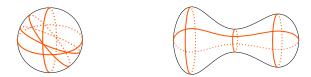
C^2 structurally stable Riemannian geodesic flows of closed surfaces are Anosov

Marco Mazzucchelli (CNRS and École normale supérieure de Lyon)

Joint work with Gonzalo Contreras

Closed geodesics of Riemannian manifolds

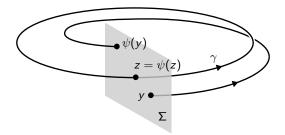


The closed geodesics of (M, g) are the periodic orbits of the geodesic flow

$$egin{array}{lll} \phi_t: \mathcal{SM} o \mathcal{SM}, \quad \phi_t(\gamma(0)) = \gamma(t) \ ext{where} \ \gamma = (x, \dot{x}), ext{ and } x: \mathbb{R} o \mathcal{M} ext{ is a geodesic with } \|\dot{x}\|_{g} = 1. \end{array}$$

Closed geodesics of Riemannian manifolds

 $\Sigma \subset SM$ cross section at a closed geodesic γ $\psi : \Sigma \rightarrow \Sigma, \ \psi(y) = \phi_{\tau(y)}(y)$ first-return map



The Floquet multipliers of γ are the eigenvalues of $d\psi(z)$.

Closed geodesics of Riemannian manifolds

A closed geodesic γ is

• elliptic when its Floquet multipliers are in $S^1 \subset \mathbb{C}$



• hyperbolic when its Floquet multipliers are in $\mathbb{R} \setminus \{1, -1\}$



• non-degenerate when its Floquet multipliers are in $\mathbb{C} \setminus \{1\}$.

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• (Herman, 2000) A C^2 generic positively-curved Riemannian metric on S^2 has an elliptic closed geodesic.

 (Contreras-Oliveira, 2004) A C² generic Riemannian metric on S² has an elliptic closed geodesic. Hyperbolicity

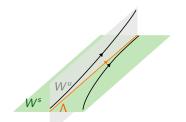
 $\phi_t: \mathbf{N} \to \mathbf{N}$ flow of a vector field \mathbf{X}

A compact invariant subset $\Lambda \subseteq N$ is hyperbolic when there exists a ϕ_t -invariant splitting

$$TN|_{\Lambda} = E^{s} \oplus E^{u} \oplus \operatorname{span}\{X\}$$

such that, for some b, c > 0,

$$\|d\phi_t \cdot v\| \le b e^{-ct} \|v\| \text{ for all } v \in E^s, t \ge 0, \\ \|d\phi_{-t} \cdot v\| \le b e^{-ct} \|v\| \text{ for all } v \in E^u, t \ge 0.$$



 $\phi_t: N \to N$ is Anosov when the whole N is hyperbolic.

Main result

Theorem (Contreras-Mazzucchelli) On any closed surface, there exists a C^2 -open and dense subset \mathcal{U} of smooth Riemannian metrics such that, for each $g \in \mathcal{U}$, the associated geodesic flow is Anosov or has an elliptic closed orbit.

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Remark. For Finsler geodesic flows, the analogous theorem follows from a more general result of Newhouse.

Remark. Surfaces of genus ≤ 1 do not admit Anosov geodesic flows (Margulis). Therefore, for these surfaces, each $g \in U$ has an elliptic closed orbit.

A geodesic flow $\phi_t^{g_0}$ is C^2 -structurally stable when g_0 has a C^2 -open neighborhood \mathcal{V} and, for each $g_1 \in \mathcal{V}$, there is a homeohorphism

$$\kappa: S^{g_0}M \to S^{g_1}M$$

mapping orbits of $\phi_t^{g_0}$ to orbits of $\phi_t^{g_1}$.

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Remark. Anosov himself showed that Anosov geodesic flows are C^2 -structurally stable.

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Assume that \(\phi_t^{g_0}\) is structurally stable within the neighborhood \(\mathcal{V}\) of \(g_0\).

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- Assume that \(\phi_t^{g_0}\) is structurally stable within the neighborhood \(\mathcal{V}\) of \(g_0\).
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- ▶ By the structural stability, $\overline{\operatorname{Per}(\phi_t^{g_0})} \cong \overline{\operatorname{Per}(\phi_t^g)} = S^g M$. Therefore $\phi_t^{g_0}$ is Anosov.

A geodesic flow $\phi_t^{g_0}$ is C^2 -stably ergodic when g_0 has a C^2 -open neighborhood \mathcal{W} and, for each $g_1 \in \mathcal{W}$, the geodesic flow $\phi_t^{g_1}$ is ergodic: its invariant subsets have either full measure or zero measure.

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Theorem (Knieper, Schulz). A C^2 -stably ergodic geodesic flow of a closed surface must be Anosov.

Reeb flows

Closed contact manifold:

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Geodesic flows of Riemannian manifolds (M, g) are examples of Reeb flows:

$$N = SM = \{(x, v) \in TM \mid \|v\|_g = 1\}$$

$$\lambda_{(x,v)} = g(v, d\pi(x, v) \cdot), \text{ where } \pi : SM \to M, \ \pi(x, v) = x.$$

A characterization of Anosov Reeb flows

 (N, λ) closed contact manifold of dimension 3. X Reeb vector field $\phi_t : N \to N$, $t \in \mathbb{R}$, Reeb flow

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Theorem (Contreras-Mazzucchelli). Assume that:

- ▶ Per(X) is hyperbolic,
- (Kupka-Smale condition) W^u(γ₁) h W^s(γ₂) for all closed Reeb orbits γ₁, γ₂ ⊂ Per(X).

Then the Reeb flow ϕ_t is Anosov.

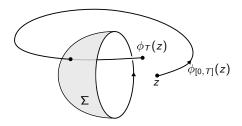
Surfaces of section

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- i.e. an immersed compact surface $\Sigma \subset \textit{N}$ such that
 - int(Σ) is injectively immersed and transverse to the Reeb vector field X
 - $\partial \Sigma$ is a union of closed Reeb orbits
 - There exists T > 0 such that every segment of Reeb orbit φ_[0,T](z) intersects Σ.



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Known answers:

- (Fried 1981) Yes if ϕ_t is Anosov.
- (Hofer-Wysocki-Zehnder 1998) Yes if (N, λ) is a convex 3-sphere.

A broken book decomposition of (N^3, λ) is given by:

A family of pages *F*. Each page Σ ⊂ *F* is a (not necessarily global) surface of section for the Reeb flow.

• The binding
$$K = \bigcup_{\Sigma \in \mathcal{F}} \partial \Sigma$$
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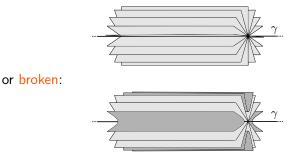
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Broken book decompositions (Colin-Dehornoy-Rechtman)

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- There exists finitely many pages $\Sigma_1, ..., \Sigma_n$ such that:
 - Every Reeb orbit $t \mapsto \phi_t(z)$ intersects $\Sigma_1 \cup ... \cup \Sigma_n$.
 - ▶ If $\phi_{[0,\infty)}(z) \notin \Sigma_1 \cup ... \cup \Sigma_n$, then $z \in W^s(\gamma)$ for some $\gamma \subset K$.
 - ► If $\phi_{(-\infty,0]}(z) \notin \Sigma_1 \cup ... \cup \Sigma_n$, then $z \in W^u(\gamma)$ for some $\gamma \subset K$.

Broken book decompositions

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The proof requires Hutchings' embedded contact homology, which provides surfaces of section through any given point of the contact manifold N as projections of suitable holomorphic curves in the symplectization $\mathbb{R} \times N$.

Theorem (Contreras-Mazzucchelli). Let (N, λ) be a closed contact 3-manifold such that:

- ▶ $\overline{\operatorname{Per}(X)}$ is hyperbolic,
- ► (Kupka-Smale condition) $W^{u}(\gamma_{1}) \pitchfork W^{s}(\gamma_{2})$ for all closed Reeb orbits $\gamma_{1}, \gamma_{2} \subset Per(X)$.

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• One such $\Lambda = \Lambda_i$ contains infinitely many closed Reeb orbits.

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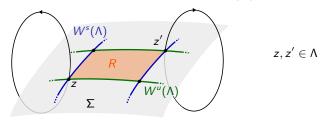
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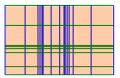
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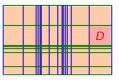
- We consider a broken book decomposition of (N, λ), and a page Σ such that Λ ∩ int(Σ) ≠ Ø.
- We fix a small heteroclinic rectangle $R \subset int(\Sigma)$:



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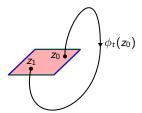


▶ $D \subset R \setminus (W^{s}(\Lambda) \cup W^{u}(\Lambda))$ connected component

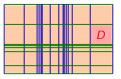
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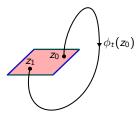
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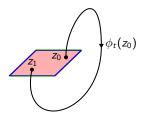
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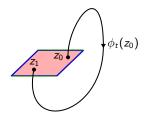
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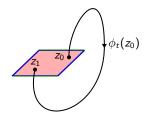
• We extend the map $z_0 \mapsto z_1$ to a smooth return map $\psi : U \to \Sigma$ on a maximal open subset $U \subseteq D$.



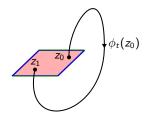
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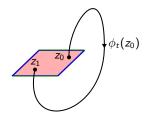
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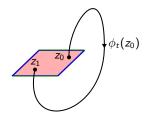
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- $\psi: D \to D$ preserves the area form $d\lambda|_D$.
- (Brower translation theorem) ψ has a fixed point z.
- ▶ Thus $z \in D \cap Per(X)$. But $D \cap Per(X) \subset D \cap \Lambda = \emptyset$.

Thank you for your attention!