Fixed-parameter Approximability of Boolean MinCSPs

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Constraint Satisfaction Problem (CSP)

- ▶ **Problem:** a set of relations $\{R_i\}_{1 \le i \le \ell}$ over a domain D.
- ▶ **Instance:** a set of constraints of the form $R_i(x_{a_1}, ..., x_{a_j})$ over variables $x_1, ..., x_n \in D$.
- Goal: find an assignment satisfying all the constraints.

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3-SAT:

$$D = \{0,1\}, R_1 = \{0,1\}^3 \setminus \{(0,0,0)\}, \dots, R_8 = \{0,1\}^3 \setminus \{(1,1,1)\}.$$

Instance:

$$R_4(x_3, x_2, x_4), R_8(x_1, x_3, x_5), R_1(x_1, x_2, x_3), R_1(x_3, x_4, x_5), R_5(x_3, x_2, x_4)$$

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Instance:

$$x_3 \vee \neg x_2 \vee \neg x_4, \neg x_1 \vee \neg x_3 \vee \neg x_5, x_1 \vee x_2 \vee x_3, x_3 \vee x_4 \vee x_5, \neg x_3 \vee x_2 \vee x_4$$

CSP: a very short bio

- ▶ 1974: Birth in Montanari's "Networks of constraints: Fundamental properties and applications to picture processing".
- ▶ 1978: First dichotomy result by Schaefer. For $D = \{0, 1\}$, each CSP is either in P or NP-complete.
- ▶ 1998: Dichotomy conjecture by Feder and Vardi.
- ▶ 2002: proof of the conjecture for $D = \{0, 1, 2\}$ by Bulatov.

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Unified framework propitious to classification which can express many but not all problems in NP.

Boolean CSPs



- ▶ The classical complexity is settled.
- ► Each new kind of classification (approximability, parameterized complexity, counting...) starts with Boolean CSPs.
- ▶ Boolean CSPs are already quite expressive.

Optimization variant of Boolean CSPs

The four main settings:

- MaxCSPs: maximizing the number of satisfied constraints.
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- MaxCSPs: maximizing the number of satisfied constraints.
- ▶ MinCSPs: **minimizing** the number of **unsatisfied** constraints.
- ▶ MinOnes: **minimizing** the number of variables set to 1.
- ▶ MaxOnes: **maximizing** the number of variables set to 1.





Approximability of Boolean CSPs

- ► Each MaxCSP is either in P or APX-complete [Creignou '95].
- ► Less concise classification for MinCSPs, MinOnes, MaxOnes [Khanna et al. '00].

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Theorem 2.13 (MIN CSP classification) For any constraint set \mathcal{F} , the problem (WEIGHTED) MIN CSP(\mathcal{F}) is in PO or is APX-complete or MIN UNCUT-complete or MIN 2CNF DELETIONcomplete or NEAREST CODEWORD-complete or MIN HORN DELETION-complete or or even deciding if the optimum is zero is NP-hard. Furthermore,

- If F is 0-valid or 1-valid or 2-monotone, then (WEIGHTED) MIN CSP(F) is in PO.
- (2) Else if ${\mathcal F}$ is IHS-B then (Weighted) Min ${\rm CSP}({\mathcal F})$ is APX-complete.
- (3) Else if $\mathcal F$ is width-2 affine then (Weighted) Min $\mathrm{CSP}(\mathcal F)$ is Min UnCut-complete.
- (4) Else if F is 2CNF then (WEIGHTED) MIN CSP(F) is MIN 2CNF DELETION-complete.
- (5) Else if F is affine then (Weighted) Min CSP(F) is Nearest Codeword-complete.
- (6) Else if F is weakly positive or weakly negative then (WEIGHTED) MIN CSP(F) is MIN HORN DELETION-complete.
- (7) Else deciding if the optimum value of an instance of (Weighted) Min CSP(F) is zero is NP-complete.

Parameterized Complexity of Boolean CSPs

- Each MaxCSP is FPT (parameter = #satisfied constraints).
- Dichotomy FPT/W[1]-hard of ExactOnes [Marx '05].
- Classification of MaxOnes and ExactOnes w.r.t. parameterized complexity and kernels [Kratsch et al. '10].
- ► Each MinOnes problem is FPT. Dichotomy poly-kernel or not [Kratsch and Wahlström '10].

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What about the parameterized complexity of MinCSPs?

Probably no strong link approximation/FPT

- MinCSP(Γ_{2-SAT}) is FPT [Razgon and O'Sullivan '08] but not constant-approximable under UGC [Chawla et al. '06].
- ▶ MinCSP(x, $\neg x$, ($a \rightarrow b$) \land ($c \rightarrow d$)) is W[1]-hard [Marx and Razgon '09] but constant-approximable [Khanna et al. '00].

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FPT **constant-approximation** already appears as more robust.

MinCSPs parameterized approximability

Our goal: For each finite set of relation Γ , tell if MinCSP(Γ) has an **FPT constant-approximation** (FPA) or not.

We establish:

- If Γ is 0-valid, or 1-valid, or bijunctive, or IHS-B, MinCSP(Γ) has an FPA.
- ▶ Otherwise, If Γ is affine, MinCSP(Γ) is ODD SET-complete¹.
- ▶ Otherwise, $MinCSP(\Gamma)$ has no FPA unless FPT=W[P].

¹under A-reductions

Set of constraints

- O-valid: all satisfied by setting every variable to false.
- ▶ 1-valid: all satisfied by setting every variable to *true*.
- bijunctive: every constraint is a conjunction of 2-clauses.
- ► IHS-B: IHS-B⁺ or IHS-B⁻.
- ▶ IHS-B⁺: $\neg x$, $x \to y$, $x_1 \lor x_2 \lor ... \lor x_k$ with $k \leqslant B$.
- ▶ IHS-B⁺: x, $x \to y$, $\neg x_1 \lor \neg x_2 \lor \ldots \lor \neg x_k$ with $k \leqslant B$.
- ▶ affine: $x_1 \oplus x_2 \oplus \ldots \oplus x_k = c$ with $c \in \{0, 1\}$.

Helped by the co-clone lattice

- ▶ **Primitive positive:** pp-definition over $\Gamma = \exists \land$ whose atomic formulas are in Γ (small lie).
- **Co-clone:** $\langle \Gamma \rangle$ = set of the relations pp-definable over Γ .
- ▶ **Base of C:** Γ such that $C = \langle \Gamma \rangle$.
- ▶ FPA-ness is preserved from Γ to any finite basis of $\langle \Gamma \rangle$.

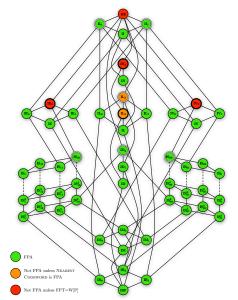
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The lattice of Boolean co-clones has good properties:

- countably many co-clones.
- finitely many non-trivial maximal (minimal) co-clones.

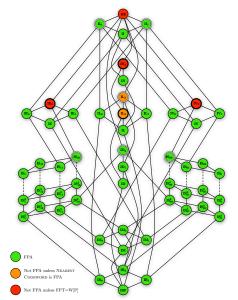
Our results on the lattice of co-clones



Tractability results

- O-valid and 1-valid CSPs are in P.
- Bijunctive: Treat a conjunction as separate constraints + MinCSP(Γ_{2-SAT}) is FPT.
- ▶ IHS-B: (2) Else if \mathcal{F} is IHS-B then (WEIGHTED) MIN CSP(\mathcal{F}) is APX-complete. There is a B+1-approximation.

Our results on the lattice of co-clones



Orange results

Cycle of A-reductions involving ODD SET and the two problems $MinCSP(EVEN^4, \neg x, x)$ and $MinCSP(EVEN^4, x \oplus y)$.

Theorem

ODD SET has no FPA unless k-DENSEST SUBGRAPH has an FPT approximation scheme.

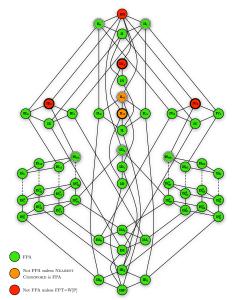
Theorem

ODD SET has no FPA under LPC+ETH.

ETH: 3-SAT has no subexponential algorithm.

LPC: 3-SAT \in PCP($\log \phi + O(1), O(1)$).

Our results on the lattice of co-clones



Hardness results

Theorem (Marx '10)

MONOTONE CIRCUIT SAT has no FPA unless FPT=W[P].

A-reduction to Horn-SAT and dual-Horn-SAT.

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An FPA for MinCSP(NAE³) would imply the polynomiality of CSP(NAE³) by fixing k to 0.

Thank you for your attention!

