

An Introduction to Analytic Combinatorics

Meeting on Discrete Structures
Dec. 17, 2015

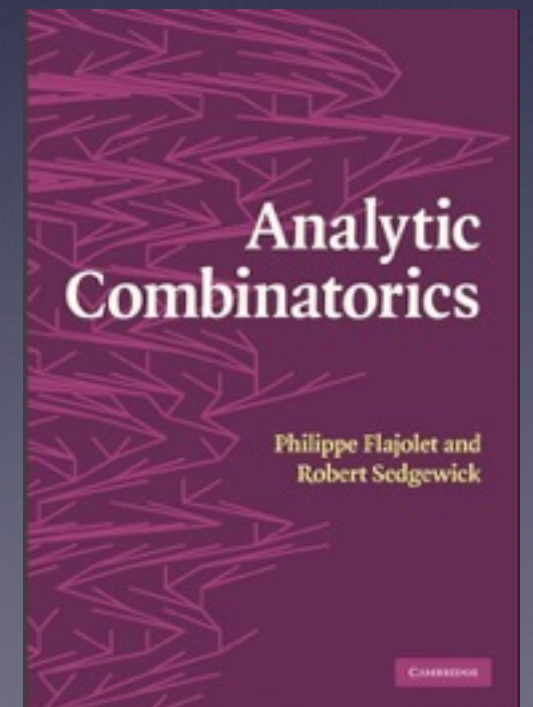
Bruno Salvy
@ AriC



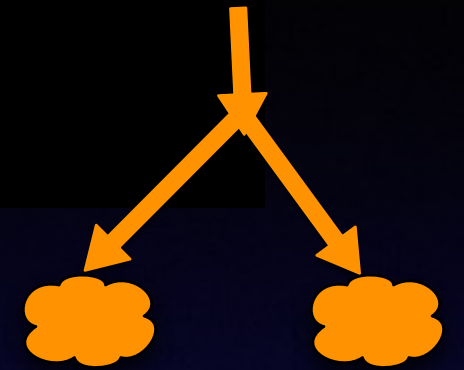
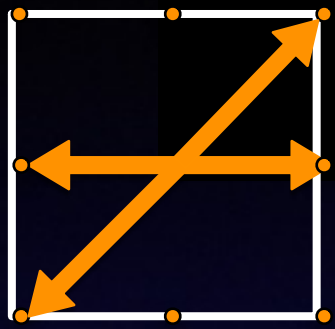
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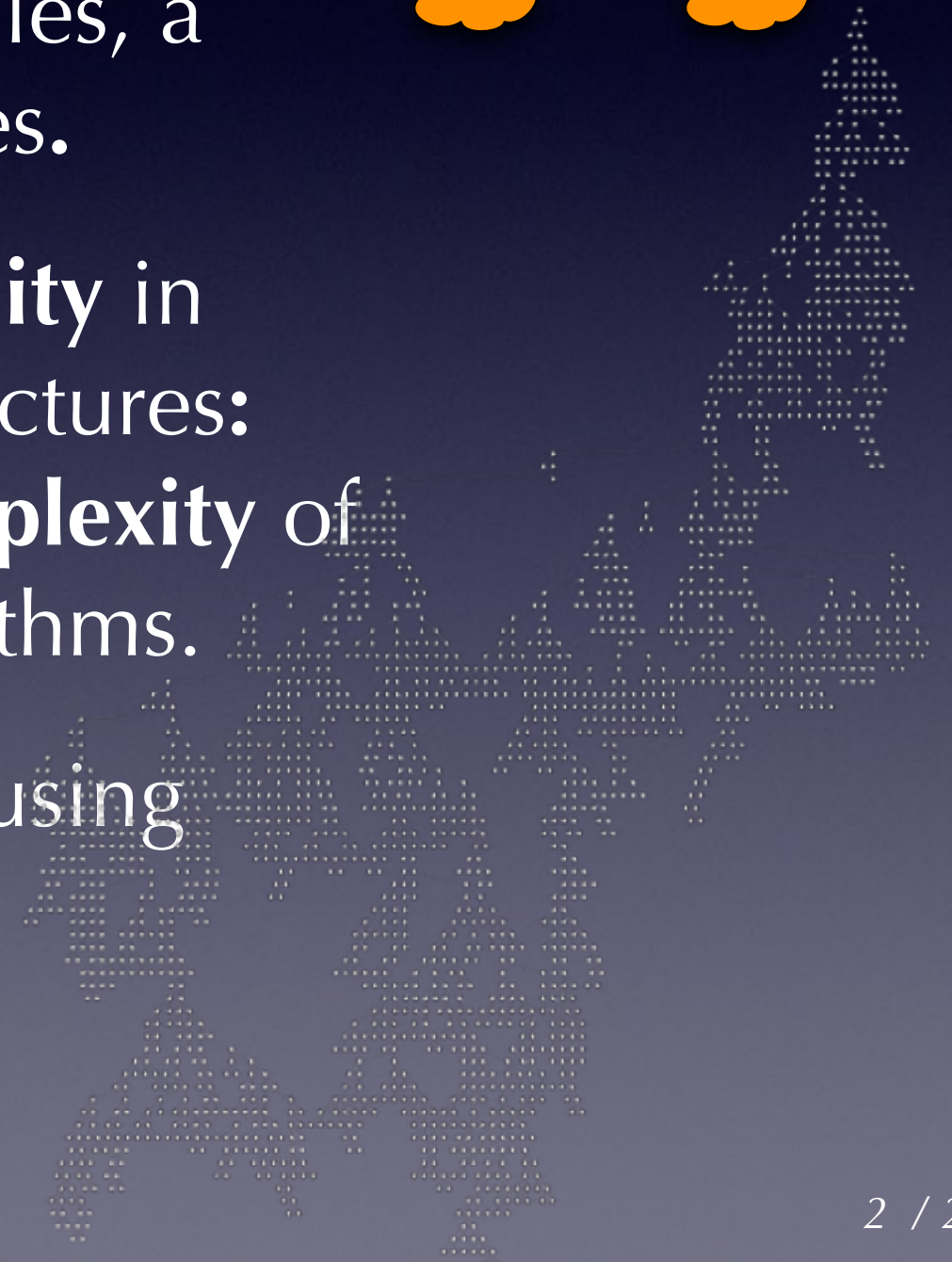
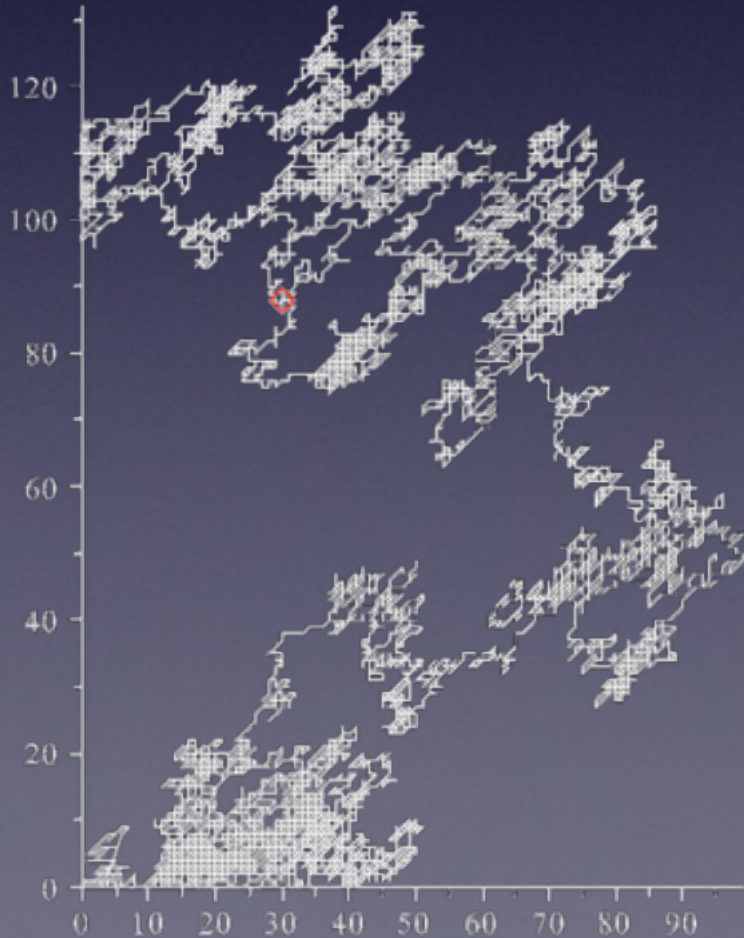
Combinatorics, Randomness and Analysis



From **simple local** rules, a **global** structure arises.

A quest for **universality** in random discrete structures:
➔ **probabilistic complexity** of structures and algorithms.

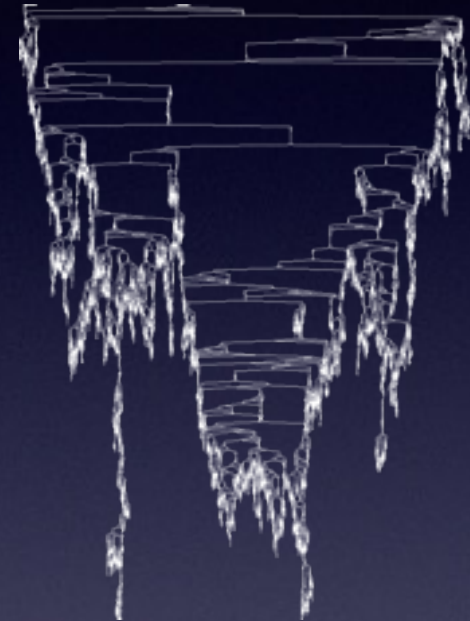
Quantitative results using **complex analysis**.



Overview (1/2)

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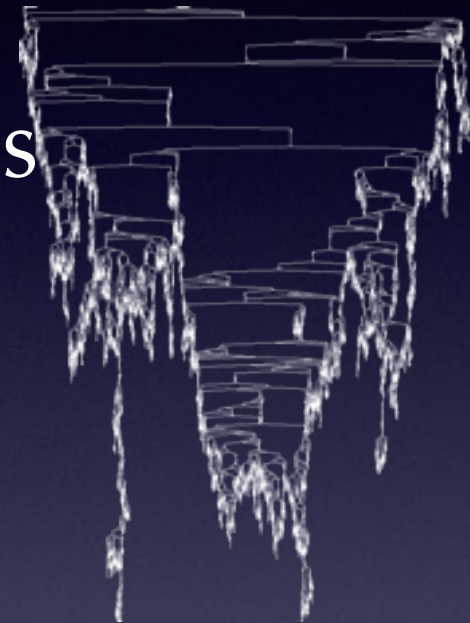
Ex.: binary trees



Overview (1/2)

Ex.: binary trees

- Equations over combinatorial structures

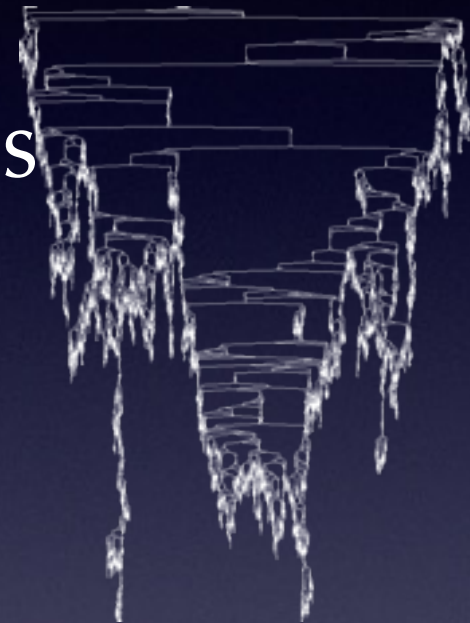


$$\mathcal{B} = \mathcal{Z} \cup \mathcal{B} \times \mathcal{B}$$

Overview (1/2)

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- Equations over combinatorial structures
- Generating functions



$$F(z) = \sum_{n=0}^{\infty} f_n z^n$$

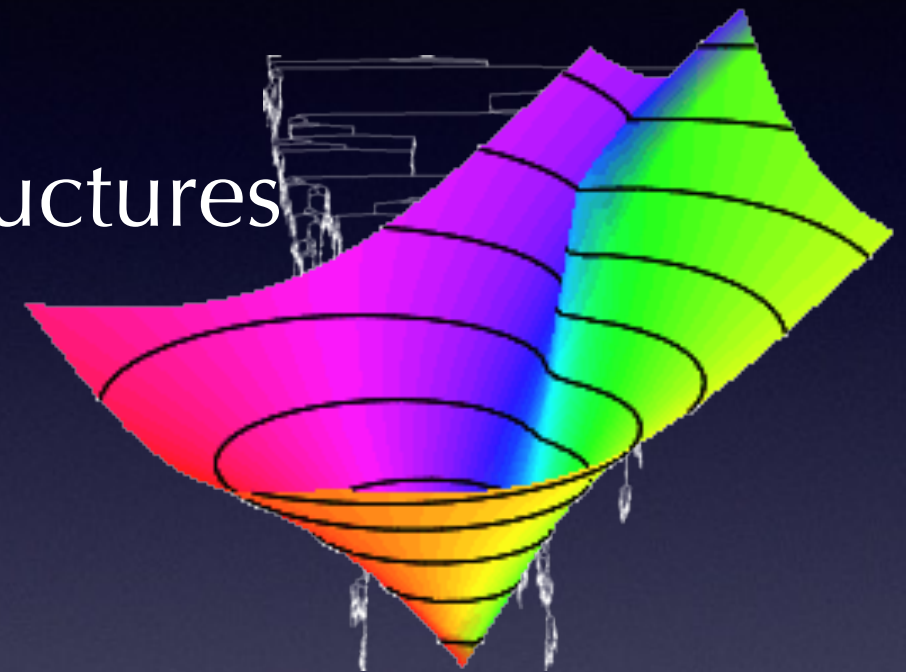
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$$B(z) = z + B(z)^2$$

Overview (1/2)

Ex.: binary trees

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$$F(z) = \sum_{n=0}^{\infty} f_n z^n$$

- Complex analysis

$$f_n \sim \dots, n \rightarrow \infty$$

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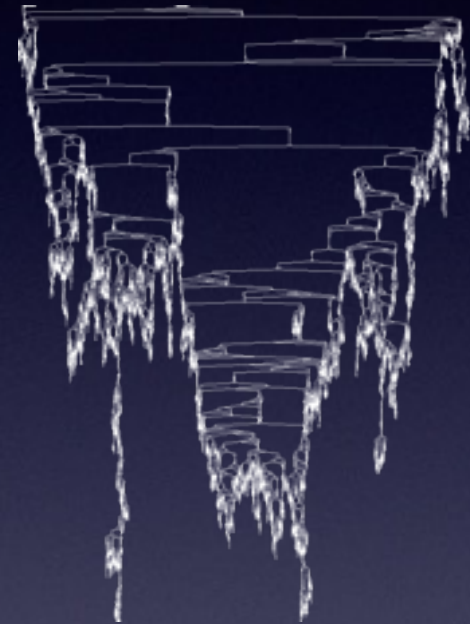
$$B(z) = z + B(z)^2$$

$$B_n \sim \frac{4^{n-1} n^{-3/2}}{\sqrt{\pi}}$$

Overview (2/2)

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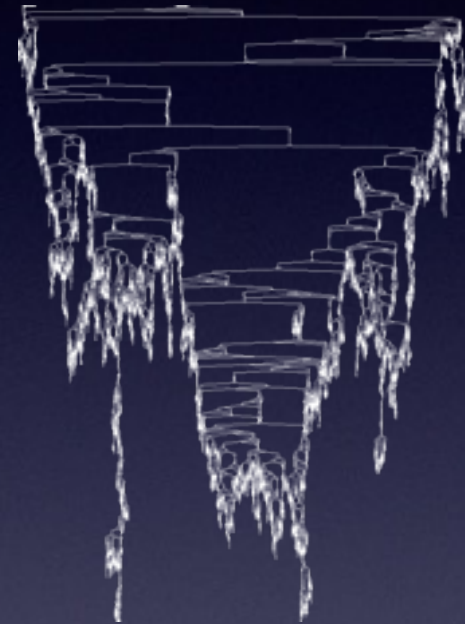
*Ex.: path length in
binary trees*



Overview (2/2)

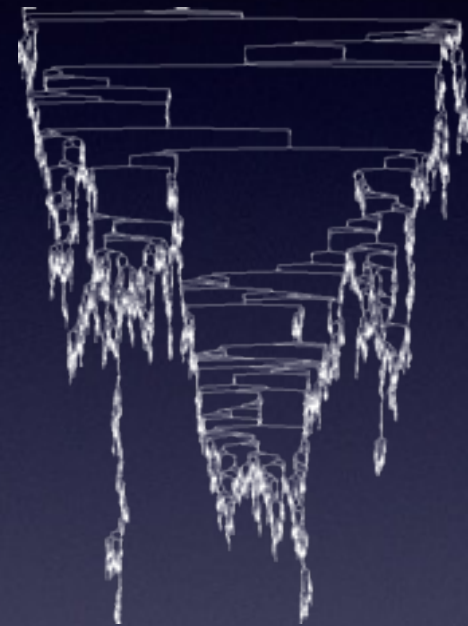
*Ex.: path length in
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- Equations over combinatorial structures + parameters



Overview (2/2)

*Ex.: path length in
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- Equations over combinatorial structures + **parameters**
- **Multivariate** generating series

$$F(z, u) = \sum_{n,k} f_{n,k} u^k z^n$$

$$\begin{aligned} B(z, u) &= \sum_{t \in T} u^{\text{pl}(t)} z^{|t|} \\ &= z + B^2(zu, u) \end{aligned}$$

$$P(z) := \left. \frac{\partial}{\partial u} B(z, u) \right|_{u=1}$$

Overview (2/2)

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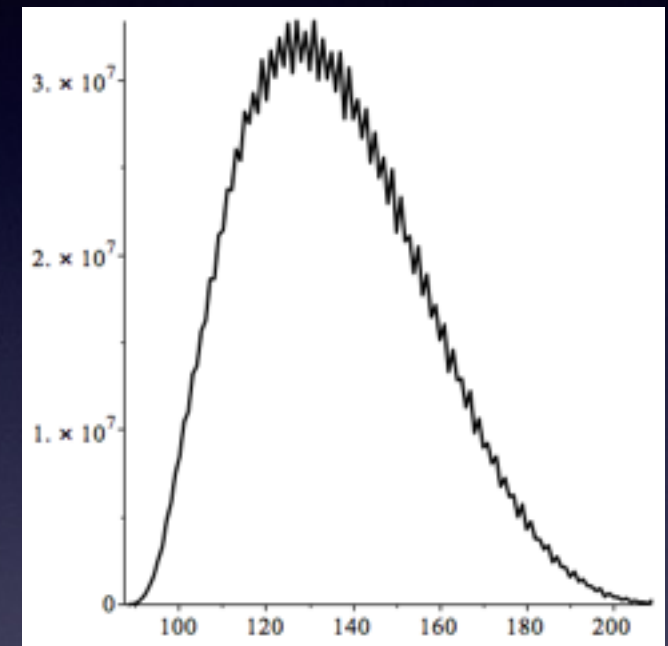
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$$\frac{P_n}{nB_n} \sim \sqrt{\pi n}$$

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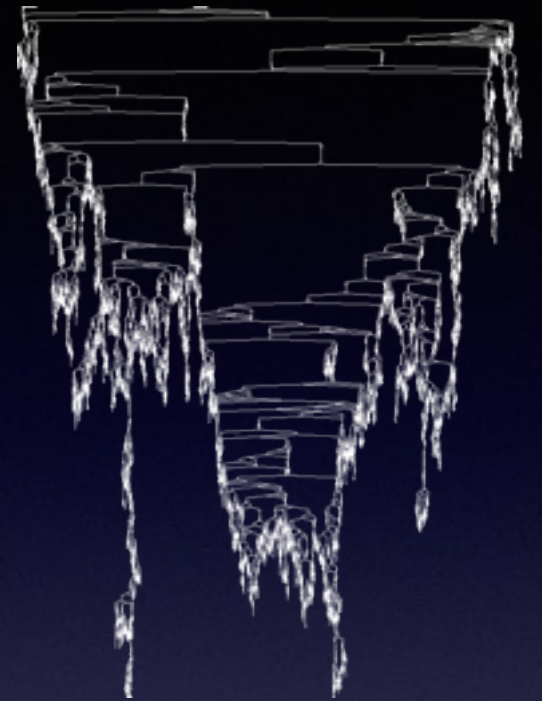
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I. From Combinatorics to Generating Functions

Combinatorial specifications

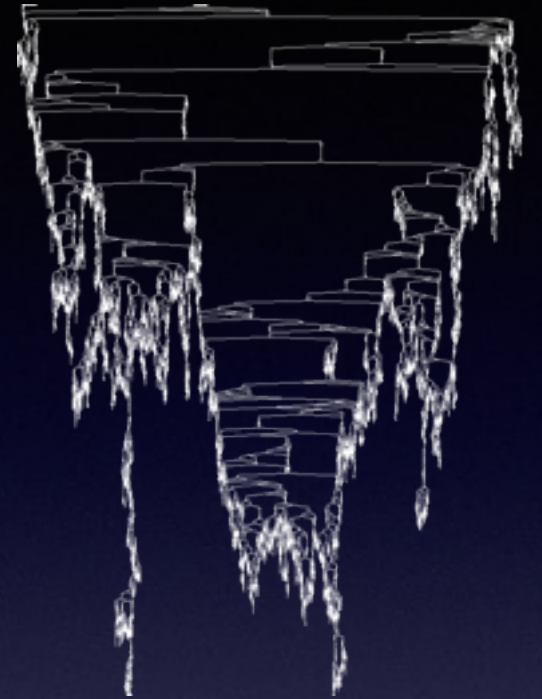
*Language: $1, \mathcal{L}, +, \times, SEQ,$
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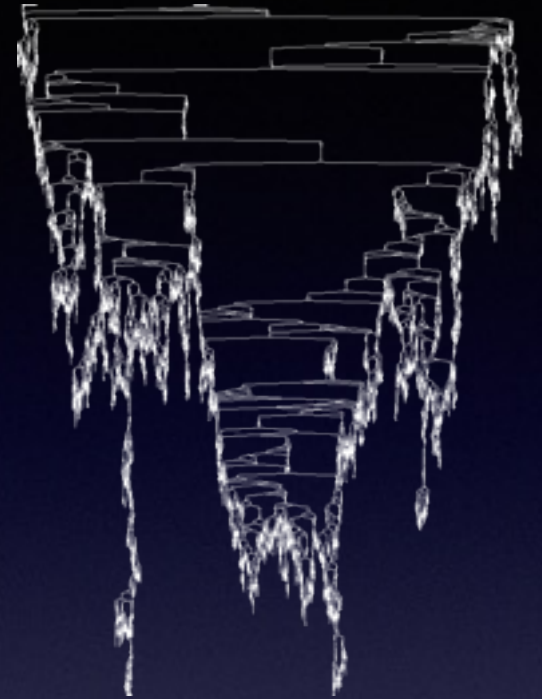
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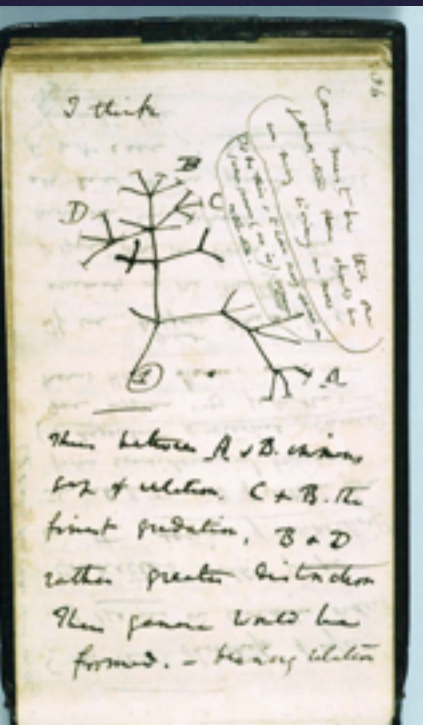
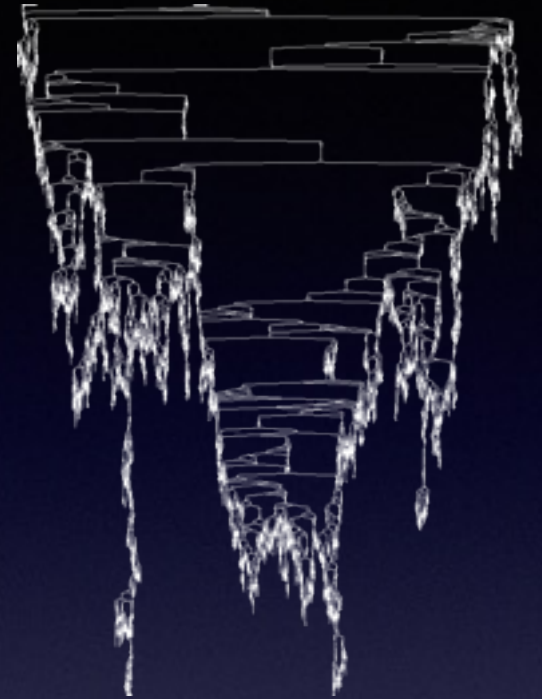
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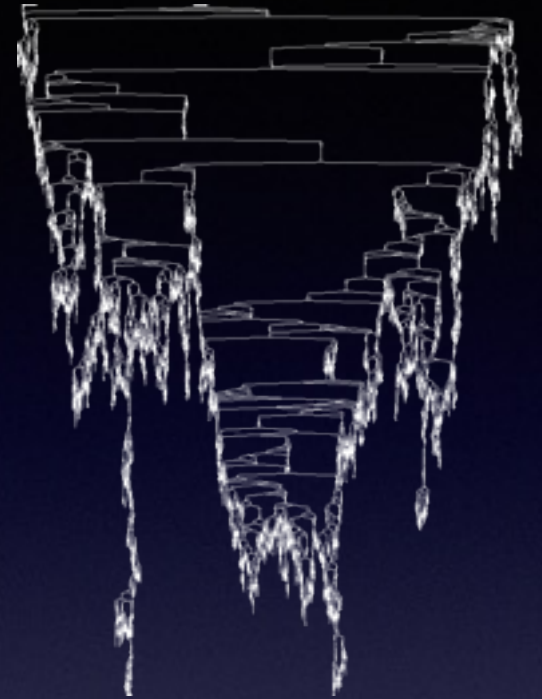
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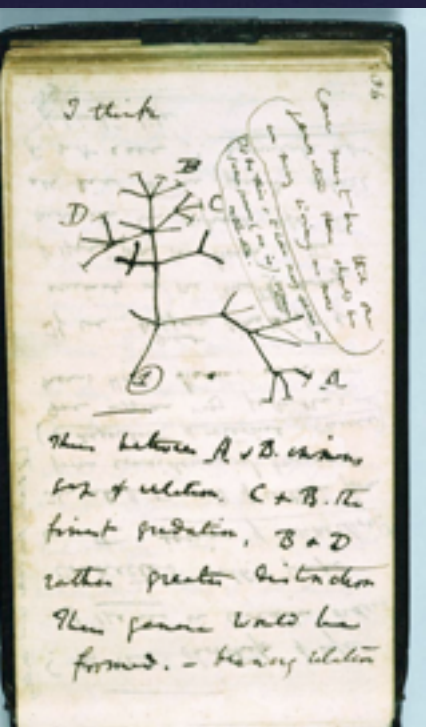


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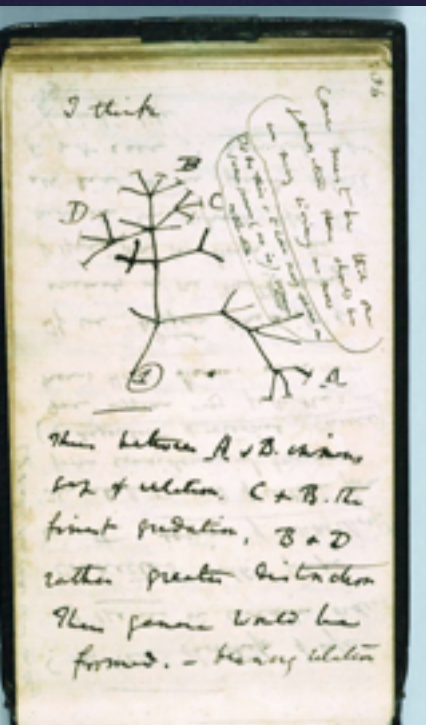
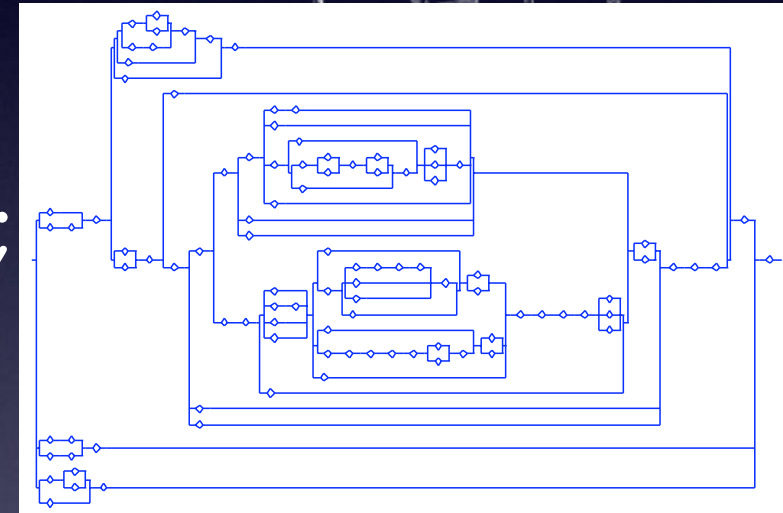
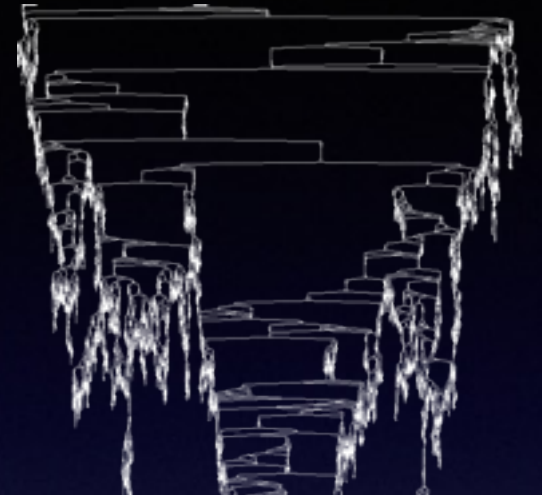
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- Functional graphs: $\mathcal{F} = SET(CYC(\mathcal{T}(\mathcal{L})))$;



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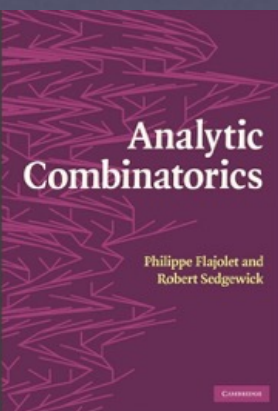
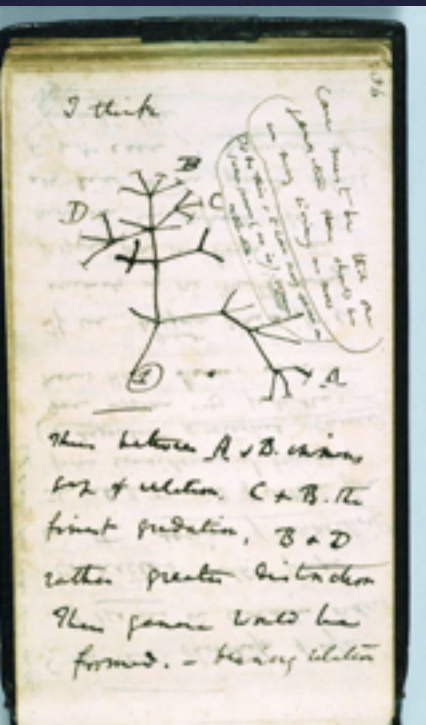
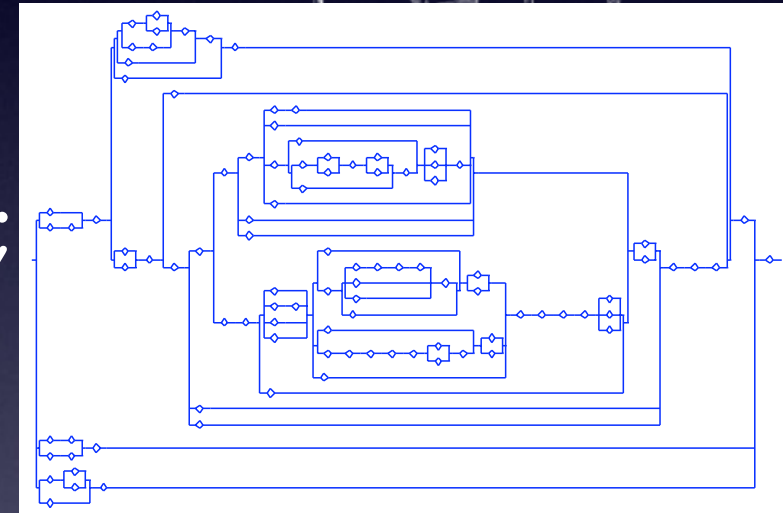
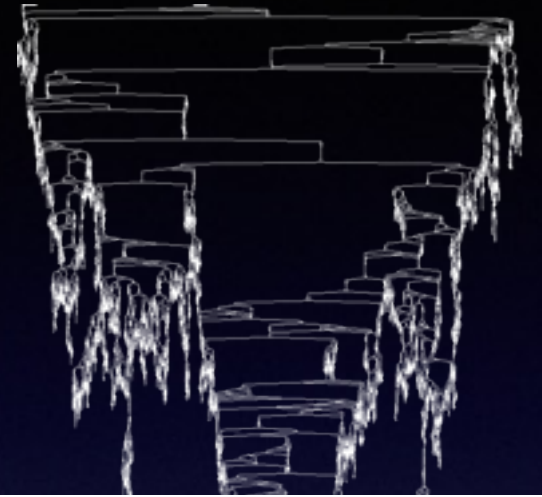
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- Series-parallel graphs:
 $\mathcal{G} = \mathcal{L} + \mathcal{S} + \mathcal{P}, \mathcal{S} = SEQ_{>0}(\mathcal{L} + \mathcal{P}), \mathcal{P} = SET_{>0}(\mathcal{L} + \mathcal{S})$;



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- ...hundreds of examples in “the purple book”.



Two kinds of Generating Functions

$$\text{Inv}\{\{1, 2, 3\}\} = \left\{ \begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \\ \text{Diagram 3} \\ \text{Diagram 4} \end{array} \right\} \quad 4 \text{ involutions;} \\ 3 \text{ of them permuted by } \mathfrak{S}_3 \rightarrow 2 \text{ unlabelled structures.}$$

Exponential generating function:

$$F(z) = \sum_{n=0}^{\infty} f_n \frac{z^n}{n!}, \quad f_n = \text{nb. labelled structs of size } n. \quad \text{Inv}_3(z) = \frac{2}{3}z^3$$

Ordinary generating function:

$$\tilde{F}(z) = \sum_{n=0}^{\infty} \tilde{f}_n z^n, \quad \tilde{f}_n = \text{nb. unlabelled of size } n. \quad \widetilde{\text{Inv}}_3(z) = 2z^3$$

A Dictionary for Generating Functions

Language: $1, \mathcal{L}, +, \times, SEQ, SET, CYC$ and recursion.

Structure	EGF	OGF
$\mathcal{A} + \mathcal{B}$	$A(z) + B(z)$	$\tilde{A}(z) + \tilde{B}(z)$
$\mathcal{A} \times \mathcal{B}$	$A(z) \times B(z)$	$\tilde{A}(z) \times \tilde{B}(z)$
$Seq(\mathcal{A})$	$\frac{1}{1 - A(z)}$	$\frac{1}{1 - \tilde{A}(z)}$
$Set(\mathcal{A})$	$\exp(A(z))$	$\exp\left(\sum \tilde{A}(z^i)/i\right)$
$Cyc(\mathcal{A})$	$\log \frac{1}{1 - A(z)}$	$\sum \frac{\phi(i)}{i} \log \frac{1}{1 - \tilde{A}(z^i)}$

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Regular and context-free languages.

Examples

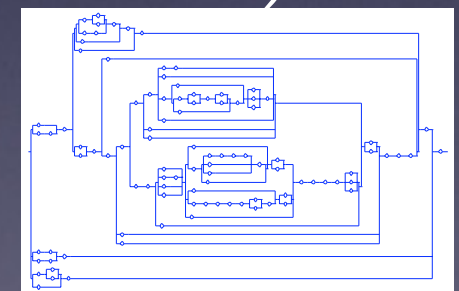
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$$\longrightarrow B(z) = z + zB(z)^2 = \tilde{B}(z)$$

Cayley trees: $\mathcal{T} = \mathcal{Z} \times \text{SET}(\mathcal{T})$

$$\longrightarrow T(z) = z \exp(T(z));$$

$$\longrightarrow \tilde{T}(z) = z \exp\left(\tilde{T}(z) + \frac{1}{2}\tilde{T}(z^2) + \frac{1}{3}\tilde{T}(z^3) + \dots\right)$$



Series-parallel graphs:

$$\mathcal{G} = \mathcal{Z} + \mathcal{S} + \mathcal{P}, \mathcal{S} = \text{SEQ}_{>0}(\mathcal{Z} + \mathcal{P}), \mathcal{P} = \text{SET}_{>0}(\mathcal{Z} + \mathcal{S})$$

$$\longrightarrow \left\{ G(z) = z + S(z) + P(z), S(z) = \frac{1}{1 - z - P(z)} - 1, P(z) = e^{z+S(z)} - 1 \right\}_{9/26}$$

Examples

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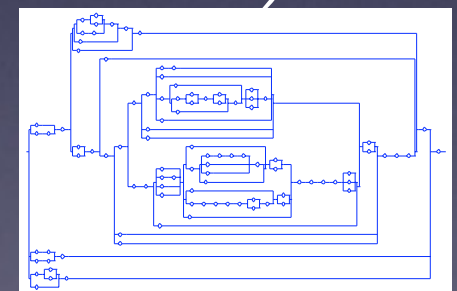
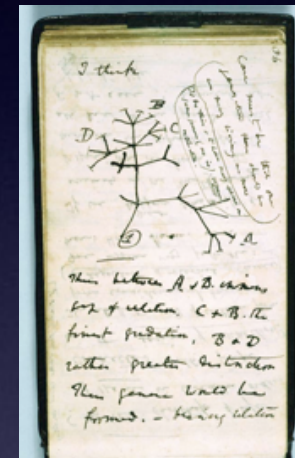
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II. Mini-introduction to complex analysis

Basic Definitions and Properties

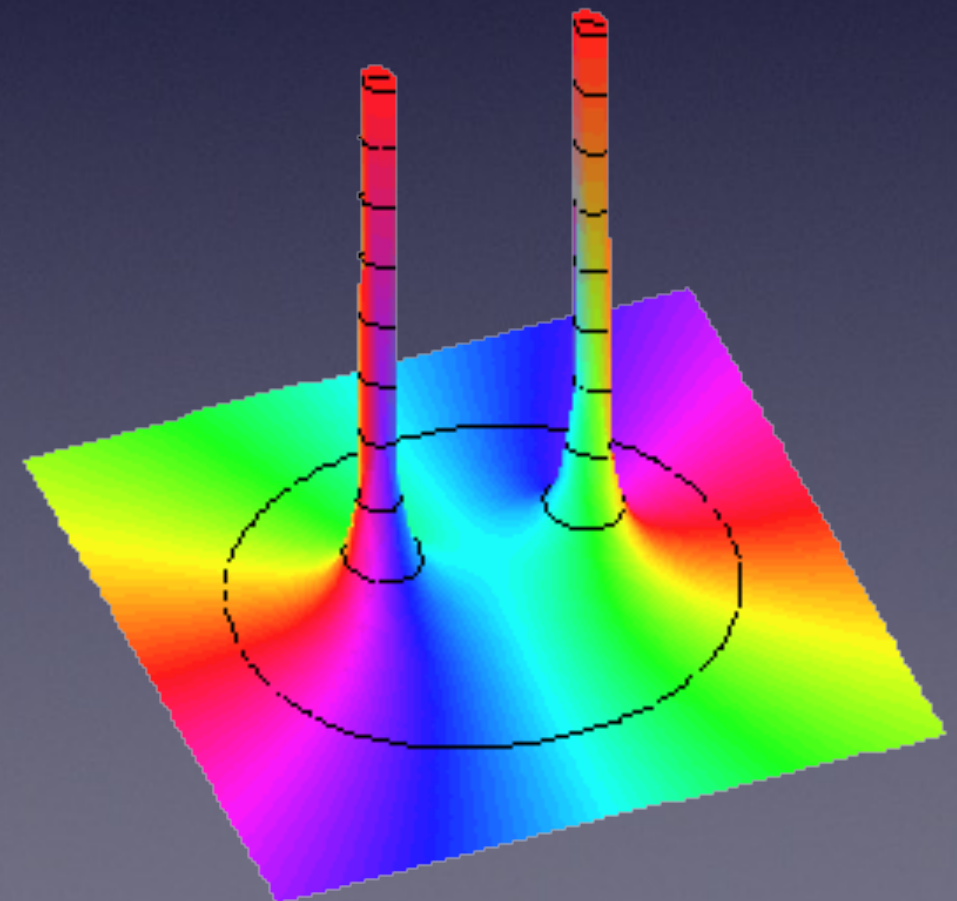
- **Def.** $f: D \subset \mathbb{C} \rightarrow \mathbb{C}$ is *analytic at a* if it is the sum of a power series in a disk around a .
- **Prop.** f, g analytic at a , then so are $f+g, fxg, f'$.
- g analytic at a , f analytic at $g(a)$, then $f \circ g$ analytic at a .
- Same def and prop in *several variables*.

Examples

f	analytic at 0?	why
polynomial	Yes	
$\exp(x)$	Yes	$1 + x + x^2/2! + \dots$
$\frac{1}{1-x}$	Yes	$1 + x + x^2 + \dots$ ($ x < 1$)
$\log \frac{1}{1-x}$	Yes	$x + x^2/2 + x^3/3 \dots$ ($ x < 1$)
$\frac{1 - \sqrt{1-4x}}{2x}$	Yes	$1 + \dots + \frac{1}{k+1} \binom{2k}{k} x^k + \dots$ ($ x < 1/4$);
$\frac{1}{x}$	No	infinite at 0
$\log x$	No	derivative not analytic at 0
\sqrt{x}	No	derivative infinite at 0

Analytic Continuation and Singularities

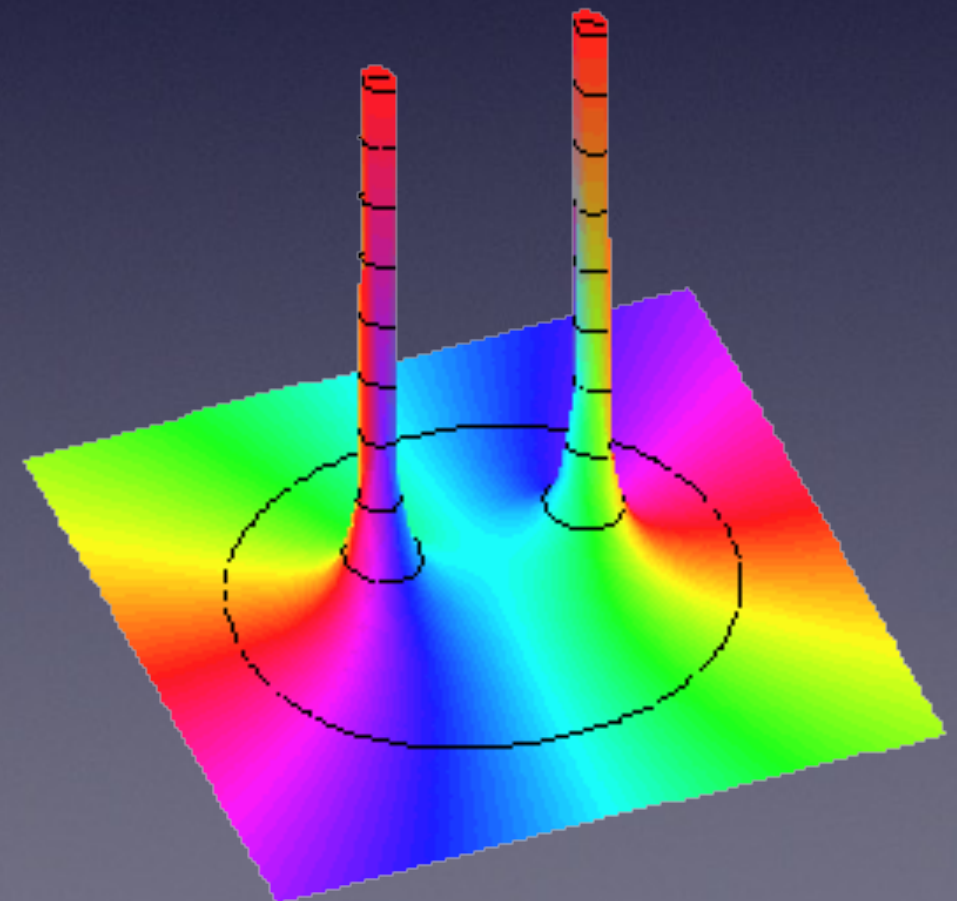
- **Def.** *Analytic* in a region (= connected, open, $\neq \emptyset$): at each point.
- **Prop.** f analytic in $R \subset S$. There is at most one analytic function in S equal to f on R (the analytic *continuation* of f to S .)



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More Defs.

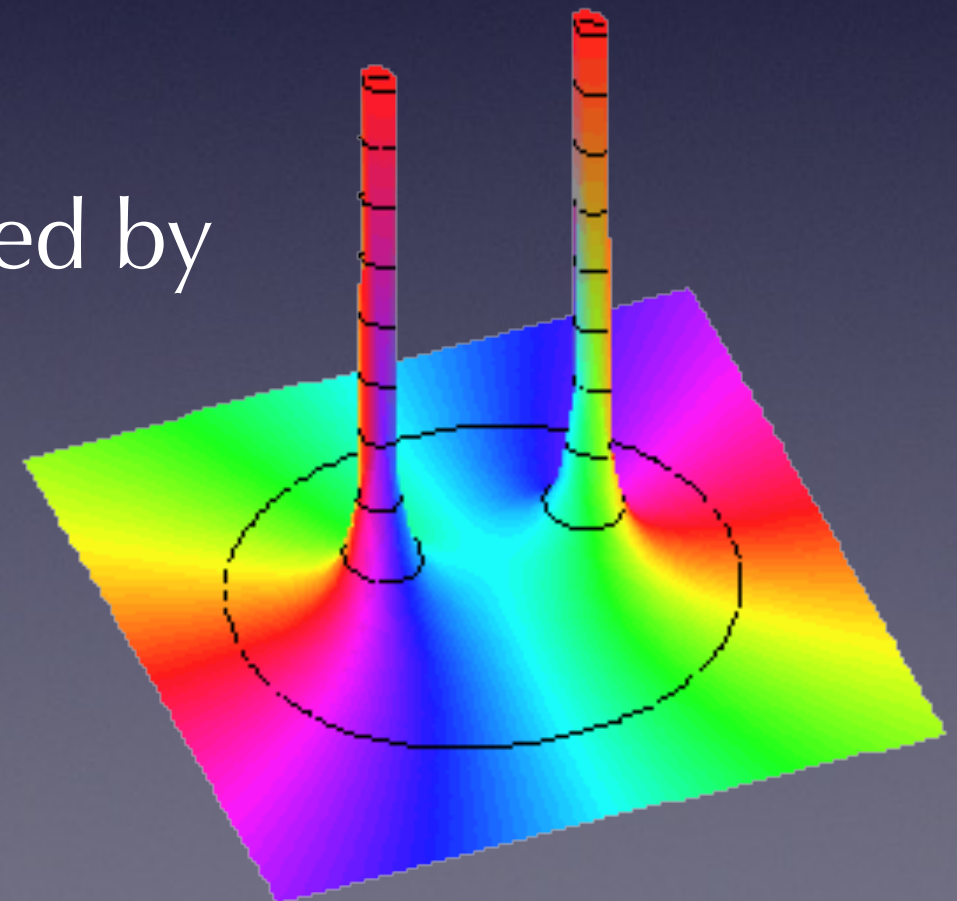


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Singularity: a point that cannot be reached by analytic continuation;



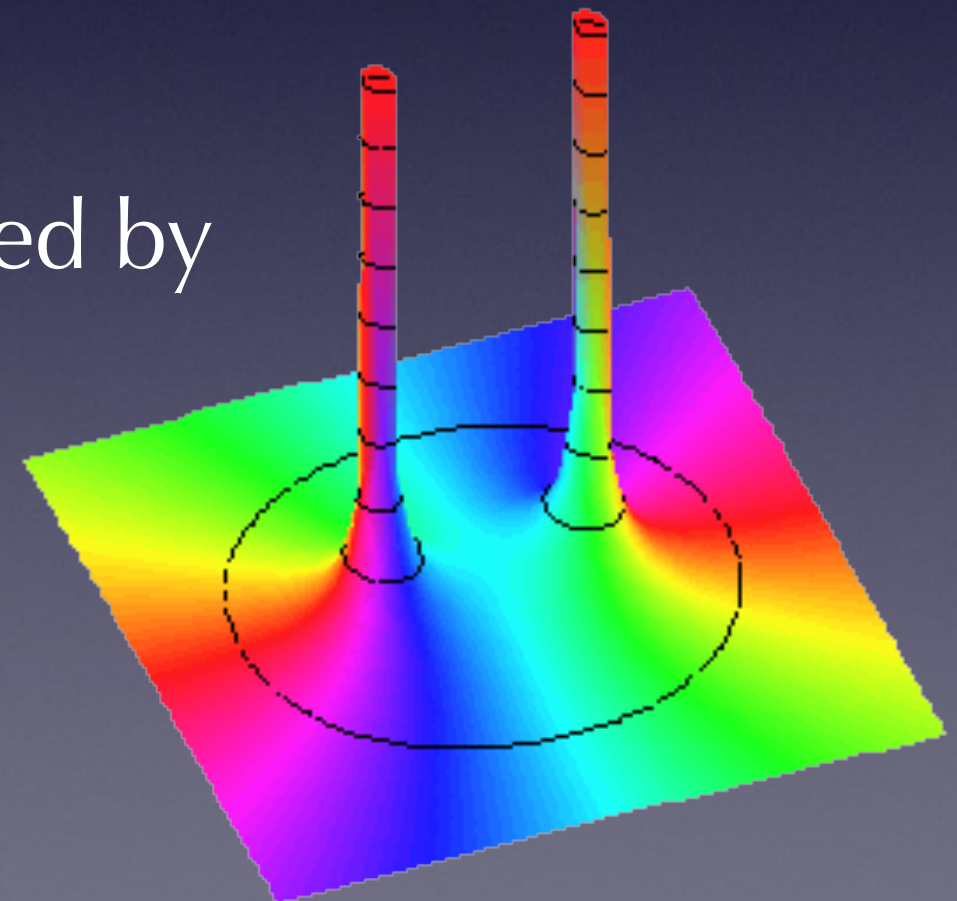
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Analytic Continuation and Singularities

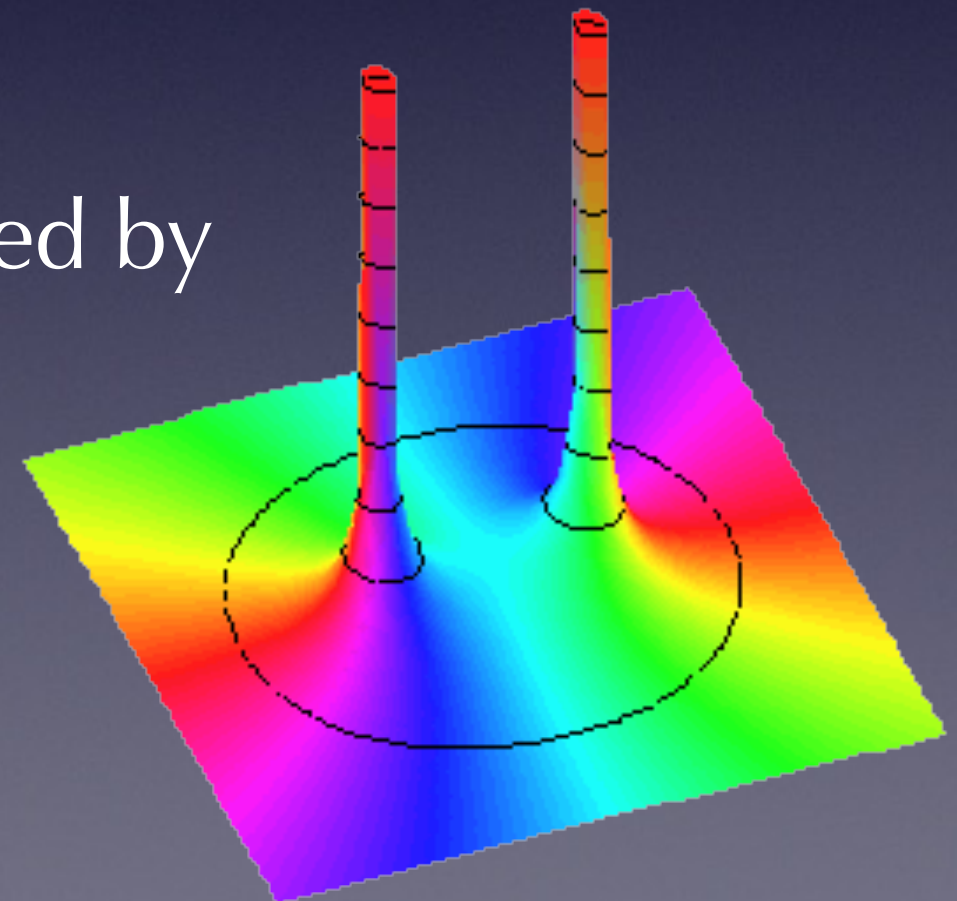
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Residue at a pole a : coeff of $(z-a)^{-1}$;



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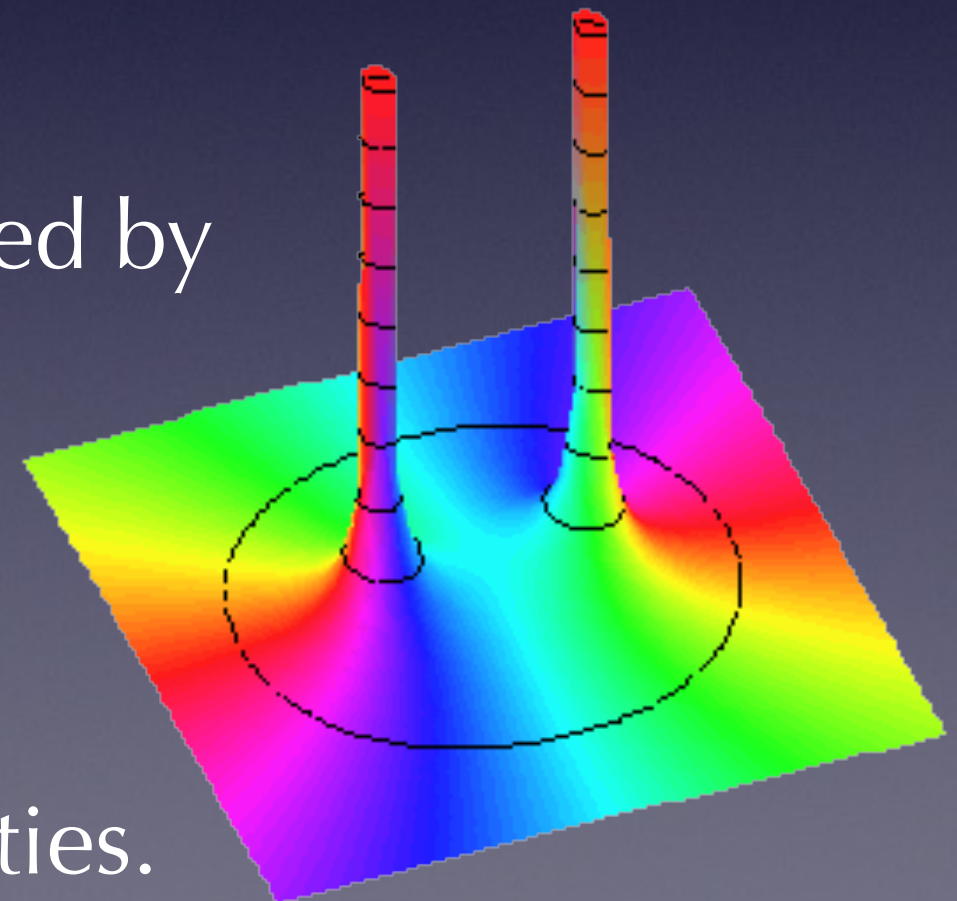
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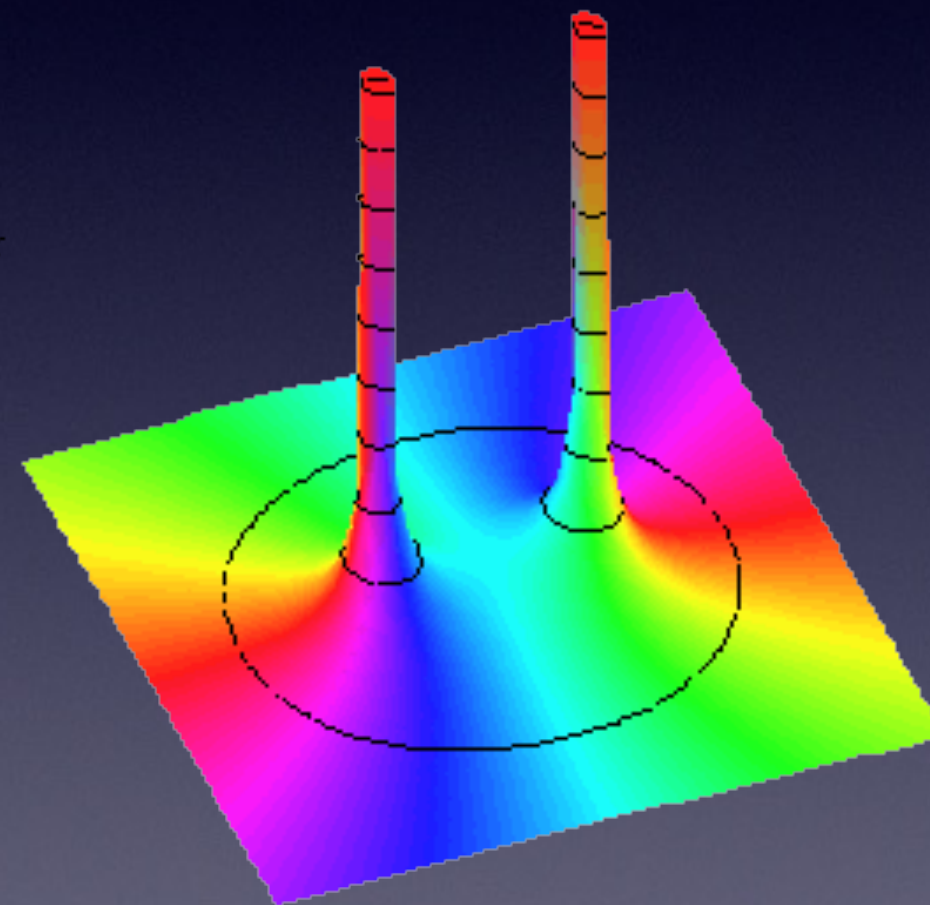
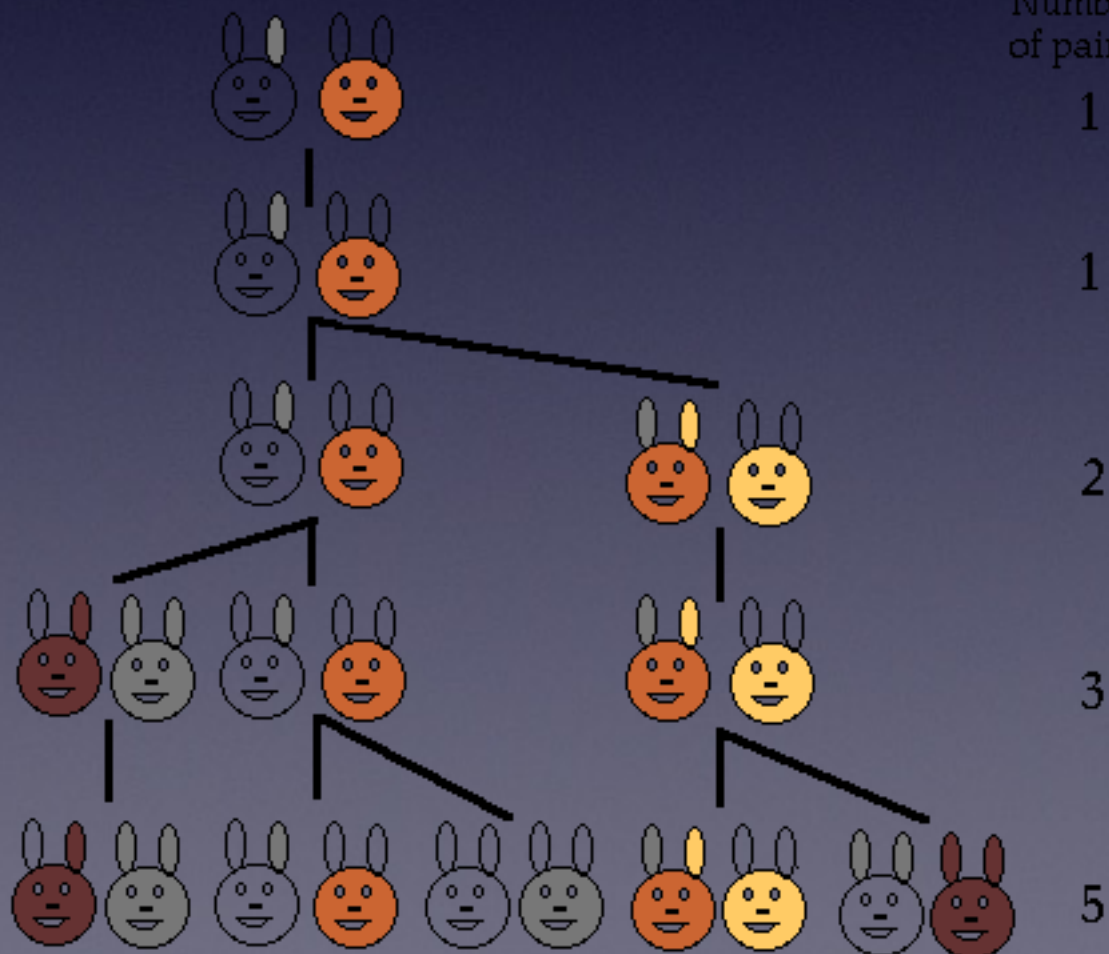
Residue at a pole a : coeff of $(z-a)^{-1}$;

f **meromorphic** in R : only polar singularities.



Example

Fibonacci numbers: $\frac{1}{1-z-z^2} = 1 + z + 2z^2 + 3z^3 + 5z^4 + \dots$



$$F_n = c\phi^n + c'\phi^{-n}$$

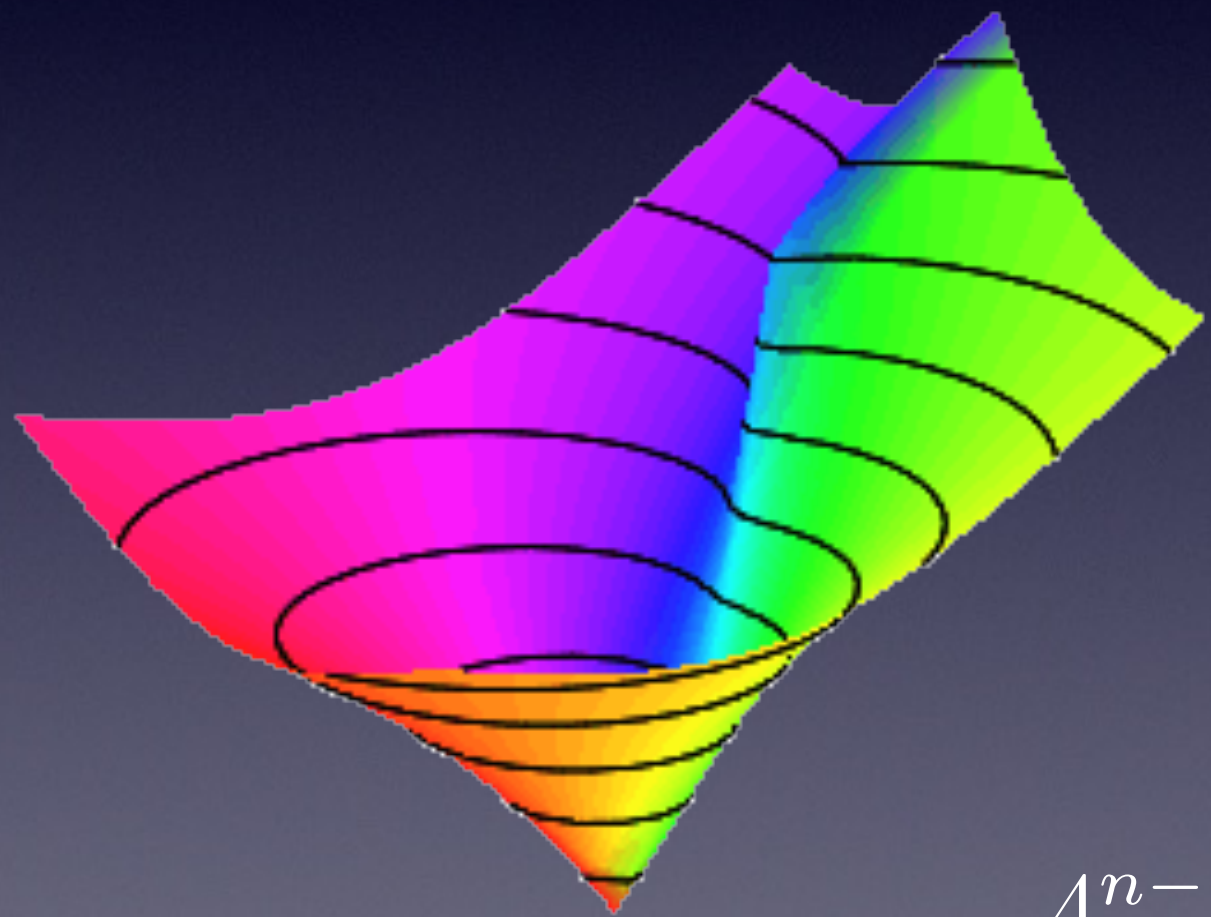
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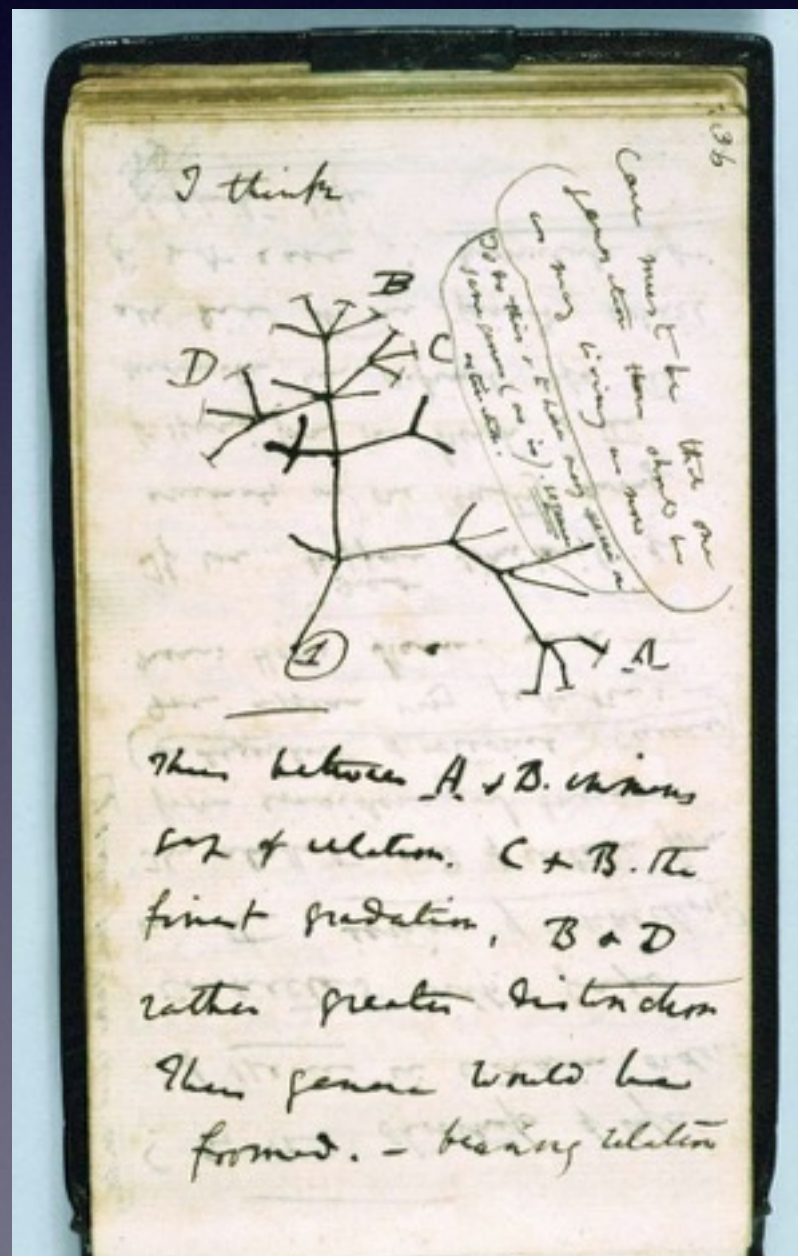
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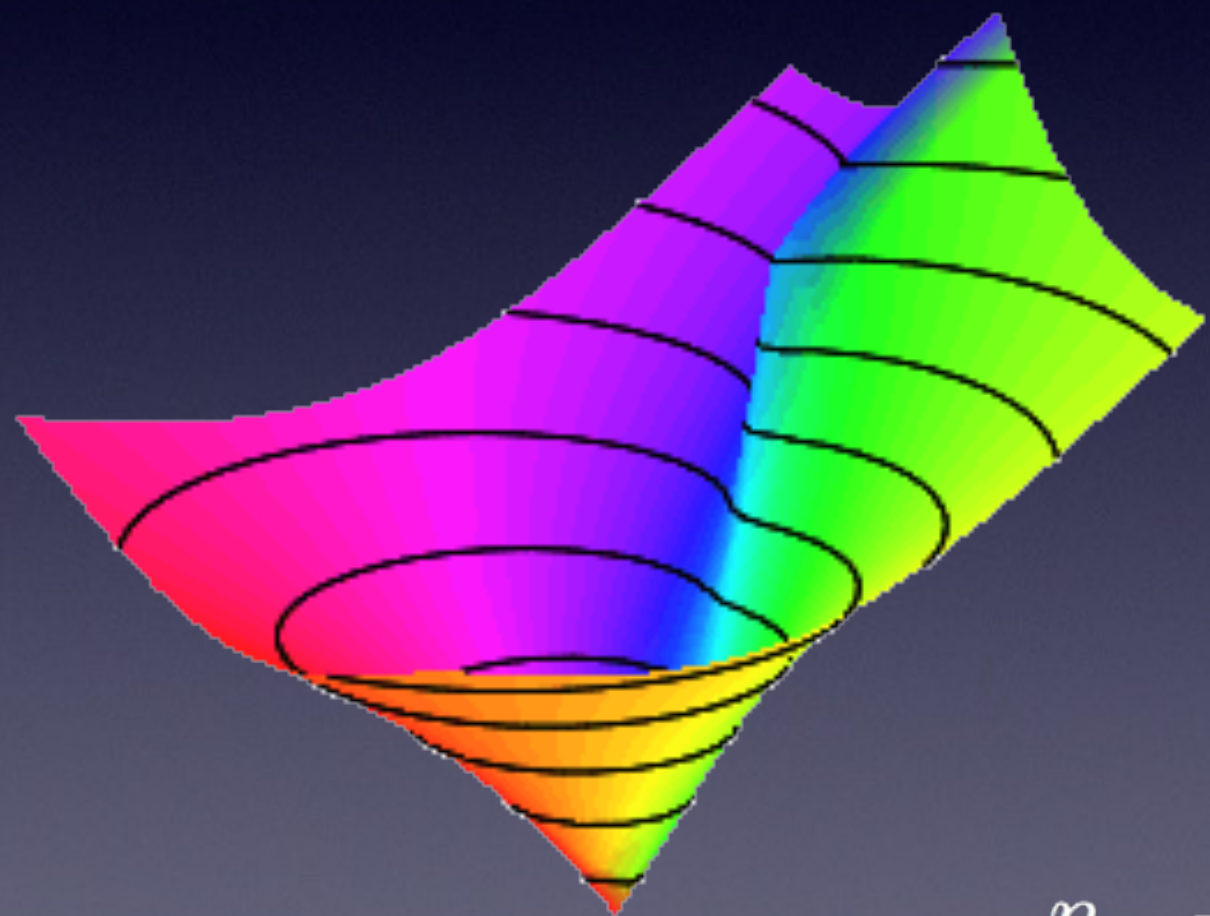
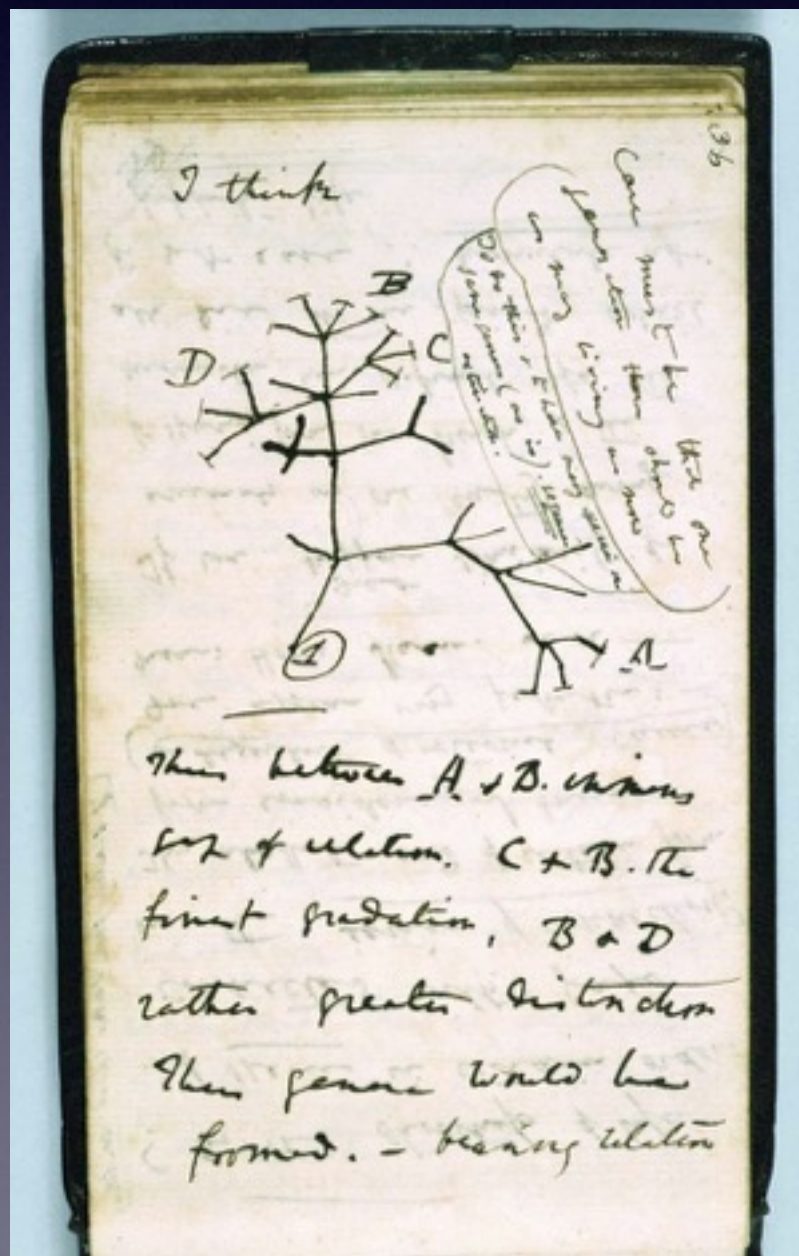
Cayley trees: $T(z) = z \exp(T(z)) = z + 2\frac{z^2}{2!} + 9\frac{z^3}{3!} + 64\frac{z^4}{4!} + \dots$



$$T_n \sim \frac{e^n n^{-3/2}}{\sqrt{2\pi}}$$

Examples

Cayley trees: $T(z) = z \exp(T(z)) = z + 2\frac{z^2}{2!} + 9\frac{z^3}{3!} + 64\frac{z^4}{4!} + \dots$

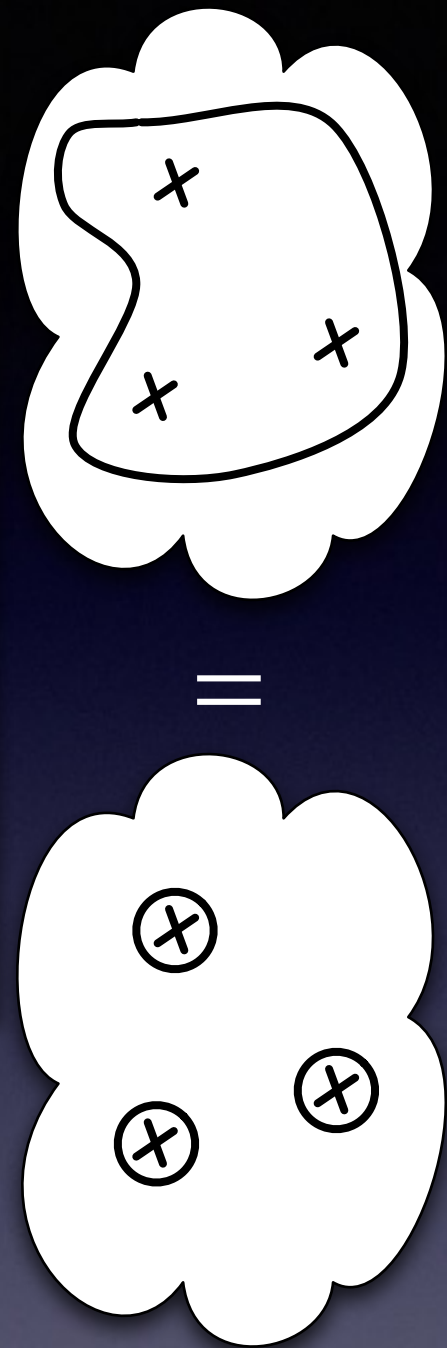


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Integration

Prop. f meromorphic in a region R , γ a closed path in \mathbb{C} encircling the poles a_1, \dots, a_m of f once in the positive sense. Then

$$\int_{\gamma} f(z) dz = 2\pi i \sum_j \text{Res}(f; a_j).$$



Integration

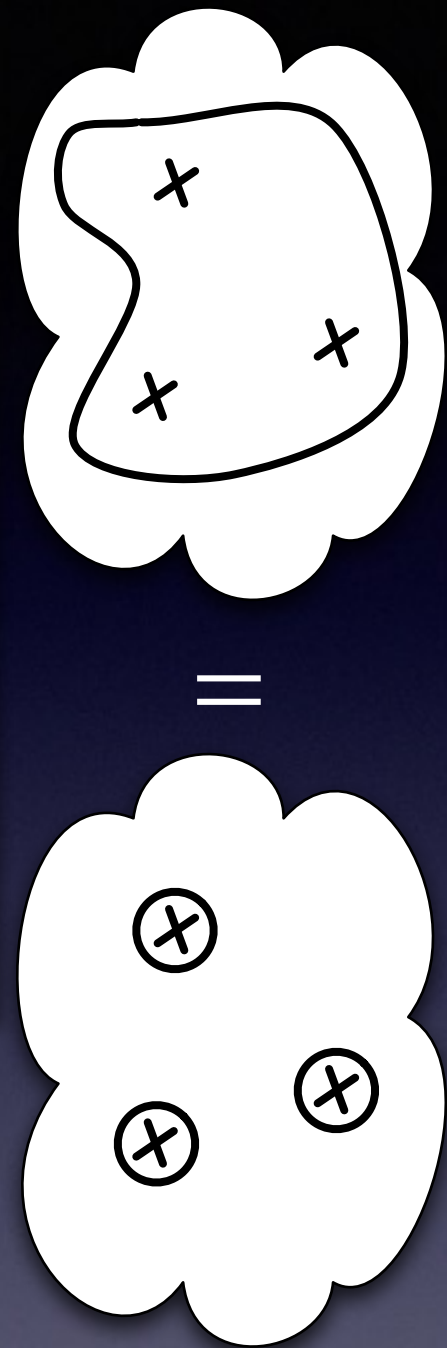
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$$\int_{\gamma} f(z) dz = 2\pi i \sum_j \text{Res}(f; a_j).$$

Cor. If $f = a_0 + a_1 z + \dots$ is analytic in $R \ni 0$, then

$$a_n = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{z^{n+1}} dz$$

for any closed γ encircling 0 once in the positive sense.



III. From Generating Functions to Asymptotic Behaviour

Philosophy:

Smallest singularity \longleftrightarrow exponential behaviour

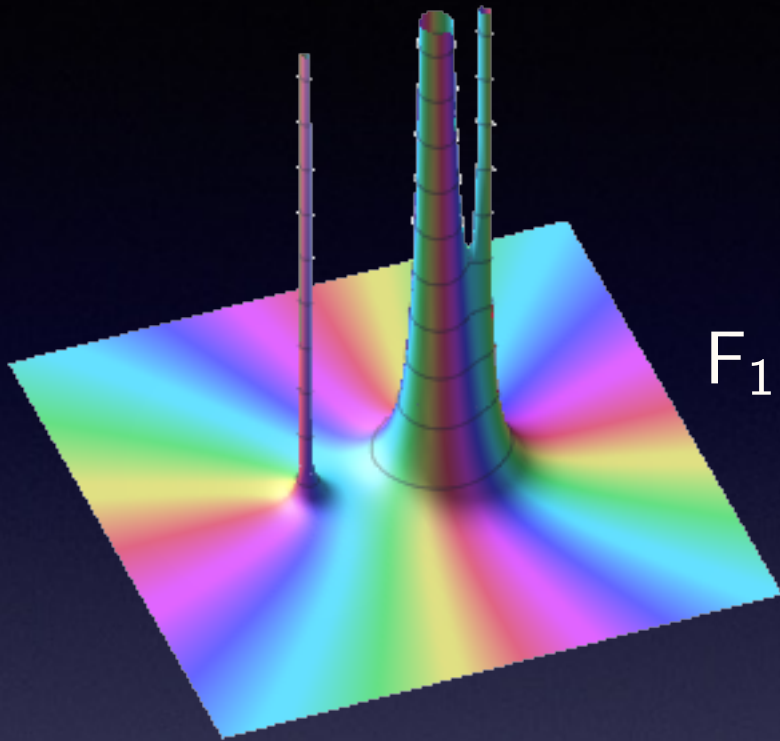
local behaviour \longleftrightarrow subexponential terms

3 families cover most applications

Coefficients of Rational Functions

$$a_n = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{z^{n+1}} dz$$

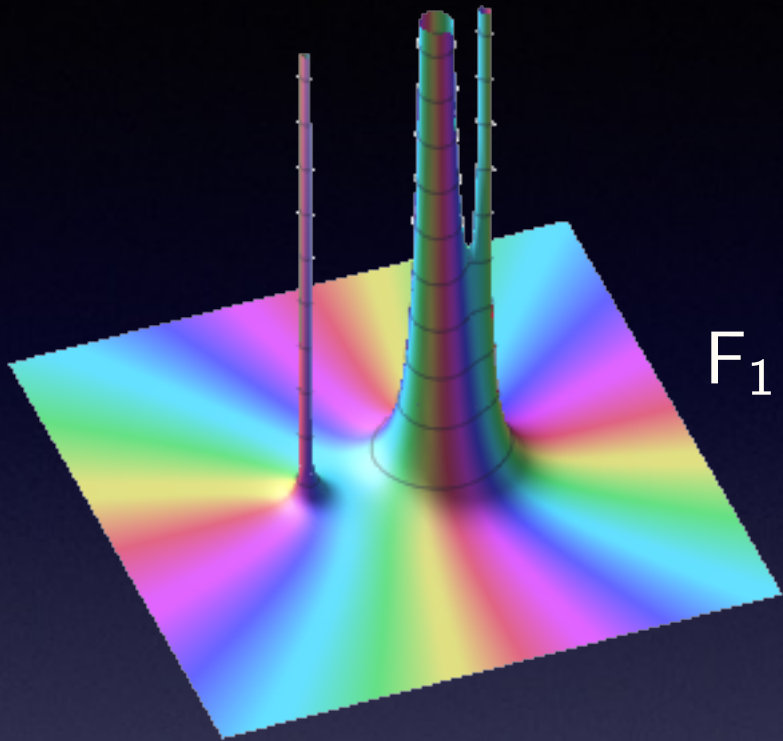
$$F_1 = 1 = \frac{1}{2\pi i} \oint \frac{1}{1-z-z^2} \frac{dz}{z^2}$$



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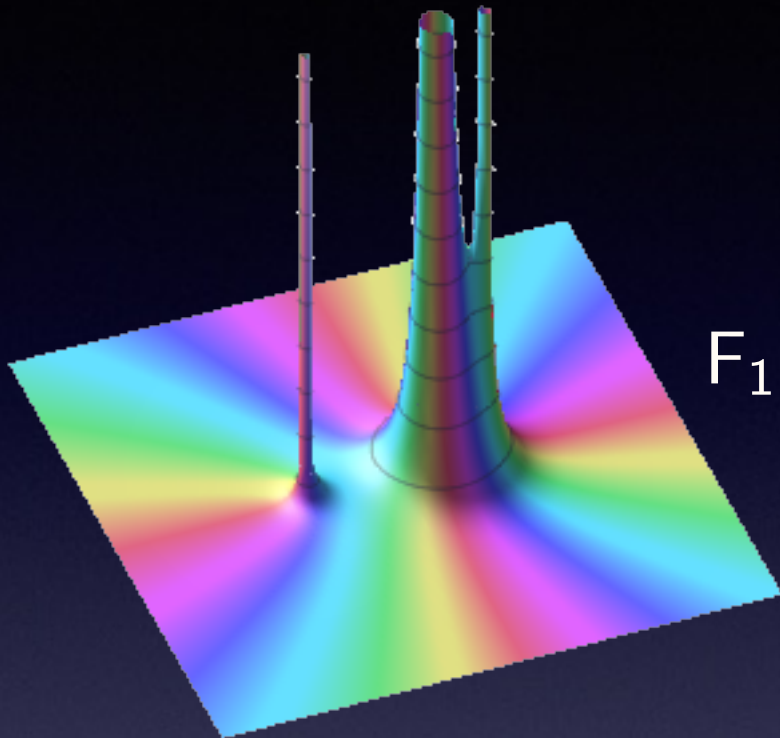
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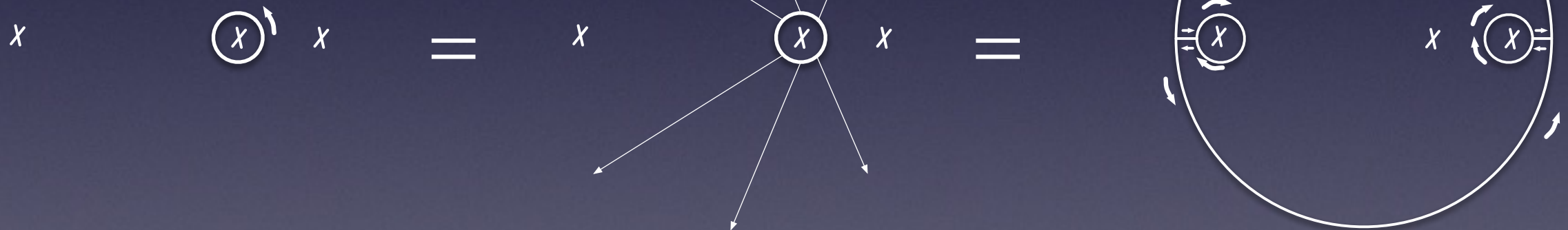
As n increases, the smallest singularities dominate.

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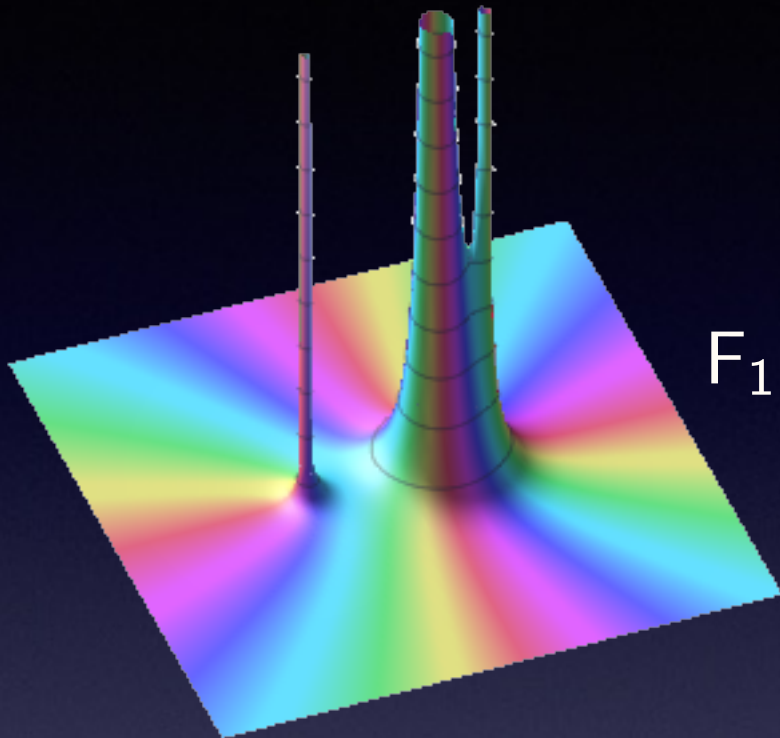
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As n increases, the smallest singularities dominate.

$$F_n = \frac{\phi^{-n-1}}{1+2\phi} + \frac{\bar{\phi}^{-n-1}}{1+2\bar{\phi}}$$

Conway's sequence

Conway's sequence

1,11,21,1211,111221,...

Conway's sequence

1,11,21,1211,111221,....

Generating function for lengths:

$$f(z)=P(z)/Q(z)$$

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with $\deg Q = 72$.

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Smallest singularity:

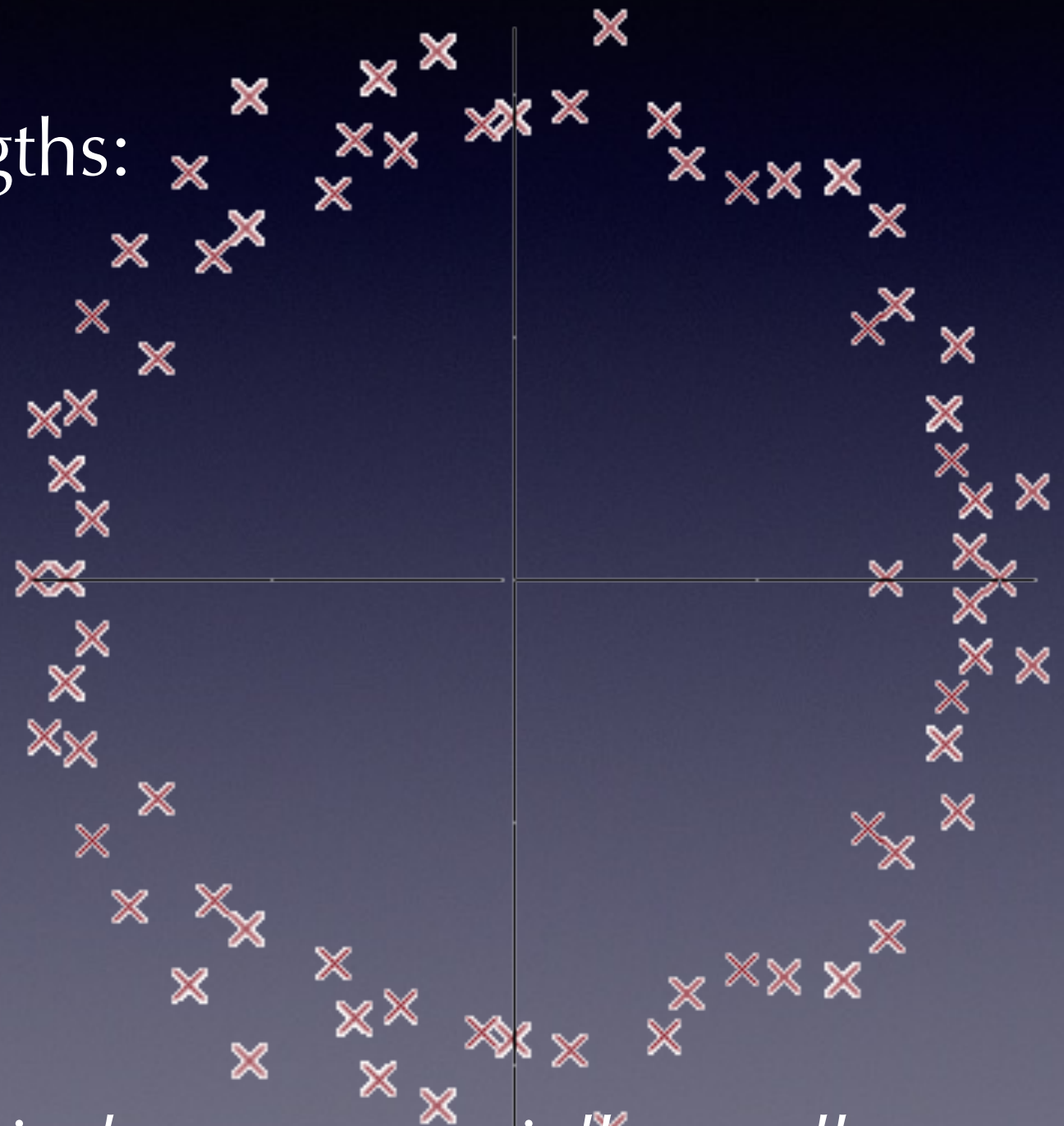
$$\delta(f) \approx 0.7671198507$$

$$\rho = 1/\delta(f) \approx 1.30357727$$

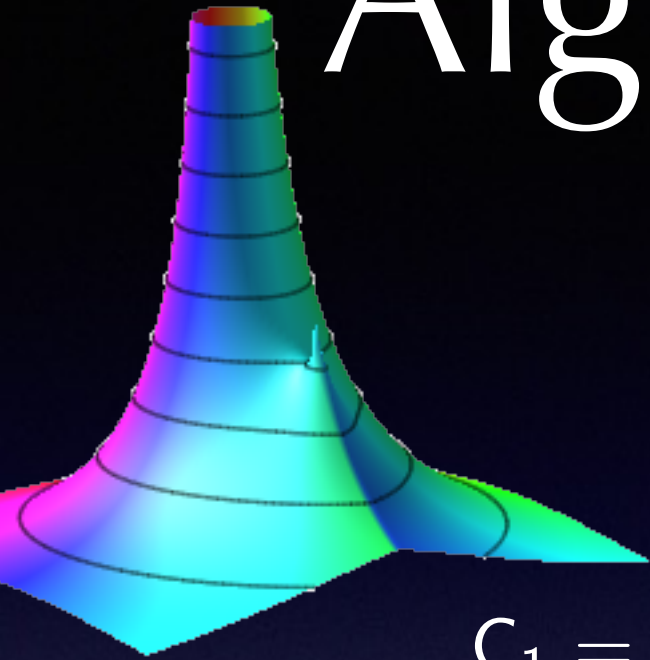
$$l_n \approx 2.04216 \rho^n$$

$\rho \operatorname{Res}(f, \delta(f))$

remainder exponentially small



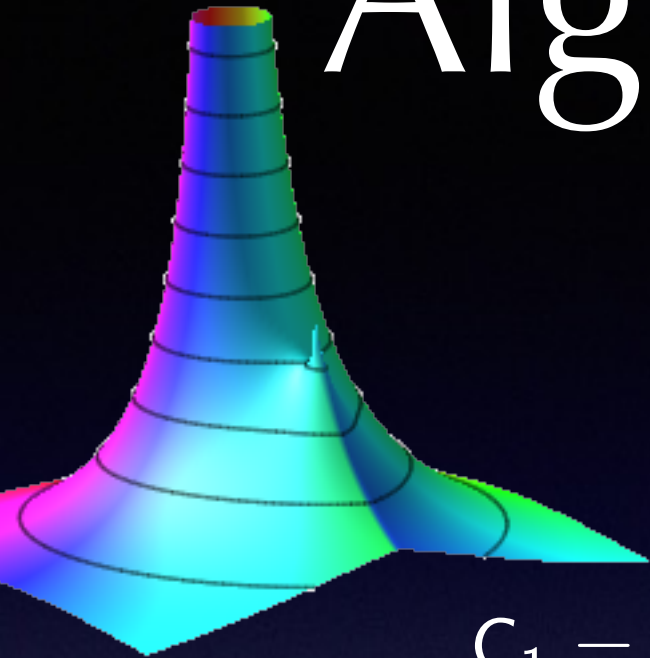
Algebraic Singularities



$$a_n = \frac{1}{2\pi i} \int_{\gamma} \frac{f(z)}{z^{n+1}} dz$$

$$C_1 = 1 = \frac{1}{2\pi i} \oint \frac{1 - \sqrt{1 - 4z}}{2} \frac{dz}{z^2}$$

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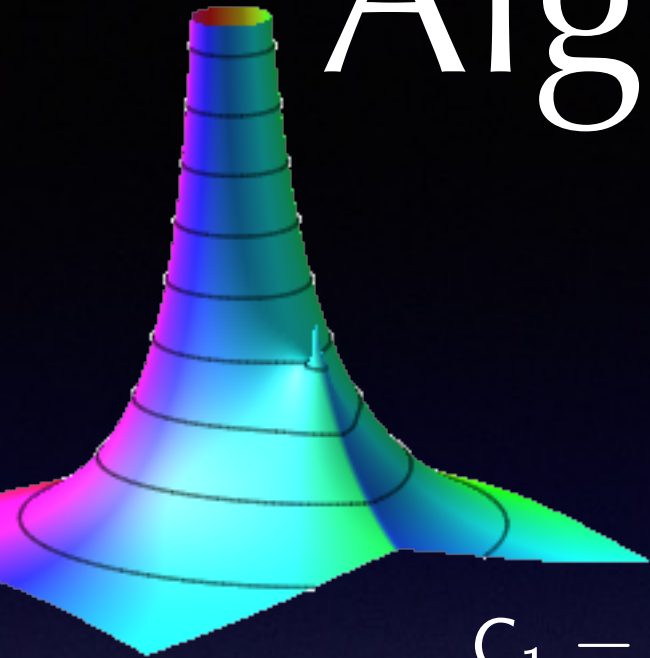
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Algebraic Singularities

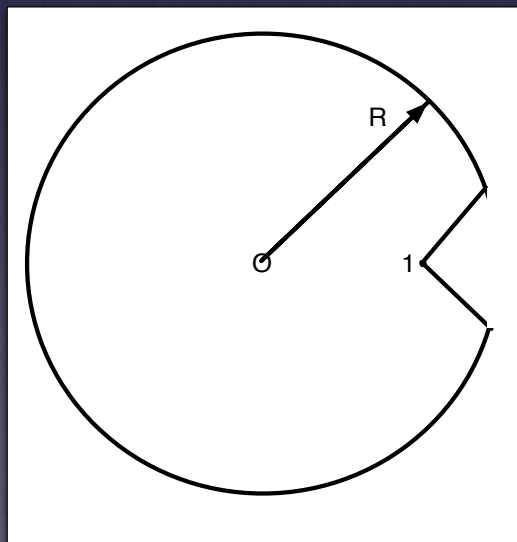


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$\Delta(\varphi, R)$

Thm. [Flajolet-Odlyzko]
 If f is analytic in $\Delta(\varphi, R)$ and $f = O((1-z)^a)$ when $z \rightarrow 1$, then $[z^n]f = O(n^{-a-1})$ when $n \rightarrow \infty$.



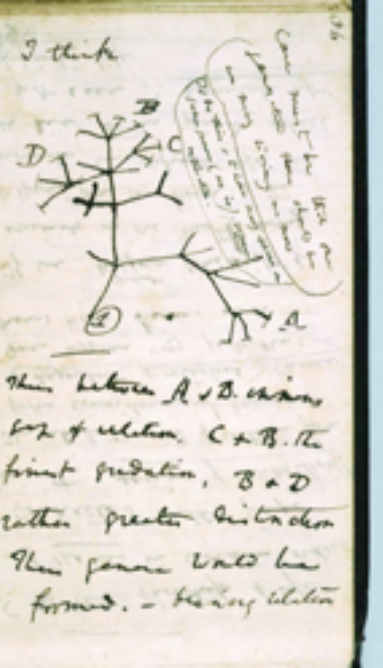
Method: expand, translate termwise, truncate.

Example

Cayley trees

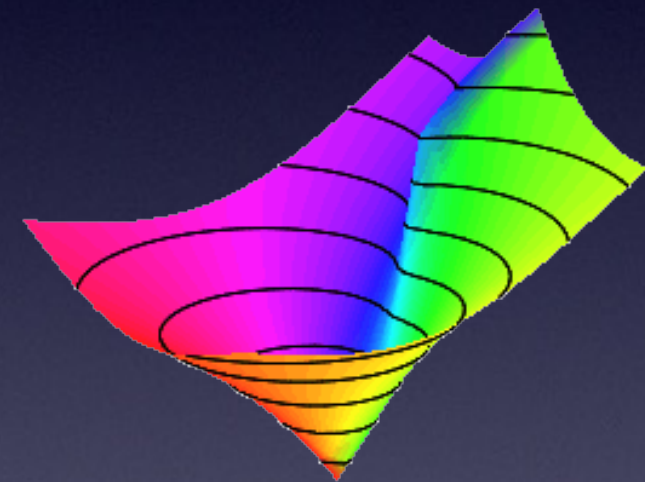
$$y - z \exp(y) = 0$$

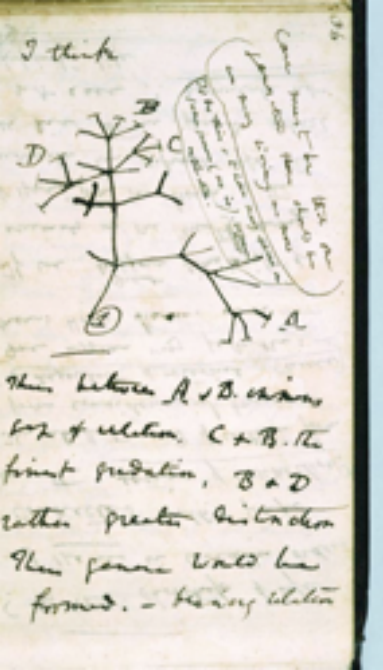
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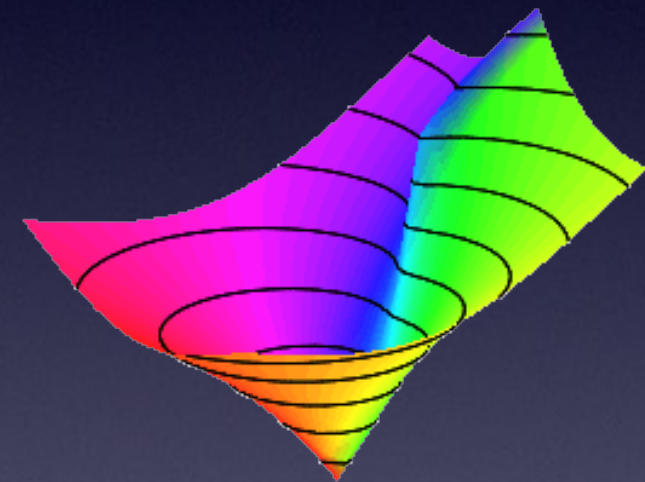


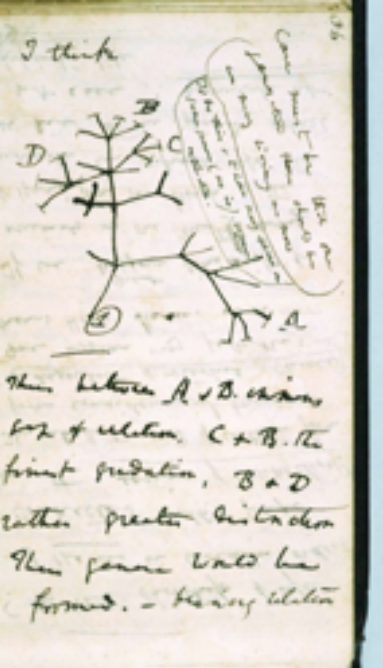
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Obstruction to Implicit Function Theorem: $1 - z \exp(y) = 0$





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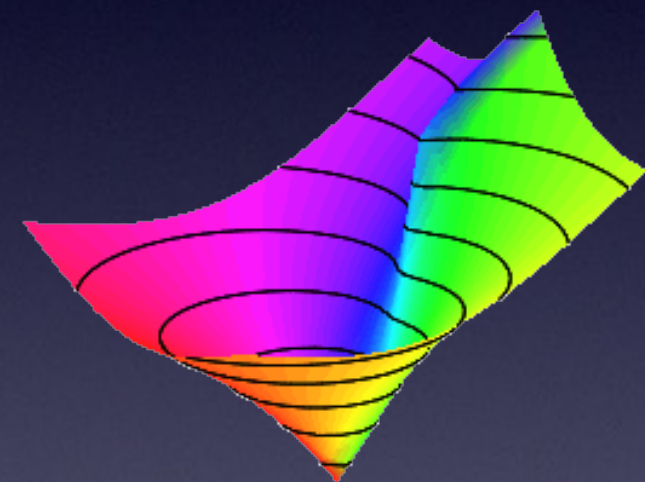
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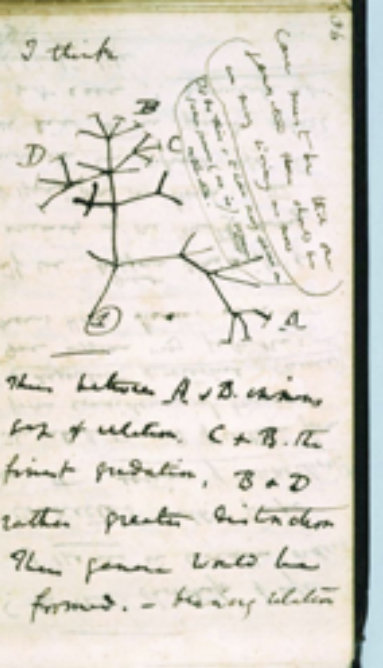
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Consequences:

Singularity at $y=1, z=\exp(-1)$;





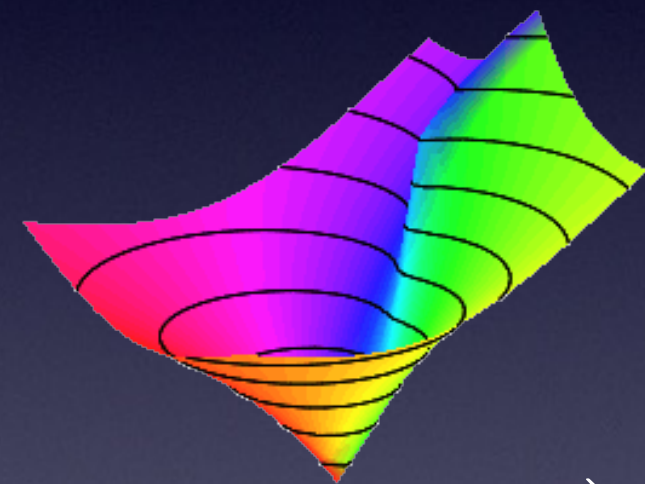
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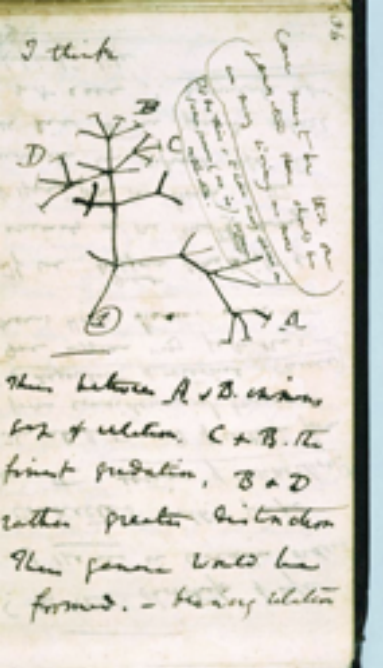


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Local behaviour by inverting

$$z = y \exp(-y) = e^{-1} \left(1 - \frac{1}{2}(y - 1)^2 + O((y - 1)^3) \right)$$

$$\Rightarrow y = 1 - \sqrt{2} \sqrt{1 - ez} + \frac{2}{3}(1 - ez) + O((1 - ez)^{3/2})$$



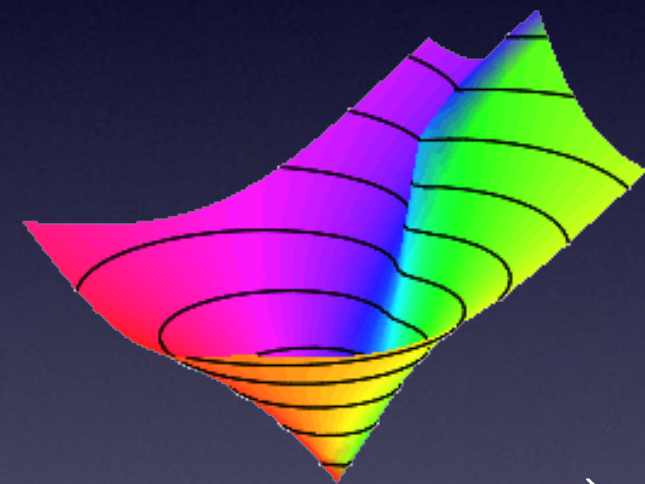
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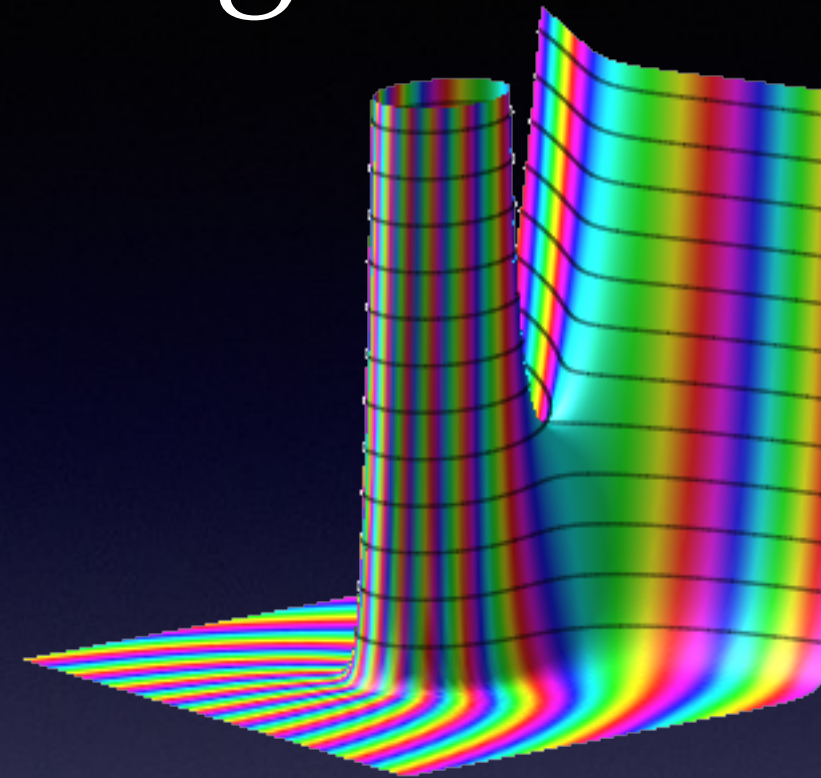
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Coefficients: $\frac{T_n}{n!} = \frac{e^n}{\sqrt{2\pi n^{-3/2}}} (1 + O(1/n)).$

Functions with fast singular growth

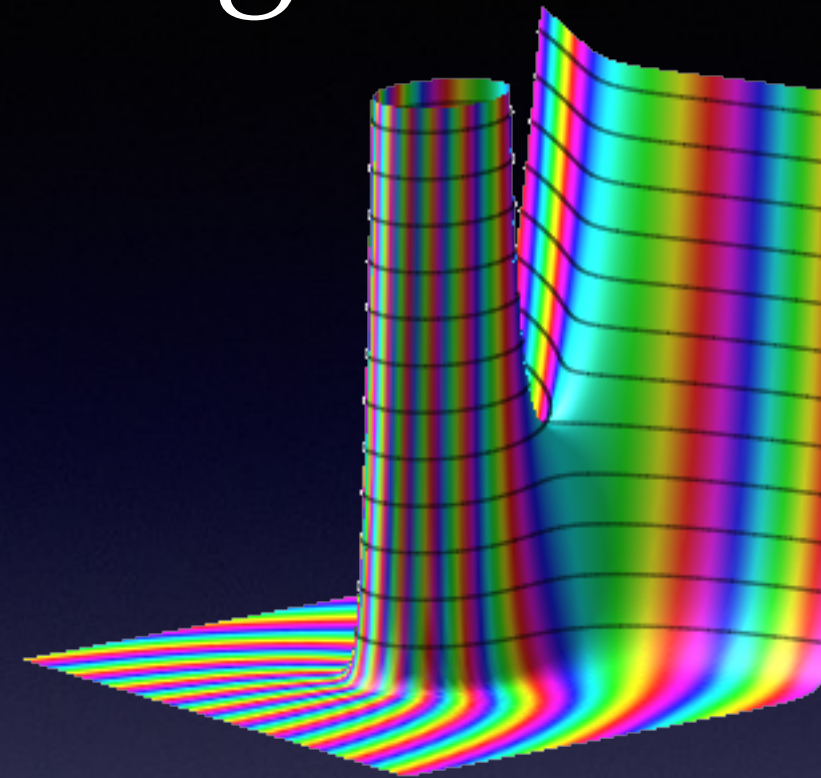
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Saddle-point equation: $R_n \frac{f'}{f}(R_n) = n + 1$

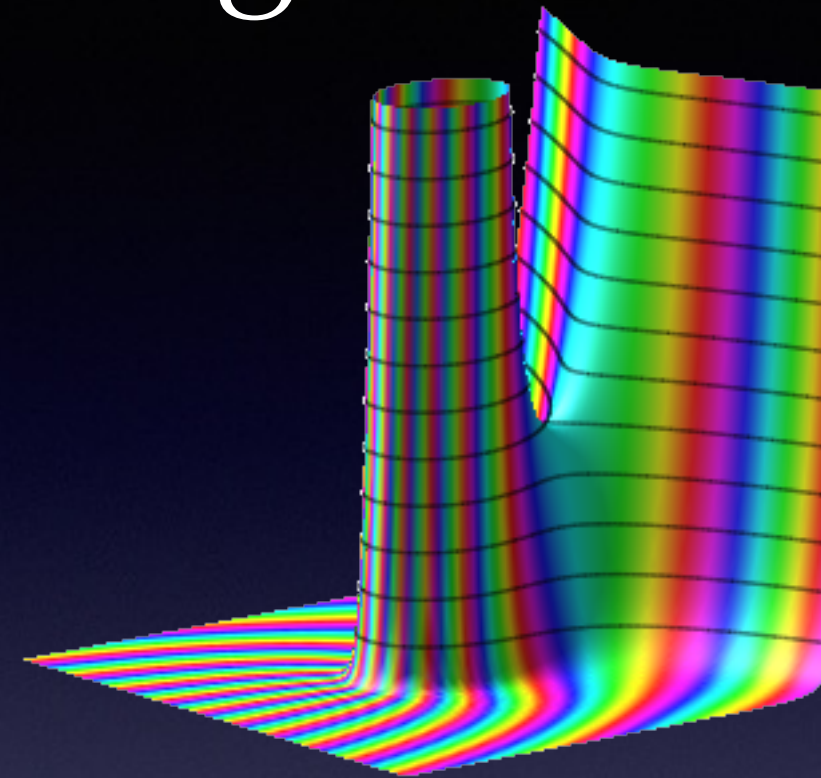


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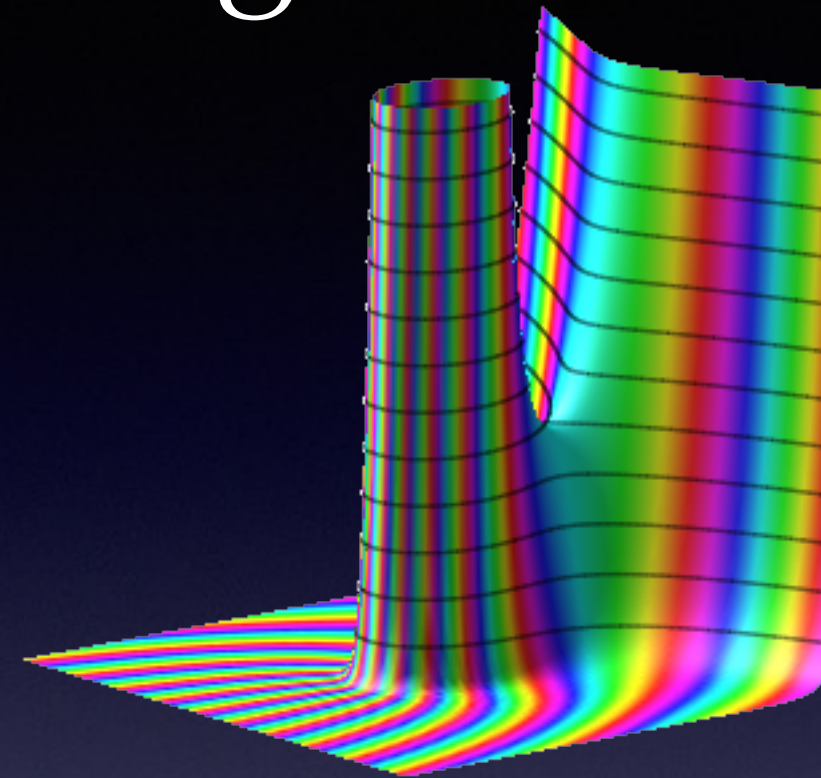
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Approximate by a Gaussian integral:

$$a_n \sim \frac{1}{2} \frac{f(R_n)}{R_n^{n+1} \sqrt{2\pi c_n}}$$



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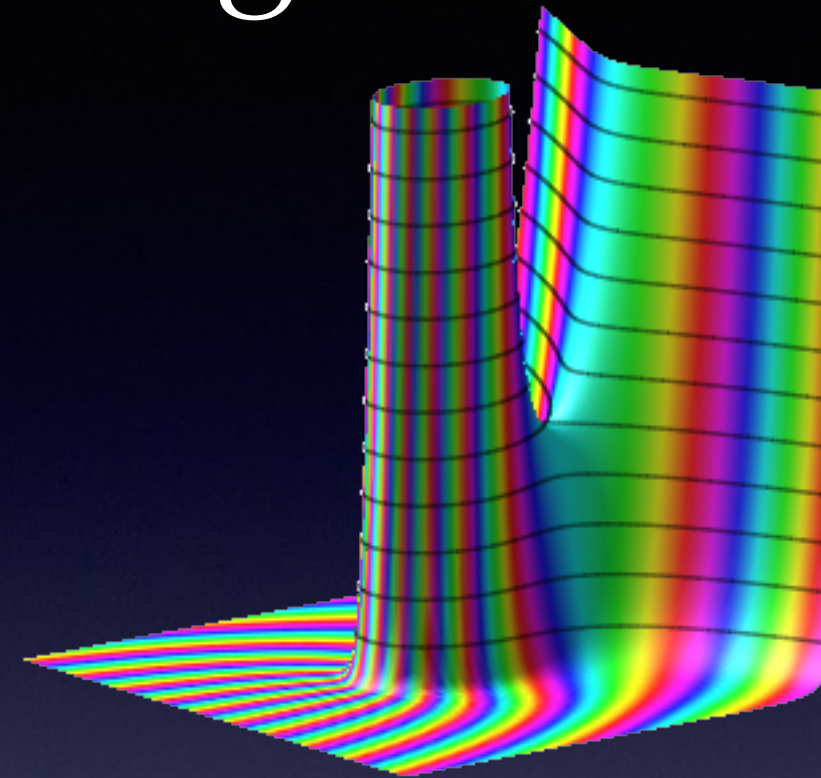
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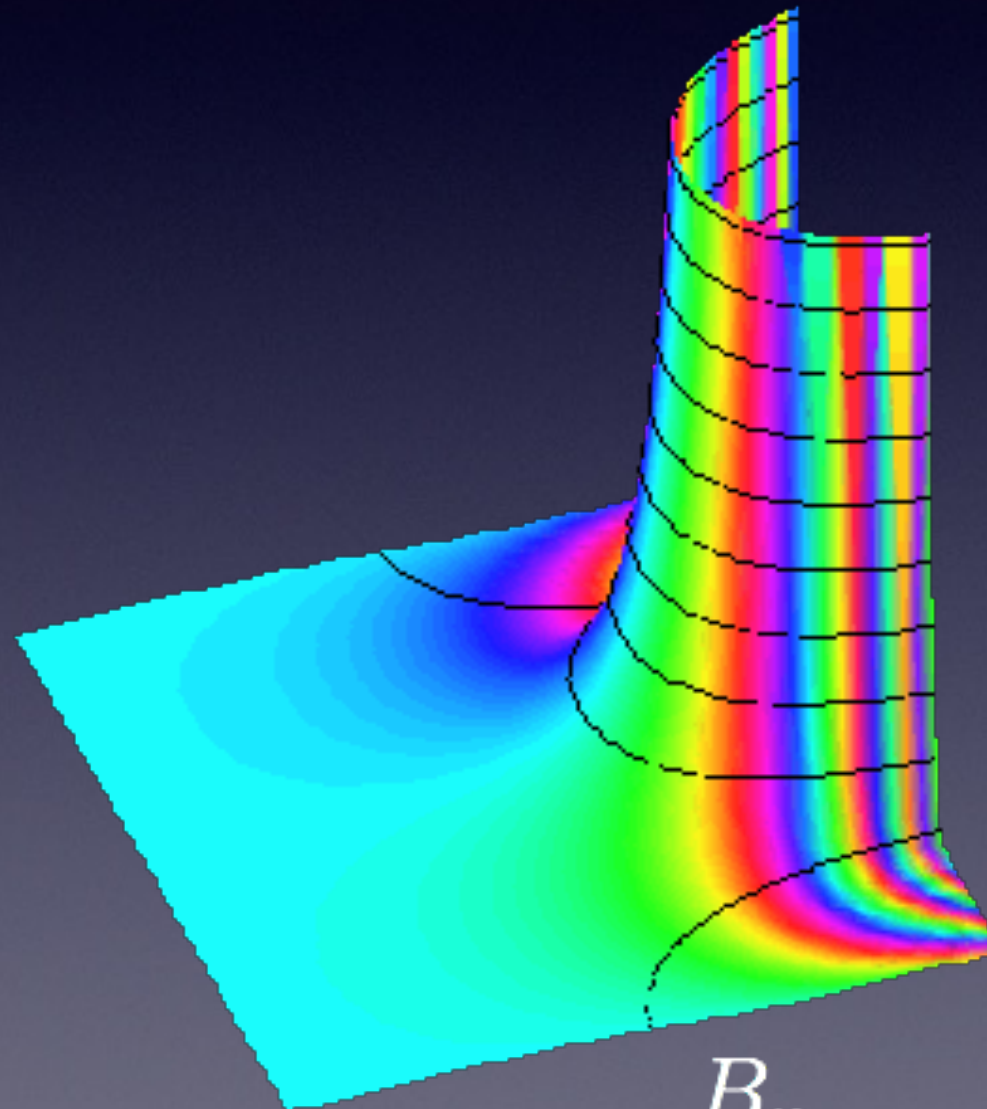
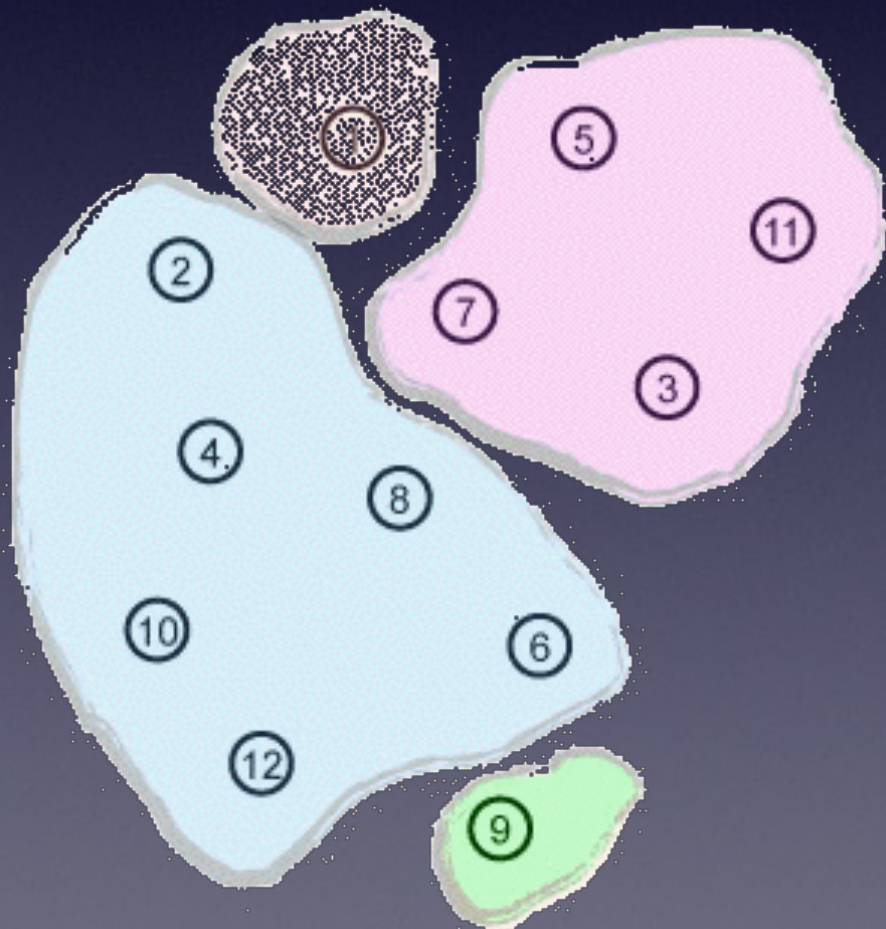
$$a_n \sim \frac{1}{2} \frac{f(R_n)}{R_n^{n+1} \sqrt{2\pi c_n}}$$

Exercise: Stirling's formula ($f=\exp$).



Other Example

Set partitions: $\exp(e^z - 1) = 1 + 1\frac{z}{1!} + 2\frac{z^2}{2!} + 5\frac{z^3}{3!} + 15\frac{z^4}{4!} + \dots$



$$\log \frac{B_n}{n!} \sim -n \log \log n$$

These 3 cases cover
most applications

Demo?

Concluding Remarks

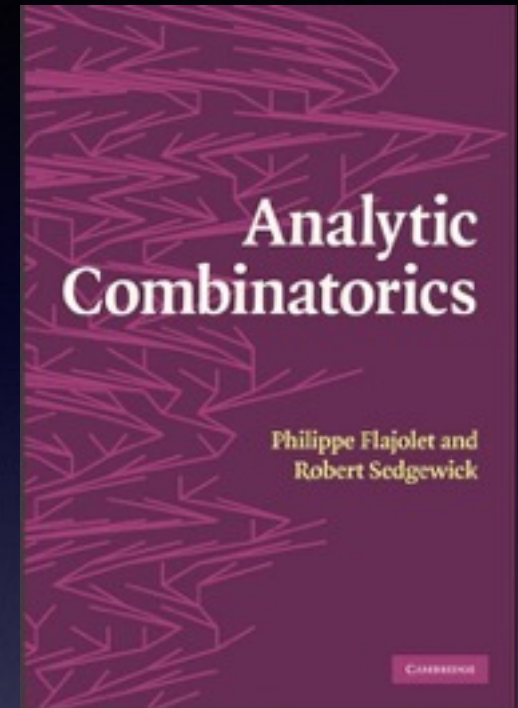
More is possible:

Full asymptotic expansions;
Limiting distributions;
Fast enumeration;
Random generation.

Concluding Remarks

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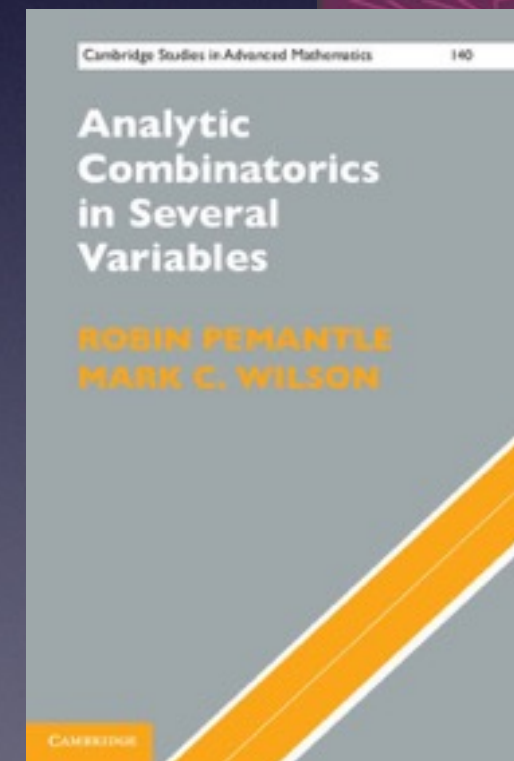
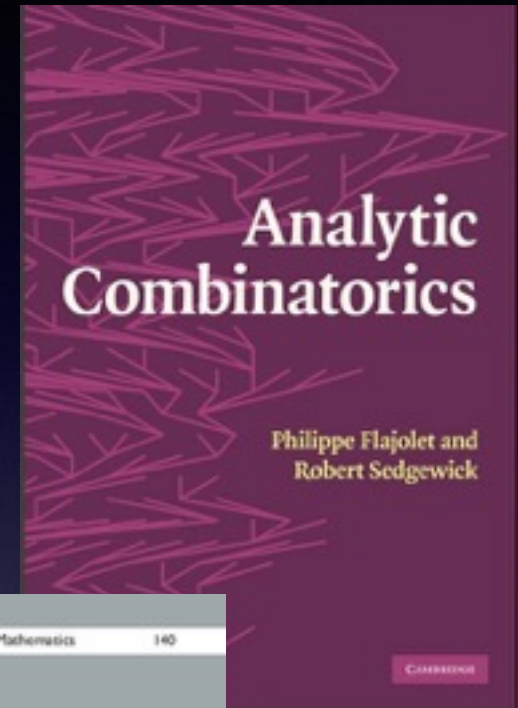
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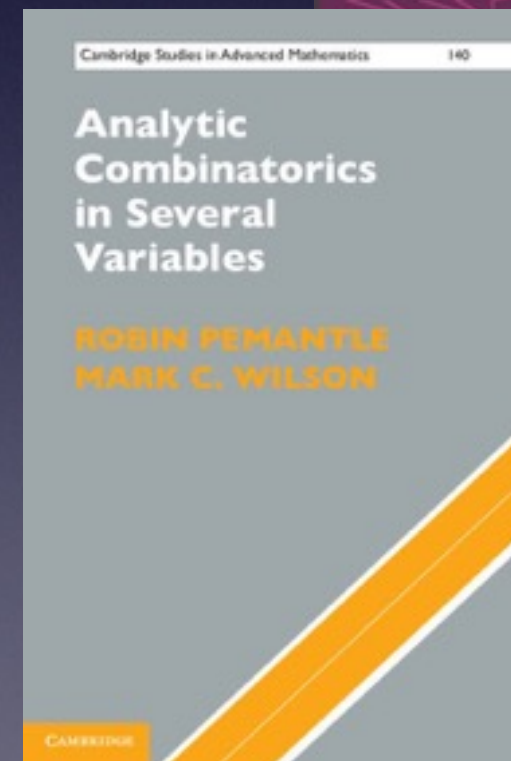
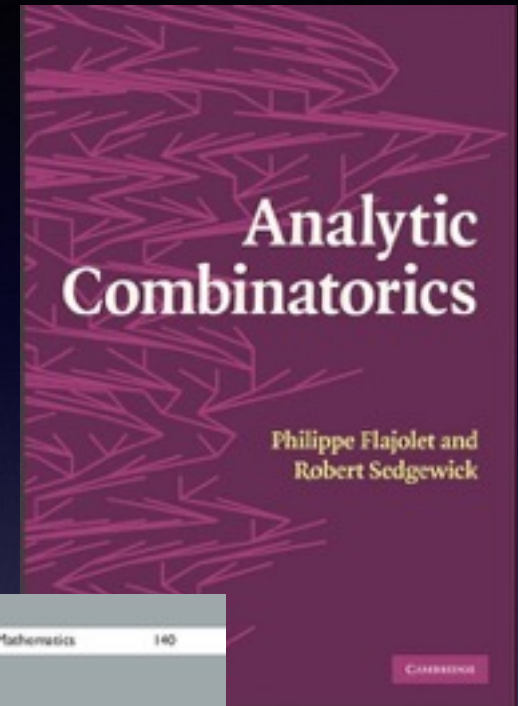


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Next step: automate the
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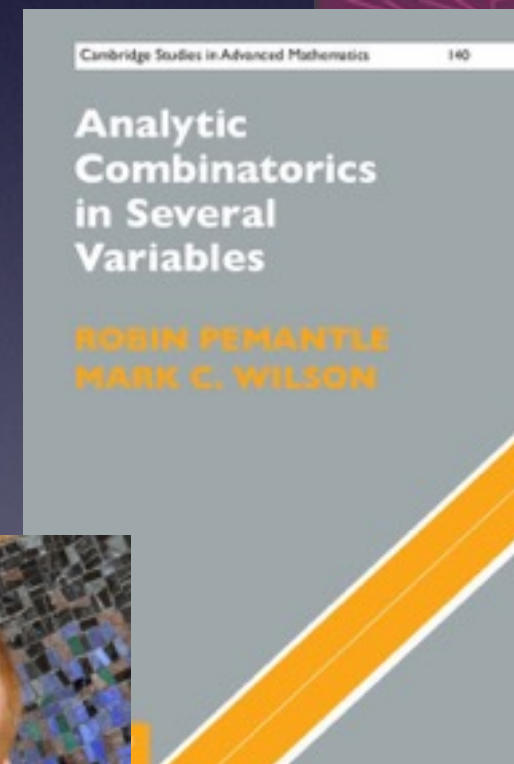
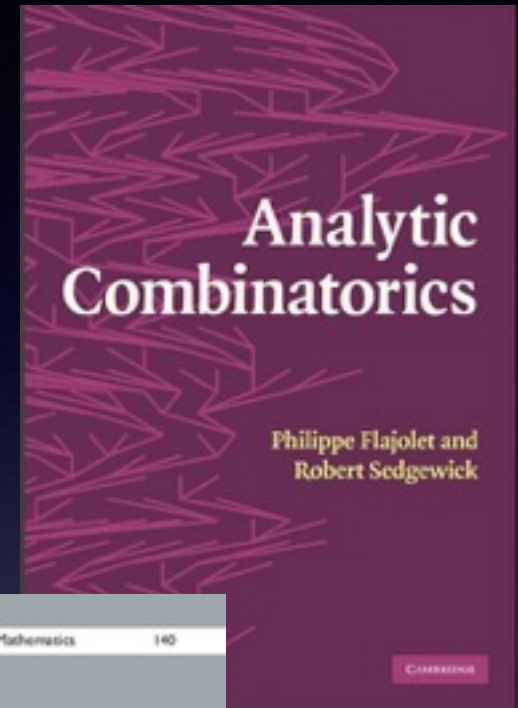


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*Questions?
Office #317*

Next step: automate the
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