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## LOCALLY FREE HOLOMORPHIC ACTIONS OF THE COMPLEX AFFINE GROUP

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### ABSTRACT

In this paper we classify holomorphic volume preserving locally free actions of the complex affine group on compact complex 3-manifolds. The proof is a mixture of complex analytic methods and of classical hyperbolic theory for dynamical systems. Some examples come from automorphisms of 2-dimensional complex tori that we describe them explicitly.

### 0. Introduction

Let us denote by  $\text{Aff}$  the affine group of the complex line, i.e. the group of transformations  $z \mapsto az + b$  with  $a \in \mathbb{C}^*$  and  $b \in \mathbb{C}$ . The purpose of this paper is to classify locally free, holomorphic, and volume-preserving actions of  $\text{Aff}$  on compact complex 3-manifolds. There are several motivations for such a study. The first is that there are interesting examples obtained by arithmetical constructions and we

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believe that these examples deserve a careful analysis, especially from the dynamical point of view. A second motivation is that the corresponding problem in the real domain, i.e. actions of the real affine group on real 3-manifolds, has already been studied in [Gh1], leading to rigidity results. As a general principle, real and complex one dimensional dynamics should be very similar so that one could expect a good understanding of holomorphic codimension one actions of complex Lie groups.

This paper is independent of [Gh3] but is closely related to it. In [Gh3], the first author studies holomorphic Anosov flows on complex 3-manifolds and shows that these flows have to preserve some volume form. In the present paper we make the assumption that the action under consideration is volume-preserving but *we know of no example where this condition is not satisfied*.

In section 1, we describe examples of actions of  $\text{Aff}$  on 3-manifolds. In section 2, we prove our main theorem according to which any locally free, holomorphic, volume-preserving action of  $\text{Aff}$  is conjugate to one of the examples described in section 1. Finally, the appendix contains a description of automorphisms of 2-dimensional complex tori, basically known to algebraic geometers but which might be useful to others.

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## 1. Construction of examples

We shall in fact study actions of the covering spaces of  $\text{Aff}$ , so we will introduce some notation for them. If  $k$  is a positive integer, we denote by  $\text{Aff}_k$  the group of pairs  $(a, b)$  with  $a \in \mathbb{C}^*$  and  $b \in \mathbb{C}$  with multiplication:

$$(a, b)(a', b') = (aa', a^k b' + b).$$

Of course  $\text{Aff}_1$  coincides with  $\text{Aff}$  and the map  $(a, b) \mapsto (a^k, b)$  shows that  $\text{Aff}_k$  is the  $k$ -fold cover of  $\text{Aff}$ . The center of  $\text{Aff}_k$  is cyclic of order  $k$  and consists of elements of the form  $(a, 0)$  with  $a^k = 1$ . Similarly, we introduce the universal cover,  $\text{Aff}_\infty$  of  $\text{Aff}$ . This can be seen as the group of pairs  $(\alpha, b)$  with  $\alpha \in \mathbb{C}$  and  $b \in \mathbb{C}$  and multiplication given by  $(\alpha, b)(\alpha', b') = (\alpha + \alpha', \exp(\alpha)b' + b)$ .

The center of  $\text{Aff}_\infty$  is infinite cyclic, generated by  $(2i\pi, 0)$ .

The subgroup of  $\text{Aff}_k$  (respectively  $\text{Aff}_\infty$ ) which consists of elements of the form  $(a, 0)$  (respectively  $(\alpha, 0)$ ) will be called the *a-subgroup* (respectively *a-subgroup*). Similarly the *b-subgroup* of  $\text{Aff}_k$  (respectively  $\text{Aff}_\infty$ ) consists of elements of the form  $(1, b)$  (respectively  $(0, b)$ ). This is the first commutator subgroup of  $\text{Aff}_k$  (respectively  $\text{Aff}_\infty$ ). It is important to note that these groups  $\text{Aff}_k$  and  $\text{Aff}_\infty$  are solvable.

Recall that an action of a Lie group is called locally free if all stabilizers are discrete.

1.1. Homogeneous spaces of  $SL(2, \mathbb{C})$

First note that there is an embedding of  $Aff_2$  in  $SL(2, \mathbb{C})$  given by:

$$(a, b) \in Aff_2 \mapsto \begin{pmatrix} a & a^{-1}b \\ 0 & a^{-1} \end{pmatrix} \in SL(2, \mathbb{C}).$$

If  $\Gamma$  is a discrete co-compact subgroup of  $SL(2, \mathbb{C})$ , we get a locally free action of  $Aff_2$  on the quotient manifold  $M = \Gamma \backslash SL(2, \mathbb{C})$  by considering right translations on left cosets. This action is obviously volume preserving since the Haar measure of  $SL(2, \mathbb{C})$  is bi-invariant and yields an invariant volume form on  $M$ .

Similarly, we have an embedding of  $Aff$  in  $PSL(2, \mathbb{C}) = SL(2, \mathbb{C})/\{\pm I\}$ , given by:

$$(a, b) \in Aff \mapsto \pm \begin{pmatrix} \sqrt{a} & \frac{b}{\sqrt{a}} \\ 0 & \frac{1}{\sqrt{a}} \end{pmatrix} \in PSL(2, \mathbb{C}).$$

The same construction as before gives examples of actions of  $Aff$  on  $\Gamma \backslash PSL(2, \mathbb{C})$ .

Before we proceed describing the dynamics of these actions, let us show that there are no similar constructions for  $k \neq 1, 2$ . First notice that  $SL(2, \mathbb{C})$  is simply connected with center  $\{\pm I\}$  so that  $PSL(2, \mathbb{C})$  is the only connected Lie group locally isomorphic but not isomorphic to  $SL(2, \mathbb{C})$ . A nontrivial element in  $PSL(2, \mathbb{C})$  has an abelian centralizer and this remark enables us to analyze homomorphisms from  $Aff_k$  to  $PSL(2, \mathbb{C})$  by considering the center of  $Aff_k$ . The reader will easily prove the following statements:

- (1) Any locally injective homomorphism from  $Aff$  to  $PSL(2, \mathbb{C})$  is conjugate to the one described above.
- (2) Any locally injective homomorphism from  $Aff_k, k \in \mathbb{N} \cup \{\infty\}$ , to  $PSL(2, \mathbb{C})$  factors through its quotient  $Aff$ .
- (3) There is a locally injective homomorphism from  $Aff_k$  to  $SL(2, \mathbb{C})$  if and only if  $k$  is even (or  $\infty$ ). Any such homomorphism factors through  $Aff_2$  and is conjugate to the one described above.

We now give a short *description of the dynamics* of the examples on  $\Gamma \backslash PSL(2, \mathbb{C})$ . Recall that  $PSL(2, \mathbb{C})$  is the group of orientation preserving isometries of the hyperbolic 3-space  $\mathbb{H}^3$  and that it acts freely and transitively on the positive orthonormal frames in the tangent bundle of  $\mathbb{H}^3$  (see, for instance [Th]). If  $\Gamma$  is a discrete co-compact subgroup of  $PSL(2, \mathbb{C})$ , one can consider the quotient  $V = \Gamma \backslash \mathbb{H}^3$ . Due to the possible existence of elements of finite order in  $\Gamma$ , this  $V$  might not be a manifold but is instead a so-called “hyperbolic orbifold”. In any case,  $\Gamma$  contains a torsion-free subgroup of finite index so that, up to finite covers, we can restrict ourselves to the case where  $V$  is a manifold. The quotient  $M = \Gamma \backslash PSL(2, \mathbb{C})$  is therefore the orthonormal frame bundle of the Riemannian manifold  $V$ . The structure of the  $SO(3)$ -principal bundle of the projection  $M \rightarrow V$

is given by right translations by  $PU(2, \mathbb{C}) \simeq SO(3)$ . Also note that the unit tangent bundle,  $T_1(V)$ , of  $V$  fits into a sequence of bundles  $M \rightarrow T_1(V) \rightarrow V$ .

Orbits of the  $a$ -subgroup in  $M$  project to geodesics in  $V$ . The geodesic flow of  $V$ , acting on  $T_1(V)$  is a quotient of the dynamics of the  $a$ -subgroup acting on  $M$ . This action is ergodic, mixing, and with positive entropy. Recall that there is exactly one closed geodesic in  $V$  for each conjugacy class of elements of  $\Gamma$  of infinite order. Any element  $\gamma$  of  $\Gamma$ , considered as an element of  $PSL(2, \mathbb{C})$ , has two eigenvalues  $\omega_1$  and  $\omega_2$  (defined up to sign) whose product is 1. The modulus of both  $\omega_1$  and  $\omega_2$  is different from 1 if  $\gamma$  is of infinite order; it is related to the length of the corresponding closed geodesic. Correspondingly, we obtain a compact orbit for the  $a$ -subgroup in  $M = \Gamma \backslash PSL(2, \mathbb{C})$ ; it is an elliptic curve, quotient of  $\mathbb{C}^*$  by the multiplicative group generated by  $\omega_1^2$ . The union of these compact orbits is dense in  $M$ . We were informed by Karl Oeljenklaus that it is unknown whether the complex manifold  $M$  could contain compact holomorphic curves besides these elliptic curves.

As for the orbits of the  $b$ -subgroup they project in  $V$  into horospherical orbits. It is well known that they are dense in  $V$ . All orbits of the  $b$ -subgroup are dense in  $M$ , the action being uniquely ergodic with zero entropy. One can consult [Gh2] for a survey, more general statements, and a bibliography on this kind of dynamical systems.

Observe that the case of  $\Gamma \backslash SL(2, \mathbb{C})$  is very similar to that of  $\Gamma \backslash PSL(2, \mathbb{C})$ : one just has to replace the orthonormal frame bundle by the spin bundle. The corresponding dynamical systems have the same properties as stated before

## 1.2. Holomorphic suspensions

Let  $\Lambda$  be a lattice in  $\mathbb{C}^2$ , i.e. a discrete co-compact subgroup (hence isomorphic to  $\mathbb{Z}^4$ ). Let  $\Phi$  be a complex linear automorphism of  $\mathbb{C}^2$  that preserves  $\Lambda$  and induces an automorphism of  $\Lambda$ . Assume that  $\Phi$  has an eigenvalue  $\omega$  of modulus different from 1. Of course for a generic  $\Lambda$  there is no such linear map but we give in the appendix a list of all possibilities, some being remarkably interesting.

Denote by  $T_\Lambda = \mathbb{C}^2/\Lambda$  the corresponding complex torus and by  $\tilde{\Phi}: T_\Lambda \rightarrow T_\Lambda$  the holomorphic diffeomorphism induced by  $\Phi$ . Let  $Y \in \mathbb{C}^2$  be an eigenvector such that  $\Phi(Y) = \omega Y$ . Choose a positive integer  $k$ . On  $\mathbb{C}^2 \times \mathbb{C}^*$  one has the following right action of  $\text{Aff}_k$ :

$$((x, z), (a, b)) \in \mathbb{C}^2 \times \mathbb{C}^* \times \text{Aff}_k \mapsto (x + bz^k Y, za) \in \mathbb{C}^2 \times \mathbb{C}^*.$$

This action commutes with the action of  $\Lambda$  on  $\mathbb{C}^2 \times \mathbb{C}^*$  by translations on the first factor and therefore yields an action of  $\text{Aff}_k$  on  $T_\Lambda \times \mathbb{C}^*$ , which obviously commutes with the following diffeomorphism  $F$  of  $T_\Lambda \times \mathbb{C}^*$ :

$$F(\tilde{x}, z) = (\tilde{\Phi}(\tilde{x}), \omega^{1/k} z).$$

where  $\omega^{1/k}$  denotes some fixed  $k$ -th root of  $\omega$ . Since  $|\omega| \neq 1$ , the group generated by  $F$  acts freely and properly on  $T_\Lambda \times \mathbb{C}^*$  and the quotient  $M$  is a compact complex manifold equipped with a holomorphic locally free action of  $\text{Aff}_k$ . The manifold  $M$  fibres over an elliptic curve with fibres isomorphic to the torus  $T_\Lambda$ . We call this kind of example a *holomorphic suspension*.

Note that since  $\bar{\Phi}$  is a diffeomorphism of  $T_\Lambda$  the determinant of  $\bar{\Phi}$  has to be of modulus 1. Hence the volume form  $\sqrt{-1}|z|^{-2}dz \wedge d\bar{z} \wedge dx_1 \wedge d\bar{x}_1 \wedge dx_2 \wedge d\bar{x}_2$  of  $\mathbb{C}^2 \times \mathbb{C}^*$  descends to  $M$  and is invariant under the action of  $\text{Aff}_k$ .

As is well known,  $\bar{\Phi}$  is an example of Anosov diffeomorphism of a torus. It is ergodic, mixing, with positive entropy. Periodic points of  $\bar{\Phi}$  are rational points (i.e. torsion elements) in  $T_\Lambda$ . For the dynamics of the  $a$ -subgroup of  $\text{Aff}_k$  on  $M$  one has analogous properties: ergodicity and density of compact orbits. However, the action is not mixing (i.e. the real flow corresponding to real values of  $a$  is not mixing) since we have a holomorphic projection of  $M$  onto the elliptic curve  $E = \mathbb{C}^*/\{\omega^{n/k}\}$  which exhibits the nonmixing transitive action of  $\mathbb{C}^*$  on  $E$  as a quotient of the action of the  $a$ -subgroup on  $M$ .

It is very easy to describe the dynamics of the  $b$ -subgroup. Any orbit is dense in one of the tori which are the fibres of the projection of  $M$  onto  $E$ .

The manifold  $M$  does not always admit a nonzero holomorphic 3-form. Indeed, such a form lifts to  $\mathbb{C}^2 \times \mathbb{C}^*$  as  $u(x_1, x_2, z)dx_1 \wedge dx_2 \wedge \frac{dz}{z}$  and the invariance under translations by  $\Lambda$  shows that  $u$  has to be a function  $u(z)$  of  $z$  alone. The invariance condition becomes:  $u(\omega^{1/k}z) = \rho u(z)$  where  $\rho$  is the determinant of  $\bar{\Phi}$ . Writing the Laurent expansion of  $u$ , one sees that this is only possible if  $u$  is a constant multiple of  $z^n$  where  $n \in \mathbb{Z}$  is such that  $\rho = \omega^{n/k}$ . We have seen that  $|\rho| = 1$  and, by assumption,  $|\omega| \neq 1$  so that the only possibility is  $n = 0$  and  $\rho = 1$ . In the appendix we study the cases where  $\rho = 1$  and we show that this is not always the case. Hence, there are 3-manifolds with no nontrivial holomorphic 3-forms but which admit a locally free action of  $\text{Aff}$ . In particular, these manifolds  $M$  are not homogeneous spaces of a complex 3-dimensional Lie group so that the corresponding actions are quite different from the actions we described on  $\Gamma \backslash \text{SL}(2, \mathbb{C})$ .

As a matter of fact, it is not difficult to check that if  $\rho \neq 1$  the full group of biholomorphisms of  $M$  coincides with  $\text{Aff}_k$  up to finite index.

If on the contrary,  $\rho = 1$ , then  $M$  is a homogeneous space. Indeed in this case one has a nonzero vector  $Z$  in  $\mathbb{C}^2$  such that  $\bar{\Phi}(Z) = \omega^{-1}Z$  and one can consider the 3-dimensional Lie group  $G_k$  which is a semi-direct product  $\mathbb{C}^2 \rtimes \mathbb{C}^*$  given by the multiplication:  $(b_1, b_2; a)(b_1', b_2'; a') = (b_1 + a^k b_1', b_2 + a^{-k} b_2'; aa')$ . Note that  $G_k$  contains  $\text{Aff}_k$  and that  $G_k$  acts on the right on  $\mathbb{C}^2 \times \mathbb{C}^*$  by right translations on itself. This action descends to a transitive action of  $G_k$  on  $M$ , making  $M$  a homogeneous space and extending the action of  $\text{Aff}_k$ .

If  $\rho$  is not a root of unity,  $M$  is not even a finite quotient of a homogeneous space, the so-called "infra-homogeneous" spaces.

Finally, we indicate shortly how to modify these suspension examples by

taking finite quotients. Consider a character  $\chi : \Lambda \rightarrow \mathbb{C}^*$  which is invariant by  $\Phi$ . We can consider the quotient of  $\mathbb{C}^2 \times \mathbb{C}^*$  by the following action of  $\Lambda$ :

$$(\lambda, (x, z)) \in \Lambda \times \mathbb{C}^2 \times \mathbb{C}^* \mapsto (x + \lambda, \chi(\lambda)z) \in \mathbb{C}^2 \times \mathbb{C}^*.$$

The quotient  $T_\Lambda \rtimes_\chi \mathbb{C}^*$  is a  $\mathbb{C}^*$ -bundle over  $T_\Lambda$  which is nontrivial if  $\chi$  is nontrivial. The diffeomorphism  $(x, z) \in \mathbb{C}^2 \times \mathbb{C}^* \mapsto (\Phi(x), \omega^{1/k}z)$  still descends to a diffeomorphism of  $T_\Lambda \rtimes_\chi \mathbb{C}^*$  which yields a compact 3-manifold  $M$  admitting an action of  $\text{Aff}_k$  provided  $\chi(\lambda)^k = 1$  for any  $\lambda \in \Lambda$ . We shall call this kind of example a *twisted suspension*.

Observe that  $\chi$  is trivial on a finite-index sublattice of  $\Lambda$  so that these twisted suspensions are in fact finite quotients of the previous “untwisted” examples.

## 2. The classification

In this section we prove the following theorem:

**Theorem.** *Any holomorphic action of  $\text{Aff}_\infty$ , which is locally free and volume-preserving on a compact complex 3-manifold factors through  $\text{Aff}_k$  for some (finite) integer  $k$  and is holomorphically conjugate to one of the examples described in section 1.*

Let us begin by some general comments and notations. Any locally free action of a (connected) Lie group lifts to an action of its universal cover (the action might not be faithful). This is why we restrict ourselves, with no loss of generality, to actions of  $\text{Aff}_\infty$ . Suppose we have such a holomorphic right action of  $\text{Aff}_\infty$  on a compact complex 3-manifold  $M$  preserving some volume form  $v$  (which is *a priori* a 6-form of class  $C^0$ ). Since we have parametrized  $\text{Aff}_\infty$  by pairs  $(\alpha, b)$  with  $\alpha \in \mathbb{C}$  and  $b \in \mathbb{C}$ , the  $\alpha$ -subgroup and  $b$ -subgroup generate two complex flows that we denote by  $g^\alpha$  and  $h^b$  (for “geodesic” and “horocyclic”). The induced holomorphic vector fields on  $M$  are denoted by  $X$  and  $Y$  respectively. They satisfy the relation  $[X, Y] = Y$ , defining the Lie algebra of  $\text{Aff}_\infty$ . The assumption that the action is locally free means that  $X$  and  $Y$  are linearly independent at each point of  $M$ . Since  $X$  and  $Y$  correspond to left invariant vector fields on  $\text{Aff}_\infty$ , they transform under right-translations by the adjoint representation. One verifies easily that:

$$(g^\alpha)_* Y = \exp(\alpha) Y \quad (g^\alpha)_* X = X.$$

We shall equip  $M$  with a Hermitian metric, denoted by  $\| \cdot \|$  such that  $X$  and  $Y$  are orthogonal, of norm 1, and whose volume form is precisely  $v$ .

**Lemma.** *Let  $\mathcal{B}$  be the Banach space of continuous vector fields on  $M$  equipped with the sup-norm. The spectrum of the linear operator induced in  $\mathcal{B}$*

by the differential of the flow  $g^\alpha$  ( $\alpha \in \mathbb{C}$ ) is contained in the union of  $\{1, \exp(\alpha)\}$  and the circle of radius  $|\exp(-\alpha)|$ .

*Proof.* Let  $\mathcal{B}_t \subset \mathcal{B}$  be the closed subspace of vector fields which are tangent to  $\mathbb{C}X \oplus \mathbb{C}Y$ , i.e., to the orbits of  $\text{Aff}_\infty$ . According to the previous formulae, the spectrum of  $(g_t^\alpha)_*$  acting on  $\mathcal{B}_t$  is precisely  $\{1, \exp(\alpha)\}$ . Let  $\mathcal{B}_n = \mathcal{B}/\mathcal{B}_t$  be the quotient Banach space (of “normal” vector fields), with the quotient norm. Since the Hermitian metric  $\| \cdot \|$  defines the invariant volume form  $v$  and that the volume along the orbits of  $\text{Aff}_\infty$  is multiplied by  $|\exp(\alpha)|^2$  by  $g^\alpha$ , we deduce that  $g^\alpha$  contracts by  $|\exp(\alpha)|^2$  the transversal volume. It follows that the action of  $(g^\alpha)_*$  on  $\mathcal{B}_n$  contracts the norm exactly by  $|\exp(\alpha)|$  and this proves the lemma.  $\square$

**Corollary.** *There is a unique continuous field of complex 1-dimensional tangent lines  $E^s$  in  $M$  which is transversal to  $\mathbb{C}X \oplus \mathbb{C}Y$  and invariant under  $(g^\alpha)_*$  ( $\alpha \in \mathbb{C}$ ). This  $E^s$  is uniquely integrable and defines a continuous foliation  $\mathcal{F}^s$  of  $M$  by holomorphic curves.*

*Proof.* This is a simple application of the theory of stable manifolds as explained, for instance, in [HPS]. We recall the main results of the theory. Let  $g$  be a  $C^\infty$ -diffeomorphism of a compact manifold  $M$  such that the spectrum of the linear operator  $g_*$  acting on  $\mathcal{B}$  does not intersect some circle  $\{|z| = r\}$ . Then there is a unique splitting of the tangent bundle of  $M$  as a sum of two continuous sub-bundles  $E_1$  and  $E_2$  which are invariant by  $g_*$  and have the following property. The spectrum of  $g_*$  acting on continuous sections of  $E_1$  (resp.  $E_2$ ) lies inside (resp. outside) the disc  $\{|z| < r\}$ . Moreover if  $r > 1$  the sub-bundle  $E_2$  is integrable and defines a continuous foliation with  $C^\infty$  leaves.

We can apply these results to  $g^\alpha$  where  $\alpha$  has a negative real part and  $1 < r < |\exp(-\alpha)|$ . Of course the bundle  $E_1$  coincides with  $\mathbb{C}X \oplus \mathbb{C}Y$  and  $E_2$  is a 2-dimensional real sub-bundle. By uniqueness and the fact that all  $g^\alpha$  commute,  $E_2$  is invariant under all  $(g^\alpha)_*$  (even if  $\Re(\alpha) = 0$ ). Again by uniqueness and since  $g^\alpha$  is holomorphic,  $E_2$  has to be invariant by multiplication by  $\sqrt{-1}$  so that it is actually a complex line sub-bundle of the tangent bundle. In particular the leaves of the induced foliation are holomorphic curves. We denote  $E_2$  by  $E^s$  since it contains vectors which are stable under  $(g^\alpha)_*$  when the real part of  $\alpha$  goes to  $+\infty$ .  $\square$

Note that we did not (yet) claim that  $E^s$  is a holomorphic sub-bundle.

**Remark.** We used the existence of an invariant volume in the previous lemma to get some contraction in the direction transversal to the orbits of  $\text{Aff}_\infty$ . This is the only place where we need this invariant volume so that the main theorem would hold true under the weaker assumption that the spectrum of  $(g^\alpha)_*$  acting on  $\mathcal{B}_n = \mathcal{B}/\mathcal{B}_t$  lies inside the unit disc for  $\Re(\alpha) > 0$ . Note also that it would suffice to ask for a volume form invariant under the flow  $g^\alpha$  (but it is easy to verify that such a form is also necessarily invariant under the horocyclic flow  $h^b$ ).

**Corollary.** *Any volume-preserving locally free holomorphic action of  $\text{Aff}_\infty$  factors through one of its quotients  $\text{Aff}_k$ .*

*Proof.* We shall establish that the real flow  $g^{it}$  ( $t \in \mathbb{R}$ ) must be a periodic flow. This will imply that the action of  $\text{Aff}_\infty$  under consideration is not faithful. Its kernel must be a discrete nontrivial normal subgroup of  $\text{Aff}_\infty$  necessarily contained in the infinite center of  $\text{Aff}_\infty$  and that implies the corollary.

Choose the hermitian norm  $\| \cdot \|$  such that the line field  $E^s$  is orthogonal to  $\mathbb{C}X \oplus \mathbb{C}Y$ . It follows from the invariance of  $E^s$  under  $(g^\alpha)_*$  and our previous calculations that  $g^{it}$  is an isometry of  $\| \cdot \|$ . The closure  $\mathcal{C}$  of this 1-parameter subgroup in the compact open topology is therefore compact by Ascoli's theorem. Of course,  $\mathcal{C}$  is connected and Abelian. Moreover, it is a closed subgroup of the full biholomorphism group of  $M$  which is a complex Lie group. It follows that  $\mathcal{C}$  is a torus  $T^l = \mathbb{R}^l / \mathbb{Z}^l$  for some  $l \geq 1$  and we want to show that  $l = 1$ . The Lie algebra of  $T^l$  gives rise to vector fields  $V$  on  $M$  which are obviously invariant by the elements of the flow  $g^\alpha$ . By our previous description of the action of  $(g^\alpha)_*$  on vector fields on  $M$  it follows that  $V$  must be tangent to  $\mathbb{C}X$ . Being invariant by  $(g^\alpha)_*$ ,  $V$  actually is a (complex) multiple of  $X$ . In other words, the compact group  $\mathcal{C}$  is contained in the complex 1-parameter group  $g^\alpha$  ( $\alpha \in \mathbb{C}$ ). We know that  $g^\alpha$  is an isometry only if  $\Re(\alpha) = 0$  so that we have proven that  $\mathcal{C}$  coincides with the real 1-parameter flow  $g^{it}$  which must therefore be periodic. This proves the corollary  $\square$

In the terminology of [Gh3] we have established that  $g^\alpha$  is a holomorphic Anosov flow. Of course, we could use the results of [Gh3] but we won't do it because that would not simplify much of the following discussion. However we shall borrow the following lemma from [Gh3] but we present here a more general proof.

**Lemma.** *The plane field  $E_0^s = E^s \oplus \mathbb{C}X$  (of complex dimension 2) is a holomorphic plane field.*

*Proof.* By pushing the leaves of  $E^s$  along the flow  $g^\alpha$  one sees that  $E_0^s$  is integrable and generates a foliation  $\mathcal{F}_0^s$ , of class  $C^0$  with leaves of complex codimension 1. We want to show that this foliation is actually holomorphic.

Let us begin by a general remark concerning this kind of foliation. In suitable local holomorphic coordinates  $(x_1, x_2, x_3)$  in  $\mathbb{C}^3$  (where  $|x_1| < 1$ ,  $|x_2| < 1$ ,  $|x_3| < 1$ ) the leaves have equations of the form:  $x_3 = F(x_1, x_2, c)$ ,  $F(0, 0, c) = c$  where  $c$  is a constant depending on the leaf. Since the leaves are holomorphic and don't intersect two by two, for fixed  $x_1, x_2$  we know that  $F(x_1, x_2, c)$  is an injective function of  $c$  and, fixing  $c$ , the function  $F(x_1, x_2, c)$  is holomorphic in  $x_1, x_2$ . This is the definition of a so-called holomorphic motion (see for instance [Do] for an interesting discussion). In particular, it follows that  $F(x_1, x_2, c)$  is a quasi-conformal homeomorphism in the variable  $c$  and that the quasi-conformal distortion on a small neighborhood of 0 goes to 1 as  $(x_1, x_2)$  goes to  $(0, 0)$ .

Hence we have shown that a *codimension one foliation on a compact complex manifold, with holomorphic leaves, is necessarily transversely quasi-conformal.*



Let us apply this to our situation. Let  $x$  and  $y$  be two nearby points in the same leaf of  $\mathcal{F}_0^s$ . Through  $x$  and  $y$  we can consider small pieces of orbits of the flow  $h^b$ , which can be considered as small holomorphic curves  $U_x$  and  $U_y$  transversal to  $\mathcal{F}_0^s$  at  $x$  and  $y$ . Consider the holonomy of a small path connecting  $x$  and  $y$  in their leaf of  $\mathcal{F}_0^s$ . As we have seen, this is a quasi-conformal homeomorphism  $\gamma_{x,y}$  from a neighborhood of  $x$  in  $U_x$  to a neighborhood of  $y$  in  $U_y$  sending  $x$  to  $y$ . We have to show that these homeomorphisms are holomorphic. If  $x, y, z$  are three nearby points in the same leaf, we obviously have  $\gamma_{x,z} = \gamma_{y,z} \circ \gamma_{x,y}$ . Note that if  $y = g^\alpha(x)$  for some small  $\alpha$ , the holonomy  $\gamma_{x,y}$  is the restriction of  $g^\alpha$  to  $U_x$  and is therefore holomorphic. We can therefore assume that  $x$  and  $y$  are two nearby points in the same leaf of  $\mathcal{F}^s$ . By the compactness of  $M$  and the property of holomorphic motions mentioned above, for every  $\epsilon > 0$  there is  $r > 0$  such that if the distance between  $x$  and  $y$  is less than  $r$  then  $\gamma_{x,y}$  is  $(1 + \epsilon)$ -quasi-conformal in a neighborhood of  $x$ . Of course  $g^\alpha \gamma_{x,y} g^{-\alpha} = \gamma_{g^\alpha(x), g^\alpha(y)}$  so that taking  $\alpha$  with a sufficiently big real part,  $g^\alpha(x)$  and  $g^\alpha(y)$  are at a distance less than  $r$  in the same leaf of  $\mathcal{F}^s$  and  $\gamma_{g^\alpha(x), g^\alpha(y)}$  is  $(1 + \epsilon)$ -quasi-conformal. Since the quasi-conformal distortion is invariant under holomorphic conjugation, we get that  $\gamma_{x,y}$  is  $(1 + \epsilon)$ -quasi-conformal in a neighborhood of  $x$ . Since this is true for every  $\epsilon > 0$ , we get that  $\gamma_{x,y}$  is 1-quasi-conformal, i.e. holomorphic. The lemma is established.  $\square$

**Lemma.** *The 1-dimensional foliation  $\mathcal{F}^s$  is holomorphic.*

*Proof.* Let  $\eta$  be the holomorphic 1-form which vanishes on  $E_0^s = E^s \oplus \mathbb{C}X$  and is equal to 1 on the vector field  $Y$ . Since  $\eta$  is integrable, there is a unique holomorphic 1-form  $\xi$  such that:

$$d\eta = \eta \wedge \xi, \quad \xi(Y) = 0.$$

Evaluating on  $(X, Y)$ , we find:

$$\begin{aligned} d\eta(X, Y) &= X\eta(Y) - Y\eta(X) - \eta([X, Y]) \\ &= \eta(X)\xi(Y) - \eta(Y)\xi(X) \\ &= -\xi(X) = -1, \end{aligned}$$

so that  $\xi(X) = 1$ .

Of course  $\eta$  satisfies  $(g^\alpha)^*\eta = \exp(\alpha)\eta$  so that  $\xi$  is invariant under  $(g^\alpha)^*$ . Therefore the kernel of  $\xi$  is a holomorphic plane field transverse to  $\mathbb{C}X$  which is invariant under  $(g^\alpha)_*$ ; it must coincide with  $E^s \oplus \mathbb{C}Y$ . It follows that  $E^s$  is the intersection of  $E^s \oplus \mathbb{C}X = \ker \eta$  and  $E^s \oplus \mathbb{C}Y = \ker \xi$  which are both holomorphic plane fields. Hence  $E^s$  is a holomorphic line field.  $\square$

**Corollary.** *There are only two possibilities:*

I-  $E^s \oplus \mathbb{C}Y$  is integrable.

II- there is a holomorphic vector field  $Z$ , everywhere independent of  $X$  and  $Y$ , such that  $[X, Z] = -Z$ ;  $[Y, Z] = X$  (and  $[X, Y] = Y$ ).

*Proof.* Consider the holomorphic 1-form  $\xi$  vanishing on  $E^s \oplus \mathbb{C}Y$  and such that  $\xi(X) = 1$ . We have seen that  $\xi$  is invariant under  $(g^\alpha)^*$  so that the holomorphic 3-form  $\xi \wedge d\xi$  is also invariant under  $(g^\alpha)^*$ . We distinguish several cases:

I-  $\xi \wedge d\xi$  vanishes everywhere, so that  $E^s \oplus \mathbb{C}Y$  is integrable.

II- The form  $\xi \wedge d\xi$  is not identically zero.

In case II, we consider the zero set  $\Sigma$  of  $\xi \wedge d\xi$  and we shall show that  $\Sigma$  must be empty. The space of holomorphic 3-forms on  $M$  is a finite dimensional space equipped with a natural positive definite Hermitian form given by integration. The group  $\text{Aff}_k$  acts on this space by isometries and by complex automorphisms. Since a complex Lie group of  $\text{GL}(n, \mathbb{C})$  which is relatively compact is necessarily trivial, we see that  $\text{Aff}_k$  must act trivially on holomorphic 3-forms. In particular  $\xi \wedge d\xi$  is invariant under  $\text{Aff}_k$  and  $\Sigma$  is a 2-dimensional analytic set invariant under the action. Since all the orbits of  $\text{Aff}_k$  are 2-dimensional, the singular set of  $\Sigma$ , being of dimension 0 or 1, must be empty. Hence  $\Sigma$  is a finite union of orbits of  $\text{Aff}_k$ . This shows that  $\Sigma$  is empty since the action of  $\text{Aff}_k$  has no compact orbits (discrete subgroups of  $\text{Aff}_k$  are Abelian and not co-compact).

Let  $Z$  be the holomorphic vector field tangent to  $E^s$  such that  $\xi \wedge d\xi(X, Y, Z) = 1$ . Then  $(g^\alpha)_*Z = \exp(-\alpha)Z$  so that  $[X, Z] = -Z$ . The Jacobi identity then yields  $[[Y, Z], X] = 0$ . We have already noticed that a vector field commuting with  $X$  must be a constant multiple of  $X$  so that multiplying  $Z$  by a suitable constant we have, as required:

$$[X, Z] = -Z, \quad [Y, Z] = X, \quad [X, Y] = Y.$$

This proves the Corollary.  $\square$

Of course, in case II, the vector fields  $X, Y, Z$  generate a Lie algebra which is isomorphic to the Lie algebra of  $\text{SL}(2, \mathbb{C})$  so that we get a locally free action of  $\text{SL}(2, \mathbb{C})$  on  $M$ . This case corresponds to the examples described in section 1.1. Therefore, in order to prove the theorem we are left with case I.

**Lemma.** *In case I, the line field  $E^s$  is invariant under the action of  $\text{Aff}_k$ .*

*Proof.* Recall that we have holomorphic forms  $\eta$  and  $\xi$  such that:

$$\begin{aligned} \mathbb{C}X \oplus E^s &= \ker \eta, & \eta(Y) &= 1 \\ \mathbb{C}Y \oplus E^s &= \ker \xi, & \xi(X) &= 1 \\ d\eta &= \eta \wedge \xi. \end{aligned}$$

Choose a locally defined holomorphic vector field  $Z$  tangent to  $E^s$ . We have:

$$\begin{aligned} d\eta(Y, Z) &= Y\eta(Z) - Z\eta(Y) - \eta([Y, Z]) = -\eta([Y, Z]) \\ &= \eta(Y)\xi(Z) - \eta(Z)\xi(Y) = 0. \end{aligned}$$

Hence  $[Y, Z]$  lies in the plane  $CX \oplus E^s$ . If we assume, as in case I, that  $CY \oplus E^s$  integrable,  $[Y, Z]$  lies in  $CY \oplus E^s$  so that both conditions imply that  $[Y, Z]$  is parallel to  $Z$ , i.e., the flow  $(h^b)_*$  preserves  $E^s$ . Since we already know that  $(g^\alpha)_*$  preserves  $E^s$  we deduce that  $E^s$  is indeed invariant under the action of the full group  $\text{Aff}_k$ .  $\square$

We are ready to reconstruct  $M$ .

**Lemma.** *In case I, there is a regular covering  $\widehat{M}$  of  $M$  which is biholomorphic to  $\mathbb{C} \times \text{Aff}_k$  in such a way that:*

- (1) *the action of  $\text{Aff}_k$  lifts to  $\widehat{M}$  to the action by right translations on the second factor.*
- (2) *let  $\Gamma$  be the Galois group of this cover. There are two homomorphisms  $\sigma : \Gamma \rightarrow \text{Aff}$  and  $\tau : \Gamma \rightarrow \text{Aff}_k$  such that the action of  $\gamma \in \Gamma$  on  $\widehat{M}$  is given by:*

$$\gamma(x, g) = (\sigma(\gamma)(x), \tau(\gamma)(g)).$$

*Proof.* Let  $L$  be a leaf of  $\mathcal{F}^s$  and recall the classical argument from Anosov theory that shows that  $L$  is homeomorphic to a plane. Fix a point  $x$  in  $L$  and  $\epsilon > 0$  sufficiently small such that all balls of radii  $\epsilon$  in leaves of  $\mathcal{F}^s$  are homeomorphic to discs in the plane. Then  $L$  can be considered as the increasing union of the images by  $g^{-n}$  ( $n \in \mathbb{N}$ ) of the balls of radii  $\epsilon$  in the leaf of  $\mathcal{F}^s$  passing through  $g^n(x)$ . This shows that  $L$  is homeomorphic to a plane and we shall see in a moment that  $L$  is actually isomorphic to  $\mathbb{C}$ , as a Riemann surface.

Using the action of  $\text{Aff}_\infty$  (lifted from  $\text{Aff}_k$  and non-faithful), we get a holomorphic map:

$$\pi : L \times \text{Aff}_\infty \rightarrow M.$$

We claim that this is the universal cover of  $M$ . Of course,  $\pi$  is a local diffeomorphism and it is enough to show that paths in  $M$  can be uniquely lifted to  $L \times \text{Aff}_\infty$ . If  $x$  is a point in  $L$ , the restriction of  $\pi$  to  $\{x\} \times \text{Aff}_\infty$  is a covering onto the orbit of  $x$  in  $M$ . Hence any path contained in an orbit can be lifted. By the previous lemma, if  $g$  is in  $\text{Aff}_\infty$ , the image of  $L$  by  $g$  is a leaf of  $\mathcal{F}^s$ . Therefore any path contained in a leaf of  $\mathcal{F}^s$  can be lifted. Using the connectivity of  $M$  (that we tacitly assumed), any path in  $M$  can be arbitrarily approximated by a path made of a succession of paths alternatively tangent to  $\mathcal{F}^s$  and to the orbits of  $\text{Aff}_\infty$ . This shows that  $\pi$  is indeed the universal cover of  $M$ .

Of course, the lifted action of  $\text{Aff}_\infty$  is by right translations on the second factor. As for the action of the fundamental group, it must respect the splitting and commutes with right  $\text{Aff}_\infty$  translations. Hence we have two homomorphisms  $\bar{\sigma}$  and  $\bar{\tau}$  from the fundamental group of  $M$  to  $\text{Aut}(L)$  and  $\text{Aff}_\infty$  such that the action has the form:

$$\gamma \cdot (x, g) = (\bar{\sigma}(\gamma)(x), \bar{\tau}(\gamma)(g)).$$

We know that  $L$  is isomorphic to  $\mathbb{C}$  or to the Poincaré disc. The latter case is impossible since  $\text{Aut}(L)$  would be a group of isometries (of the Poincaré metric) so

that the action of  $\text{Aff}_k$  that we study on  $M$  would respect a Riemannian metric, transversely to its orbits which is a contradiction with the normal contraction of  $g^\alpha$ . Therefore, we can identify  $L$  with  $\mathbb{C}$  and its automorphism group  $\text{Aut}(\mathbb{C})$  with  $\text{Aff}$ .

The action of  $\text{Aff}_\infty$  on  $M$  is not faithful since  $(2i\pi k, 0)$  acts trivially. In other words, there is an element  $\gamma_0$  in the fundamental group of  $M$  such that  $\bar{\sigma}(\gamma_0) = (1, 0)$  and  $\bar{\tau}(\gamma_0) = (2i\pi k, 0)$ . Such an element is central in the fundamental group and the quotient of  $\mathbb{C} \times \text{Aff}_\infty$  by this central subgroup  $\{\gamma_0^n\}$  is  $\mathbb{C} \times \text{Aff}_k$ . We get the regular covering space  $\widehat{M}$  whose existence is claimed by the lemma. The Galois group  $\Gamma$  is the quotient of the fundamental group by  $\{\gamma_0^n\}$  and  $\sigma$  and  $\tau$  are induced by  $\bar{\sigma}$  and  $\bar{\tau}$ . The lemma is proved.  $\square$

We now analyze the two homomorphisms:

$$\begin{aligned}\sigma : \gamma \in \Gamma &\mapsto (a_1(\gamma), b_1(\gamma)) \in \text{Aff} \\ \tau : \gamma \in \Gamma &\mapsto (a_2(\gamma), b_2(\gamma)) \in \text{Aff}_k.\end{aligned}$$

Let  $\Gamma_1$  and  $\Delta$  be respectively the kernel and image of the homomorphism:

$$\gamma \in \Gamma \mapsto (a_1(\gamma), a_2(\gamma)^k) \in \mathbb{C}^* \times \mathbb{C}^*.$$

Finally, let  $\Lambda$  be the image of the homomorphism:

$$\gamma \in \Gamma_1 \mapsto (b_1(\gamma), b_2(\gamma)) \in \mathbb{C}^2.$$

**Lemma.**  $\Lambda$  is a lattice in  $\mathbb{C}^2$  and  $\Delta$  contains an infinite cyclic subgroup of finite index.

*Proof.* If  $\gamma \in \Gamma_1$ , its action on  $\mathbb{C} \times \text{Aff}_k$  has the form

$$(z; x, y) \mapsto (z + b_1(\gamma); a_2(\gamma)x, y + b_2(\gamma)).$$

Of course the action of  $\Gamma_1$  on  $\mathbb{C} \times \text{Aff}_k$  is discrete so that  $\Lambda$  is a discrete subgroup of  $\mathbb{C}^2$ . Note that  $\Gamma_1$  contains the first commutator group of  $\Gamma$  so that if  $\Lambda$  were trivial,  $\Gamma$  would be Abelian and would be isomorphic to a discrete subgroup of  $\mathbb{C}^* \times \mathbb{C}^*$ . This is impossible since the quotient of  $\mathbb{C} \times \text{Aff}_k$  by  $\Gamma$  is compact. Hence  $\Lambda$  is a non trivial discrete subgroup of  $\mathbb{C}^2$ .

The group  $\Delta$  acts by diagonal matrices in  $\mathbb{C}^2$  preserving  $\Lambda$ . Moreover,  $\Lambda$  is not contained in  $\mathbb{C} \times \{0\}$  or  $\{0\} \times \mathbb{C}$  since, otherwise, there would be a codimension one subspace of  $\mathbb{C} \times \text{Aff}_k$  which is invariant by  $\Gamma$ , contradicting the compactness of the quotient. Therefore, it is a discrete subgroup of  $\mathbb{C}^* \times \mathbb{C}^*$  which cannot contain an element of the form  $(\omega_1, \omega_2)$  with  $|\omega_1| < 1$  and  $|\omega_2| < 1$ . It follows that  $\Delta$  is a discrete subgroup of a group isomorphic to  $\mathbb{R} \times S^1 \times S^1$ . Hence  $\Delta$  either contains an infinite cyclic subgroup of finite index or is finite. The latter case is not possible since otherwise the quotient of  $\mathbb{C} \times \text{Aff}_k$  by  $\Gamma$  would not be compact.

It remains to show that  $\Lambda$  is a lattice, *i.e.*, that  $\mathbb{C}^2/\Lambda$  is compact. We know that  $M$  is the quotient of  $\mathbb{C}^2/\Lambda \times \mathbb{C}^*$  by the action of  $\Lambda/\Lambda_1 = \Delta$ . Since  $M$  is compact and  $\Delta$  contains an infinite cyclic subgroup of finite index, it follows that  $\mathbb{C}^2/\Lambda$  is compact, as claimed by the lemma.  $\square$

The end of the proof of the theorem is now easy. Choose a generator  $\Phi$  of a direct summand of the torsion part of  $\Delta$ . The action of  $\Phi$  on  $\mathbb{C}^2/\Lambda \times \mathbb{C}^*$  is exactly as in the suspension case, described in section 1.2. The quotient of  $\mathbb{C}^2/\Lambda \times \mathbb{C}^*$  by  $\Phi$  is a finite cover of  $M$ . To get the exact structure of  $M$ , one has to take into account the torsion subgroup of  $\Delta$  which gives rise to the twisted suspension.  $\square$

## Appendix

### Automorphisms of 2-dimensional complex tori

Let us introduce some notations. If  $\Lambda$  is a lattice in  $\mathbb{C}^n$  ( $n \geq 1$ ), we define:

$$\begin{aligned} \text{End}(\Lambda) &= \{f \in M(n, \mathbb{C}) \mid f(\Lambda) \subset \Lambda\} \\ \text{Aut}(\Lambda) &= \{f \in M(n, \mathbb{C}) \mid f(\Lambda) = \Lambda\} \\ \text{End}(\Lambda \otimes \mathbb{Q}) &= \{f \in M(n, \mathbb{C}) \mid f(\Lambda \otimes \mathbb{Q}) \subset \Lambda \otimes \mathbb{Q}\}. \end{aligned}$$

If  $\Lambda_1$  and  $\Lambda_2$  are two lattices, they are *isomorphic* if there is  $f \in \text{GL}(n, \mathbb{C})$  such that  $f(\Lambda_1) = \Lambda_2$  and *isogenous* if there is  $f \in \text{GL}(n, \mathbb{C})$  such that  $f(\Lambda_1)$  is a sublattice (hence of finite index) in  $\Lambda_2$ . If  $\Lambda_1$  and  $\Lambda_2$  are isogenous,  $\text{Aut}(\Lambda_1)$  and  $\text{Aut}(\Lambda_2)$  are commensurable, *i.e.*, contain subgroups of finite index which are conjugate.

The purpose of this appendix is to list explicitly all lattices  $\Lambda$  in  $\mathbb{C}^2$  for which  $\text{Aut}(\Lambda)$  is infinite and to give a description of the possible groups  $\text{Aut}(\Lambda)$ . All this discussion will be made up to isogeny and commensurability, in order to avoid lengthy lists.

Most of this appendix can be extracted from classical books, like for instance [Mu], but we believe that this explicit description might be useful.

First recall the elementary facts concerning the case  $n = 1$ .

For a generic  $\Lambda \subset \mathbb{C}$ , one has  $\text{Aut}(\Lambda) = \{\pm id\}$  and  $\text{End}(\Lambda) \simeq \mathbb{Z}$

$\text{Aut}(\Lambda)$  is always finite; it is nontrivial only if  $\Lambda$  is isomorphic to the lattice  $\mathbb{Z}[\sqrt{-1}]$  of Gaussian integers or the lattice  $\mathbb{Z}[\sqrt[3]{-1}]$  of Eisenstein integers.

$\text{End}(\Lambda)$  is not isomorphic to  $\mathbb{Z}$  if and only if  $\Lambda$  is isogenous with the lattice of integers in some imaginary quadratic field.

We shall now describe five families of lattices in  $\mathbb{C}^2$  whose automorphism group is infinite and then sketch the proof of the fact that this is the complete list up to isogeny. We order the examples by decreasing "size" of  $\text{Aut}(\Lambda)$ .

**Example 1.** Let  $p$  and  $q$  be two positive integers. Consider the quaternion

algebra  $H_{p,q}$  over  $\mathbb{Q}$  generated by  $i, j, k$  with:

$$\begin{aligned} i^2 &= p & j^2 &= -q & k^2 &= pq \\ ij &= k = -ji & jk &= qi = -kj & ki &= -pj = -ik. \end{aligned}$$

As is well known,  $H_{p,q} \otimes \mathbb{R}$  is isomorphic to the algebra  $M(2, \mathbb{R})$  via the embedding:

$$\begin{aligned} 1 &\rightarrow \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, & i &\rightarrow \begin{pmatrix} \sqrt{p} & 0 \\ 0 & -\sqrt{p} \end{pmatrix}, \\ j &\rightarrow \begin{pmatrix} 0 & 1 \\ -q & 0 \end{pmatrix}, & k &\rightarrow \begin{pmatrix} 0 & \sqrt{p} \\ q\sqrt{p} & 0 \end{pmatrix}. \end{aligned}$$

The norm of an element  $x_0 + x_1i + x_2j + x_3k$  of  $H_{p,q} \otimes \mathbb{R}$  is  $x_0^2 - px_1^2 + qx_2^2 - pqx_3^2$  and the group of unitary quaternions in  $H_{p,q} \otimes \mathbb{R}$  is isomorphic with  $SL(2, \mathbb{R})$ .

Right multiplication by  $j/\sqrt{q}$  gives a complex structure on  $M(2, \mathbb{R})$  which can therefore be considered as  $\mathbb{C}^2$ . Left multiplication by elements of  $H_{p,q} \otimes \mathbb{R}$  act complex linearly on  $\mathbb{C}^2$ . Let  $\Lambda$  be the lattice of quaternions of  $H_{p,q}$  with integral coordinates, considered as a lattice in  $\mathbb{C}^2$ . Let  $G_{p,q}$  be the group of integral quaternions of norm 1; it acts on  $\mathbb{C}^2$  by complex linear mappings, preserving the lattice  $\Lambda$ .

The groups  $G_{p,q}$  are examples of arithmetical Fuchsian groups (see for instance [Ka]). They are always discrete subgroups of finite co-volume in  $SL(2, \mathbb{R})$  and are co-compact if the equation  $-px_1^2 + qx_2^2 - pqx_3^2 = 0$  has no nontrivial integral solution  $(x_1, x_2, x_3)$ . In any case, these groups  $G_{p,q}$  are quite big in the sense that, for instance, they contain nonabelian free subgroups.

**Example 2.** Let  $\Lambda_0$  be a lattice in  $\mathbb{C}$  and consider the lattice  $\Lambda = \Lambda_0 \times \Lambda_0$  in  $\mathbb{C}^2$ . The automorphism group of  $\Lambda$  is  $GL(2, \text{End}(\Lambda_0))$ . It contains at least  $GL(2, \mathbb{Z})$  and, therefore, a free nonabelian group.

**Example 3.** Let  $K$  be a degree 4 number field which is totally imaginary, i.e., admitting two embeddings  $i_1, i_2$  in  $\mathbb{C}$  which are distinct and nonconjugate. Let  $i = (i_1, i_2) : K \rightarrow \mathbb{C} \times \mathbb{C}$ . Let  $\mathcal{O} \subset K$  be the ring of integers of  $K$  and  $\Lambda = i(\mathcal{O})$ ; it is a lattice in  $\mathbb{C}^2$  (see for instance [BS]). By Dirichlet's theorem, the group of units of  $\mathcal{O}$  has the form  $Z \times F$  where  $F$  is the finite group of roots of unity contained in  $K$ . Any unit  $u$  acts in  $\mathbb{C}^2$  by the diagonal matrix  $\begin{pmatrix} i_1(u) & 0 \\ 0 & i_2(u) \end{pmatrix}$  preserving the lattice  $\Lambda$ . Hence this construction produces examples of lattices whose automorphism group contains an infinite subgroup of finite index.

Note that the four conjugates of  $i_1(u)$  are  $i_1(u), \overline{i_1(u)}, i_2(u), \overline{i_2(u)}$ . We have noticed that since the lattice  $\Lambda$  is invariant, one has  $|i_1(u)i_2(u)| = 1$ . If  $|i_1(u)|$  and  $|i_2(u)|$  were equal to 1, the four conjugates would be of modulus 1 and  $u$  would be of finite order according to Kronecker's theorem. Therefore, if  $u$  is a unit of infinite order, the corresponding automorphism of  $\Lambda$  has no eigenvalue of modulus 1 and can therefore be used in the construction of a holomorphic suspension.

We discuss now the question mentioned in section 1.2 concerning the product  $\rho = i_1(u)i_2(u)$  which is the determinant of the linear operator of  $\mathbb{C}^2$  preserving  $\Lambda$ . We want to describe in which cases it is equal to 1. Consider the characteristic polynomial of the unit  $u$  (considered as an integral  $4 \times 4$  matrix acting on  $\Lambda$ ); it has the form:

$$P(T) = T^4 + n_3T^3 + n_2T^2 + n_1T \pm 1 \quad \text{where } n_i \in \mathbb{Z}.$$

Conversely, any such polynomial, if irreducible over  $\mathbb{Q}$ , defines a quartic field  $\mathbb{Q}[T]/P(T)$  and a unit  $u = T$  in its ring of integers. The condition expressing that this field is purely imaginary is expressed by finitely many polynomial inequalities in the  $n_i$ 's. Of course, the four roots of this polynomial  $P$  are the four conjugates of  $i_1(u)$  that we denote, for better symmetry, by  $\omega_1, \omega_2, \omega_3, \omega_4$ . We have to decide if one of the products  $\omega_i\omega_j$  ( $i \neq j$ ) is equal to 1. Apply the usual method for solving quartic equations, *i.e.*, let us define:

$$\Omega_1 = \omega_1\omega_2 + \omega_3\omega_4 \quad \Omega_2 = \omega_1\omega_3 + \omega_2\omega_4 \quad \Omega_3 = \omega_1\omega_4 + \omega_2\omega_3.$$

Symmetric polynomials of the  $\Omega_i$ 's can be explicitly expressed in the  $n_i$ 's so that the  $\Omega_i$ 's satisfy a cubic equation (Galois resolvent). If one uses the fact that  $\omega_1\omega_2\omega_3\omega_4 = \pm 1$ , one finds:

$$\Omega^3 - n_2\Omega^2 + (n_1n_3 \mp 4)\Omega + (\pm n_3 \pm 2n_2 - n_1^2) = 0.$$

A product  $\omega_i\omega_j$  ( $i \neq j$ ) is equal to 1 if and only if the previous cubic has 2 (or 0) as a solution. We therefore find the necessary and sufficient condition in the  $n_i$ 's so that  $\rho = 1$ .

In particular, we find many examples where  $\rho = 1$  and many examples where  $\rho \neq 1$  (one has also to check that the condition is compatible with the polynomial inequalities mentioned above but this is an easy exercise). Note also that in any case  $\rho$  is of degree 1, 2, 3, 4 or 6 over  $\mathbb{Q}$  so that, if  $\rho$  is a root of unity, its order is a priori bounded (by 18) and the sum  $\rho + 1/\rho = \Omega$  can take only finitely many explicit values. In other words, we find analogous conditions in the  $n_i$ 's for  $\rho$  to be a root of unity. Therefore most holomorphic suspensions do not lead to infra-homogeneous manifolds (see section 1.2).

Observe finally that if  $\rho = 1$ , the previous cubic equation has to split over  $\mathbb{Q}$  so that  $\Omega_1$  and  $\Omega_2$  belong to a (real) quadratic field. Hence in this case,  $K$  is a purely imaginary quadratic extension of a real quadratic field. In this case the complex torus  $\mathbb{C}^2/\Lambda$  is algebraic, but we shall not focus here on the algebraicity of our examples (see [Mu]).

**Example 4.** Let  $K$  be a real quadratic field,  $\mathcal{O}$  its ring of integers, and  $u$  a unit in  $\mathcal{O}$  of infinite order. The action of  $u$  on  $\mathcal{O}$  gives rise to a matrix  $U$  in  $GL(2, \mathbb{Z})$  which is diagonalizable over the reals. The  $4 \times 4$  matrix  $\begin{pmatrix} U & 0 \\ 0 & U \end{pmatrix}$  preserves

$\mathbb{Z}^4$  and has two invariant subspaces in  $\mathbb{R}^4$ , both of dimension 2, on which it is a homothety. Choose any complex structure on each one of these subspaces so that  $\mathbb{R}^4$  is now identified with  $\mathbb{C}^2$  in such a way that the matrix acts complex linearly and preserves the lattice  $\Lambda = \mathbb{Z}^4 \subset \mathbb{R}^4 \simeq \mathbb{C}^2$ . Once again, we found lattices with infinite automorphisms groups. Note that, unlike examples 3, this construction produces uncountably many examples since we have a lot of freedom for the choices of complex structures on the 2-dimensional invariant subspaces.

**Example 5.** We now come to a very degenerate case. Let  $\Lambda_0 \subset \mathbb{C}$  be a lattice and let  $\Lambda \subset \mathbb{C}^2 \simeq \mathbb{R}^4$  be the image of  $\Lambda_0 \times \Lambda_0$  by a linear map whose matrix has the form  $\begin{pmatrix} I & A \\ 0 & I \end{pmatrix}$  where  $A$  is a  $2 \times 2$  matrix. For a generic choice of  $A$ , the line  $\{0\} \times \mathbb{C}$  is the only complex line in  $\mathbb{C}^2$  that intersects  $\Lambda$  on a lattice (*i.e.*, isomorphic to  $\mathbb{Z}^2$ ). Automorphisms of  $\Lambda$  have the form  $\begin{pmatrix} \omega_1 & b \\ 0 & \omega_2 \end{pmatrix}$  where  $\omega_1$  and  $\omega_2$  are in the finite group  $\text{Aut}(\Lambda_0)$  and  $b$  is in the ring  $\text{End}(\Lambda_0)$ . In particular,  $\text{Aut}(\Lambda)$  contains an Abelian group of finite index, consisting only in unipotent elements. Hence these examples cannot be used in the holomorphic suspension construction of section 1.2.

**Proposition.** *Up to isogeny, all lattices in  $\mathbb{C}^2$  whose automorphism group is infinite are described in examples 1 to 5.*

*Proof.* We give a sketch of the proof, leaving details to the reader. Let  $\Lambda$  be a lattice in  $\mathbb{C}^2$  such that  $\text{Aut}(\Lambda)$  is infinite. We distinguish several cases:

A) There are at least two complex lines in  $\mathbb{C}^2$  intersecting  $\Lambda$  on a lattice. In this case,  $\Lambda$  is isogenous to a product of two lattices of  $\mathbb{C}$ . These two lattices must be isogenous since  $\text{Aut}(\Lambda)$  is supposed to be infinite; we are in the example 2 case.

B) There is a unique complex line in  $\mathbb{C}^2$  that intersects  $\Lambda$  on a lattice  $\Lambda_0$ . It is not difficult to see that  $\Lambda/\Lambda_0$  must be isogenous to  $\Lambda_0$  and that we are in the case of example 5.

C) There is no complex line intersecting  $\Lambda$  on a lattice. In this case  $D = \text{End}(\Lambda \otimes \mathbb{Q})$  is a division algebra since the image and the kernel of an element of  $\text{End}(\Lambda \otimes \mathbb{Q})$  are complex subspaces of  $\mathbb{C}^2$  which are rational with respect to the lattice  $\Lambda$ , *i.e.*, the kernel and image are either trivial or  $\mathbb{C}^2$ . Of course  $\Lambda \otimes \mathbb{Q} \simeq \mathbb{Q}^4$  appears as a vector space over  $D$  so that one has:

$$4 = \dim_D(\Lambda \otimes \mathbb{Q}) \dim_{\mathbb{Q}} D.$$

Let  $K$  be the center of  $D$  so that  $K$  is a number field and:

$$\dim_{\mathbb{Q}} D = \dim_{\mathbb{Q}} K \dim_K D.$$

As is well known  $\dim_K D$  is a square so that one has three possibilities:

C-1)  $K = \mathbb{Q}$ ,  $\dim_{\mathbb{Q}} D = 4$  and  $\Lambda \otimes \mathbb{Q}$  is 1-dimensional over  $D$ . By Frobenius' theorem,  $D$  is a quaternion algebra over  $\mathbb{Q}$ . We are in example 1.



C-2)  $D = K$ ,  $\dim_{\mathbb{Q}} K = 4$  and  $\Lambda \otimes \mathbb{Q}$  is 1-dimensional over  $K$ . In this case,  $\Lambda$  is commensurable with the ring of integers of  $K$  and  $\text{Aut}(\Lambda)$  with the group of units. It follows from the fact that  $K$  has to act by complex linear maps that  $K$  is purely imaginary. This is example 3.

C-3)  $D = K$ ,  $K$  is a quadratic field and  $\Lambda \otimes \mathbb{Q}$  is a 2-dimensional  $K$ -vector space. This is example 4.

This finishes the sketch of the proof of the proposition.  $\square$

We have described  $\text{Aut}(\Lambda)$  up to commensurability but it would not be difficult to give a complete description. We shall not do it, in order to avoid long lists, and we only mention an interesting example of a finite subgroup of  $\text{Aut}(\Lambda)$ .

Let  $\Lambda$  be the Hurwitz lattice in  $\mathbb{C}^2 \simeq \mathbb{R}^4$  consisting of points  $(x_1, x_2, x_3, x_4)$  whose coordinates are all integers or all half an odd integer. Then  $\text{Aut}(\Lambda)$  contains a subgroup with 96 elements. Actually, the complex torus  $\mathbb{C}^2/\Lambda$  is the Jacobian of the algebraic curve which is the 2-fold cover over the Riemann sphere, branched over the 6 vertices of a regular octahedron. This Riemann surface has the biggest automorphism group among genus-2 Riemann surfaces; it contains 48 elements.

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