

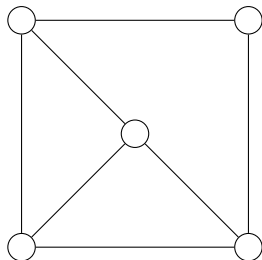
# Maximum Independent Set in $H$ -Free Graphs

Édouard Bonnet, Nicolas Bousquet, Pierre Charbit, Stéphan Thomassé, and Rémi Watrigant

Séminaire Graphes et Optimisation, LaBRI, Bordeaux,  
September 21st, 2018

## INDEPENDENT SET

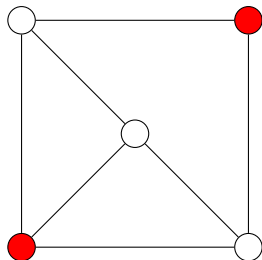
Problem: Given a graph



and an integer  $k$ : Is there an independent set of size at least  $k$ ?

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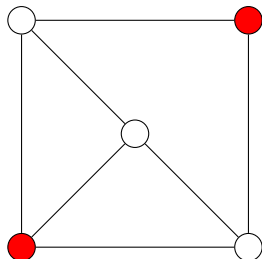
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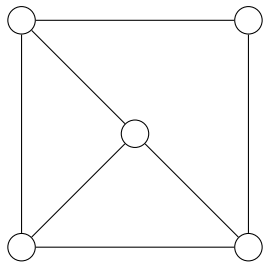


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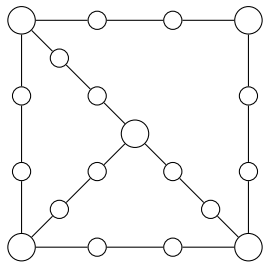
NP-complete even in graphs with maximum degree 3.

**What about on graphs excluding an induced subgraph  $H$ ?  
(called  $H$ -free graphs)**

## NP-hardness cases [Alekseev '82]

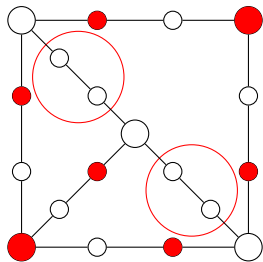


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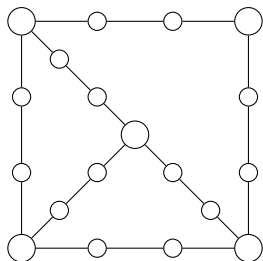
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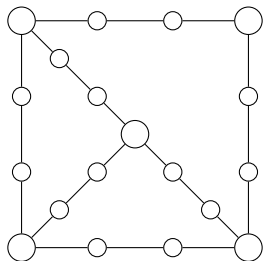


Subdivide every edge any fixed even number of times

**This reduction + NP-hardness on graphs of degree 3  $\Rightarrow$**   
NP-hardness for graphs of degree 3, with arbitrarily large girth and distance between two vertices with degree 3 (*branching vertices*).



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The constructed graph is H-free except if H is...

## P/NP-complete status of MIS on H-free graphs

For H connected:

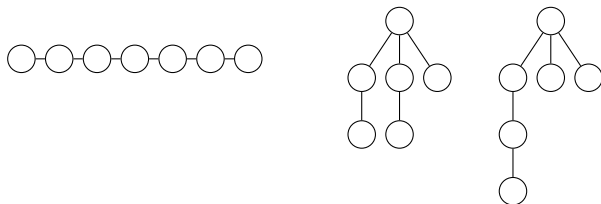
- ▶ NP-complete, if H is not a path or a subdivided claw ( $K_{1,3}$ )
- ▶ in P, if H is a path on up to 6 vertices
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Minimal open cases:



## Other dichotomies

The polynomial algorithms for  $P_5$ -free and then  $P_6$ -free graphs use tools that cannot generalize to  $P_8$ -free graphs and beyond.

**Understanding  $P_t$ -free graphs is a challenge**

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### **Understanding $P_t$ -free graphs is a challenge**

there are other goodies/baddies partition:

- ▶ PTAS/APX-hard
- ▶ SUBEXP/ETH-hard
- ▶ **FPT/W[1]-hard**

## Parameterized complexity

Fixed-Parameter Tractable (FPT) algorithm:

in time  $f(k)n^{O(1)}$  with

- ▶  $n$ , the size of the instance,
- ▶  $k$ , a parameter such as the solution size, and
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Example:

- ▶ VERTEX COVER has a simple  $2^k n^{O(1)}$ -algorithm
- ▶ INDEPENDENT SET is  $W[1]$ -hard (hence unlikely FPT)

Convenient definition of  $W[1]$ -hard for our purpose:

As hard as INDEPENDENT SET for FPT reductions

## FPT reductions

Reduction from  $(\Pi, k)$  to  $(\Pi', k')$  taking FPT time and such that  $\mathbf{k}' = \mathbf{g}(\mathbf{k})$  for a **computable function  $\mathbf{g}$** .



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Are the following FPT-reductions?

- ▶ The "subdividing the edges twice" trick that we saw?
- ▶ Complementing the graph, from MIS to CLIQUE?
- ▶  $(G, k) \mapsto (G, n - k)$ , from MIS to VERTEX COVER?

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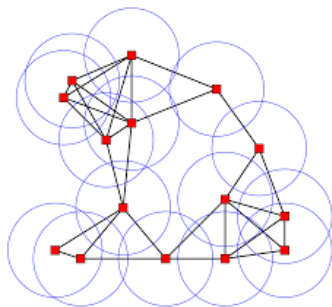
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## Why MIS and why forbidden induced subgraphs?

- ▶ some hard problems like DOMINATING SET are almost indifferent to forbidding induced subgraphs
- ▶ for subgraphs or minors, the dichotomy would be trivial
- ▶ can shed light on other hereditary classes

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## Known results

- ▶ FPT for H on at most 4 vertices but  $C_4$  [Dabrowski et al. '12]
- ▶ MIS is W[1]-hard in  $K_{1,4}$ -free graphs [Hermelin et al. '14]

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Why is MIS FPT in  $K_r$ -free graphs?<sup>1</sup>

Every  $K_r$ -free graphs has either:

- ▶ at most Ramsey( $k,r$ )  $\approx k^{r-1}$  vertices  $\rightarrow$  brute-force is FPT
- ▶ an independent set of size  $k \rightarrow$  answer YES

---

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## Our current goal

Let's try to remove the  $C_4$ s with an FPT reduction

## First thoughts

$k$ -Multicolored Independent Set is  $W[1]$ -hard

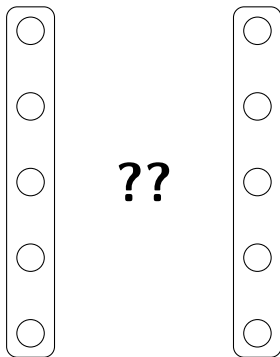
Instances whose vertex-set is partitioned into  $k$  cliques

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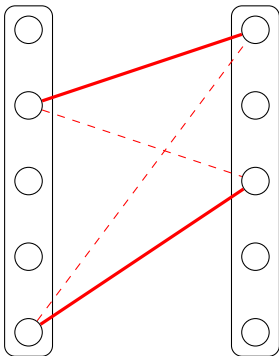


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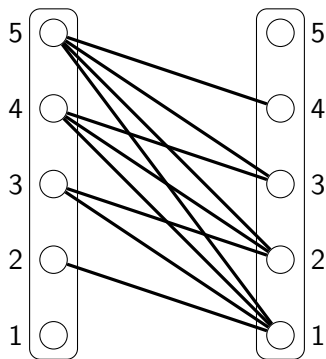


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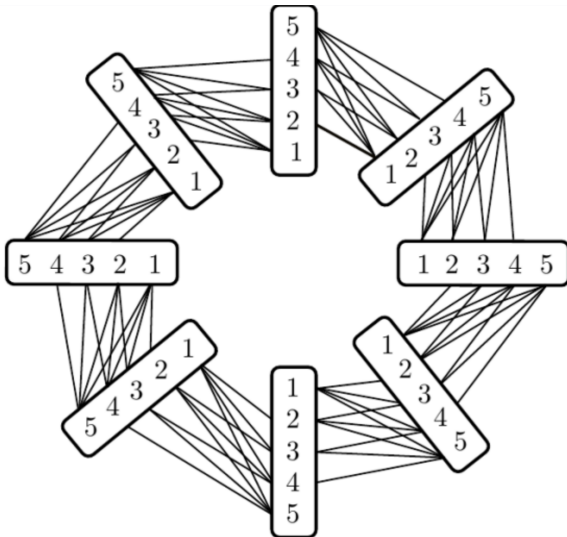
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Half-graphs

## "Cycle" of half graphs




Two inequalities enforce the equality

## Grid Tiling

**Input:**  $k \times k$  grid of cells containing pairs over  $[n]^2$

(1,1) (3,1) (2,4)	(5,1) (1,4) (5,3)	(1,1) (2,4) (3,3)
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Example with  $k = 3$  cliques/color classes and  $n = 5$

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**Output:** select one pair per cell so that

- ▶ columns agree on the first coordinate
- ▶ rows agree on the second coordinate



## Grid Tiling w.r.t the number of cells is $W[1]$ -hard

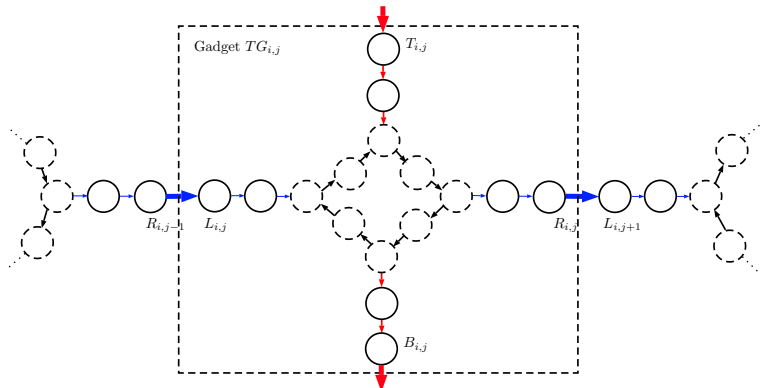
			$(v_j, \cdot)$	
$(\cdot, v_i)$	$(v_i, v_i)$	$(\cdot, v_i)$	$(v_j, v_i)$	$(\cdot, v_i)$
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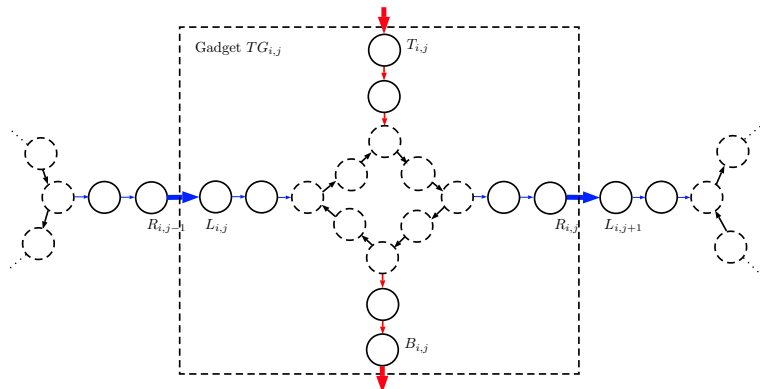
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$(\cdot, v_i)$	$(v_i, v_i)$	$(\cdot, v_i)$	$(v_j, v_i)$	$(\cdot, v_i)$
			$(v_j, \cdot)$	
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The same with inequalities has the same lower bound  
Useful for geometric problems such as Packing Unit Disks

# Avoiding $C_4$ with half graphs everywhere



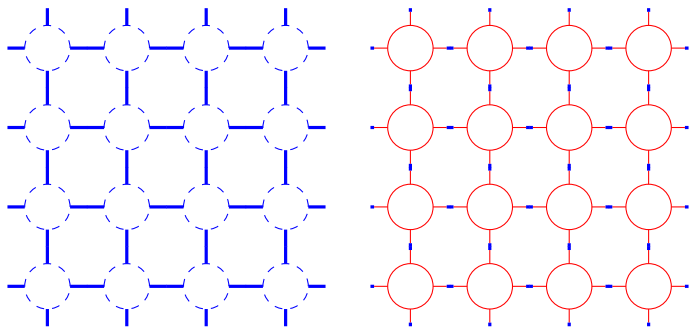
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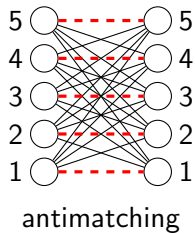
Simultaneously:

- ▶ no  $C_4, C_5, \dots, C_s$
- ▶ no  $K_{1,4}$
- ▶ no tree with two branching vertices

## Two variants of the reduction



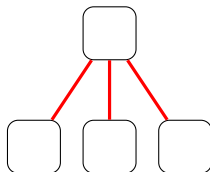
Variants with half-graphs in blue and antimatchings in red



## FPT candidates

$H$  should be chordal and

- ▶ either a *path of cliques with simple connections between adjacent cliques*
- ▶ or a *subdivided claw of cliques with very simple connections between adjacent cliques*



— bipartite complete except possibly one edge

— half-graph

## What about algorithms now?

Modular FPT reduction which traps many hard cases.



Generic algorithmic technique for the remaining cases?



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Generic algorithmic technique for the remaining cases?



So far, we did not get something very unified.

- ▶ Many H-specific arguments
- ▶ A handful of transversal tricks/ideas





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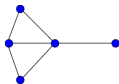
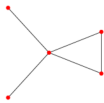
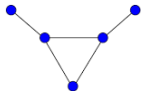
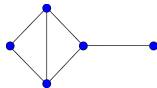
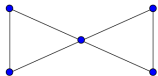
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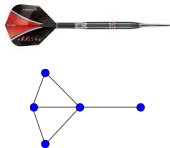
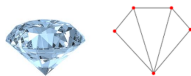
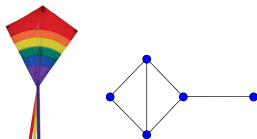
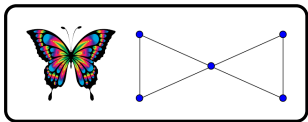
Maybe not so surprising:  
notably open for  $P_t$ -free graphs

entire papers have been dedicated to -free graphs

## Some candidates on 5 vertices



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## Two tricks to catch the butterfly

Trick 1: we can guess the solution on any subset of  $f(k)$  vertices

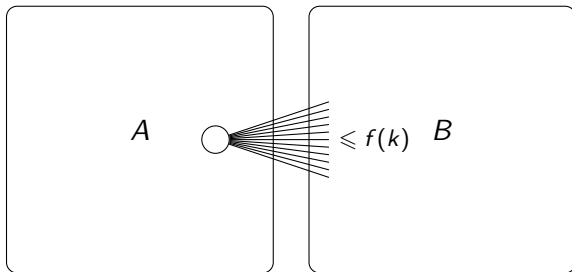
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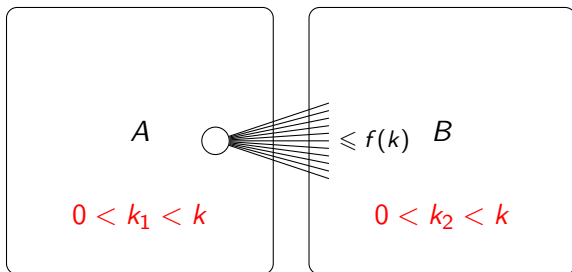
with

- ▶  $A$  and  $B$  intersecting the solution
- ▶ all the vertices in  $A$  have at most  $f(k)$  neighbors in  $B$

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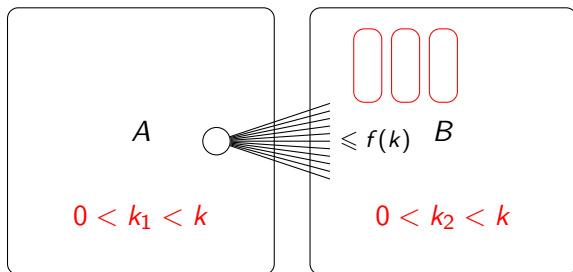


We guess how many vertices a solution contains in  $A$  and  $B$

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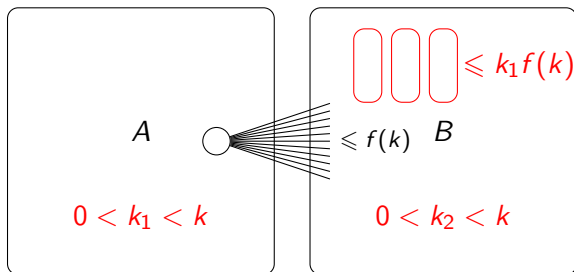
We extract independent sets of size  $k_2$  in  $G[B]$



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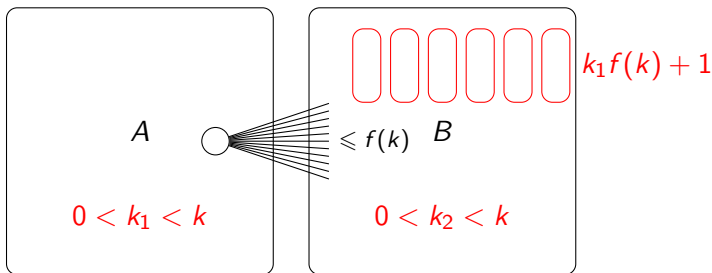


If this stops before  $k_1 f(k) + 1$  are extracted, use Trick 1

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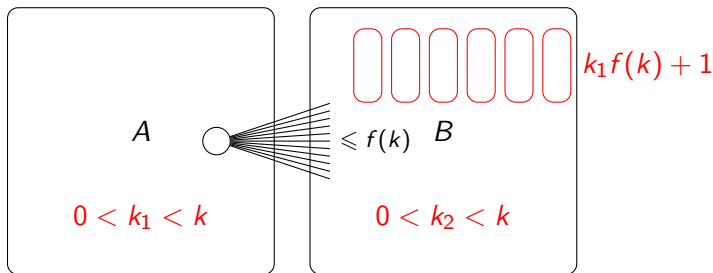


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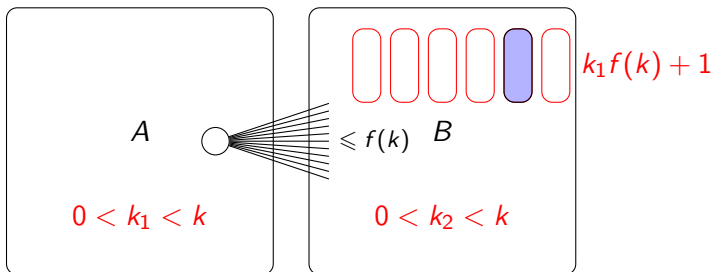


What does this achieve?

## Two tricks to catch the butterfly

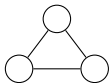
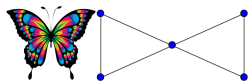
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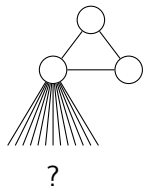
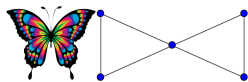
Any independent set of size  $k_1$  in  $G[A]$  can be completed

# FPT algorithm in butterfly-free graph



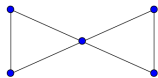
Let us consider a triangle and its neighbors

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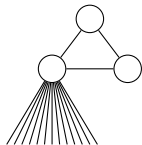
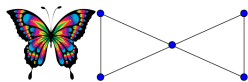
Can there be very many vertices attached to a single vertex?

## FPT algorithm in butterfly-free graph



Less than  $k$ . Otherwise: easy solution or butterfly

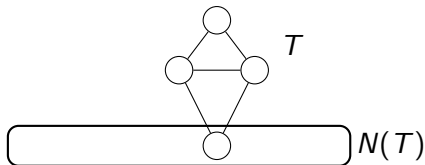
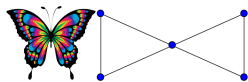
# FPT algorithm in butterfly-free graph



We use Trick 1 to get rid of those particular neighbors

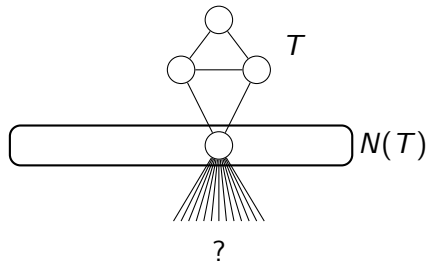
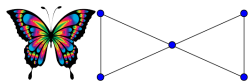


# FPT algorithm in butterfly-free graph



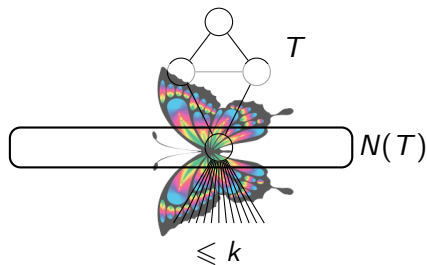
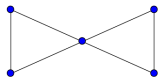
Now, all the vertices in  $N(T)$  have at least two neighbors in  $T$

# FPT algorithm in butterfly-free graph



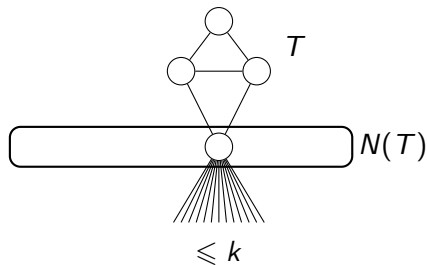
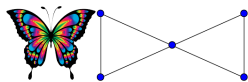
Can they have many neighbors in the rest of the graph?

# FPT algorithm in butterfly-free graph



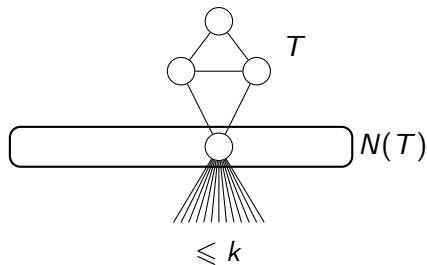
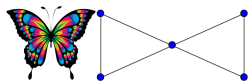
No, less than  $k$ ; otherwise easy solution or butterfly

# FPT algorithm in butterfly-free graph



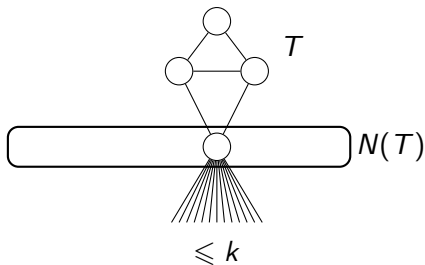
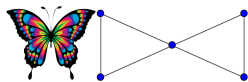
A solution intersects  $T \cap N(T)$  (why?)

# FPT algorithm in butterfly-free graph



Either it also intersects  $\overline{T \cap N(T)}$ , and we conclude with Trick 2

# FPT algorithm in butterfly-free graph



Or not. And we solve  $G[T \cap N(T)]$  since it is  $4K_2$ -free (Alekseev)

## Results and perspectives

FPT algorithms when

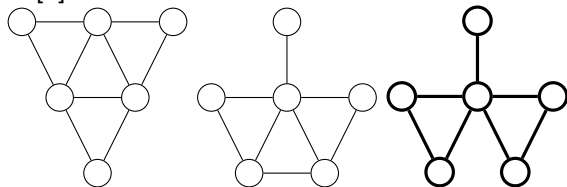
- ▶  $H$  is a clique minus a bipartite complete graph  
(can be seen as a  $P_3$  of cliques, generalizes the butterfly)
- ▶  $H$  is the union of cliques (parameterized version of Alekseev)
- ▶  $H$  is a clique minus a triangle ( $K_r \setminus K_4$  contains a  $K_{1,4}$ )
- ▶  $H$ , candidate on 5 vertices: crown, gem, kite,  $\overline{P}$ , dart, cricket

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$W[1]$ -hardness cases with a third reduction:



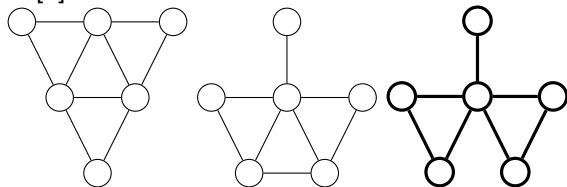


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$W[1]$ -hardness cases with a third reduction:



Mainly left with "path of cliques"