

On the Ring-LWE and Polynomial-LWE problems

Miruna Rosca

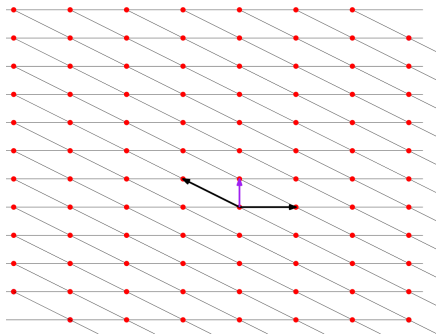
Damien Stehlé

Alexandre Wallet

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Lattices and hard problems



Lattice

Let $b_1, b_2, \dots, b_n \in \mathbb{R}^n$ be some linearly independent vectors. The set

$$\mathcal{L}(\mathbf{B}) = \{x_1 b_1 + x_2 b_2 + \dots + x_n b_n : x_i \in \mathbb{Z}\}$$

is called the lattice generated by them.

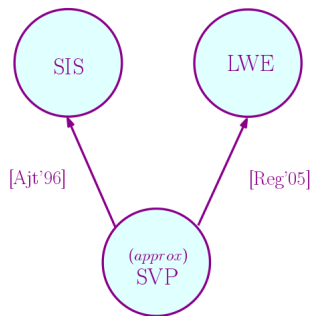
Approx SVP $_{\gamma}$

Find a nonzero $x \in \mathbb{Z}^n$ s.t.

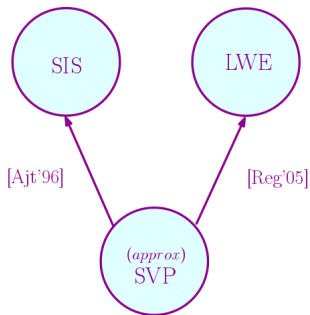
$$\|\mathbf{B} \cdot x\| < \gamma \cdot \min(\|\mathbf{B} \cdot y\| : y \in \mathbb{Z}^n).$$

* some of the figures borrowed from A. Wallet

How to use lattices for crypto?



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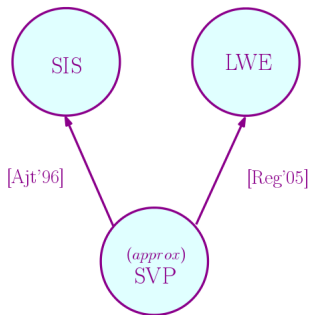
Learning with Errors:

$$A, \quad A \begin{matrix} \color{red} s \\ \color{red} + \\ \color{yellow} e \end{matrix}$$

Search: Find s .

Decision: Distinguish this distribution from the uniform one.

How to use lattices for crypto?



efficiency?

Learning with Errors:



Search: Find s .

Decision: Distinguish this distribution from the uniform one.

f monic, deg. n , irred.

$$R := \mathbb{Z}[x]/f$$

PLWE

$$s \in R_q := R/qR$$

$\mathcal{B}_{s,\Sigma}$ distribution

$$a \leftarrow \mathcal{U}(R_q)$$

$$e \leftarrow \mathcal{D}_\Sigma$$

$$(a, b = a \cdot s + e \bmod qR)$$

More structure: PLWE, RLWE, RLWE^V [SSTX09],[LPR10]

$$K := \mathbb{Q}[x]/f$$

n field embeddings: σ_j

canonical embedding: $\sigma(a) = (\sigma_1(a), \dots, \sigma_n(a))$

$$H = \{(v_1, \dots, v_n) \in \mathbb{R}^{s_1} \times \mathbb{C}^{2s_2} : v_{i+s_1+s_2} = \overline{v_{i+s_1}}\}$$

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$$R := \mathbb{Z}[x]/f$$

$$H = \text{Span}\{h_i\}_i$$

$$\mathcal{D}_\Sigma^H : \begin{cases} x \leftarrow \mathcal{D}_\Sigma \\ \text{output } \sum x_i \cdot h_i \end{cases}$$

\mathcal{O}_K ring of integers of K , \mathcal{O}_K^\vee the dual of \mathcal{O}_K

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PLWE

RLWE

RLWE[∨]

$$s \in R_q := R/qR$$

$$s \in \mathcal{O}_{K,q} := \mathcal{O}_K/q\mathcal{O}_K$$

$$s \in \mathcal{O}_{K,q}^\vee := \mathcal{O}_K^\vee/q\mathcal{O}_K^\vee$$

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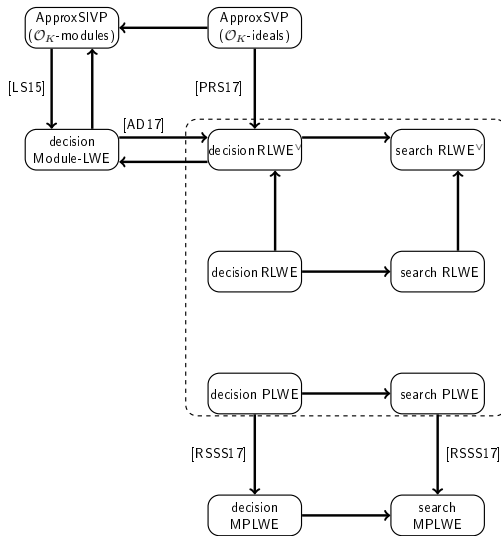
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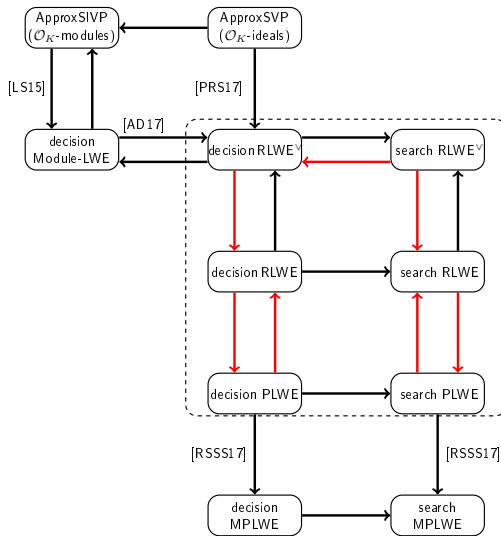
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State of the art and Contributions



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From RLWE^V to RLWE

Assume $\exists \mathbf{t} \in (\mathcal{O}_K^V)^{-1}$ such that $[\times \mathbf{t}] : \mathcal{O}_{K,q}^V \simeq \mathcal{O}_{K,q}$.

$$\theta_{\mathbf{t}} : \begin{array}{ccc} \mathcal{O}_{K,q} \times \mathcal{O}_{K,q}^V & \longrightarrow & \mathcal{O}_{K,q} \times \mathcal{O}_{K,q} \\ (a, b) & \longmapsto & (a, \mathbf{t}b \bmod q) \end{array}$$

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$\mathcal{A}_{s,\Sigma}^V$ to $\mathcal{A}_{s',\Sigma'}$

If $(a, b) \leftrightarrow \mathcal{A}_{s,\Sigma}^V$:

$$\mathbf{t}b = a(\mathbf{t}s) + \mathbf{t}e, \mathbf{t}e \leftrightarrow D_{\Sigma'}^H$$

$$\Sigma' = \text{diag} [|\sigma_i(\mathbf{t})|] \cdot \Sigma \cdot \text{diag} [|\sigma_i(\mathbf{t})|]$$

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If $(a, b) \leftrightarrow$ uniform:

$(a, \mathbf{t}b)$ uniform

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Does such a \mathbf{t} exist?

How large is $\mathbf{t}e$?

Control the size of \mathbf{t}

[LPR10]

Compute \mathbf{t} in $\text{poly}(n)$ -time using CRT.

✓ Existence

✗ Size

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[LPR10]

Compute \mathbf{t} in $poly(n)$ -time using CRT.

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New result

By Gaussian sampling on $(\mathcal{O}_K^\vee)^{-1}$,
we can find \mathbf{t} with small $\|\sigma(\mathbf{t})\|$.¹

✓ Existence

✓ Size

Idea: show that short vectors are not all trapped in $(\mathcal{O}_K^\vee)^{-1} \cdot J$ for J a divisor of (q) .

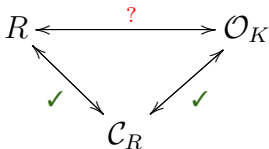
¹It requires advice on the number field.

From RLWE to PLWE

New result

We can find \mathbf{t} in **the conductor ideal** $\mathcal{C}_R := \{\mathbf{t} \in K : \mathbf{t}\mathcal{O}_K \subset R\}$ s.t.

$$[\times \mathbf{t}] : \mathcal{O}_{K,q} \simeq R_q \text{ and } \|\sigma(\mathbf{t})\| \text{ is small.}$$



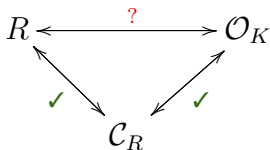
If \mathcal{C}_R coprime to $q\mathcal{O}_K$ then
 $\mathcal{O}_{K,q} \simeq \mathcal{C}_R/q\mathcal{C}_R \simeq R_q$.

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If $(a, b) \leftrightarrow \mathcal{A}_{s,\Sigma}$:

$$\mathbf{t}^2b = (\mathbf{t}a)(\mathbf{t}s) + \mathbf{t}^2e$$

uniform to uniform

If $(a, b) \leftrightarrow$ uniform:

$(\mathbf{t}a, \mathbf{t}^2b)$ uniform

Is this really PLWE? Not yet.

$$e' = \mathbf{t}^2 e \leftrightarrow D_{\Sigma_{\mathbf{t}}}^H, \text{ where } \Sigma_{\mathbf{t}} = \text{diag}[|\sigma_i(\mathbf{t})|^2] \cdot \Sigma \cdot \text{diag}[|\sigma_i(\mathbf{t})|^2].$$

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Minkowski vs. Coefficient embeddings:

$$\sigma(a) = \mathbf{V}_f \cdot \mathbf{a}, \text{ with } \mathbf{V}_f = \begin{bmatrix} 1 & \alpha_1 & \alpha_1^2 & \dots & \alpha_1^{n-1} \\ 1 & \alpha_2 & \alpha_2^2 & \dots & \alpha_2^{n-1} \\ \vdots & & \dots & & \vdots \\ 1 & \alpha_n & \alpha_n^2 & \dots & \alpha_n^{n-1} \end{bmatrix}$$

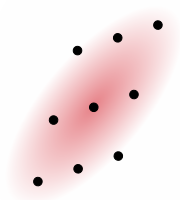
The α_i 's are the roots of the polynomial f .

How small is the coefficient embedding?

New noise: $\mathbf{V}_f^{-1}\sigma(e') \leftrightarrow D_{\Sigma'}$, with $\Sigma' = \mathbf{V}_f^{-\top}\Sigma_t\mathbf{V}_f^{-1}$

The distortion introduced by \mathbf{V}_f^{-1} could be:

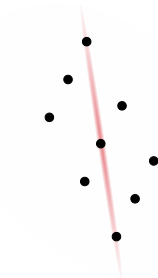
too large



reasonable



too skew



How to get a small distortion?

$$\|\mathbf{V}_f^{-1}\|_\infty \text{ small for } f := X^n - c \in \mathbb{Z}[X].$$

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Can we find more polynomials?

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Idea: Try to apply a small perturbation on the roots of f and keep the norm small.

Lots of polynomials

$$f = X^n - c \in \mathbb{Z}[X]$$

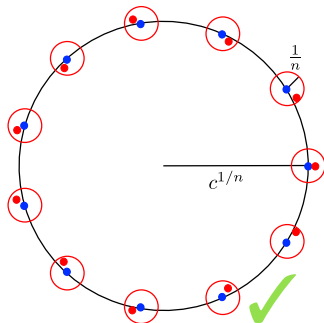
$$\text{Take } P = \sum_{i=1}^{n/2} p_i X^i \in \mathbb{Z}[X]$$

$$\text{Perturbation: } g := f + P$$

Technique: Rouché theorem

New result

For every such $g \in \mathbb{Z}[X]$, we have that $\|\mathbf{V}_g^{-1}\| \leq \text{poly}(n)$.



New result

There is a probabilistic poly. time reduction from search RLWE/RLWE[∨] to decision RLWE/RLWE[∨].

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- prove a LHL variant over rings and use it to create new samples

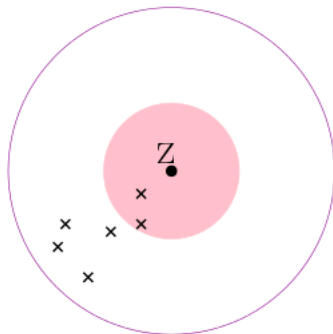
Search to decision

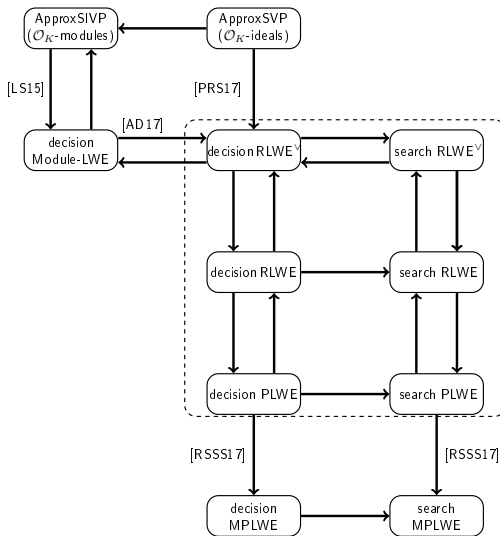
New result

There is a probabilistic poly. time reduction from search RLWE/RLWE^V to decision RLWE/RLWE^V.

Technique:

- prove a LHL variant over rings and use it to create new samples
- find good approx. of the error by using the OHCP technique from [PRS17]
- find the secret





Thank you.