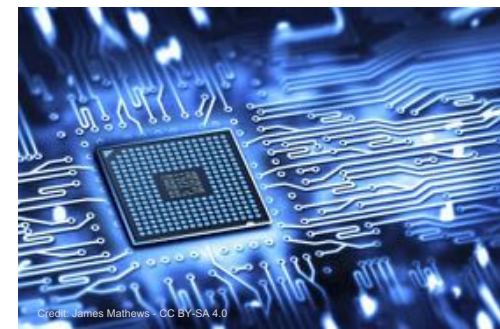




SUSTAINABLE ELECTRONICS: CHALLENGES, AND OPPORTUNITIES OF OPEN-SOURCE HARDWARE GREENDAYS2023 @ LYON

Maxime PELCAT,
IETR, INSA Rennes
2023

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 authors: Maxime Pelcat,
 ESOS project



WHAT IS SUSTAINABLE ELECTRONICS?

What is electronics?

- **Electronic devices**
 - Collins dictionary: *Electronics is the technology of using transistors and silicon chips, especially in devices such as radios, televisions, and computers.*
- **Electrical devices**
 - *Electrical devices functionally rely on electric energy (AC or DC) to drive their core parts (electric motors, transformers, rechargeable batteries...).*
- **Both: EEE: Electrical and Electronic Equipments**

Air purifier
 Air conditioner
 Alarm clock
 Backup charger
 Bread maker
 Banknote counter
 Blender
 Bluetooth speaker
 Bulb
 Calculator
 Car toy
 Ceiling fan
 Chandelier
 Clock
 Clothes dryer
 Coffee maker
 Computer
 Copier
 Curling iron
 Digital camera
 Dishwasher
 Doorbell camera
 Drill
 Dvd player
 Earphones
 Electric frying pan
 Electric grill
 Electric guitar
 Electric pencil sharpener
 Electric razor

Exhaust fan
 External hard drive
 Fan
 Facial cleansing machine
 Fax
 Fish tank
 Floor lamp
 Game controller
 Garage
 Grandfather clock
 Hair dryer
 Headset
 Inkjet printer
 iPod
 Iron
 Juicer
 Kettle
 Kitchen scale
 Hair straightening machine
 Laser printer
 Lawn mower
 Lift
 Meat grinder
 Microphone
 Microwave
 Mixer
 Monitor
 Mosquito racket
 Mouse
 Mp3 player
 Oil-free fryer
 Piano
 Oven
 Plotter

Pressure cooker
 Printer
 Projector
 Radiator
 Radio
 Reading lamp
 Refrigerator
 Remote control
 Rice cooker
 Safe
 Robotic vacuum cleaner
 Sandwich maker
 Scale
 Scanner
 Sewing machine
 Smart television
 Smartphone
 Speakers
 Tablet
 Television
 Timer
 Toaster
 Torch
 USB drive
 Vacuum cleaner
 Walkie-talkie
 Washing machine
 Watch
 Water pumps
 Water purifier
 Wall fan
 Water heater
 Webcam
 Wifi modem

List from 7esl.com

Electrical and Electronic Equipments

Air purifier
 Air conditioner
 Alarm clock
 Backup charger
 Bread maker
 Banknote counter
 Blender
 Bluetooth speaker
 Bulb
 Calculator
 Car toy
 Ceiling fan
 Chandelier
 Clock
 Clothes dryer
 Coffee maker
Computer
 Copier
 Curling iron
 Digital camera
 Dishwasher
 Doorbell camera
 Drill
 Dvd player
 Earphones
 Electric frying pan
 Electric grill
 Electric guitar
 Electric pencil sharpener
 Electric razor

*Main EEE devices
of digital society*

Exhaust fan
 External hard drive
 Fan
 Facial cleansing machine
 Fax
 Fish tank
 Floor lamp
 Game controller
 Garage
 Grandfather clock
 Hair dryer
 Headset
 Inkjet printer
 iPod
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 Smart television
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 Speakers
Tablet
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 Timer
 Toaster
 Torch
 USB drive
 Vacuum cleaner
 Walkie-talkie
 Washing machine
 Watch
 Water pumps
 Water purifier
 Wall fan
 Water heater
 Webcam
Wifi modem

List from 7esl.com

And

xG Base stations

Cars

Boats

Planes

Trains

Tramways

Busses

Windmills

Power plants

Satellites

Rockets

Supercomputers

Data centers

...

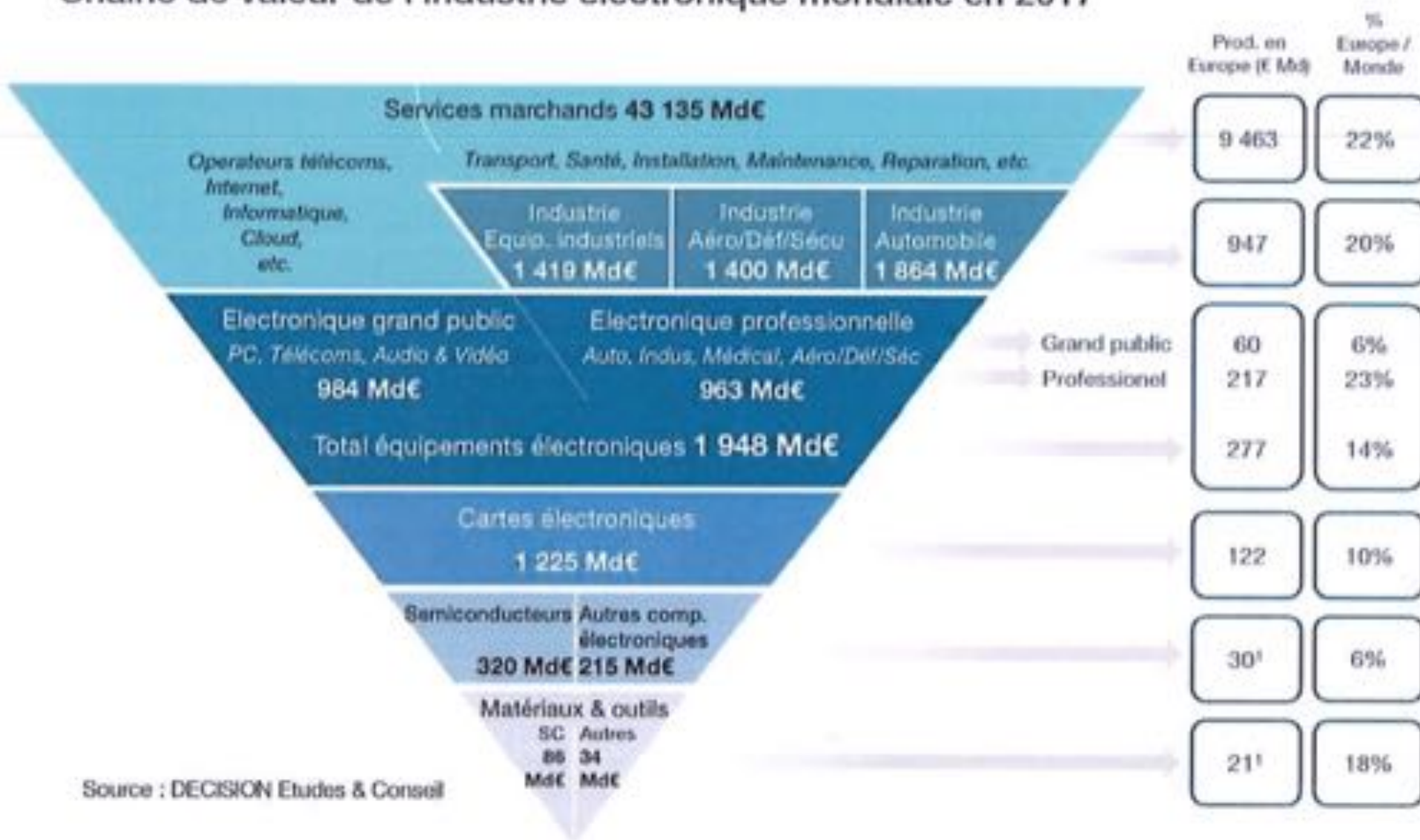


CC-BY-SA Aynamankajan



CC-BY NASA's James Webb Space Telescope from Greenbelt, MD, USA

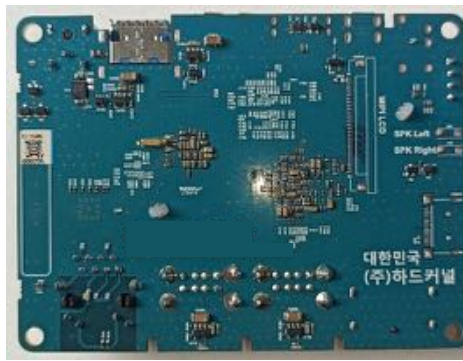
Chaîne de valeur de l'industrie électronique mondiale en 2017



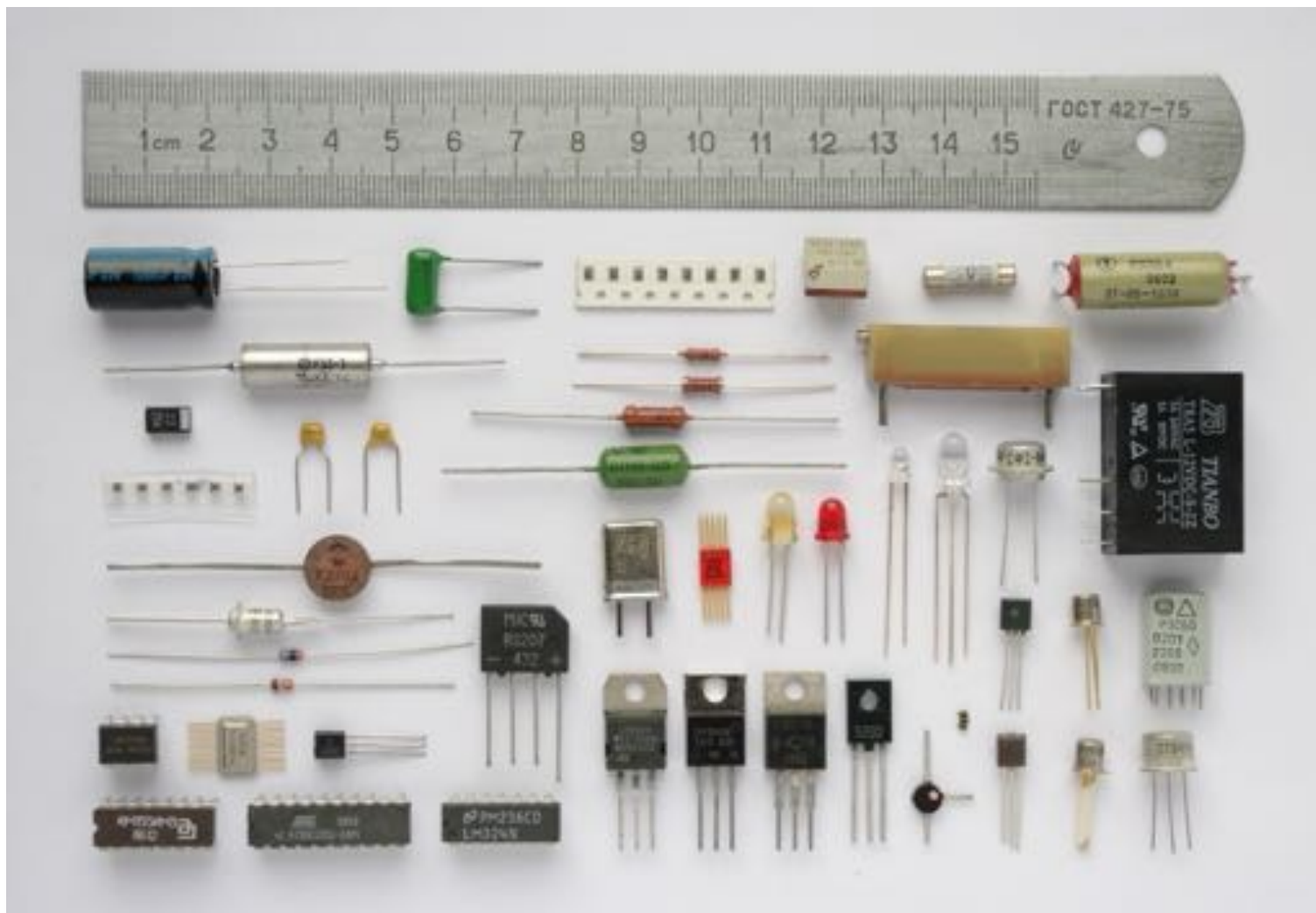
- **Sustainable electronics is electronics that**
 - *meets the needs of present generations without compromising the ability of future generations to meet their own needs.*
 - **Brundtland report – “Our Common Future”, the United Nations, 1987**
- **What are the needs of current generation?**
- **How do we compromise the needs of future generations?**

- **Electronics and Sustainability Challenges**
 - How is electronics designed and built?
 - Sustainability impacts, and focus on global warming
- **How to act on electronics sustainability?**
 - Low energy, life cycle analysis, product extended lifetimes
 - Role of open source hardware

HOW IS ELECTRONICS DESIGNED AND BUILT?



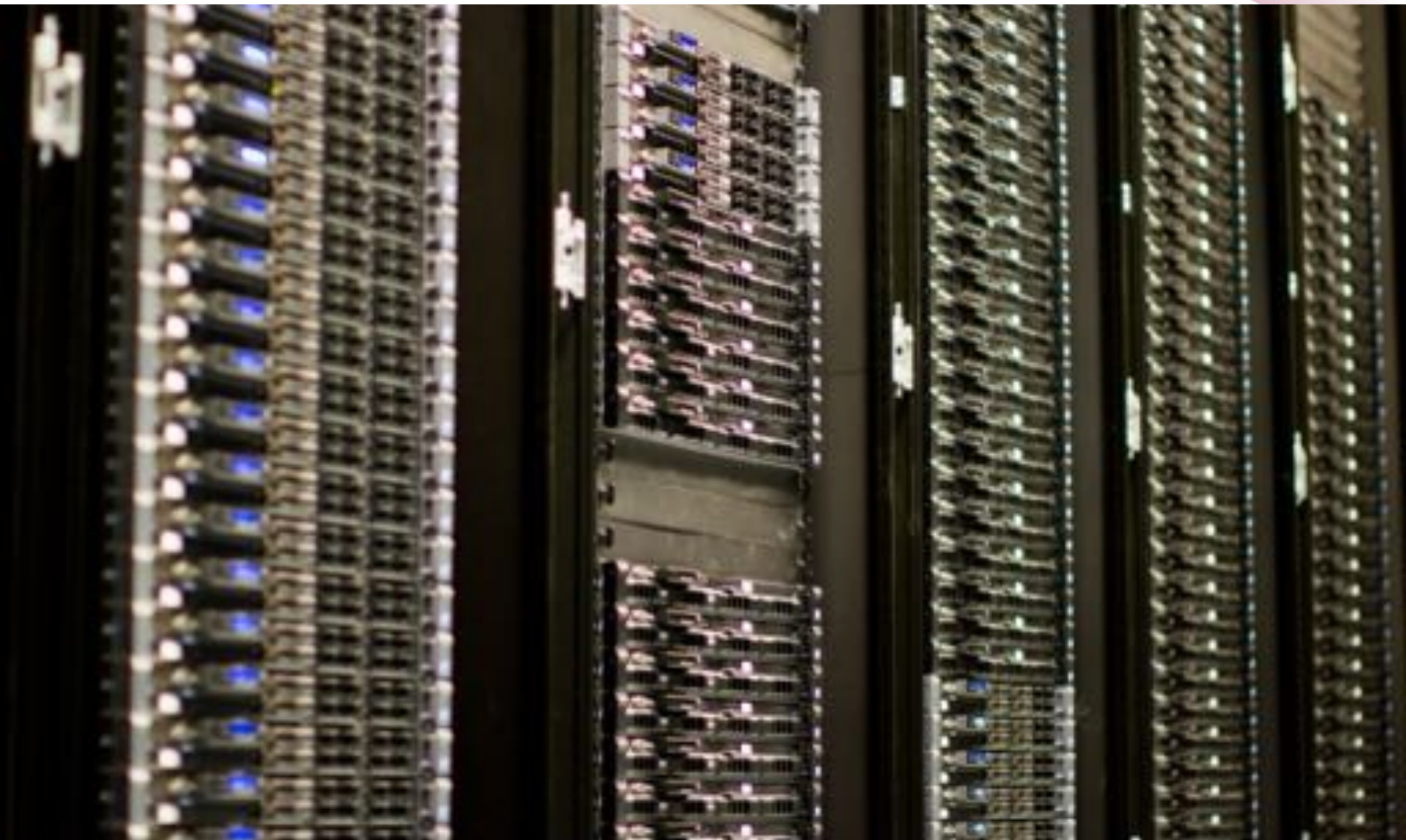
CC BY-SA Maxime Pelcat

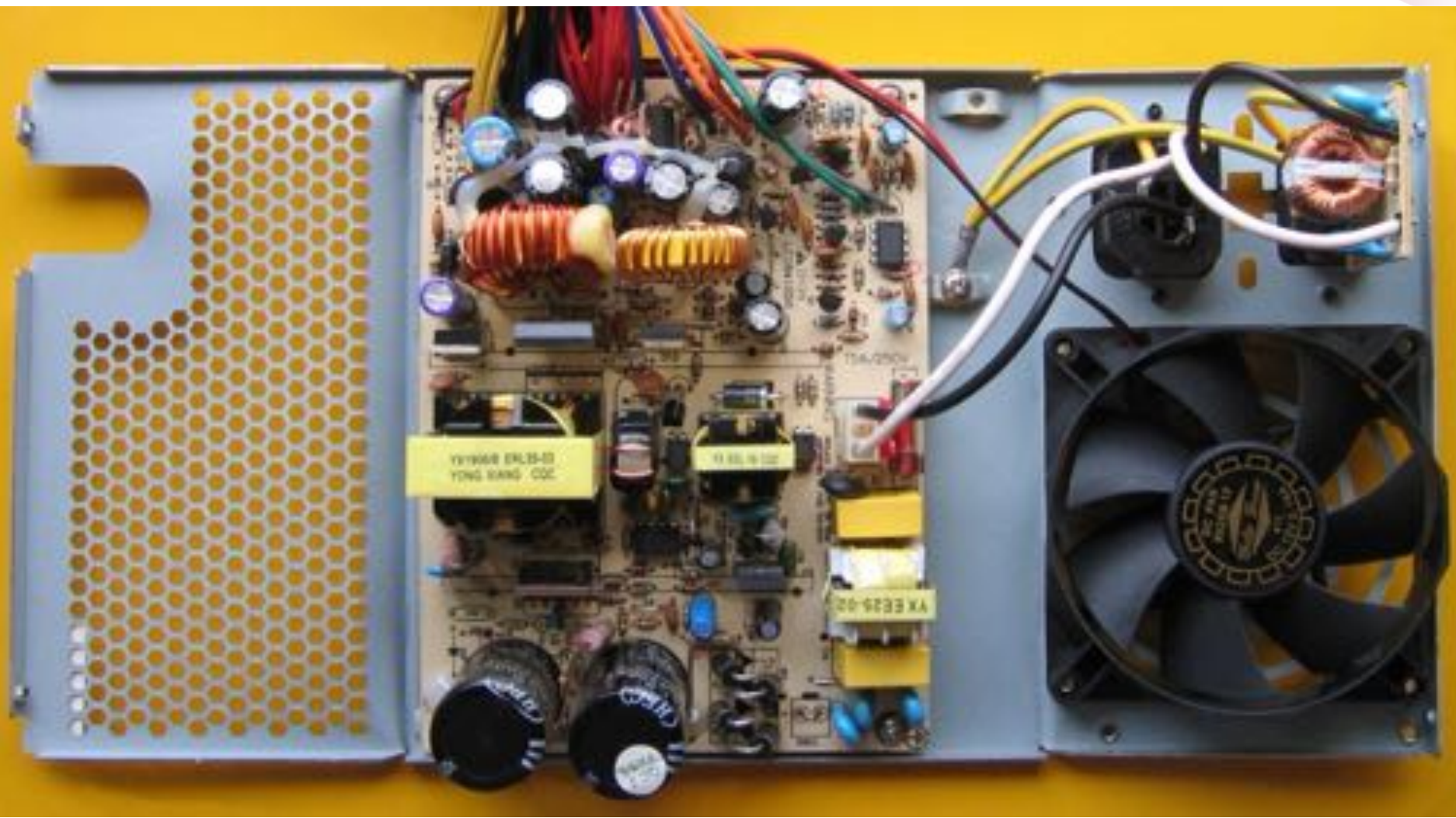


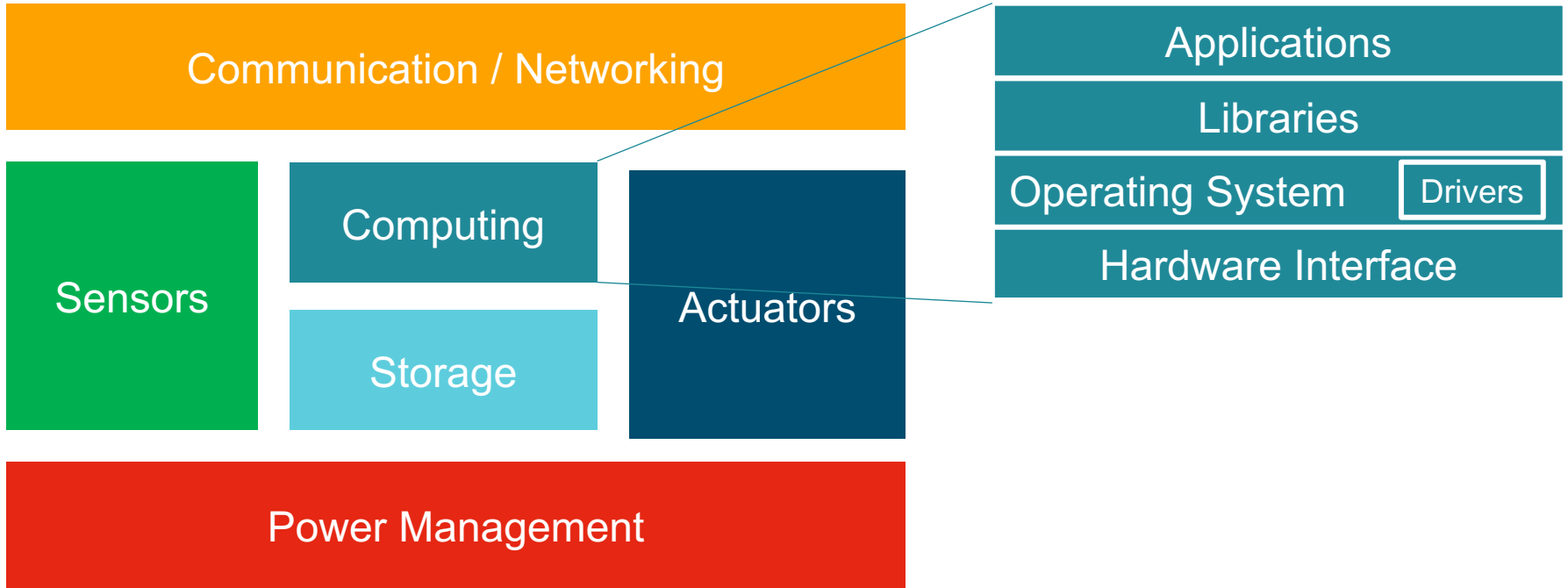




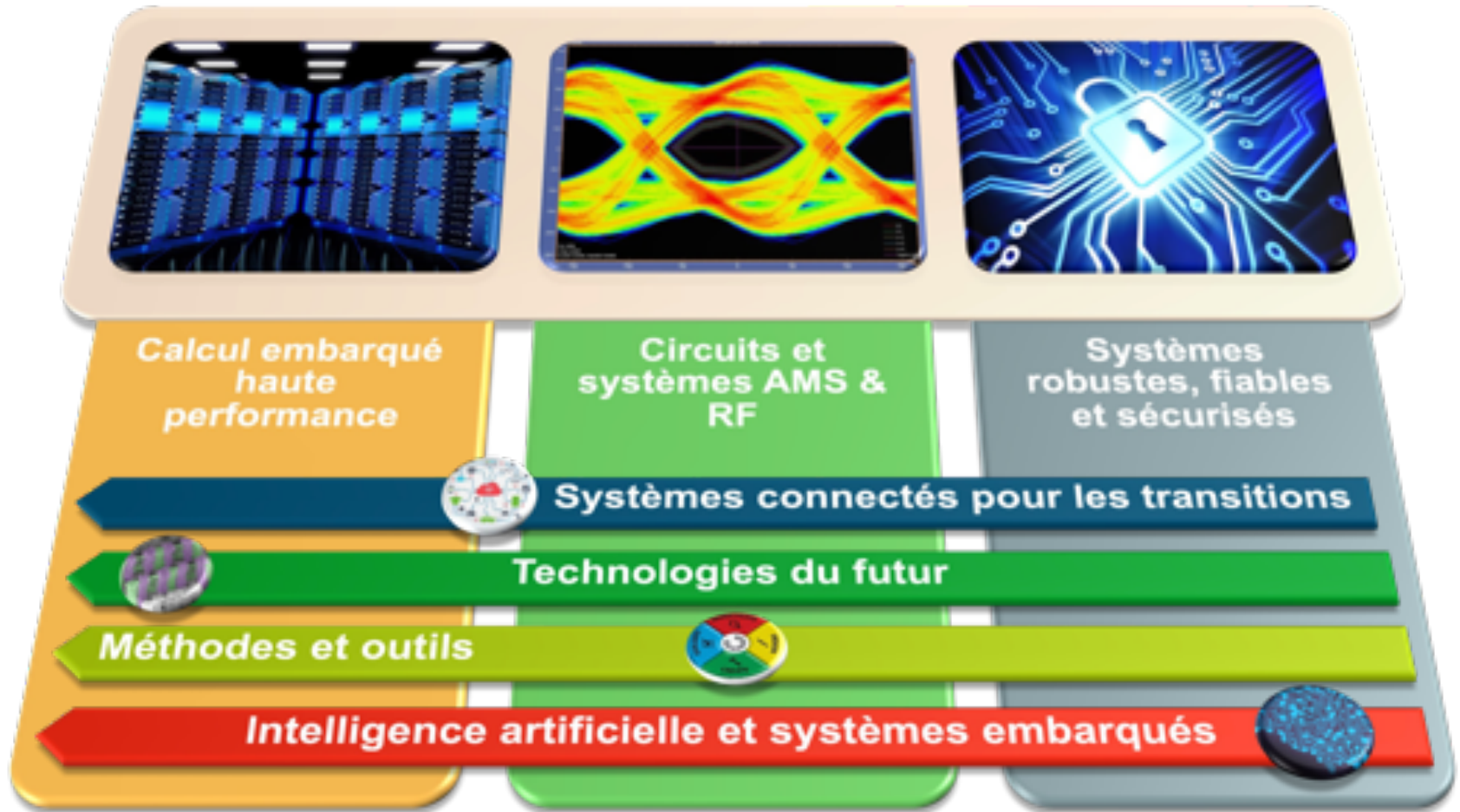
CC BY-SA Vera de Kok







Systems-on-a-Chip – Systèmes et Objets Connectés

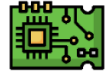


- Industry employs a supply chain pyramid with Tiers

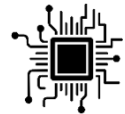
OEM: Original Equipment Manufacturer



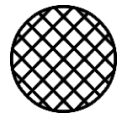
TIER 1: PCB supplier



TIER 2: Integrated circuit supplier



TIER 3: wafer supplier



...

TIER N: Minerals supplier



ELECTRONICS AND SUSTAINABILITY CHALLENGES

- **UN - 17 sustainable development goals for 2030**



SUSTAINABLE DEVELOPMENT GOALS



How do EEE help sustainability?

- **All energy transitions/shifts heavily employ EEEs**
 - Electric cars, renewable energies from windmills, solar panel, heat pumps...
- **Energy reduction heavily relies on EEEs**
 - Smart grids, smart buildings, smart cities...
- **More largely, production optimization and earth observation heavily rely on EEEs**
 - Precision farming, earth observation satellites...



CC 3.0 ChristofferRiemer



CC SA Kritzolima



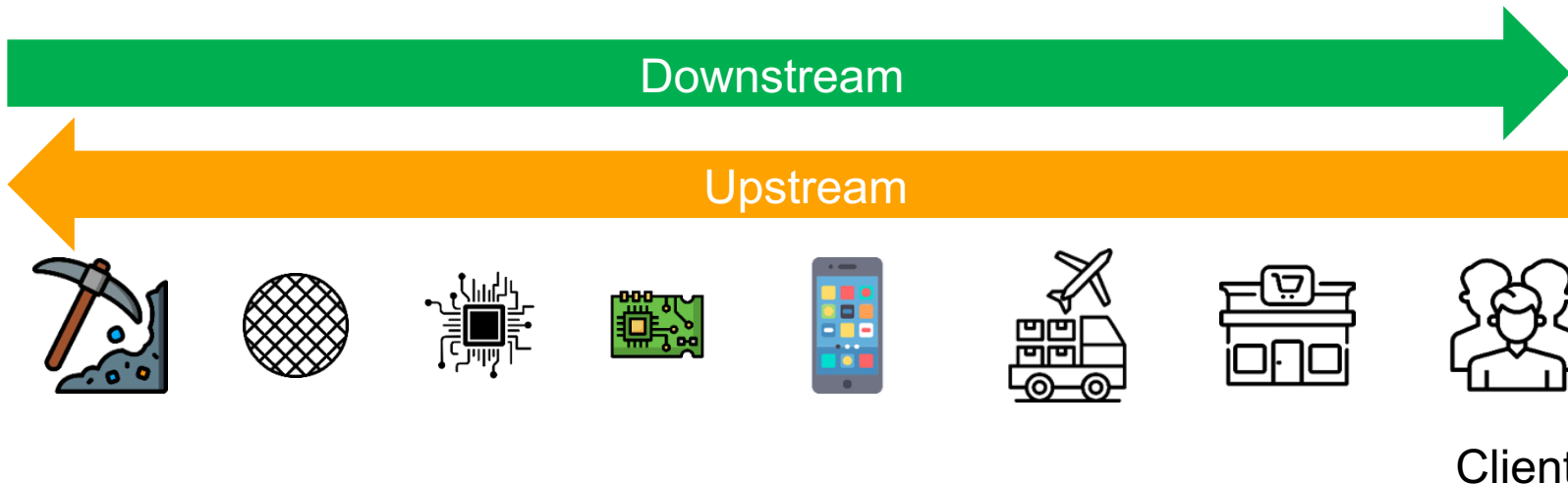
(focusing on environmental impacts)

- **Production of EEEs**
 - Requires rare material (abiotic resource depletion)
 - Emits greenhouse gases
 - Requires much water
- **Usage of EEEs**
 - Requires energy
- **End-of-life of EEEs**
 - Emits e-wastes that pollute water and soils



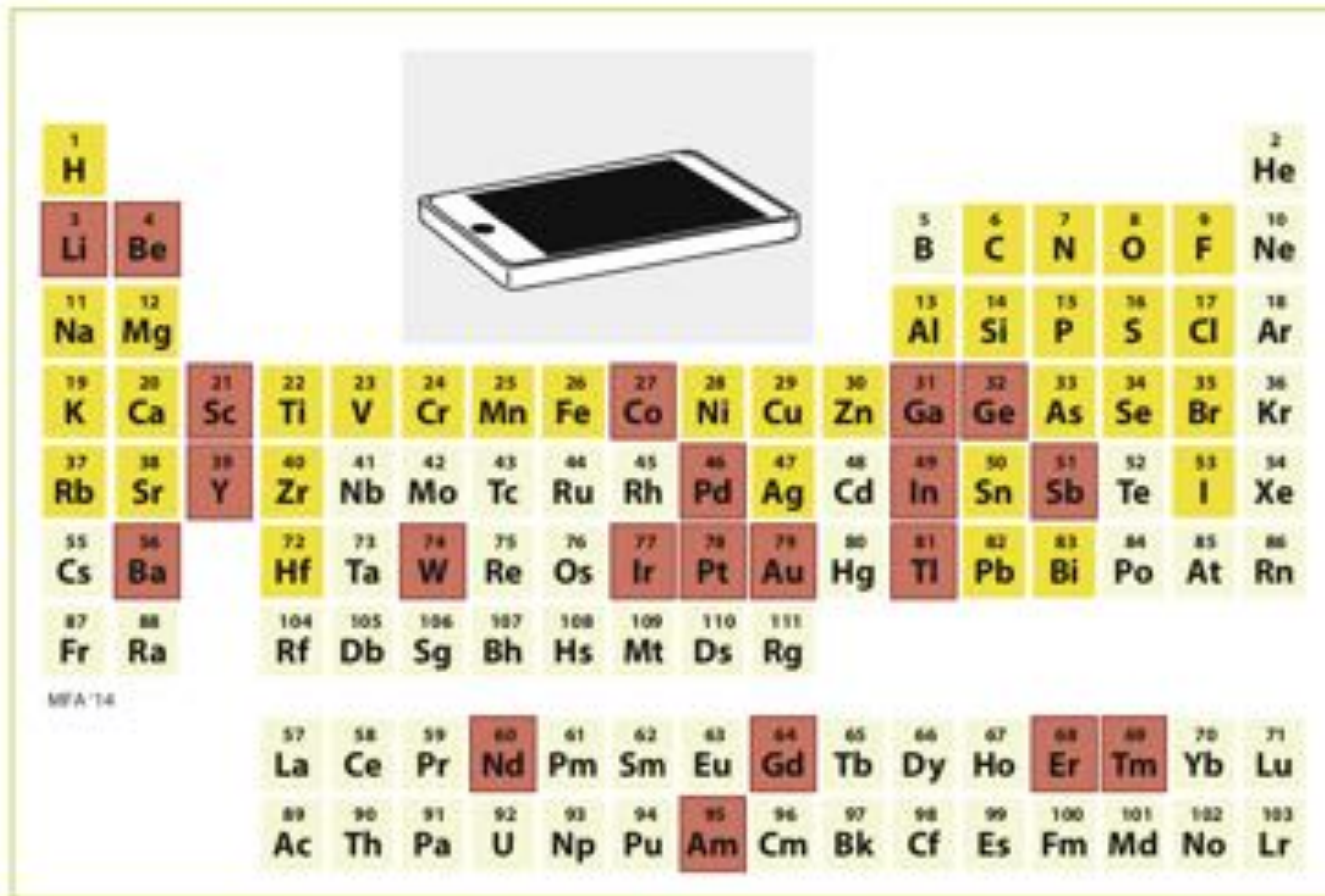
- Electronics has + and – effects at all levels of the Maslow's hierarchy of needs





- **Sustainability challenges in terms of social responsibility and human rights include:**
 - Social standards for employees
 - Impacts on local communities
 - Impact on society

- Smartphones: 55 elements employed



Ashby, Michael F. (2022). Materials and sustainable development. Butterworth-Heinemann.

• E-waste

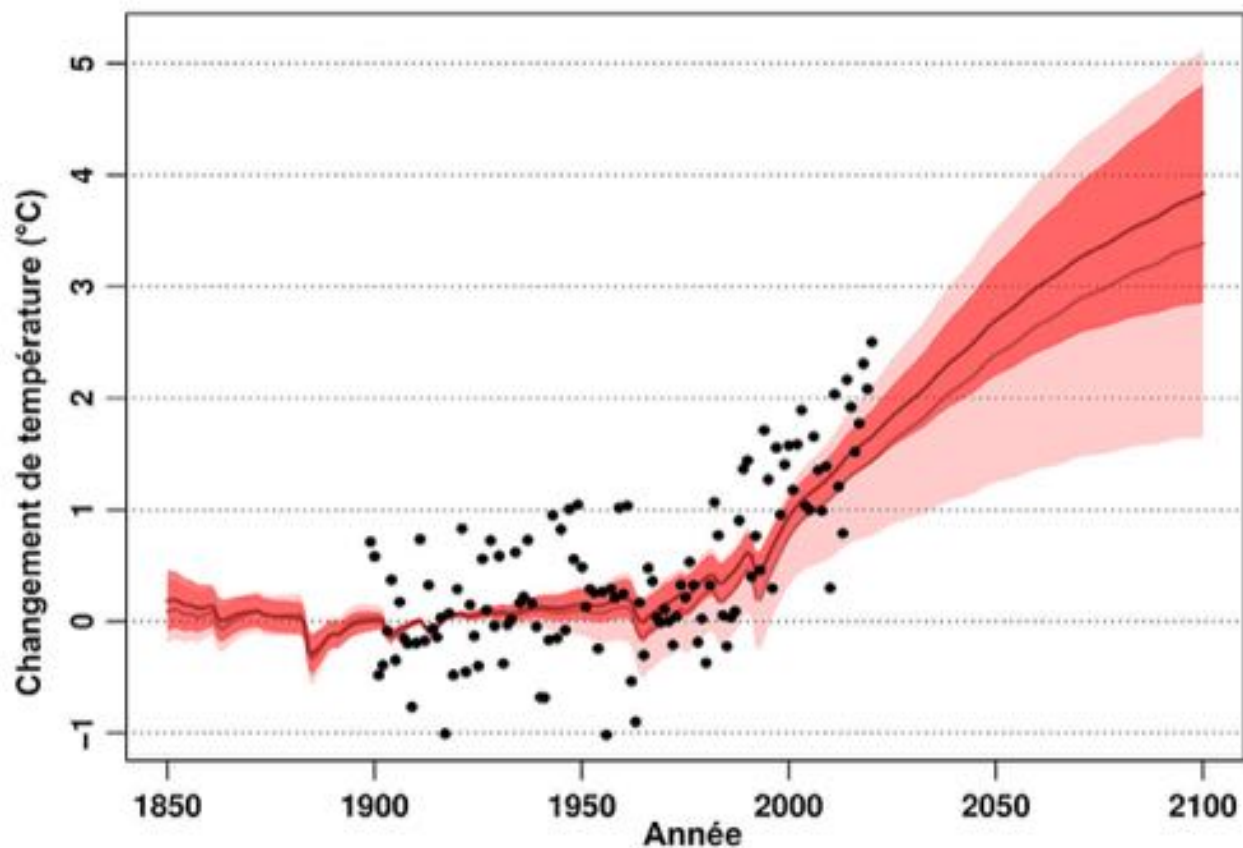
- One of the fastest-growing waste categories, **growing at a rate of 3–5% per year** → includes *product housing*
- In 2016, 44.7 million tons of e-waste were generated in the world , 6.1 kg per person; in 2019: 53.6 million tons
- “Only 17.4 per cent of 2019’s e-waste was collected and recycled.” Global E-waste Monitor 2020



CC BY-NC 3.0 IGO - © UNU/JUNITAR and ITU, 2020

Ilanckoon, I. M. S. K., Ghorbani, Y., Chong, M. N., Herath, G., Moyo, T., & Petersen, J. (2018). E-waste in the international context—A review of trade flows, regulations, hazards, waste management strategies and technologies for value recovery. *Waste Management*, 82, 258-275.

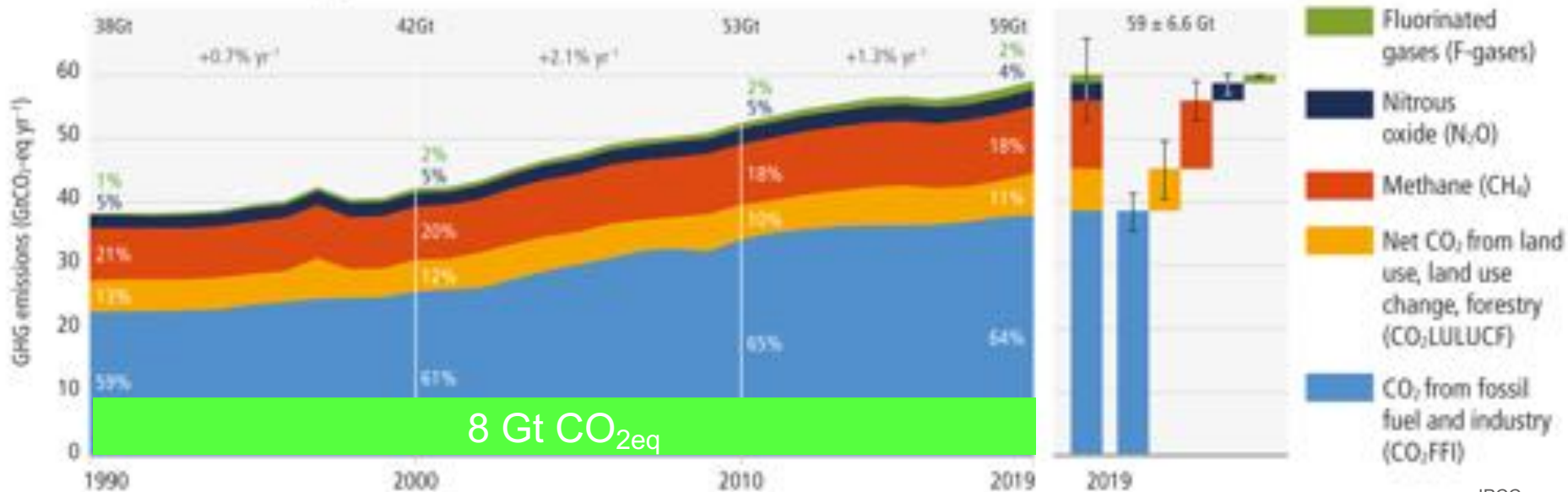
FOCUS ON GLOBAL WARMING



Aurélien Ribes et al. 2022, « Earth Syst. Dynam. », 13, 1397-1415 (CC BY-4.0)
 Prediction for an intermediate scenario in which carbon emissions
 neither increase nor decrease drastically

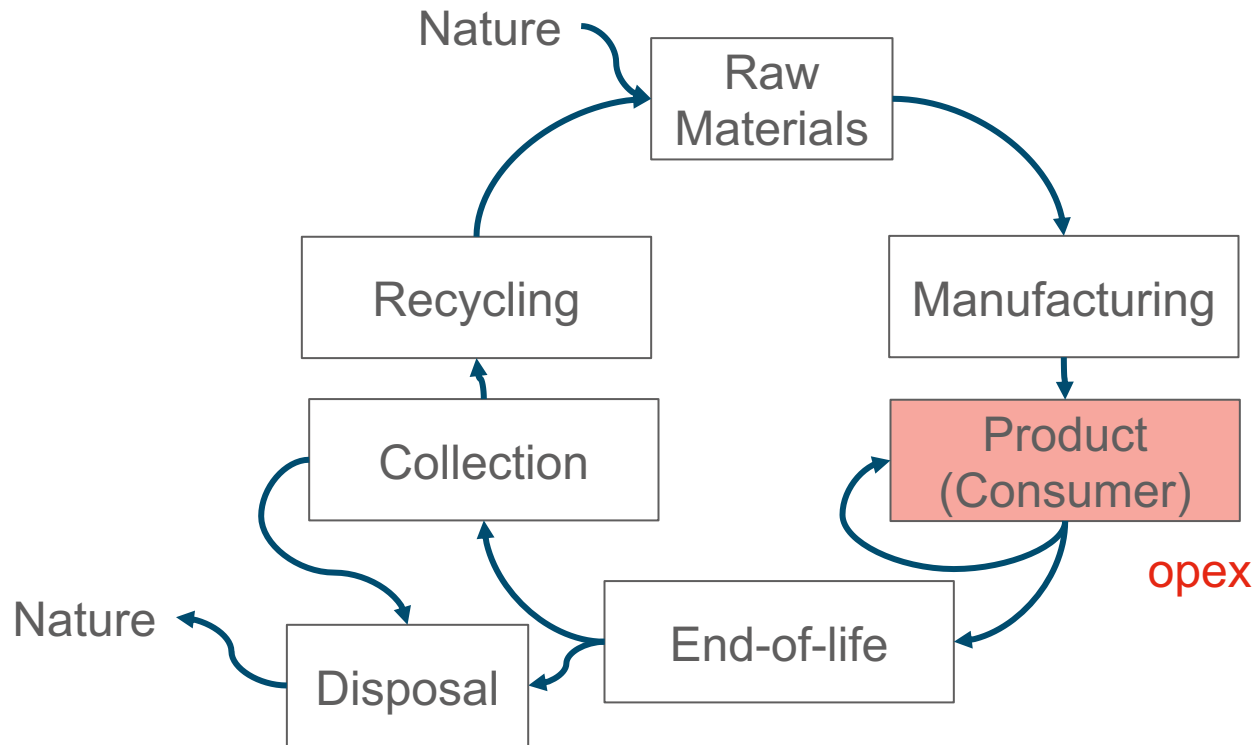
Global net anthropogenic emissions have continued to rise across all major groups of greenhouse gases.

a. Global net anthropogenic GHG emissions 1990–2019⁽³⁾

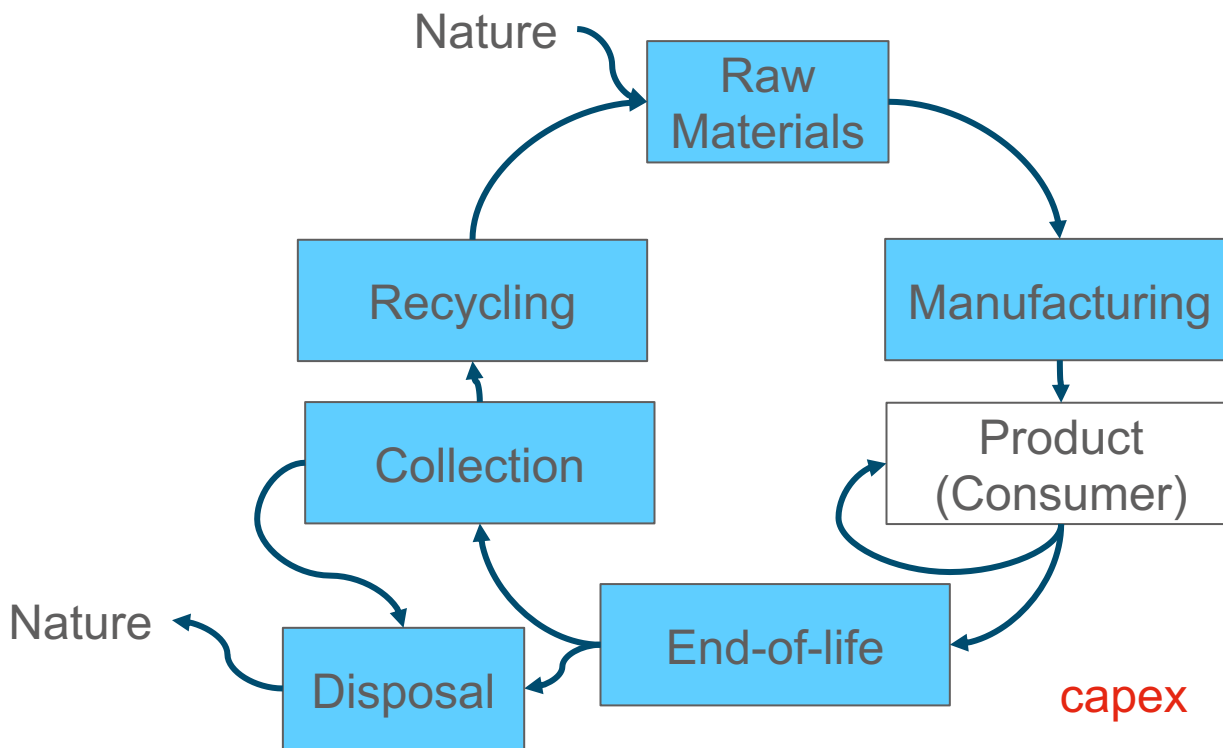


- **IPCC 1.5°C Scenario (66% chance in 2100 with no or limited overshoot): 8 GtCO₂e in 2050 (gain of ~7×)**

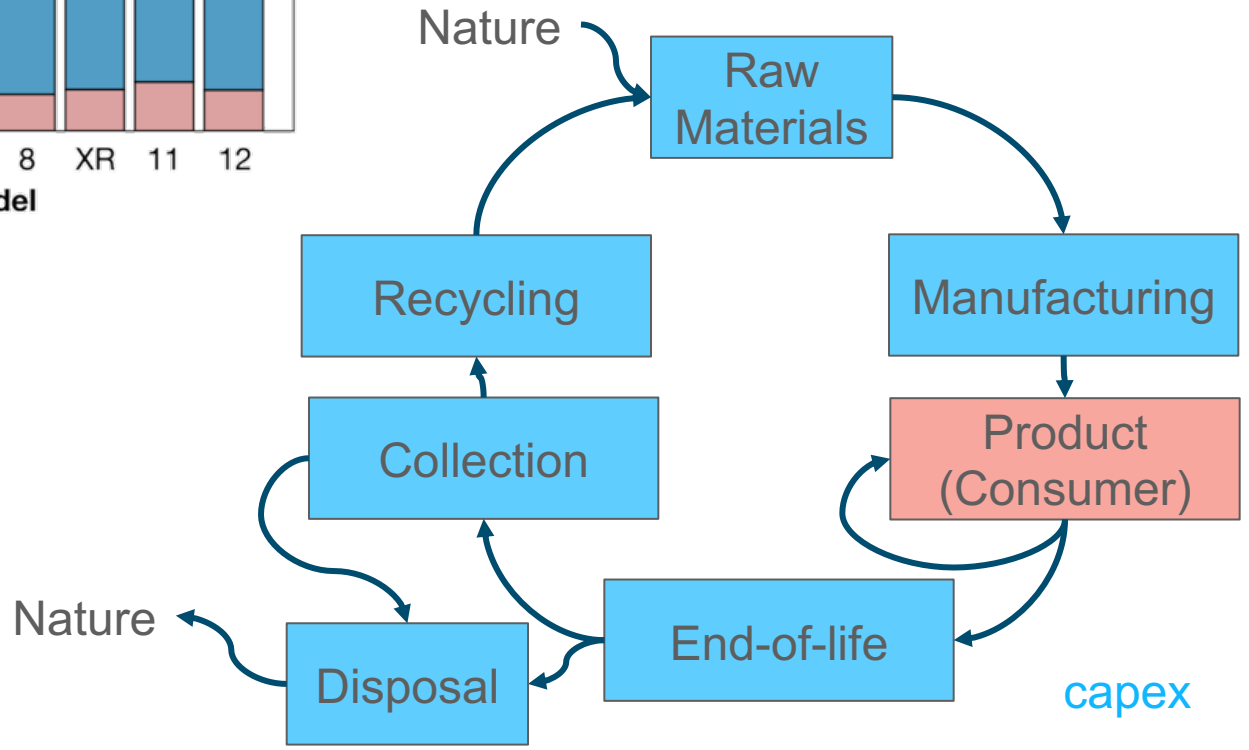
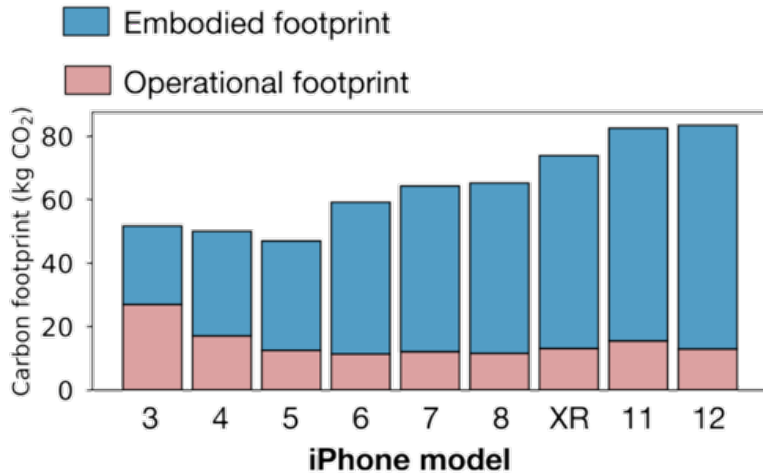
The Closing Window: Climate crisis calls for rapid transformation of societies
 UN environment programme 2022



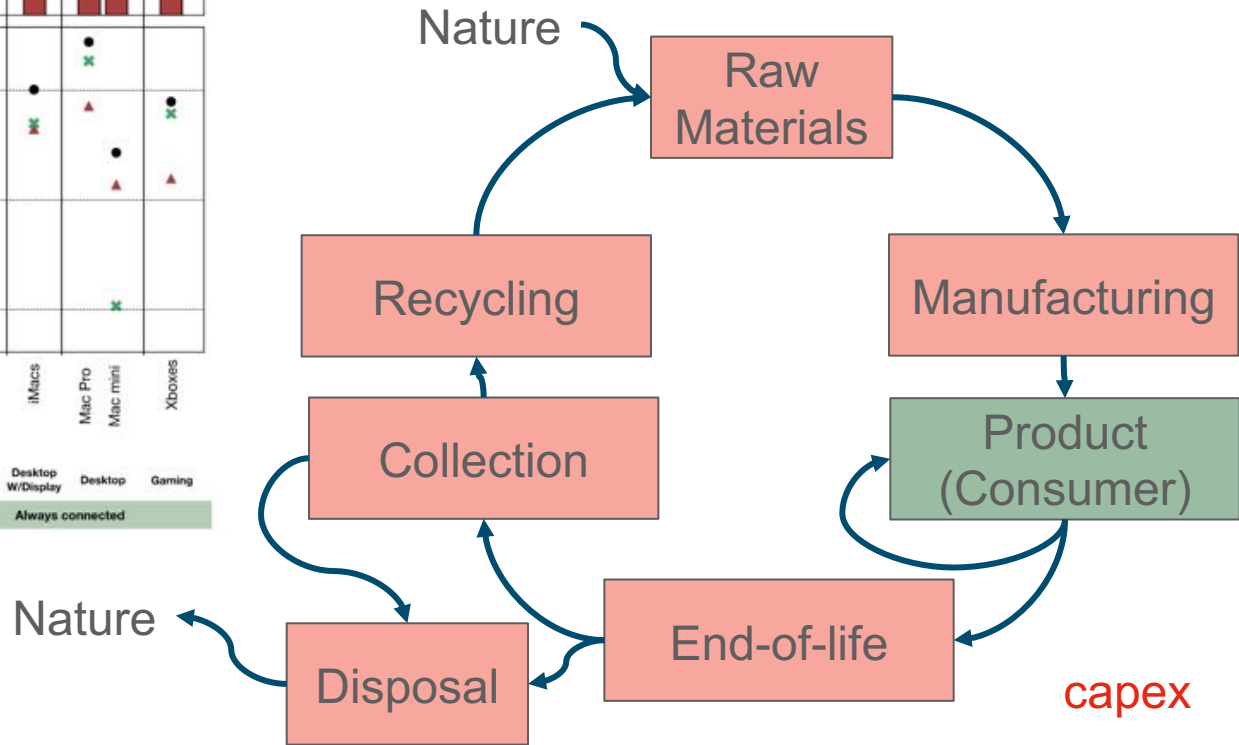
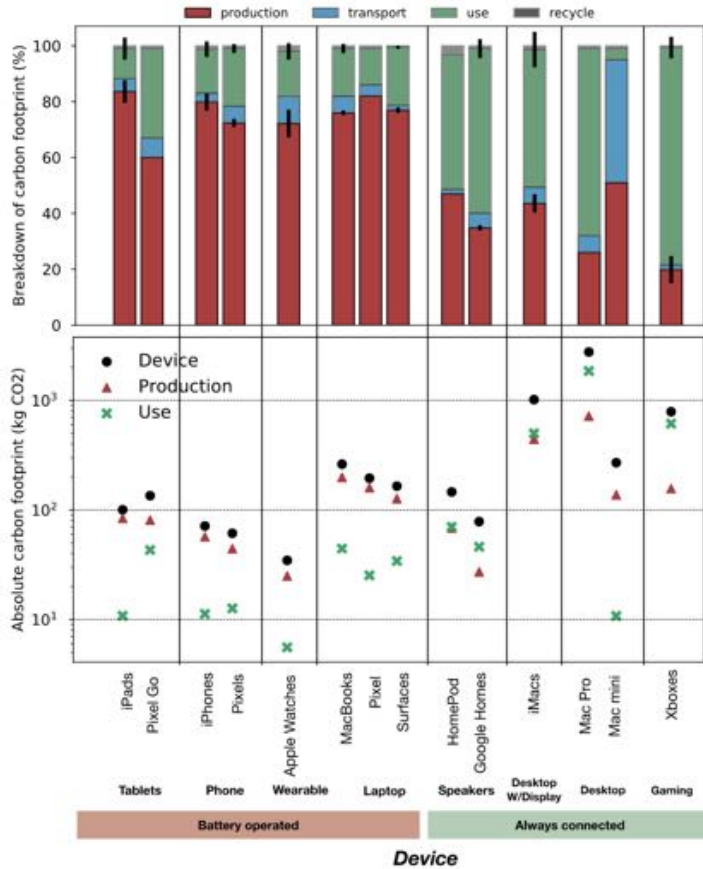
Gupta, U., Kim, Y. G., Lee, S., Tse, J., Lee, H. H. S., Wei, G. Y., ... & Wu, C. J. (2022). Chasing carbon: The elusive environmental footprint of computing. *IEEE Micro*, 42(4), 37-47.



Gupta, U., Kim, Y. G., Lee, S., Tse, J., Lee, H. H. S., Wei, G. Y., ... & Wu, C. J. (2022). Chasing carbon: The elusive environmental footprint of computing. IEEE Micro, 42(4), 37-47.

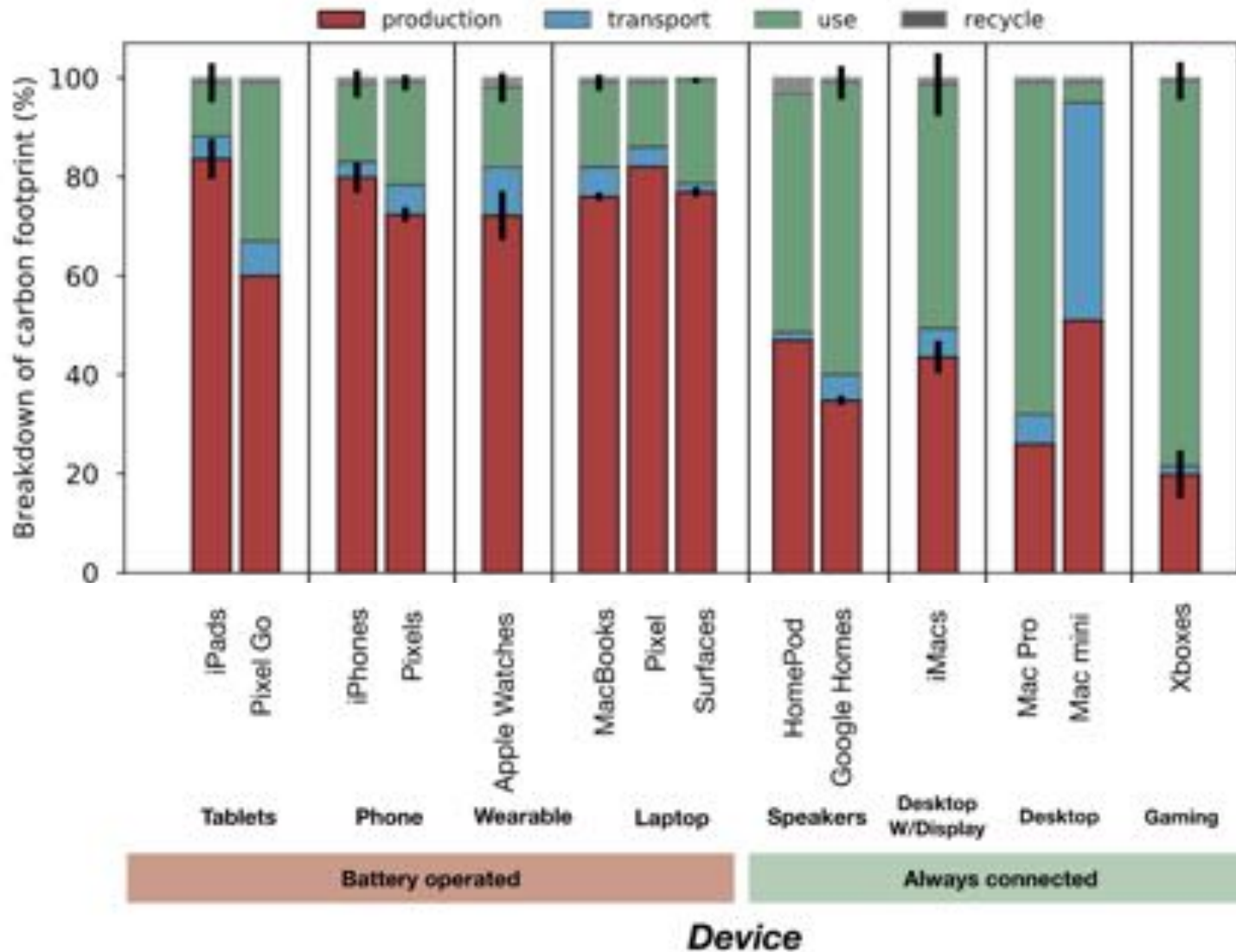


Gupta, U., Kim, Y. G., Lee, S., Tse, J., Lee, H. H. S., Wei, G. Y., ... & Wu, C. J. (2022). Chasing carbon: The elusive environmental footprint of computing. IEEE Micro, 42(4), 37-47.



Gupta, U., Kim, Y. G., Lee, S., Tse, J., Lee, H. H. S., Wei, G. Y., ... & Wu, C. J. (2022). Chasing carbon: The elusive environmental footprint of computing. IEEE Micro, 42(4), 37-47.

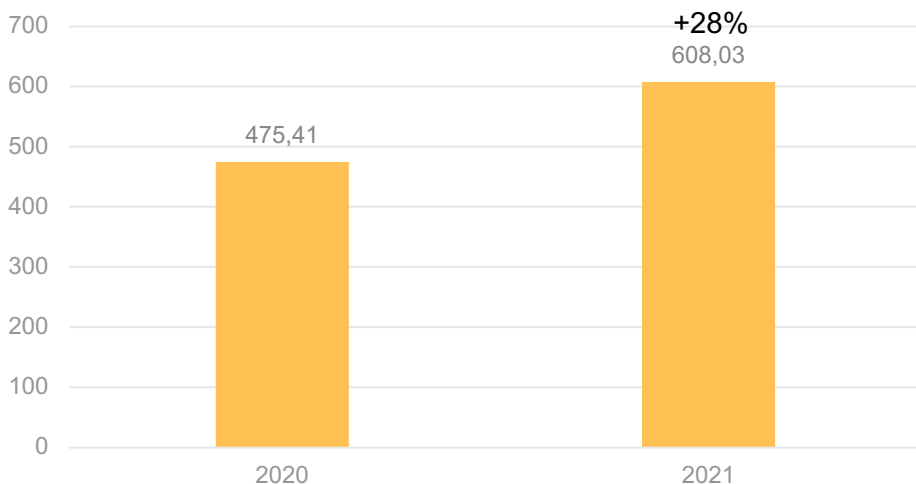
Electronics LC is strongly impacted by capex



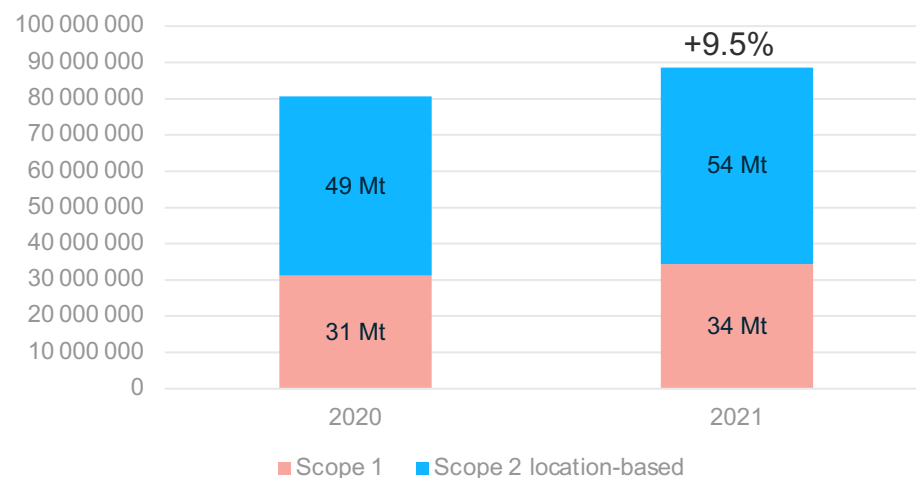
- **Greenhouse Gas Protocol and ISO 14064**
 - Employing an accounting method for carbon emission tracking (in tons of CO₂ equivalent) to avoid double counting
 - **Scope 1: GHG direct emissions from activity**
 - **Scope 2: GHG emissions from energy consumption**
 - **Scope 3: Indirect emissions from value chain (up and downstream)**

- **Scope 1+2 of semiconductors: 88Mt CO₂eq in 2021**
 - **+9.5% GHG emission from 2020**
 - **For +28% revenue**
 - **Efficiency has improved**
 - **Sustainability has not**

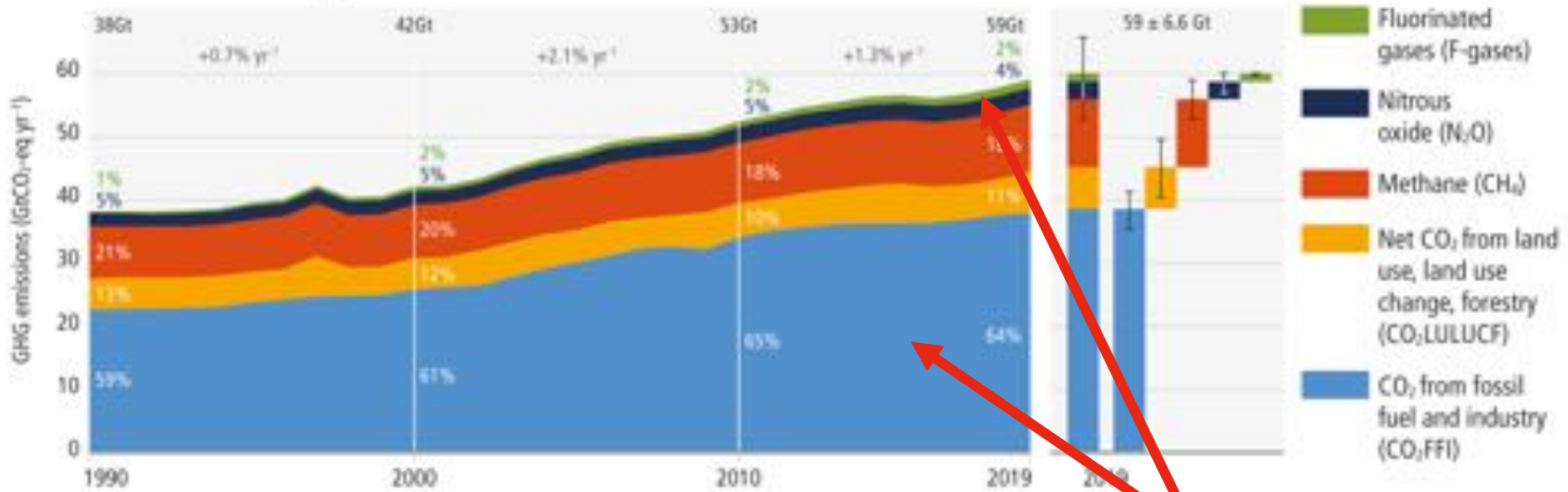
Semiconductor market revenue



Semiconductor vendors scope 1 + 2 total



a. Global net anthropogenic GHG emissions 1990–2019⁽³⁾



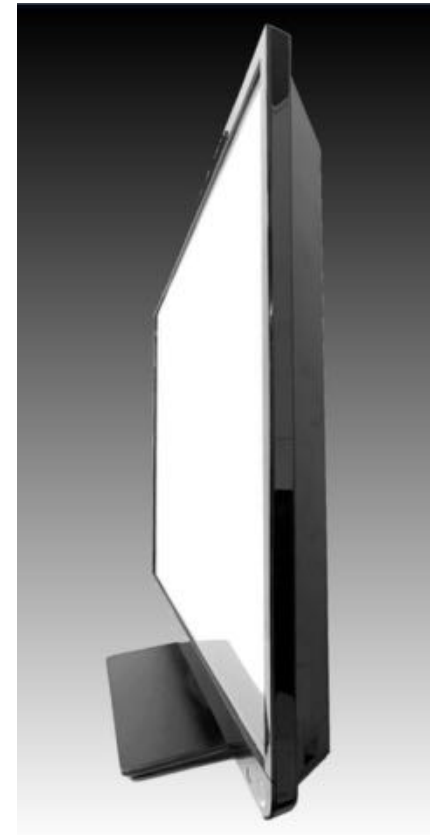
88 Mt CO₂eq
0,15% of total

- **Global ICT impact is estimated up to 4%***
 - 55% from cloud and network infrastructure:
 - 45% from manufacturing devices

* Climat : l'insoutenable usage de la vidéo en ligne, the Shift Project, 2019

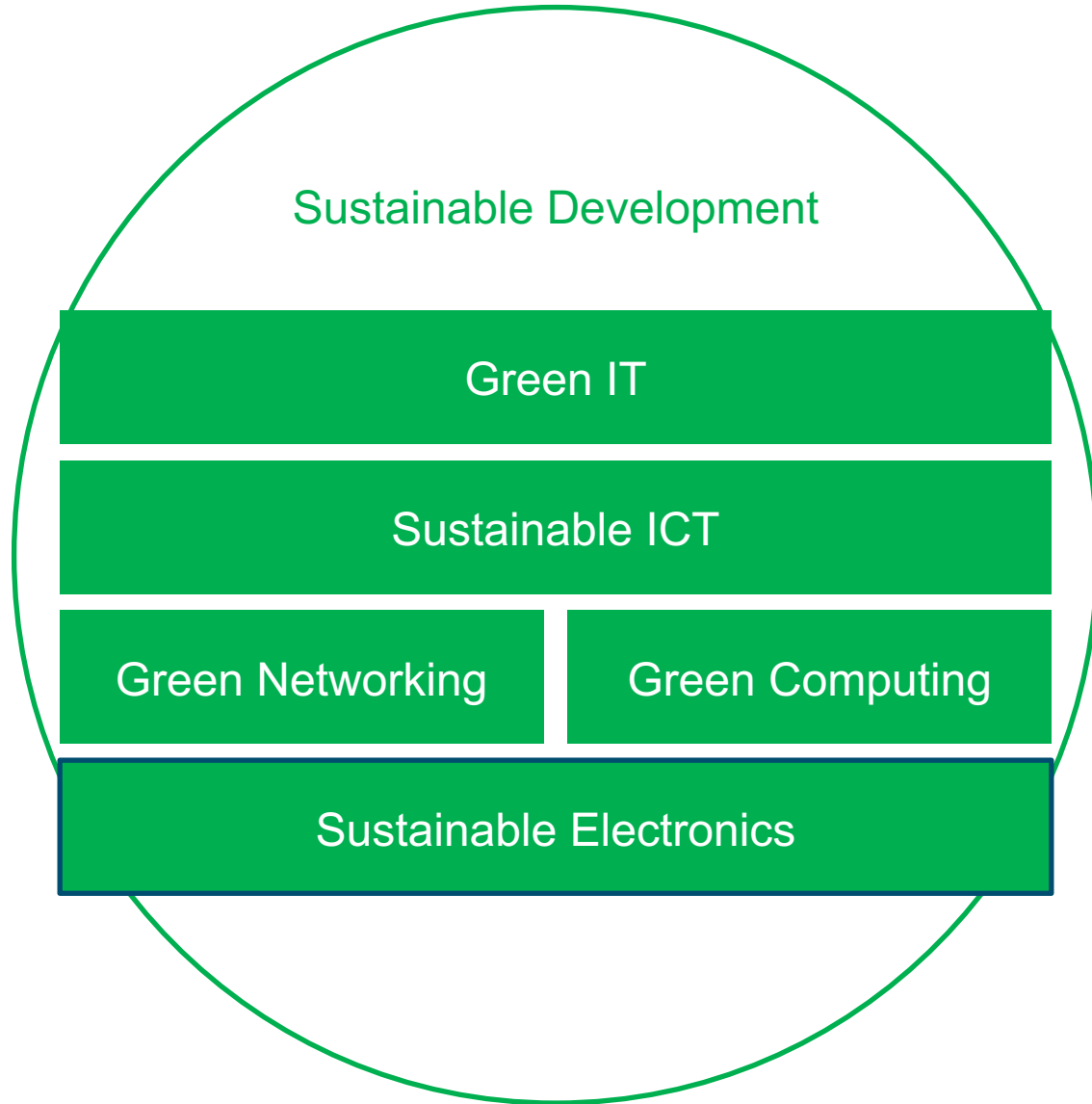
Where are the %s total GHG of ICT?

- Mines, smelters and refiners (scope 3 upstream)
- Cloud computing facilities (scope 3 downstream)
 - Including buildings, wiring...
- Electronics cooling systems
- Backbone fiber optics
 - Including fiber optic connection work
- Photonics and underwater cables
- Satellites
- Screens ~ 30Mt CO₂e fab. in 2021
- Batteries
- ...



CC-SA Project Kei

HOW TO ACT ON ELECTRONICS SUSTAINABILITY?



Where can electronics and computer science engineers act?

- **Collect and report the environmental footprint of electronics design decisions**
- **Build products:**
 - **With low energy consumption**
 - **Repairable and modular**
 - **Long-term upgradeable, with delayed obsolescence**
 - **With ethical sourcing**
 - **Favoring low carbon footprint and low waste suppliers**
 - **Favoring low carbon footprint and low waste technologies**
 - ICs, batteries, PCBs, connectors, components, solar cells, supercapacitors...

HOW CAN OPEN SOURCE HARDWARE HELP?

- **Open Source Hardware (definition by oshwa.org)**
 - hardware whose design is **made publicly available so that anyone can study, modify, distribute, make, and sell the design, or hardware based on that design.**
 - In the form of mechanical drawings, schematics, bills of material, PCB layout data, HDL source code, and/or integrated circuit layout data
 - The hardware's source, the design from which it is made, is available **in the preferred format for making modifications to it.**

- **Fighting against obsolescence**
 - **Long-term maintainance**
 - Open Source Software Linux is from 1991 !
 - **Superiority of community-based tools**
 - Examples of compilers: GCC, LLVM/Clang, etc.
 - **Stable APIs and interfaces**
- **Open documentation for repair and reuse**

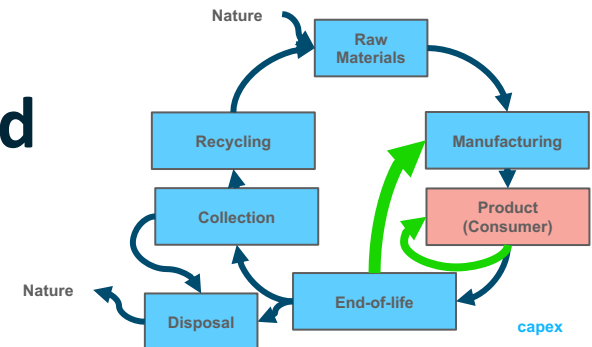
Types of open source hardware?

- **Open source hardware applies at three levels**
 - Printed circuit boards design – RPi, Arduino ...
 - Reconfigurable hardware design (FPGA)
 - Integrated circuits design (ASIC)
- **Open source hardware applies in three forms**
 - Open source design tools
 - **Open source IPs (for Intellectual Property Block)**
 - To be integrated in own system
 - **Open source systems**
 - To be duplicated, repaired, transformed, enhanced, combined with

Open source hardware will help design sustainable systems

- By reducing dependence to suppliers products obsolescence, and availability limitations
- By giving new players an access to advanced electronics systems design
- By avoiding to redevelop many times similar technologies
- By inspiring from OSS community-based development

If impacts of decisions are understood



- **Sustainable SoCs: GDR SOC2 theme of the year 2020**
- **Open source IPs are booming, GDR SOC2 is involved**
 - GDR SOC2 is very active on RISC-V
 - AI open-source developments reaches hardware, bringing more open-source software habits into hardware
- **GDR SOC2 groups particularly involved in open hardware**
 - Systèmes connectés pour les transitions
 - Calcul Embarqué Haute Performance
 - Méthodes et outils

THE ESOS PROJECT

- **Electronics: Sustainable, Open and Sovereign**
- **France2030 *Compétences et Métiers d'Avenir***
 - Consortium teaching electronics in Bac+3/5/8, strong links with research
 - 30+ industrial partners
 - 6.38M€ of aid obtained, over 5 year
 - <https://esos.insa-rennes.fr>



- **Objective 1: attract more students** to the electronics field
- **Objective 2: train teachers** in sustainable electronics
- **Objective 3: Train students** in sustainable electronics
- **Objective 4: train professionals** in sustainable electronics

- **Life cycle analysis**
 - Systems eco-design
 - Attributional and consequential GHG accounting
- **Open source HW**
 - For PCB design, for SoC design
 - Contributing to large-scale open source projects
- **Electronics for the transitions**
 - Power electronics: novel substrates and technologies
- **Sustainability and open source versus:**
 - Cost, pure performance, safety, cybersecurity, ...
- **Business and legal aspects**

- **Sustainable Electronics**
 - A lot to do!
 - Open source hardware will help!

- **ESOS recruits!**
 - 13 teachers – researchers
 - Stay tuned! <https://esos.insa-rennes.fr>

- **For more on GDR SOC2**
 - <https://www.gdr-soc.cnrs.fr>

Questions?

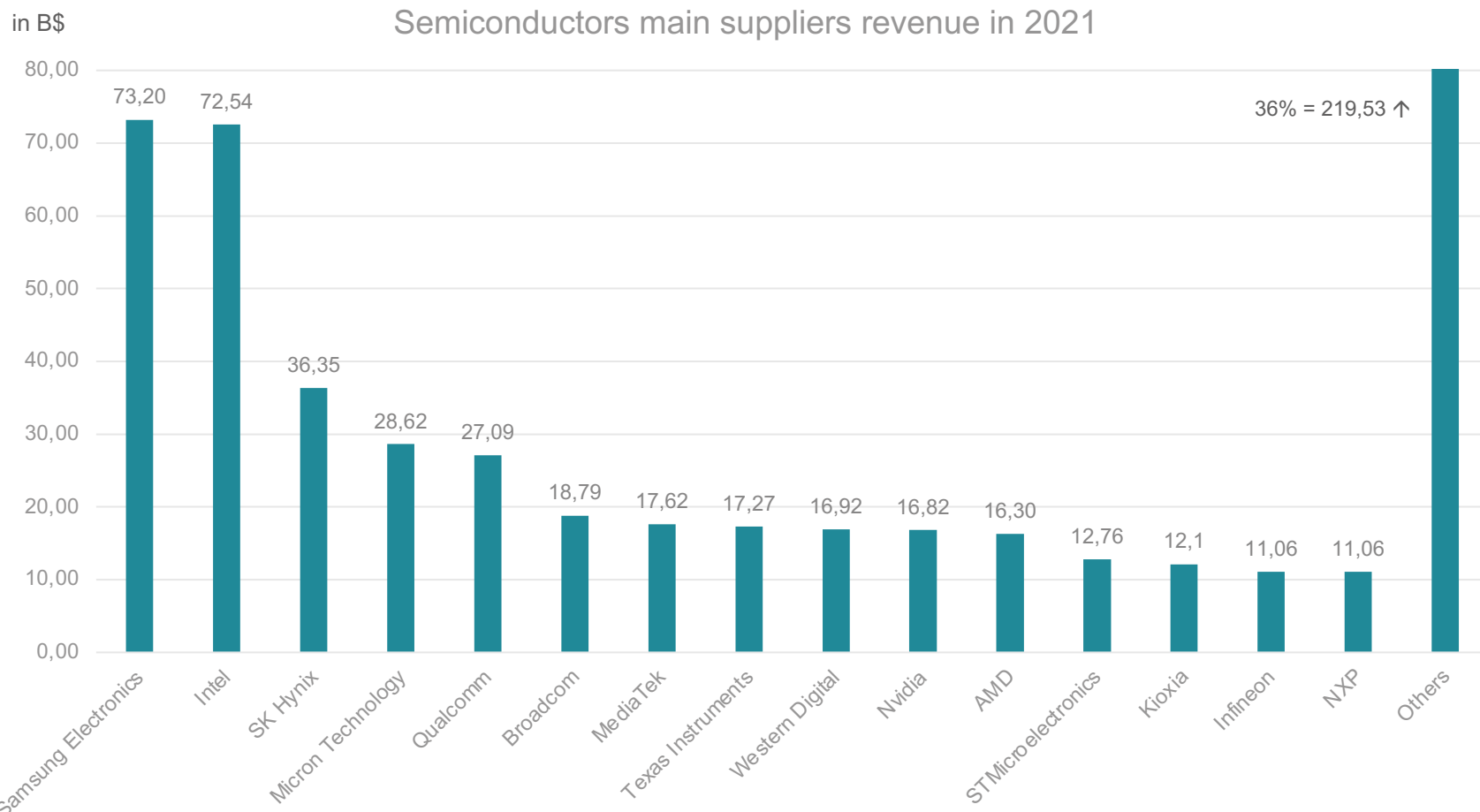
QUESTIONS?

- **Front-end design-level OSH**
 - SoC simulators: gem5, sniper, McPAT, GPUWattch
 - RTL simulators: Verilator, Ghdl
 - High-Level Synthesis: Gaut, LegUp, XLS, ScaleHLS
- **Back-end design-level OSH**
 - **ASIC**
 - Open-road and Alliance VLSI/CAD System
 - **FPGA**
 - IceStudio and open source FPGAs, openFPGA
- **Fabrication-level OSH**
 - Google Skywater PDK 130nm process

- **European Green Deal on climate change – 2019**
- **National laws: many in France**
 - **Duty of vigilance 2017**
 - **AGEC: lutte contre le gaspillage et à l'économie circulaire 2020**
 - **REEN: réduire l'empreinte environnementale du numérique 2021**
- **Labels and incentives**
- **Projects: focus on open source hardware and ESOS**

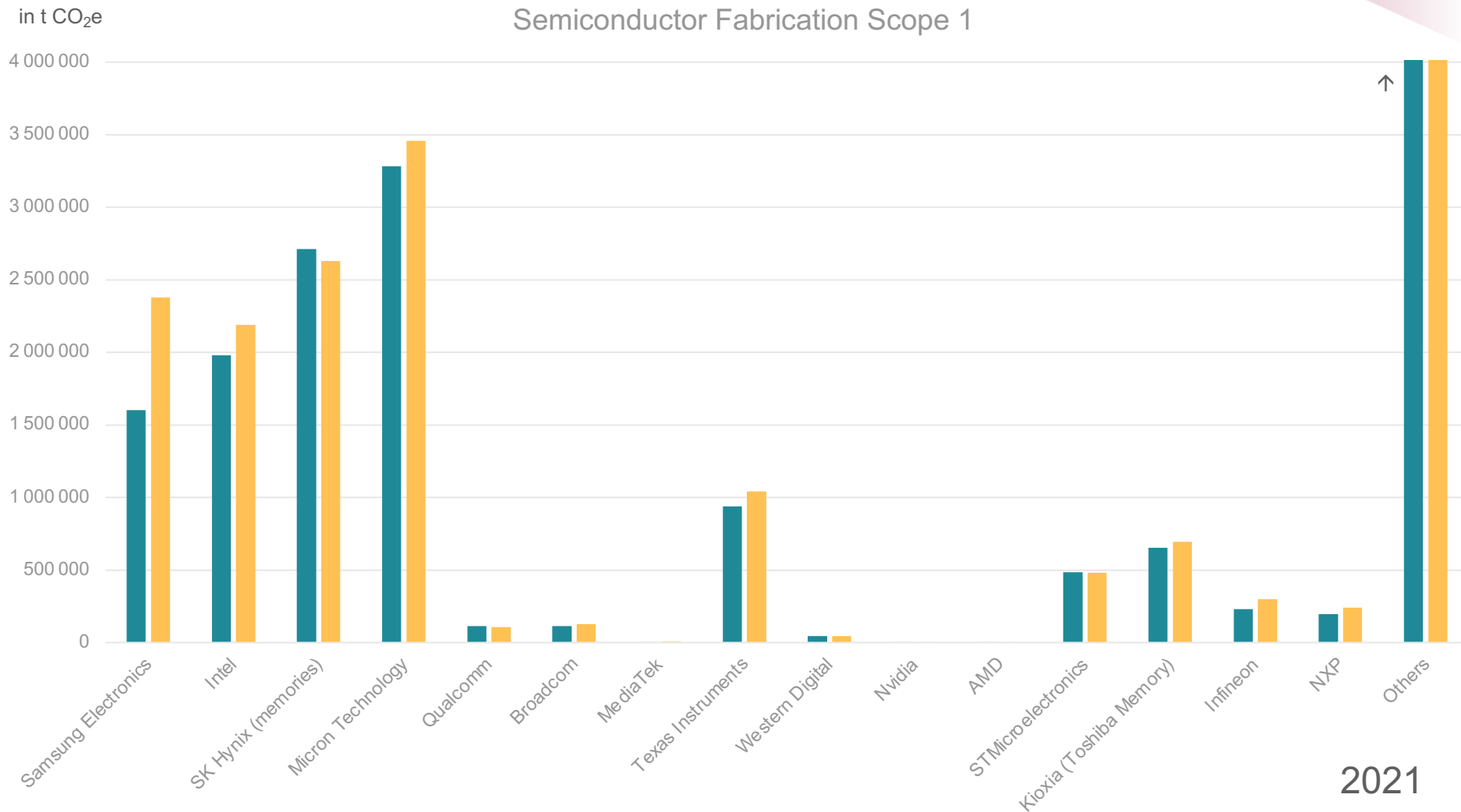
- **Intel evaluates Scope 3 downstream**
 - **22,9 Mt CO₂eq in 2021 for product energy usage**
 - Use of yearly-produced electronics over lifetime
 - **Average product lifetime is estimated 4 years and 4 months**
- **Intel evaluates Scope 3 upstream**
 - **4,9 Mt CO₂eq in 2021 for supply chain**
 - **Does it include full mining impact or only part of the supply chain?**

- ***Commission de l'aménagement du territoire et du développement durable du Sénat***
 - ***rapport de la mission d'information sur l'**empreinte environnementale du numérique**, 2019***
 - ***if nothing is done, by 2040 digital technology would be the source of 24 million tons of CO₂ equivalent, or about 7% of France's emissions, compared with 2% today.***



2021

Sources: companies CSR
Others are extrapolated from total market size

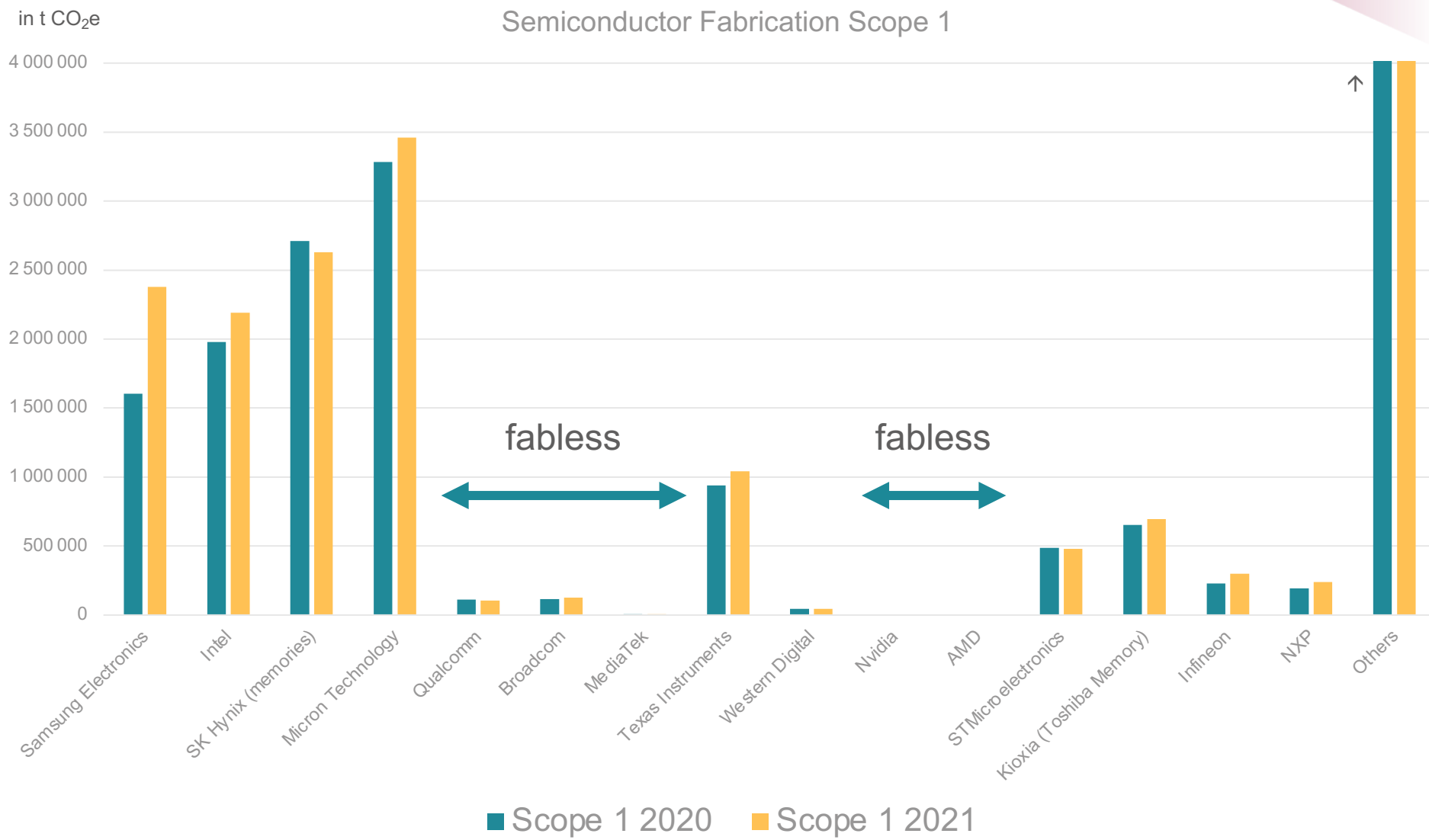


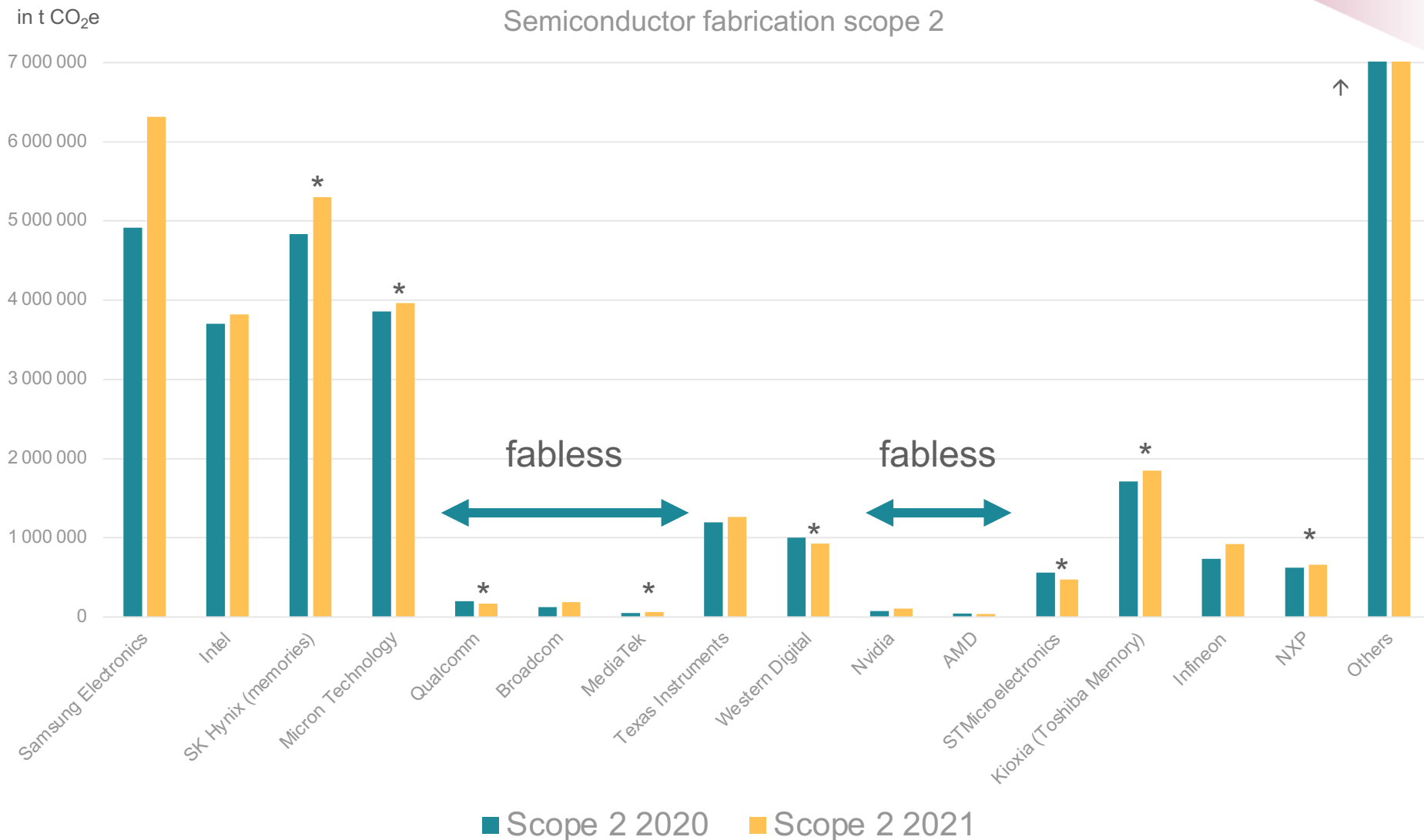
Sources: companies CSR

Samsung electronics GHG emissions are counted only for 31.3% (percentage of semiconductor in company revenues)

Others are extrapolated from market share

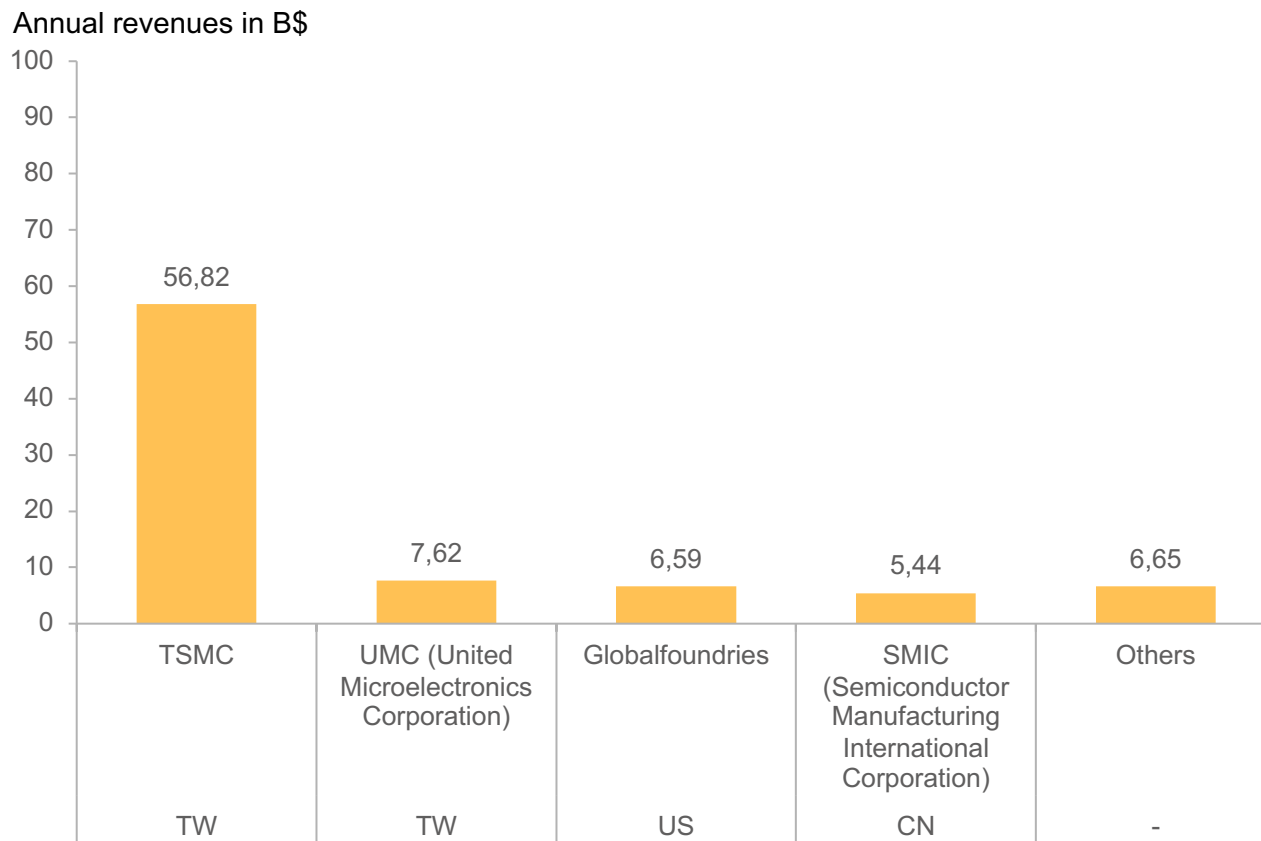
■ Scope 1 2020 ■ Scope 1 2021



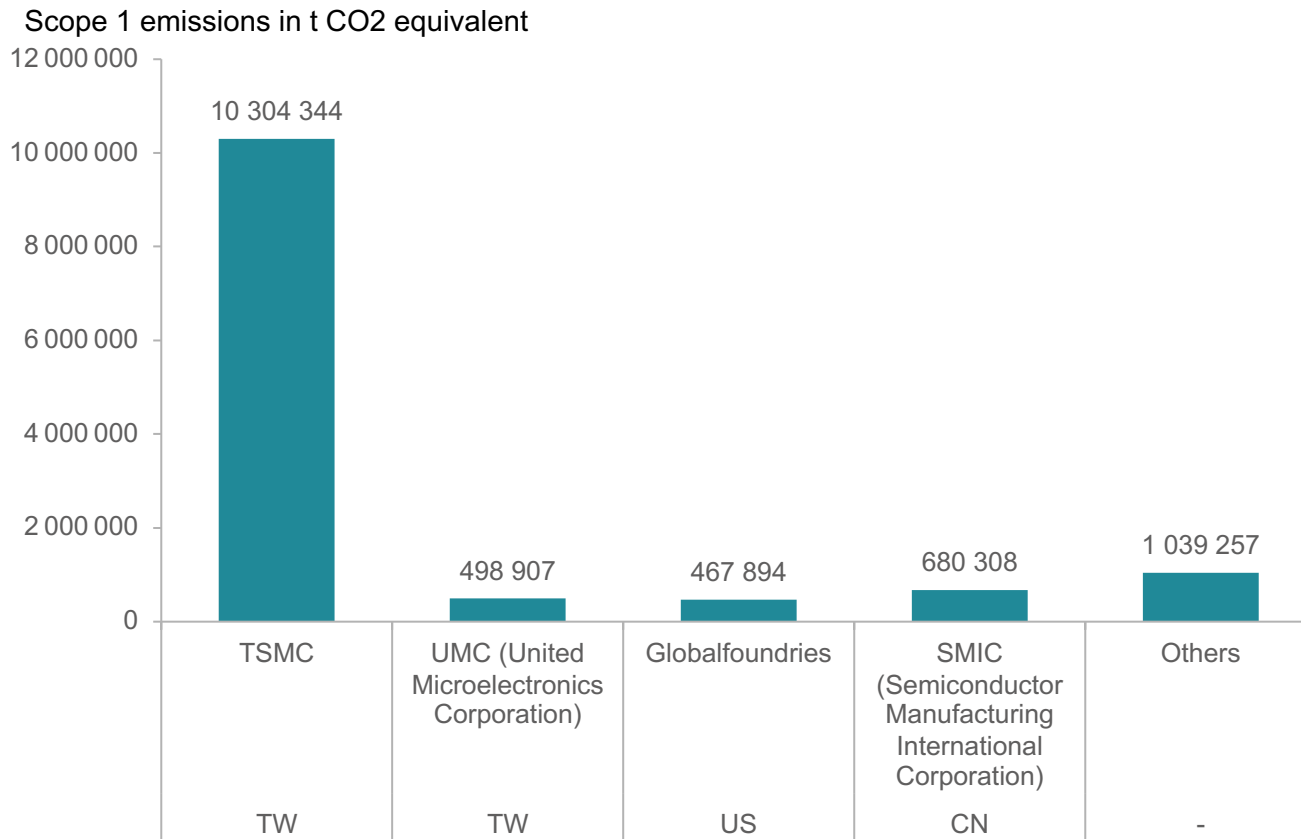


Sources: companies CSR

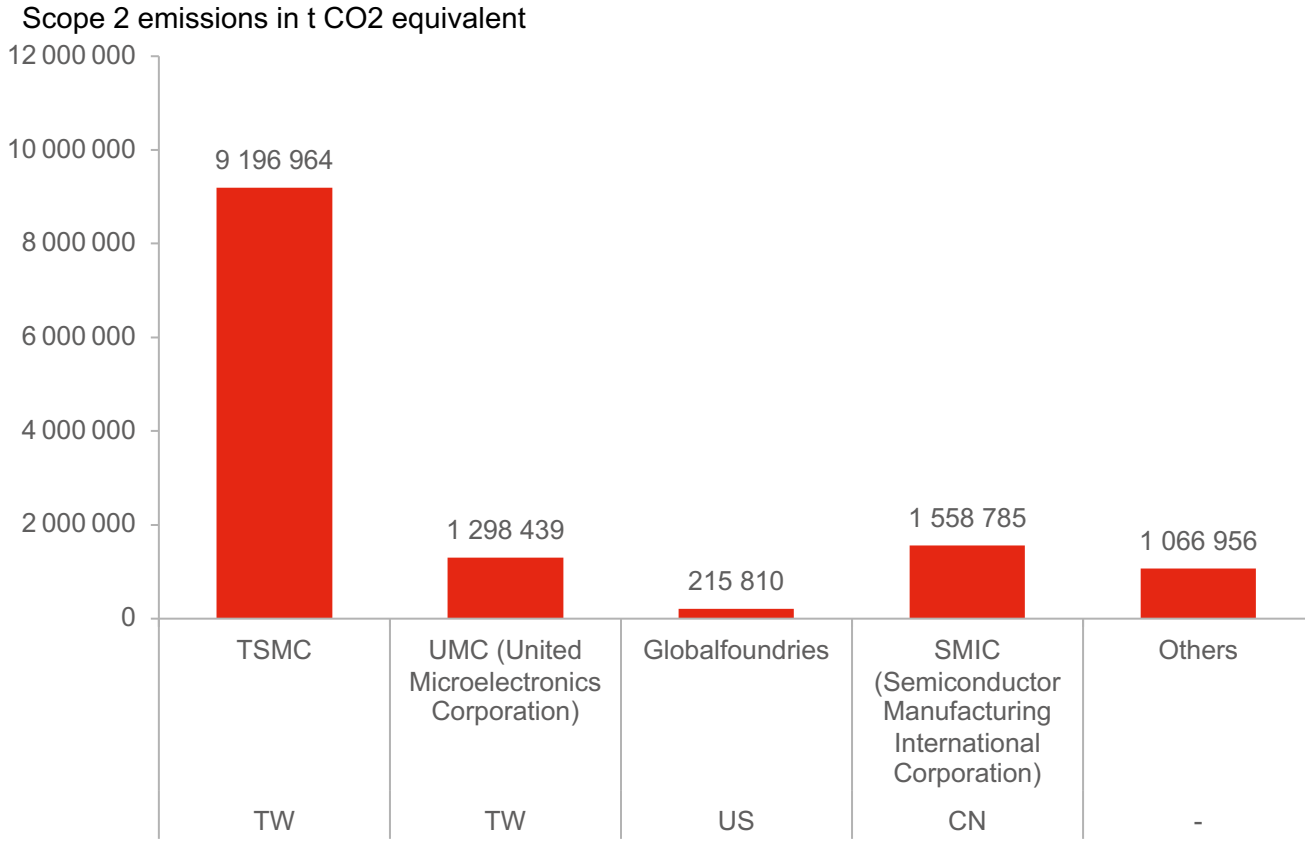
Location based scope 2 available, otherwise market-based or non-specified (marked with *)



2021



2021

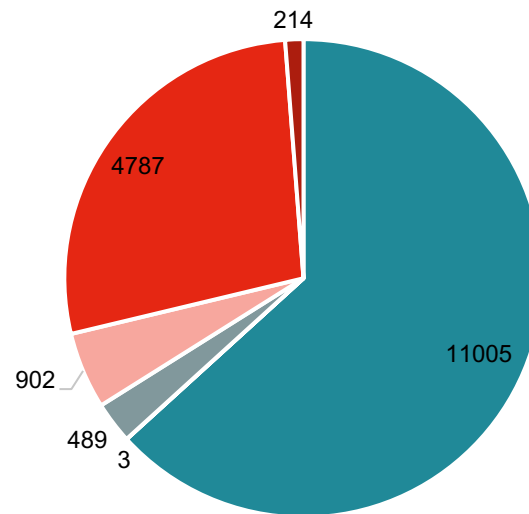


2021

Some details on scope 1 emissions

- **Semiconductor industry heavily use F-Gases**
 - **HFC (hydrofluorocarbons): cooling**
 - **PFC (perfluorocarbons): etching and cleaning**
 - **SF6: 1kG = 22.8t CO₂eq**

Full Samsung Electronics Scope 1 emissions in ktans CO₂



■ CO₂ ■ CH₄ ■ N₂O ■ HFCs ■ PFCs ■ SF₆

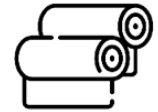
- **Mixing location-based and market-based scope 2**
 - Underestimating scope 2
 - When both numbers are available, market-based is lower by 7 to 75% (for Intel)
- **Extrapolating to others**
 - 36% of revenues for semiconductor vendor
 - 8% of revenues for foundries
- **Missing scope 3**
 - Upstream: mining
 - Downstream: usage and end-of-life
- **Budget granularity is company per company**
 - Retrieved from company estimations

- Industry employs a supply chain pyramid with Tiers

OEM: Original Equipment Manufacturer



TIER 1: Module or system supplier



TIER 2: Component supplier

TIER 3: Sub-component supplier

...

TIER N: Natural resource supplier



- **Digital ICs**
 - **Processor SoC**
 - Large microprocessor or small microcontroller
 - Specialized processors (telecommunications, cameras, ...)
 - **Memory (data storage): ROM, RAM**
- **Analog ICs – Systems-on-a-Chip**
 - Amplifier, sensor
 - Power integrated circuit
- **Mixed signals ICs – Systems-on-a-Chip**
 - Radio Frequency SoC
- **MEMS (Micro Electro Mechanical System) SoC**

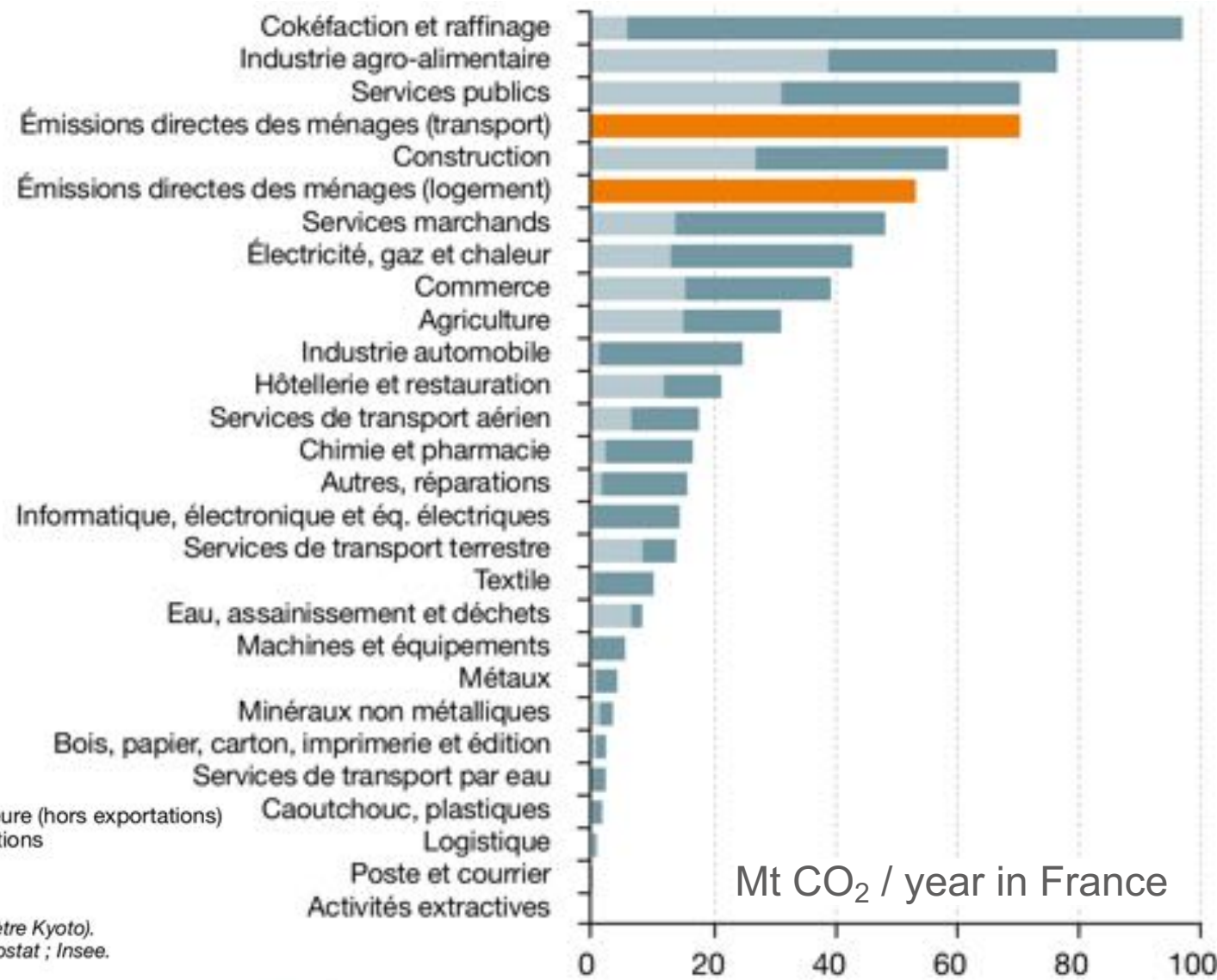
Claims on sustainable electronics

- **Researchers and teacher are key players to reach sustainable electronics**
- **To progress towards sustainability, we shall**
 - **Employ verified, scientific information**
 - **Go beyond extreme positions**
 - **Pinpoint the problems and the potential solutions**
 - **Incorporate engineering and social sciences**
 - **Pursue the goal with sincerity**
 - **Share information and question the method**
- **Open source hardware has a major role to play**

- **Electronics support most innovations in**
 - **Communication, Mobility, Health, Energy, Industry, Farming – Smart*, e-***
- **In particular, digital technologies help:**
 - **Improved social connectivity**
 - **Improved communication speeds**
 - **Enhanced learning opportunities**
 - **Improved versatile working**
 - **Automation of tasks**
 - **Information storage**
 - **Information editing**

- All human activities produce CO₂

Total: 749 Mt CO₂
in 2018



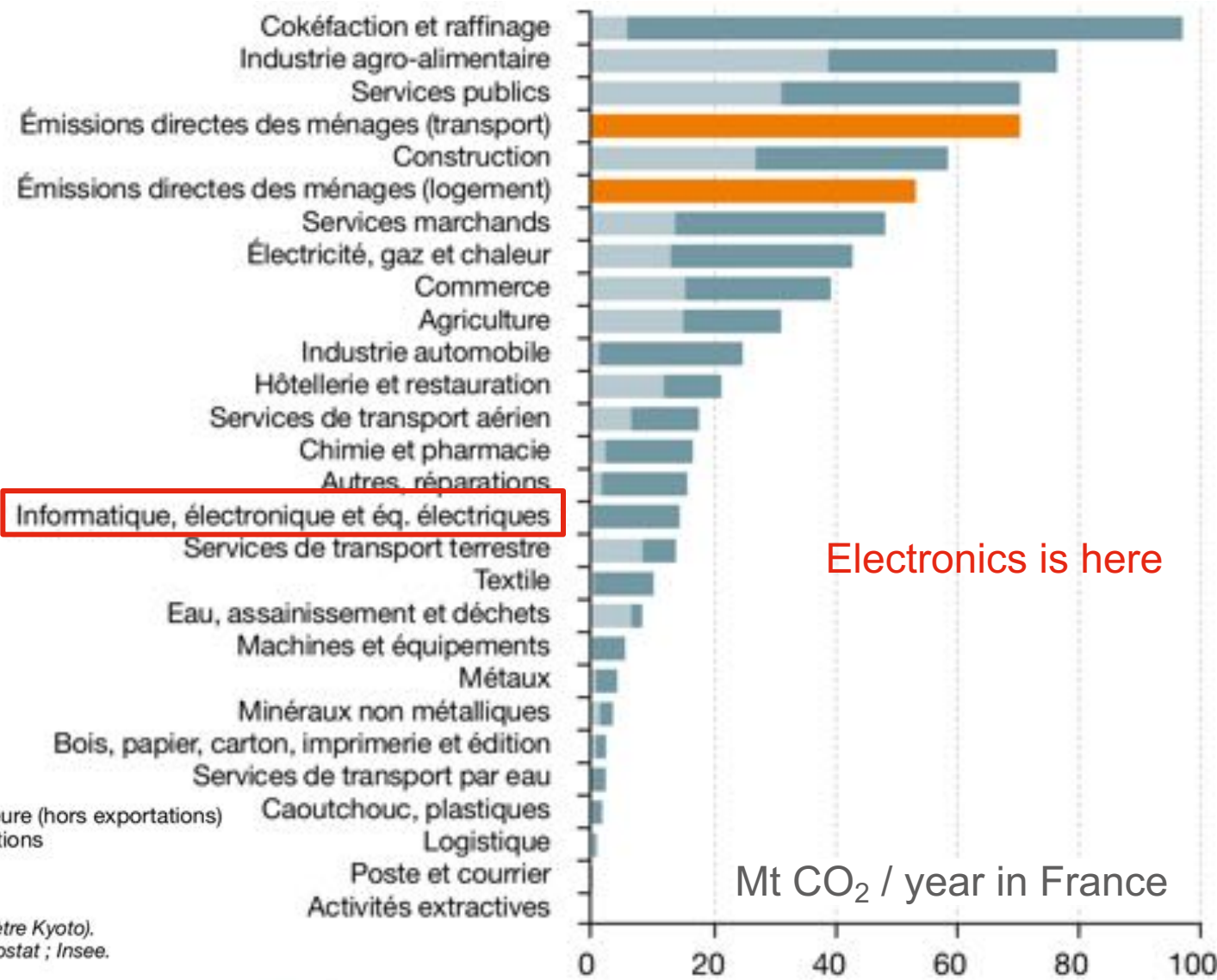
■ Émissions de la production intérieure (hors exportations)
■ Émissions associées aux importations
■ Émissions directes des ménages

Note : GES pris en compte : CO₂, CH₄ et N₂O.
 Champ : France métropolitaine + Drom (périmètre Kyoto).
 Sources : Citepa ; AIE ; FAO ; Douanes ; Eurostat ; Insee.
 Traitements : SDES, 2019

Mt CO₂ / year in France

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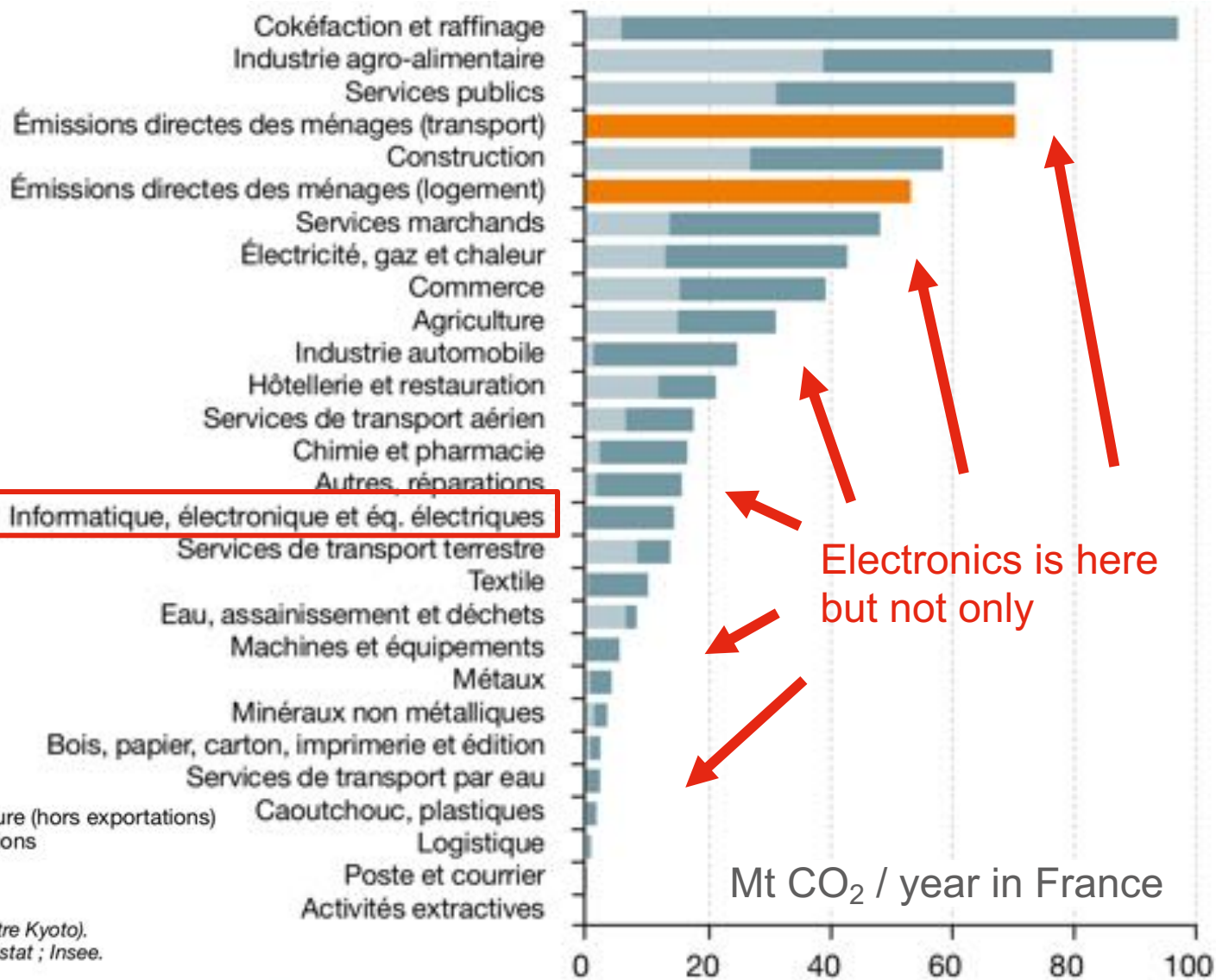
Electronics is here

Mt CO₂ / year in France

Note : GES pris en compte : CO₂, CH₄ et N₂O.
 Champ : France métropolitaine + Drom (périmètre Kyoto).
 Sources : Citepa ; AIE ; FAO ; Douanes ; Eurostat ; Insee.
 Traitements : SDES, 2019

- All human activities produce CO₂

Total: 749 Mt CO₂
in 2018



Electronics is here but not only

Mt CO₂ / year in France

Note : GES pris en compte : CO₂, CH₄ et N₂O.
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 Sources : Citepa ; AIE ; FAO ; Douanes ; Eurostat ; Insee.
 Traitements : SDES, 2019