k-swap is not improving, we immediately know that there are no improving k-moves that fit into M. If it is improving and satisfies the original constraint, we are done. Finally, if it is improving but does not satisfy the original constraint, it fits into the connection pattern M' that is obtained from M by swapping i and i + 1. By Lemma 7, M'

<sup>&</sup>lt;sup>1</sup> Instead of color-coding, we can adapt the range tree to support orthogonal range maximum queries with an additional constraint of the form  $x \neq i$  by keeping one additional point in each node. With this approach, we can avoid the additional  $\log^2 n$  factor. Because this paper does not focus on optimizing the polylog *n* factor, we do not touch on the details.



**Figure 2** An instance of TRIANGLE DETECTION

is either feasible or reducible. Because no improving k-swaps fit into reducible connection patterns, M' has to be feasible. We therefore obtain a k-move that is as good as the best k-move that fits into M. This completes the proof of Theorem 4.

We finally consider the case of k = 8. Note that, because Lemma 6 and 7 do not 328 assume  $k \leq 7$ , the above algorithm can also compute the best improving k-move that can be 329 decomposed into three sequential swaps of size (2, 2, k-4) for any fixed k under the same 330 assumption. Moreover, any connection patterns of 8-moves consisting of four 2-swaps are 331 reducible because it always induces a pair of two 2-swaps that interact at least twice. The 332 remaining case for k = 8 is only when the 8-move can be decomposed into three sequential 333 swaps of size (2,3,3). In order to tackle this case, we exploit the bounded-weight assumption 334 as follows. For each connection pattern  $M = M[X] \uplus M[Y] \uplus M[Z]$  with |X| = 2 and 335 |Y| = |Z| = 3, and for each embedding  $f_Z$  for Z, we want to compute the best pair of 336 embeddings  $f_X$  for X and  $f_Y$  for Y. When all the weights are integers from [W], the gain 337 of  $(f_X, M[X])$  is an integer from [-2W, 2W], and the gain  $(f_Y, M[Y])$  is an integer from 338 [-3W, 3W]. We therefore have only  $\mathcal{O}(W^2)$  pairs of gains. By guessing the pair of gains, the 339 query of finding the *best* pair can be reduced to the query of finding an *arbitrary* pair, and 340 the latter query can be efficiently answered by adapting the range tree. This leads to the 341 following algorithm, whose detailed description is skipped here and will be included in the 342 full version. 343

**Theorem 8.** When all the weights are integers from [W], there is an  $\mathcal{O}(W^2n \operatorname{polylog} n)$ time algorithm to compute the best improving 8-move under the assumption that there are no improving k'-moves for k' < 8.

#### <sup>347</sup> **5** Lower bound for k = 9

The starting point for our reduction is the following problem (see Fig. 2 for an exemplary instance).

	TRIANGLE DETECTION <b>Parameter:</b> $m :=  E(H) $ .
350	<b>Input:</b> An undirected graph H whose vertex set $V(H)$ is partitioned into $A \cup B \cup C$ .
	<b>Question:</b> Is there a triple $(a, b, c) \in A \times B \times C$ such that $\{ab, ac, bc\} \subseteq E(H)$ ?

We assume without loss of generality that A, B, and C are three independent sets, so that finding such a triple is equivalent to finding a triangle in the graph H. By simple reductions that incur only a constant blow-up in the number of vertices and edges, this problem is equivalent to determining whether a graph has a triangle or not.

<sup>355</sup>  $\triangleright$  Assumption 1 (Triangle hypothesis [1]). There is a fixed  $\delta > 0$  such that, in the Word RAM <sup>356</sup> model with words of  $\mathcal{O}(\log n)$  bits, any algorithm requires  $m^{1+\delta-o(1)}$  time in expectation to <sup>357</sup> detect whether an *m*-edge graph contains a triangle.

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It should be noted that one can solve TRIANGLE DETECTION in time  $\mathcal{O}(n^{\omega})$  where n is 358 the number of vertices and  $\omega \leq 2.373$  is the best-known exponent for matrix multiplication. 359 Alon et al. [2] found an elegant win-win argument to solve TRIANGLE DETECTION in time 360  $\mathcal{O}(m^{\frac{2\omega}{\omega+1}})$ : the 3-vertex paths in which the middle vertex has degree less than  $m^{\frac{\omega-1}{\omega+1}}$  can be 361 listed in time  $\mathcal{O}(m \cdot m^{\frac{\omega-1}{\omega+1}}) = \mathcal{O}(m^{\frac{2\omega}{\omega+1}})$ , and for each, one can check if they form a triangle, 362 whereas the number of vertices of degree greater than  $m^{\frac{\omega-1}{\omega+1}}$  is at most  $m^{\frac{2}{\omega+1}}$ , so one can 363 detect a triangle in time  $\mathcal{O}(m^{\frac{2\omega}{\omega+1}})$  in the subgraph that they induce. After more than two 364 decades, this is still the best worst-case running time (when  $n^{\omega} = \Omega(m^{\frac{\omega}{\omega+1}})$ ). This suggests 365 that the triangle hypothesis is likely to hold. Moreover, if one thinks that the above scheme 366 yields the best possible running time and that  $\omega$  will eventually reach 2, then exponent 4/3 367 could be the *right answer* for TRIANGLE DETECTION parameterized by the number of edges. 368 The following is implied by [1, Conjecture 2] (since  $\omega \geq 2$ ), in the regime  $m = \Theta(n^{3/2})$  (so 369 that  $\mathcal{O}(n^2)$  and  $\mathcal{O}(m^{4/3})$  coincide). 370

<sup>371</sup>  $\triangleright$  Assumption 2. In the Word RAM model with words of  $\mathcal{O}(\log n)$  bits, any algorithm <sup>372</sup> requires  $m^{4/3-o(1)}$  time in expectation to detect whether an *m*-edge  $\Theta(m^{2/3})$ -node graph <sup>373</sup> contains a triangle.

We show that SUBCUBIC 9-OPT DETECTION parameterized by the number of vertices is as hard as TRIANGLE DETECTION parameterized by the number of edges, by providing a linear-time reduction from the latter to the former. In light of Theorem 4, this implies that BOUNDED-DEGREE 8-OPT DETECTION is the only remaining open case where a quasilinear algorithm is not known but also not ruled out by a standard fine-grained complexity assumption.

**Lemma 9.** There is an  $\mathcal{O}(m)$ -time reduction from TRIANGLE DETECTION on m-edge graphs to SUBCUBIC 9-OPT DETECTION on  $\mathcal{O}(m)$ -vertex undirected graphs with edge weights in  $\{1, 2\}$ .

Proof. From a tripartitioned instance of TRIANGLE DETECTION  $H = (A \cup B \cup C, E(H))$ with *m* edges, we build a subcubic graph *G* with  $\Theta(m)$  vertices, an edge-weight function  $w: E(G) \to \{1, 2\}$ , and a Hamiltonian cycle *C*. From *C*, there is a swap of up to 9 edges (i.e., up to 9 deletions and the same number of additions) which results in a lighter Hamiltonian cycle if and only if *H* has a triangle.

#### **Overall construction of** G.

We will build G by adding chords to the cycle C. Henceforth, a *chord* is an edge of G 389 which is not in  $\mathcal{C}$ . It is helpful to think  $\mathcal{C}$  as a (subdivided) triangle whose three sides 390 correspond to A, B, and C, which we call the A-side (left), B-side (right), and C-side 391 (bottom), respectively. We will only name the edges of G (and not the vertices), since the 392 problem is more efficiently described in terms of edges. We will define some sequential 393 3-swaps (we recall that a sequential i-swap is a closed walk of length 2i alternating edges 394 of  $E(\mathcal{C})$  and edges of  $E(G) \setminus E(\mathcal{C})$ . Eventually, all the edges that are not in a described 395 sequential 3-swap are incident to a vertex of degree 2, making them undeletable. (One can 396 also enforce that by subdividing every irrelevant edge once.) 397

The improving 9-move, should there be a triangle abc in H, will consist of a sequence of three 3-swaps. More precisely, it consists of one improving 3-swap, which splits C into three cycles respectively containing:

(1) a part of the vertex gadget of some  $a \in A$ ,

 $_{402}$  (2) the part of the *B*-side below the vertex gadget of *b*, as well as the *C*-side, and

(3) the part of the *B*-side above the vertex gadget of some  $b \in N_H(a) \cap B$ .

<sup>404</sup> This decreases the total weight by 1. Then a neutral 3-swap reconnects (1) and (2) together,

<sup>405</sup> but also detaches (4) a part of the vertex gadget of some  $c \in N_H(a) \cap C$ . Finally a neutral <sup>406</sup> 3-swap glues (3), (1)+(2), and (4) together, provided  $bc \in E(H)$ . This results in a new <sup>407</sup> Hamiltonian cycle of length  $w(\mathcal{C}) - 1$ .

There will be relatively few edges of weight 2. To simplify the presentation, every edge is of weight 1, unless specified otherwise. Let  $\vec{H}$  be the directed graph obtained from H by orienting its edges from A to B, from B to C, and from C to A. Note that finding a directed triangle in  $\vec{H}$  is equivalent to finding a triangle in H.

#### <sup>412</sup> Vertex scopes, extended scopes, and nested chords.

For  $(X,Y) \in \{(A,B), (B,C), (C,A)\}$ , we set  $Z := \{A,B,C\} \setminus \{X,Y\}$  and we do the 413 following as a preparatory step to encode the arcs of H. Each vertex  $v \in X$  is given 414 a (pairwise vertex-disjoint) subpath  $I_v$  of  $\mathcal{C}$ , called the *extended scope* of v, with  $|I_v| :=$ 415  $6(|N_H(v) \cap Y|) + 3(|N_H(v) \cap Z|) - 1$  vertices. We think of  $I_v$  as being displayed from left to 416 right with the leftmost vertex of index 1, and the rightmost one of index  $|I_{v}|$ . The extended 417 scopes of the vertices of A, B, and C occupy respectively the A-side, B-side, and C-side. In 418 what follows, it will be more convenient to have a *circular* notion of *left* and *right*. Starting 419 from the bottom corner of the A-side, and going clockwise to the top corner of the A-side, 420 then down to the bottom corner of the B-side, the relative left and right within the A-side 421 and the B-side coincide with the usual notion as displayed in Figure 3a. But then closing 422 the loop from the right corner of the C-side to its left corner, left and right are switched: the 423 closer to the bottom corner of A (resp. B), the more "right" (resp. "left"). 424

Each vertex  $v \in X$  has  $|N_H(v) \cap Y|$  nested chords spaced out every three vertices. More 425 precisely, the second vertex of  $I_v$  is adjacent to the penultimate, the fifth to the one of index 426  $|I_v| - 4$ , the eighth to the one of index  $|I_v| - 7$ , and so on, until  $|N_H(v) \cap Y|$  chords are 427 drawn. Each of these chords is associated to an edge  $vy \in E(\{v\}, Y)$ , and is denoted by  $\underline{vy}$ . 428 A vertex just to the right of the left endpoint, or just to the left of the right endpoint, of 429 such a chord will remain of degree 2 in G. This is the case of the vertices of index  $3, 6, \ldots$ 430 and  $|I_v| - 2, |I_v| - 5, \ldots$  in  $I_v$ . We call  $l^-(v, y)$  (resp.  $r^-(v, y)$ ) the edge of  $I_v$  incident to 431 both the left endpoint of  $\underline{vy}$  and the vertex just to its left (resp. right endpoint of  $\underline{vy}$  and 432 the vertex just to its right). Both endpoints of  $l^{-}(v, y)$  and of  $r^{-}(v, y)$  will eventually have 433 degree 3 in G. 434

The chord linking the most distant vertices in  $I_v$  is called the *outermost* chord, while 435 the one linking the closest pair is called the *innermost* chord. We also say that a chord e is 436 wider than a chord e' if e links a farther pair on  $I_v$  than e' does. The central path  $J_v \subset I_v$ 437 on  $|I_v| - (6|N_H(v) \cap Y| - 4) = 3(|N_H(v) \cap Z| + 1)$  vertices, surrounded by the innermost 438 chord, is called the *scope* of v. We map in one-to-one correspondence the edges of  $E(\{v\}, Z)$ 439 to every three edges of  $J_v$  starting from the third edge (that is, the third, sixth, and so on). 440 Note that we have the exact space to do so, since  $|J_v| = 3(|N_H(v) \cap Z| + 1)$ . We denote by 441  $z\underline{v}$  the edge in  $J_v$  corresponding to the edge  $vz \in E(\{v\}, Z)$ . 442

#### 443 Encoding the arcs of $\dot{H}$ .

The last step to encode the arcs of  $\vec{H}$ , or equivalently the edges of H, is the following. Keeping the notations of the previous paragraphs, for every edge  $xy \in E(X, Y)$ , we add two

chords (of weight 1): one chord  $l^+(x,y)$  between the left endpoint of  $l^-(x,y)$  and the right

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(a) The construction for the instance of Figure 2. Edges of C are in black, chords are in red, bold edges are the ones with weight 2. The three chords in blue are the edges to add to perform the neutral 3-swap S(5,1) of S(C, A).



(b) The 9-move corresponding to the triangle 135 results in a Hamiltonian cycle using one less edge of weight 2. Note that after the swaps S(1,3) and S(5,1) are performed, the only 3-swap that can reconnect the three cycles into one, is S(3,5), implying the existence of the edge 35, and thereby of the triangle 135.

**Figure 3** Illustration of the reduction (left) and of a potential solution (right).

endpoint of  $x\underline{y}$  and one chord  $r^+(x,y)$  between the right endpoint of  $r^-(x,y)$  and the left endpoint of  $x\underline{y}$ . We finish the construction of G (and C) by subdividing each edge between consecutive extended scopes once, to make the resulting edges undeletable. The edges  $l^-(a,b)$ for  $(a,b) \in A \times B$  get weight 2, while all the other edges of E(G) get weight 1. This finishes the construction of (G, w, C). See Figure 3a for an illustration.

#### <sup>452</sup> Improving and neutral 3-swaps.

For each  $(x, y) \in E(\vec{H})$ , we denote by S(x, y) the 3-swap  $(\{x\underline{y}, l^-(x, y), r^-(x, y)\}, \{\underline{x}y, l^+(x, y), x_{54} = r^+(x, y)\})$ . For  $(X, Y) \in \{(A, B), (B, C), (C, A)\}$ , we define the set of 3-swaps  $S(X, Y) := \bigcup_{\substack{xy \in E(X, Y)}} S(x, y)$ , and  $S := S(A, B) \cup S(B, C) \cup S(C, A)$ .

Note that all the 3-swaps of S(A, B) are improving. They gain 1 since  $l^{-}(a, b)$  has weight for any  $(a, b) \in A \times B$ . On the other hand, all the 3-swaps of S(B, C) and S(C, A) are neutral. The edges added in swaps of S partition the chords of G, and the open neighborhood of the six vertices involved in every swap are six vertices of degree 2 in G. Therefore, all the possible swaps are in the set S, they are on vertex-disjoint sets of vertices, and any move is a sequence of 3-swaps of S.

The vertices of C are incident to at most one chord. Hence the graph G is subcubic. It has  $\sum_{v \in V(H)} 1 + |I_v| \leq 9|E(H)| + |V(H)| = \Theta(m)$  vertices and (G, w, C) takes  $\Theta(m)$ -time to build. To summarize, we defined a linear reduction from TRIANGLE DETECTION with parameter m to SUBCUBIC 9-OPT DETECTION with parameter n. So a quasi-linear algorithm for the latter would yield an unlikely quasi-linear algorithm for the former. We now check that the reduction is correct.

#### <sup>468</sup> A triangle in *H* implies an improving 9-move for (G, w, C).

Let *abc* be a triangle in *H*. In particular, all three swaps S(a, b), S(b, c), and S(c, a) exist. Performing these three 3-swaps results in a spanning union of (vertex-disjoint) cycles, whose total weight is  $w(\mathcal{C}) - 1$ . Indeed S(a, b) is swap of weight -1, while S(b, c), and S(c, a) are both neutral.

We thus only need to show that the three swaps result in a connected graph (hence, 473 Hamiltonian cycle of lighter weight). By performing the 3-swap S(a, b), we create three 474 components: (1) one on a vertex set  $K_{a,b}$  such that  $J_a \subseteq K_{a,b} \subseteq I_a$ , (2) one containing 475 the scopes of vertices of the B-side to the right (lower part) of the scope of b, and (3) one 476 containing the scopes of vertices of the B-side to the left (upper part) of the scope of b. Then 477 the swap S(c, a) glues (1) and (2) together, but also disconnects (4) a cycle on a vertex set 478  $K_{c,a}$  such that  $J_c \subseteq K_{c,a} \subseteq I_c$ . At this point, there are three cycles: (3), (1)+(2), and (4). 479 It turns out that the 3-swap S(b,c) deletes exactly one edge in each of these three cycles: 480 bc in (4),  $l^{-}(b,c)$  in (3), and  $r^{-}(b,c)$  in (1)+(2). Therefore, S(b,c) reconnects these three 481 components into one Hamiltonian cycle. 482

## <sup>483</sup> An improving k-move for (G, w, C) with $k \leq 9$ implies a triangle in H.

We assume that there is an improving k-move  $\mathcal{M} = (E^-, E^+)$  for  $(G, w, \mathcal{C})$  with  $k \leq 9$ . Being improving, the k-move has to contain at least one improving 3-swap of S(A, B). Let S(a, b) be a 3-swap of S(A, B) in  $\mathcal{M}$  such that for every other (improving) 3-swap S(a, b') in  $\mathcal{M}$ , the chord <u>ab'</u> is wider than <u>ab</u>. Since S(a, b) exists, it holds in particular that  $ab \in E(H)$ . Performing S(a, b) results in the union of three cycles: (1) on a vertex set  $K_{a,b}$ with  $J_a \subseteq K_{a,b} \subseteq I_a$ , and cycles (2) and (3) as described in the previous paragraph.

By the choice of b, the only remaining swaps of  $\mathcal{M}$  touching  $K_{a,b}$  are in S(C, A). So  $\mathcal{M}$  has to contain a neutral 3-swap S(c, a) for some  $c \in C$ . This implies that  $ac \in E(H)$ . Performing this swap results in three cycles: (3), (1)+(2), and (4), as described above. To reconnect all three components into one Hamiltonian cycle, the 3-swap has to delete exactly one edge in (3), (1)+(2), and (4). The only 3-swap that does so is S(b, c). This finally implies that  $bc \in E(H)$ . Thus abc is a triangle in H.

#### <sup>496</sup> We obtain the following theorem as a direct consequence of the previous lemma.

- <sup>497</sup> ► **Theorem 10.** SUBCUBIC 9-OPT DETECTION requires time:
- 498 (1)  $n^{1+\delta-o(1)}$  for a fixed  $\delta > 0$ , under the triangle hypothesis, and
- <sup>499</sup> (2)  $n^{4/3-o(1)}$ , under the strong triangle hypothesis,
- in expectation, even in undirected graphs with edge weights in  $\{1, 2\}$ .

If we use general integral weights and not just  $\{1, 2\}$ , we can show a stronger lower bound, by reducing from NEGATIVE EDGE-WEIGHTED TRIANGLE. Again, we can assume that the instance is partitioned into three sets A, B, C, and we look for a triangle *abc* such that w'(ab) + w'(bc) + w'(ac) < 0, where w' gives an integral weight to each edge. A truly subcubic (in the number of vertices) algorithm for this problem would imply one for ALL-PAIRS SHORTEST PATHS, which would be considered a major breakthrough. The assumption that such an algorithm is not possible is called the APSP hypothesis.

We only change the above construction in the weight of the edges  $l^{-}(x, y)$ . Now each edge  $l^{-}(x, y)$  gets weight -w'(xy). From a NEGATIVE EDGE-WEIGHTED TRIANGLE-instance with n vertices, we obtain an equivalent instance of SUBCUBIC 9-OPT DETECTION with  $\mathcal{O}(n^2)$ vertices, in time  $\mathcal{O}(n^2)$ . So we derive the following.

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**Theorem 11.** SUBCUBIC 9-OPT DETECTION requires time  $n^{3/2-o(1)}$ , under the APSP hypothesis.

## **6** Lower bound for varying k

In this section we describe the main ideas behind the lower bound for k-OPT DETECTION in subcubic graphs for varying k. The details are deferred to the full version due to space restrictions. The overall approach is similar to the lower bound of Guo et al. [6], in that we give a linear-parameter reduction from the k-PARTITIONED SUBGRAPH ISOMORPHISM problem parameterized by the number of edges k. Marx [14] proved that, assuming the Exponential Time Hypothesis, the problem cannot be solved in time  $f(k) \cdot n^{o(k/\log k)}$  for any function f.

The instance created in the reduction of Guo et al. [6] may contain vertices of arbitrarily 522 large degrees. To obtain such a reduction to k-OPT DETECTION in subcubic graphs, an 523 essential ingredient is a *choice gadget* with terminal pairs  $(x_0, y_0), \ldots, (x_\ell, y_\ell)$  which enforces 524 that sufficiently cheap Hamiltonian cycles that enter at  $x_i$ , must leave via the corresponding  $y_i$ . 525 The gadget can be implemented by suitable weight settings and vertices of degree at most three. 526 This gadget allows us to enforce synchronization properties, which enforce that an improved 527 Hamiltonian cycle first selects which vertices to use in the image of the subgraph isomorphism, 528 and then selects incident edges for each selected vertex. By carefully coordinating the gadgets, 529 this allows us to implement the hardness proof by an edge selector strategy. It leads to a 530 proof of the following theorem. 531

**Theorem 12.** There is no function f for which k-OPT DETECTION on n-vertex graphs of maximum degree 3 with edge weights in  $\{1,2\}$  can be solved in time  $f(k) \cdot n^{o(k/\log k)}$ , unless ETH fails.

We remark that the lower bound also holds for *permissive* local search algorithms which output an improved Hamiltonian cycle of arbitrarily large Hamming distance to the starting cycle C, if a cheaper cycle exists in the *k*-OPT neighborhood of C.

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