# Fine-grained complexity of coloring geometric intersection graphs 

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## NP-hardness vs ETH-hardness

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your problem is not solvable in polynomial, unless 3-SAT is very widely believed but do not give evidence against algorithms running in say, $2^{n^{1 / 100}}$.

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ETH-hardness:
stronger assumption than $\mathrm{P} \neq \mathrm{NP}$ is ETH asserting that no $2^{o(n)}$ algorithm exists for 3 -SAT
Allows to prove stronger conditional lower bounds linear reduction from 3-SAT: no $2^{o(n)}$ algorithm for your problem, quadratic reduction: no $2^{\circ(\sqrt{n})}$ algorithm, etc.

## Square root phenomenon on planar graphs



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Max Independent Set, 3-Coloring, Hamiltonian Path... Dynamic programming would spare a $\log n$ in the exponent.

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Max Independent Set, $\underline{3-C o l o r i n g, ~ H a m i l t o n i a n ~ P a t h . . . ~}$

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## Coloring Unit Disks



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## Balanced separators

Theorem (Smith, Wormald '98)
For every $d \geq 1$ and $B \geq 0$, there exists a constant $c=c(d, B)$, such that for every $B$-fat collection $\mathcal{S}$ of $n d$-dimensional convex sets with ply at most $\ell$, there exists a d-dimensional sphere $Q$, such that:
at most $\frac{d+1}{d+2} n$ elements of $\mathcal{S}$ are entirely inside $Q$, at most $\frac{d+1}{d+2} n$ elements of $\mathcal{S}$ are entirely outside $Q$, at most $c n^{1-1 / d} \ell^{1 / d}$ elements of $\mathcal{S}$ intersect $Q$.

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ply: maximum number of objects covering a point.
$B$-fat objects: aspect ratios diameter/width are bounded by $B$.


## Balanced separators for unit disks

Theorem (Smith, Wormald '98, special case)
Given a collection $\mathcal{S}$ of $n$ disks with ply at most $\ell$, there exists a circle $Q$, such that:
at most $3 n / 4$ disks of $\mathcal{S}$ are entirely inside $Q$, at most $3 n / 4$ disks of $\mathcal{S}$ are entirely outside $Q$, at most $O(\sqrt{n \ell})$ disks of $\mathcal{S}$ intersect $Q$.

## Standard algorithm for $\ell$-coloring (for unit disks)

If the ply is greater than $\ell$, then more than $\ell$ colors are needed.
Otherwise, there is a balanced separator of size $O(\sqrt{n \ell})$ which can be exhaustively found in time $O\left(2^{\sqrt{n \ell} \log n}\right)$.
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Overall running time: $O\left(2^{\sqrt{n \ell} \log n}\right)$.

We will see that this running time is optimal up to logarithmic factors in the exponent.

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Theorem
For any $\alpha \in[0,1]$, coloring $n$ unit disks with $\ell=\Theta\left(n^{\alpha}\right)$ colors cannot be solved in time $2^{o\left(n^{\frac{1+\alpha}{2}}\right)}=2^{o(\sqrt{n \ell})}$, under the ETH.

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And everything in between (hard part).
For instance, $\sqrt{n}$-coloring cannot be done in $2^{o\left(n^{3 / 4}\right)}$.

## Roadmap

3-SAT $\rightarrow$ 2-grid 3-SAT $\rightarrow$ Partial 2-grid Coloring $\rightarrow$ coloring unit disks

## Partial 2-grid Coloring $\rightarrow$ coloring unit disks



## Partial 2-Grid Coloring

Input: An induced subgraph $G$ of the $g \times g$-grid, a positive integer $\ell$. Each cell of this grid is mapped to a set of $\ell$ points (in a smaller grid $[\ell]^{2}$ ).
Question: Is there an $\ell$-coloring of all the points such that:
two points in the same cell get different colors;
if $v$ and $w$ are adjacent in $G$, say, $w=v+(1,0), p$, resp. $q$, are points in the smaller grid of $v$ resp. $w$, receiving the same color, then $q$ has at a second coordinate which is at least the second coordinate of $p$ ?

## 2-Grid 3-SAT

Input: A $g \times g$ grid, a positive integer $k$, each vertex (or cell) of the grid is associated to $k$ variables, and a set $\mathcal{C}$ of constraints of two kinds:
clause constraints: for each cell of the grid, a set of pairwise variable-disjoint 3-clauses on its variables;
equality constraints: for two adjacent cells of the grid, a set of pairwise variable-disjoint equality constraints.

Question: Is there an assignment of the variables such that all constraints are satisfied?

## 3-SAT $\rightarrow$ 2-Grid 3-SAT



|  | $x$ |  |  | $x$ |  | $x$ |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :---: | :---: |
| $x$ |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |

3-SAT on $N$ variables with bounded number of occurrences (Sparsification Lemma) $\rightsquigarrow$ split the clauses into $\approx g$ blocks $\rightsquigarrow$ split again the clauses on one block into a constant number of sub-blocks (clauses vertex-disjoint)

The size of the created instance is $n=g^{2} k$.

$$
N=\Theta(g k)=\Theta(\sqrt{n k})
$$

## 2-Grid 3-SAT $\rightarrow$ Partial 2-Grid Coloring


$\square$ clause checking gadget
even variable assignment cell
odd variable assignment cell

local reference cell
_— wires

consistency checking gadget

## 2-Grid 3-SAT $\rightarrow$ Partial 2-Grid Coloring



## Encoding information and reference coloring



## Wires



## Permutation



Forget


## Independence



## Clauses


reference
coloring


## Consistency gadget (also crossing)




clause checking gadget
even variable assignment cell
odd variable assignment cell

- wires
$\square$ consistency checking gadget


## Higher dimension

Theorem
For $\alpha \in[0,1]$ and dimension $d \geqslant 2$, coloring $n$ unit $d$-balls with $\ell=\Theta\left(n^{\alpha}\right)$ colors cannot be solved in time $2^{n^{\frac{d-1+\alpha}{d}-\epsilon}}$ for any $\epsilon>0$, under the ETH.

The first step in the chain is trickier: the higher dimensional grid should embed the SAT instance in a more compact way.

The second and third steps work similarly.

## (Longer and longer) Segments

Theorem
6 -coloring 2-Dir is not solvable in $2^{o(n)}$, under the ETH.


Reduction from 3-coloring on degree-4 graphs to list 6-coloring of segment intersection graphs.
The $x_{i}$ 's lists are $[1,2,3]$, the $y_{j}$ 's lists are $[4,5,6]$.
Circles are equality gadgets $(1 \equiv 4,2 \equiv 5,3 \equiv 6)$, squares are inequality gadgets.

## Equality



| vertex | list |
| :--- | :--- |
| $x_{i}$ | $1,2,3$ |
| $y_{i}$ | $4,5,6$ |
| $a_{1}$ | 1,4 |
| $b_{1}$ | 4,5 |
| $c_{1}$ | 4,6 |
| $a_{2}$ | 2,5 |
| $b_{2}$ | 4,5 |
| $c_{2}$ | 5,6 |
| $a_{3}$ | 3,6 |
| $b_{3}$ | 4,6 |
| $c_{3}$ | 5,6 |

## Inequality



| vertex | list |
| :--- | :--- |
| $x_{i}$ | $1,2,3$ |
| $y_{j}$ | $4,5,6$ |
| $x^{\prime}$ | $4,5,6$ |
| $p_{1}$ | 1,5 |
| $p_{2}$ | 1,6 |
| $q_{1}$ | 2,4 |
| $q_{2}$ | 2,6 |
| $r_{1}$ | 3,4 |
| $r_{2}$ | 3,5 |

## Inequality



| vertex | list |
| :--- | :--- |
| $x_{i}$ | $1,2,3$ |
| $y_{j}$ | $4,5,6$ |
| $x^{\prime}$ | $4,5,6$ |
| $p_{1}$ | 1,5 |
| $p_{2}$ | 1,6 |
| $q_{1}$ | 2,4 |
| $q_{2}$ | 2,6 |
| $r_{1}$ | 3,4 |
| $r_{2}$ | 3,5 |

Some extra gadgets permit to remove the lists.

Same lower bound for 4 colors.
What happens with 3-colors? (whiteboard)

Thanks for your attention!

