# Computational Difficulties in Cubical Type Theory: a Case Study

VeriDis Seminar

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# Will you Take Some Howard with your Curry?

The (very hard) "composition problem".

- Formula:  $(A \to B) \to (B \to C) \to A \to C$ .
- Proof: assume (i) that  $A \to B$ , (ii) that  $B \to C$  and (iii) that A. By (i), we have B. By (ii), we have C.
- Program: given  $f : A \to B$ ,  $g : B \to C$ , x : A, return g(f(x)).
- Type:  $(f: A \to B) \to (g: B \to C) \to (x: A) \to C.$

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# Fig. 1: Curry's Realization

(I swear this figure is from his paper)



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# What do you mean?

- Proving a formula is building an algorithm.
- This algorithm is typed.
- $\implies$  checking a proof = type-checking an algorithm!

\*Under the (trivial, of course) condition that type-checking is decidable

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### And what about the rooster?

- Softwares (ITPs) do that for us.
- Coq, Cubical Agda, ...
- Each one runs on a particular type theory.

# Yet Another Type Theory...

# Did you say hot? No, I said HoTT!

- Get all the useful tools of others type theories.
- + isomorphic structures are equal!! 🕲
- Can formalize proofs up to isomorphism.

+ everything is secretly geometry, but shhh! Don't tell it too loud, mathematicians will hear you!



Original image: https://xkcd.com/927/

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### OEIS-A000001

- Surely very important seeing that's it's OEIS-A000001.
- i.e., the number of groups of finite order.
- We shall compute that naively.
- Group = monoid where all elements are invertible.
- Expected complexity for naive algorithm:  $\mathcal{O}(n^{n^2}n!)$ .
- For n = 2,  $32\alpha$  operations, good! ( $\alpha$  shouldn't be too big)

# First Disappointment



What happened?!? Let's take a look at the algorihm.

```
FinSemiGroupStr : FinSet ℓ → FinSet ℓ
FinSemiGroupStr X .fst =
  \Sigma[ p \in (X . fst \rightarrow X . fst \rightarrow X . fst)]
     ((x y z : X .fst) \rightarrow p (p x y) z \equiv p x (p y z))
FinSemiGroupStr X .snd =
  isFinSet\Sigma (_ , isFinSet\Pi 2 \times (\lambda \rightarrow X) (\lambda \rightarrow X))
     (\lambda p \rightarrow ),
        isFinSet∏3 X
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```

OK, maybe it was a bad idea...

### Our Goal

Find the reason(s) that make(s) it so bad.

### Our Tool

postt, experimental type-checker s.t. HoTT computes (+ analysis).

#### Today

- Proof that structures over finite types are finite (a fragment).
- A computational analysis of the proof with magma semigroups.

# Homotopical Type Theory



 $A \to B$  shortcut for  $\prod_{(-: A)} B$  and  $A \times B$  for  $\sum_{(-: A)} B$ .



 $A \to B$  shortcut for  $\prod_{(:A)} B$  and  $A \times B$  for  $\sum_{(:A)} B$ .

Inductive Types			
$\operatorname{inl}(x), \operatorname{inr}(y) \colon A + B$	$\star : 1,$	0	
think of as $A \vee B$	think of as $\top$ ,	$\perp$	
$0:\mathbb{N},suc_{\mathbb{N}}(n):\mathbb{N}$ unary encoding of integers			

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# Identity Types

- Defined inductively by:  $\operatorname{refl}_x : x =_A x$ .
- Multiple proofs of identity ~>> types are spaces.



 $p,q: x =_A y$  continuous paths

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Transporting through a path:



# Definition (Contractible Type)

A type A is contractible if it comes together with a term

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# Actually:

Definition (Homotopy Levels)

A type A is an n-type if it comes equipped with a term is-n-type(A):

$$is-(-2)$$
-type $(A) :\equiv is-contr(A)$   
 $is-(n+1)$ -type $(A) :\equiv \prod_{x,y \in A} is-n$ -type $(x = y)$ 

We can define usual structures using *h*-levels:

- A type is a proposition if it is a (-1)-type (proof irrelevance).
- A type is a set if it is a 0-type (axiom K).
- Notations:  $\mathsf{Prop}_{\mathcal{U}}$  and  $\mathsf{Set}_{\mathcal{U}}$ .

Mindblowing, right?

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Mindblowing, right? But let's come back to our protagonist.

Definition (Equivalence)  $A\simeq B \text{ if there exists maps } f:A\to B,g,h:B\to A \text{ s.t.}$   $f(g(x))=x \quad \text{ and } \quad h(f(x))=x$  Theorem

 $A \simeq B$  iff there exists  $f : A \rightarrow B$  and  $g : B \rightarrow A$  such that

f(g(x)) = x and g(f(x)) = x

### Proof: blackboard.

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### Proof: blackboard.

 $\rightsquigarrow$  we use these notions interchangeably.

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# HoTT: everything we have seen before, plus:

$$(A \simeq B) \simeq (A = B)$$

and HITs, but eh, don't spoil the mood.

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Great consequence: isomorphic types are equal!

Dumb consequence: all singletons are equal ( contradicts set theory (but who cares, nobody still believes in set theory in 2024, right<sup>1</sup>?)).

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<sup>&</sup>lt;sup>1</sup>Otherwise, they are *clearly* wrong, don't listen to them.

Another dumb consequence: two elements that are equal are not... "the same elements"!

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- Here, "the same" means that the path between the elements is refl. But we can have "holes" in the space.
- Examples: circles, semigroups, monoids, groups, ...

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- Here, "the same" means that the path between the elements is refl. But we can have "holes" in the space.
- Examples: circles, semigroups, monoids, groups, ...
- By this same argument: the type of all sets is not a set!

# Filling the holes in the space: "truncating" to an *h*-level.

# Truncation Levels

Depend on what we care about:

- Propositional truncation: inhabitation.
- Set truncation: connected components.

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### Truncation Levels

Depend on what we care about:

- Propositional truncation: inhabitation.
- Set truncation: connected components.

Can be defined as an HIT or by universal property. Here: the latter<sup>2</sup>.

<sup>2</sup>HITs are *really* bad news: look, we even do categories over them!

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# Theorem (h-Truncation)

For every type A, there exists a type  $||A||_n$  and a morphism  $|\cdot|_n : A \to ||A||_n$  such that for every *n*-type X and morphism  $f : A \to X$ , the following diagram commutes:



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Note that I'm cheating here: this theorem does not hold for n = -1 in MLTT. We admit that it does though.

Using propositional truncation, we get back classical logic:

- $\exists x : A, B(x)$  is  $\Sigma_{(x:A)}B(x)$  without explicit inhabitant.
- Isn't that exactly  $\| \Sigma_{(x:A)} B(x) \|$ ? (spoiler: yes it is).
- The law of excluded middle? No, but don't worry, it's false anyway.
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Using the magic of set truncation, we transform circles into disks!

$$\bigcirc \ - - - | \cdot |_0 \longrightarrow \bigcirc$$

Recall our objective (yes, it's tough, I know, it's been a long time).

- Isomorphic (semi)groups are equal!
- Can we count them like this? No: there are holes in their space.
- But look, we can fill holes now!

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All our tools are finally ready, it's time for some fun things.

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## Finiteness of Structures over Finite Types

## Everyone Learns How to Count, Eventually

Definition (Standard Finite Types)

 $\mathsf{Fin}_0 :\equiv \mathbf{0}$ 

$$\mathsf{Fin}_{\mathsf{SUC}_{\mathbb{N}}(n)} :\equiv \mathsf{Fin}_n + 1$$

i.e., there are exactly n elements, ordered, in Fin<sub>n</sub>.

Definition (Finite Type) is-finite(A) :=  $\sum_{(k \in \mathbb{N})} \| \operatorname{Fin}_k \simeq A \|$ 

Can you guess why we would want to use propositional truncation here?

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Can you guess why we would want to use propositional truncation here? Without it, we get a fully ordered set.

#### Theorem

For every type A, is-finite(A) is a proposition.

#### Proof: blackboard.

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#### Key Theorem 1: Finite Codomain

Let  $f : A \to B$  a surjective function and A finite. Then B is finite whenever its equality is decidable<sup>4</sup>.

<sup>4</sup>Classically, we wouldn't need this.

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#### Key Theorem 1: Finite Codomain

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Definition (Surjectivity) is-surj $(f) :\equiv \prod_{(y: B)} \exists x, f(x) = y$ 

#### Exercise

Why use propositional truncation in the definition of surjectivity?

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**Definition (Decidable Type)** A is decidable if  $d: A + \neg A$ .

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We can now prove and analyze the complexity of Key Thm. 1: blackboard.

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We can now prove and analyze the complexity of Key Thm. 1: blackboard. Cheatsheet: we should have found  $\mathcal{O}(|A|^2 d)$  (d =<u>complexity of one decidabil</u>ity check).

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If B is a family of finite type over a finite type A , then  $\sum_{(x \colon A)} B(x)$  is also finite.

Proof and complexity analysis: blackboard.

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That's more or less the only things we need to prove the main theorem.

We need, however, two more definitions.

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Recall that we get disks from circles: the circle is connected.

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A slightly more generic version of finiteness up to isomorphism:

#### Homotopy Finiteness

is-
$$\pi_0$$
-finite( $A$ ) := is-finite  $||A||_0$   
is- $\pi_{\text{suc}_{\mathbb{N}}(n)}$ -finite := is-finite  $||A||_0 \times \prod_{x,y \in A} \text{is-}\pi_n$ -finite( $x = y$ )

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is- $\pi_{\mathsf{suc}_{\mathbb{N}}(n)}$ -finite  $:\equiv$  is-finite  $||A||_0 \times \prod_{x,y \in A} \text{is-}\pi_n$ -finite $(x = y)$ 

# Remark: is- $\pi_n$ -finite is again a proposition (by closure under products).

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For *B* family of  $\pi_0$ -finite types over connected,  $\pi_1$ -finite type *A*,  $\sum_{(x:A)} B(x)$  is  $\pi_0$ -finite.

Read: if *B* family of types finite up to isomorphism over *A* type with one connected component s.t. its identity types are finite up to isomorphism, then  $\sum_{(x:A)} B(x)$  is finite up to isomorphism.

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Proof and complexity analysis: blackboard.

Cheatsheet: we should have found  $\mathcal{O}(| || B(a) ||_0 ||^3 (| || a = a ||_0 |))$ 

Finite Type G + associative multiplication  $\mu:G\to G\to G$ 

Conditions of Key Thm. 3 fulfilled:

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Complexity added:  $\mathcal{O}(|G \to G \to G|)$  negligible (why? in a minute).

## The Final Adversary

## Complexity of Key Thm. 3: $O(||| B(a) ||_0|^3 (||| a = a ||_0|))$

Here:

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- A: finite types, equality: G = H is a set (as G, H sets).

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For a type of order n:

- $|B(G)| = |G \to G \to G| = o(n^{n^2})$
- $|G \simeq H| = n!$

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Total complexity:  $\mathcal{O}(n^{3n^2}n!)$ 

## Recall our initial aimed complexity: $\mathcal{O}(n^{n^2}n!)$ . Not so bad, eh?

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But, computers are powerful, isn't it easy to do that many operations?

 $\implies$  yes and no. We compute  $\lambda$ -terms, so it all depends on the evaluation function. But proofs are big, term size explodes and quickly slows down the evaluation.
Were cubical type theories a mistake?

<sup>5</sup>Thanks to T. Coquand and J. Höfer for their precious advice

Johann Rosain

Were cubical type theories a mistake? Yes: proof was very painful to write in a prototype

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## Were cubical type theories a mistake? No: the proof is bad, not the type theory

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Were cubical type theories a mistake?

Bottom line: we don't know

- Large blow-up in the term size (is cubical involved? Or not?)
- Better proof? But what is *better*?
- Tradeoff between term size and theoretical complexity.

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## Conclusion (2)



## Thanks for your attention!

A special thanks to Adrien M. for typesetting the xkcd.